

Food and Drug Administration 10903 New Hampshire Avenue Document Control Center - WO66-G609 Silver Spring, MD 20993-0002

### March 24, 2016

Great Lakes Orthodontics, Ltd. Mr. Dave Graver Director of Logistics 200 Cooper Ave. Tonawanda, New York 14150

Re: K150702

Trade/Device Name: eXceed Computerized Precision Bracket Placement Solution

Regulation Number: 21 CFR 872.5470

Regulation Name: Orthodontic Plastic Bracket

Regulatory Class: II

Product Code: DYW, NJM, EJF Dated: February 19, 2016 Received: February 23, 2016

### Dear Mr. Graver:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to

http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm.

Sincerely yours,

for Erin I. Keith, M.S.

Director

Division of Anesthesiology,
General Hospital, Respiratory,
Infection Control, and Dental Devices
Office of Device Evaluation

Tina Kiang -

Center for Devices and Radiological Health

Enclosure

## DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

### Indications for Use

Form Approved: OMB No. 0910-0120 Expiration Date: January 31, 2017 See PRA Statement below.

510(k) Number (if known)

K150702

Device Name

eXceed Computerized Precision Bracket Placement Solution

Indications for Use (Describe)

end product is an indirect bonding tray for use by the Dental professional to place multiple brackets at the same time. Solution is intended for use with commercially available brackets currently used in standard orthodontic treatment. The eXceed Computerized Precision Bracket Placement Solution is a software system intended for use as an aid in orthodontic treatment, including radiographic analyses and treatment planning. eXceed Computerized Precision Bracket Placement treatment planning to correct Malocclusions in Orthodontic Patients. For use by dental professionals trained in orthodontic

| ☑ Prescription Use (Part 21 CFR 801 Subpart D) | Type of Use (Select one or both, as applicable) |
|--|---|
| Over-The-Counter Use (21 CFR 801 Subpart C)    |   |

# CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

\*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

of this information collection, including suggestions for reducing this burden, to time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect The burden time for this collection of information is estimated to average 79 hours per response, including the

Department of Health and Human Services Food and Drug Administration
Office of Chief Information Officer
Paperwork Reduction Act (PRA) Staff
PRAStaff@fda.hhs.gov

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."

FORM FDA 3881 (8/14) Page 1 of 1 PSC Publishing Services (301) 443-6740 띡



An Employee Owned Company

Our Vision
"Delight our customers. Respect and help our co-workers"

### K150702 510K Summary

### Submitter's name, address, phone, and contact person:

Great Lakes Orthodontics, Ltd. (Registration# 1316408)

200 Cooper Ave.

Tonawanda, NY 14150

Phone 716-319-1250 (direct)

Fax 716-871-0550

Contact person: Dave Graver

Email: dgraver@greatlakesortho.com

Date summary prepared: March 24, 2016

Trade / Proprietary Name of Device:

Exceed Computerized Precision Bracket Placement Solution

Common name of device:

Accessory to Orthodontic Brackets

Device classification name:

Orthodontic Plastic Bracket (Class II)

Classification regulation: 21CFR 872.5470

Product codes: DYW (Orthodontic plastic bracket), NJM (Orthodontic Ceramic Bracket), and

ELF (Orthodontic Metal Bracket)

Page 1/4



An Employee Owned Company

Our Vision "Delight our customers. Respect and help our co-workers"

### Predicate Device:

Ortho CADiQ (510k # K082207)

### Intended use/Indications for use

eXceed Computerized Precision Bracket Placement Solution is a software system intended for use as an aid in orthodontic treatment planning to correct Malocclusions in Orthodontic Patients. For use by dental professionals trained in orthodontic treatment, including radiographic analyses and treatment planning. eXceed Computerized Precision Bracket Placement Solution is intended for use with commercially available brackets currently used in standard orthodontic treatment. The end product is an indirect bonding tray for use by the Dental professional to place multiple brackets at the same time.

### **Technological Characteristics**

The device consists of proprietary software that calculates the ideal position of the dental brackets based on the dental impressions and or 3D models supplied by the patients Orthodontist. Commercially available brackets are used as part of the system. A side by side comparison table is shown at the end of this summary.

### Principals of operation

Using the images provided by the Dental Professional, the software creates a 3D model and identifies the ideal placement of the brackets. The file is sent to the Dental Professional for review and approval. The Dental Professional may adjust the final position of the bracket if desired. A 3D model is printed, and the brackets are placed on the model in the prescribed location, approved by the Orthodontist. An indirect bonding tray is fabricated with the brackets in place. The tray and brackets are sent to the Dental professional. The Dental Professional places the indirect tray using their chosen commercially available bracket adhesive.

While the subject and predicate devices do not have identical *Indications for Use* statements, they share the same intended use. Both are intended for use as aids in orthodontic treatment planning for use by dental professionals trained in orthodontic treatment, including radiographic analyses and treatment planning. Both are intended for use with commercially-available brackets.



