



Food and Drug Administration  
10903 New Hampshire Avenue  
Document Control Center – WO66-G609  
Silver Spring, MD 20993-0002

Philips Healthcare, Inc.  
% Mr. Mark Job  
Responsible Third Party Official  
Regulatory Technology Services LLC  
1394 25<sup>th</sup> Street, NW  
BUFFALO MN 55313

October 30, 2015

Re: K152899  
Trade/Device Name: Philips Lumify Diagnostic Ultrasound System  
Regulation Number: 21 CFR 892.1550  
Regulation Name: Ultrasonic pulsed doppler imaging system  
Regulatory Class: II  
Product Code: IYN, IYO, ITX  
Dated: October 21, 2015  
Received: October 22, 2015

Dear Mr. Job:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638 2041 or (301) 796-7100 or at its Internet address

<http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>. Also, please note the regulation entitled, “Misbranding by reference to premarket notification” (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm> for the CDRH’s Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

<http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>.

Sincerely yours,

A handwritten signature in black ink that reads "Robert Ochs". The signature is written in a cursive, slightly slanted style.

Robert Ochs, Ph.D.  
Director  
Division of Radiological Health  
Office of In Vitro Diagnostics  
and Radiological Health  
Center for Devices and Radiological Health

Enclosure

**Indications for Use**

510(k) Number (if known)

K152899

Device Name

Philips Lumify Diagnostic Ultrasound System

Indications for Use (Describe)

Philips Lumify Diagnostic Ultrasound System is intended for diagnostic ultrasound imaging in B (2D), Color Doppler, and Combined (B + Color) modes. It is indicated for diagnostic ultrasound imaging and fluid flow analysis in the following applications:

Fetal/Obstetric  
Abdominal  
Urology  
Gynecological  
Cardiac Fetal Echo  
Small Organ  
Musculo-skeletal  
Peripheral Vessel  
Carotid

Lumify is intended for use in environments where healthcare is provided by healthcare professionals, with the exception of home, ambulance, or air.

Type of Use (Select one or both, as applicable)

Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

**CONTINUE ON A SEPARATE PAGE IF NEEDED.**

This section applies only to requirements of the Paperwork Reduction Act of 1995.

**\*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\***

The burden time for this collection of information is estimated to average 79 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services  
Food and Drug Administration  
Office of Chief Information Officer  
Paperwork Reduction Act (PRA) Staff  
PRAStaff@fda.hhs.gov

*"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."*

# DIAGNOSTIC ULTRASOUND INDICATIONS FOR USE FORM

510(k) Number: \_\_\_\_\_

**Device name: Lumify Diagnostic Ultrasound System**

Intended Use: Diagnostic ultrasound imaging or fluid flow analysis of the human body as follows:

Clinical Application		Mode of Operation							
General (Track I Only)	Specific (Tracks I & III)	B	M	PWD	CWD	Color Doppler	Combined (Specify) See below	Other* (Specify)	
Ophthalmic	Ophthalmic								
Fetal Imaging & Other	Fetal/Obstetric	P				P	P		
	Abdominal	P				P	P		
	Intraoperative (vascular/epicardial)								
	Intraoperative (Neuro)								
	Laparoscopic								
	Pediatric								
	Small Organ (thyroid, scrotum, prostate, breast)	N					N	N	
	Neonatal Cephalic								
	Adult Cephalic								
	Trans-rectal								
	Trans-vaginal								
	Trans-urethral								
	Trans-esoph. (non-Card.)								
	Intra-luminal								
	Musculo-skel (conventional)	N					N	N	
	Musculo-skel (superficial)	N					N	N	
	Other (Urology)	P					P	P	
Other (Gynecology)	P					P	P		
Cardiac	Cardiac Adult								
	Cardiac Pediatric								
	Trans-esoph. (Cardiac)								
	Other (Intracardiac)								
	Other (Fetal Echo)	P					P	P	
Peripheral Vessel	Peripheral vessel	N				N	N		
	Other (Carotid)	N				N	N		

N= new indication; P= previously cleared by FDA; E= added under Appendix E

Combined mode: B+Color
Previous submission: K133833

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)

**Concurrence of Center for Devices and Radiological Health, Office of In Vitro Diagnostics**

Prescription Use (Per 21 CFR 801.109)

