

OCT - 3 2001

## 510(k) SUMMARY



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**Submitted by:** Masimo Corporation  
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Irvine, CA 92614-5826  
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**Company Contact:** James J. Cronin, Vice President, Regulatory Affairs/Quality Assurance

**Date Summary Prepared:** September 5, 2001

**Trade Names** LNOP-Ear Sensor, LNOP-YI Sensor, NR7 Sensor

**Common Names** Pulse Oximetry Sensors  
SpO2 Ear Sensor

**Classification Names** Oximeter (74DQA) (870.2700)  
Cable, Transducer and Electrode (74DSA) (870.2900)  
Oximeter, Ear (74DPZ) (870.2710)

**Substantially Equivalent Devices** Masimo SET Radical Pulse Oximeter with SatShare™ and LNOP series of Sensors and Cables 510(k) Number - K000126  
Nellcor DURA-Y Oxygen Transducer, Ear Clip - 510(k) Number - K944760

### Description

The Masimo series of oximetry sensors measure the light absorption of blood from two light emitting diodes (LED's). Oxygen saturated blood absorbs light differently than unsaturated blood. The amount of light absorbed by the blood is used to calculate the ratio of oxygenated hemoglobin to total hemoglobin in arterial blood. This filing includes the addition of 2 reusable sensors and a disposable sensor.

### Intended use

The additional Masimo series of sensors are intended for continuous noninvasive monitoring of functional oxygen saturation of arterial hemoglobin (SpO<sub>2</sub>) and pulse rate (measured by an SpO<sub>2</sub> sensor) for adult, pediatric, and neonatal patients in hospitals, hospital-type facilities, mobile, and home environments.

### Indications For Use:

The additional Masimo series of sensors are indicated for the following:

- Single use oximetry sensor intended for adults and pediatrics greater than 10 kg
- Reusable oximetry ear sensor intended for adults and pediatrics greater than 30 kg
- Reusable oximetry multisite sensor intended for adults, pediatrics, and neonates greater than 1 kg

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## 510(k) SUMMARY

### Comparison to Predicate Devices:

The additional Masimo series of sensors all use the same theory and principle of operation as the predicate devices.

### Performance Data and Conclusions:

Performance testing was conducted on adult volunteers. The additional series of sensors were compared to arterial blood samples analyzed on a laboratory CO-Oximeter. Accuracy (Arms) for the NR7 was  $\pm 2\%$ , for the LNOP-Ear  $\pm 3.5\%$ , and for the LNOP-YI for adults and pediatrics  $\pm 2\%$  and  $\pm 3\%$  for neonates over the range of 70% to 100% SaO<sub>2</sub>.

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Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

OCT - 3 2001

Mr. James J. Cronin  
Masimo Corporation  
2852 Kelvin Avenue  
Irvine, CA 92614-5826

Re: K012992  
Masimo SET® Radical Pulse Oximeter with SatShare™ and the Masimo  
Series of Sensors (LNOP-Ear Sensor, LNOP-YI Sensor, NR7 Sensor)  
Regulation Number: 870.2700, 870.2710  
Regulation Name: Oximeter, Ear Oximeter  
Regulatory Class: II (two)  
Product Code: 74 DQA, 74 DPZ  
Dated: September 5, 2001  
Received: September 6, 2001

Dear Mr. Cronin:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act

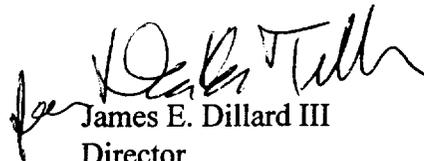
Page 2 - Mr. James J. Cronin

or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801 and additionally 21 CFR Part 809.10 for in vitro diagnostic devices), please contact the Office of Compliance at (301) 594-4646. Additionally, for questions on the promotion and advertising of your device, please contact the Office of Compliance at (301) 594-4639. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). Other general information on your responsibilities under the Act may be obtained from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address <http://www.fda.gov/cdrh/dsma/dsmamain.html>

Sincerely yours,



James E. Dillard III  
Director  
Division of Cardiovascular and  
Respiratory Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known): K012992

Device Name: Masimo SET<sup>®</sup> Radical Pulse Oximeter with SatShare<sup>™</sup> and the Masimo Series of Sensors and Cables

### Indications For Use:

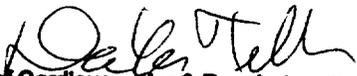
The following additional Masimo Sensors are indicated for the continuous noninvasive functional oxygen saturation of arterial hemoglobin (SpO<sub>2</sub>) and pulse rate:

- Single use oximetry sensor intended for adults and pediatrics greater than 10 kg
- Reusable oximetry ear sensor intended for adults and pediatrics greater than 30 kg
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**(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)**

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Concurrence of CDRH, Office of Device Evaluation (ODE)

  
Division of Cardiovascular & Respiratory Devices  
510(k) Number K012992

Prescription Use    
(Per 21 CFR 801.109)

or

Over-The-Counter Use  0060  
(Optional Format 1-2-96)