### Great Lakes Orthodontics, LTD.

An Employee Owned Company

Our Vision
"Delight our customers. Respect and Help our co-workers"

The operating principle for *Exceed Computerized Precision Bracket Placement Solution* and OrthoCAD iQ is identical, with the exception of the guidance method used by the technician in the manufacturing facility when pasting the brackets to the working model, prior to tray fabrication. The difference between the Subject and predicate devices is that the subject device employs a different guidance method for placing the brackets on the working model prior to trays' fabrication. *Exceed Computerized Precision Bracket Placement Solution* includes visual/physical frames on its models, while OrthoCAD provides virtual visual guidance only.

The difference in operating principle is minor, and does not render the subject device substantially different from the predicate device for the following reasons:

- The intended use of the different guidance method is the same as in the predicate device, providing the technician at the manufacturing facility clear and unequivocal guidance regarding where the brackets are to be placed on the working model, based on the approved placement plan.
- As confirmed in comparative testing, the visual guidance system used by the subject
  device is accurate, in the sense that it corresponds exactly with the virtual coordinates
  dictated by the approved placement plan, thus enabling the technician to place the
  brackets on the working model precisely according to that plan. As such, it is as safe and
  effective as the predicate and does not raise any new concerns.

### **Performance Data**

The software is verified and validated by the digital model generated, matching the 3D model and bracket placement locations prescribed and approved by the Orthodontist.

### **Substantial Equivalence**

Great Lakes Orthodontics Ltd has demonstrated that, for the purposes of FDA's regulation of medical devices, the *Exceed Computerized Precision Bracket Placement Solution* is substantially equivalent to the predicate device in terms of intended use, indications, technical characteristics, and principles of operation.



### Great Lakes Orthodontics, LTD. An Employee Owned Company

Our Vision "Delight our customers. Respect and Help our co-workers"

### **TECHNOLOGICAL CHARACTERISTICS**

(Similarities & Differences)

| Indications for Use    Placement Solution  | edontic<br>tal<br>c treatment<br>nd<br>is intended<br>e brackets<br>entle force<br>s indirect |
|--|---|
| Indications for Use  Placement Solution is a software system intended for use as an aid in orthodontic treatment planning to correct Malocclusions in Orthodontic Patients. For use by dental professionals trained in orthodontic treatment, including radiographic analyses and treatment planning. eXceed Computerized Precision Bracket Placement Solution is intended for use with commercially available brackets currently used in standard  Placement Solution is a software system intended for use as an aid in orthodontic treatment planning for use by dent professionals trained in orthodontic including radiographic analyses are treatment planning. OrthoCAD iQ for use with commercially and wires that apply continuous go to reposition the teeth. It also uses bonding trays to affix the brackets   | edontic<br>tal<br>c treatment<br>nd<br>is intended<br>e brackets<br>entle force<br>s indirect |
| indirect bonding tray for use by the Dental professional to place multiple brackets at the same time.  |   |
| Key Records  A 3D model is generated from scanned analog impressions or directly from an intra-oral scan  A 3D model is generated from scanned analog impressions or directly from an intra-oral scan  A 3D model is generated from scanned analog impressions or directly from an intra-oral scan   | -   |
| Additional Records  A panoramic X-ray, facial and intra- oral images  A panoramic X-ray, facial and intra- images  images  | a-oral  |
| Treatment Plan  A detailed treatment plan is provided by the clinician as part of case submission.  A detailed treatment plan is provided by the clinician as part of case submission.   |   |
| Virtual Bracket Placement Plan  Using the submitted records and the prescribed treatment plan, a suggested placement plan is generated and sent for review by the clinician. The Clinician utilizes the eXceed software to adjust the plan and later have it approved.  Using the submitted records and the prescribed treatment plan, a suggested placement plan is generated and sent for review by the clinician. The Clinician and later have it approved.  Using the submitted records and the prescribed treatment plan, a suggested placement plan is placement plan is generated and sent for review by the clinician. The Clinician and later have it approved.   | ested<br>sent for<br>an utilizes  |
| Manufacturing  A working pre-treatment model, which includes tooth and bracket-specific landmarks depicting the position of the brackets based on the approved plan, is printed. Brackets are bonded by a technician within the landmarks.  A working pre-treatment model is technician bonds the brackets to the model using a pen-like device for the appliances on the surface of the appliances on the surface of the appliances on the approved brackets of the appliances on the surface of the appliances on the approved brackets of the appliances on the approved brackets of the appliances on the appliances on the approved brackets of the appliances on the appliances of the appliances on the appliances of the appliance | he working<br>steering<br>ne tooth and<br>ed on the   |
| Product  Vacuum forming then follows to produce patient- specific bracket transfer trays.  Vacuum forming then follows to produce patient- specific bracket transfer trays.  |   |