# DIAGNOSTIC ULTRASOUND INDICATIONS FOR USE FORM

510(k) Number: \_\_\_\_\_

**Device name: C5-2**

Intended Use: Diagnostic ultrasound imaging or fluid flow analysis of the human body as follows:

Clinical Application		Mode of Operation						
General (Track I Only)	Specific (Tracks I & III)	B	M	PWD	CWD	Color Doppler	Combined (Specify) See below	Other* (Specify)
Ophthalmic	Ophthalmic							
Fetal Imaging & Other	Fetal/Obstetric	P				P	P	
	Abdominal	P				P	P	
	Intraoperative (vascular/epicardial)							
	Intraoperative (Neuro)							
	Laparoscopic							
	Pediatric							
	Small Organ (thyroid, scrotum, prostate, breast)							
	Neonatal Cephalic							
	Adult Cephalic							
	Trans-rectal							
	Trans-vaginal							
	Trans-urethral							
	Trans-esoph. (non-Card.)							
	Intra-luminal							
	Musculo-skel (conventional)							
	Musculo-skel (superficial)							
Other (Urology)		P				P	P	
Other (Gynecology)		P				P	P	
Cardiac	Cardiac Adult							
	Cardiac Pediatric							
	Trans-esoph. (Cardiac)							
	Other (Intracardiac)							
	Other (Fetal Echo)		P				P	P
Peripheral Vessel	Peripheral vessel							
	Other (Carotid)							

N= new indication; P= previously cleared by FDA; E= added under Appendix E

Combined mode: B+Color
Previous submission: K133833

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)  
**Concurrence of Center for Devices and Radiological Health, Office of In Vitro Diagnostics**

Prescription Use (Per 21 CFR 801.109)

# DIAGNOSTIC ULTRASOUND INDICATIONS FOR USE FORM

510(k) Number: \_\_\_\_\_

**Device name: L12-4**

Intended Use: Diagnostic ultrasound imaging or fluid flow analysis of the human body as follows:

Clinical Application		Mode of Operation							
General (Track I Only)	Specific (Tracks I & III)	B	M	PWD	CWD	Color Doppler	Combined (Specify) See below	Other* (Specify)	
Ophthalmic	Ophthalmic								
Fetal Imaging & Other	Fetal/Obstetric								
	Abdominal	N				N	N		
	Intraoperative (vascular/epicardial)								
	Intraoperative (Neuro)								
	Laparoscopic								
	Pediatric								
	Small Organ (thyroid, scrotum, prostate, breast)	P				P	P		
	Neonatal Cephalic								
	Adult Cephalic								
	Trans-rectal								
	Trans-vaginal								
	Trans-urethral								
	Trans-esoph. (non-Card.)								
	Intra-luminal								
	Musculo-skel (conventional)	P					P	P	
	Musculo-skel (superficial)	P					P	P	
Other (Urology)									
Other (Gynecology)									
Cardiac	Cardiac Adult								
	Cardiac Pediatric								
	Trans-esoph. (Cardiac)								
	Other (Intracardiac)								
	Other (Fetal Echo)								
Peripheral Vessel	Peripheral vessel	P				P	P		
	Other (Carotid)	P				P	P		

N= new indication; P= previously cleared by FDA; E= added under Appendix E

Combined mode: B+Color
Previous submission: (K120321)

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)

**Concurrence of Center for Devices and Radiological Health, Office of In Vitro Diagnostics**

Prescription Use (Per 21 CFR 801.109)

Philips Ultrasound, Inc.	Quality, Regulatory and Sustainability Traditional 510(k) <b>Lumify Diagnostic Ultrasound System with the L12-4 Transducer</b>	Doc. ID: 238367 Revision: A Doc. date: 2015 Sep 02 Page: 51 of 121
--------------------------	--	---

## 510(k) Summary of Safety and Effectiveness

This summary of safety and effectiveness is provided as part of the Premarket Notification in compliance with 21CFR. Part 807, Subpart E, Section 807.92

### 1) Submitter's name, address, telephone number, contact person

Penny Greco  
Philips Healthcare, Inc.  
Regulatory Affairs Specialist  
3000 Minuteman Road  
Andover, MA 01810-6302  
Tel: (978) 659-4615  
Fax (978) 975-7324

Date prepared: September 2, 2015

### 2) Name of the device, including the trade or proprietary name if applicable, the common or usual name, and the classification name, if known:

Common/Usual Name: Diagnostic ultrasound system and transducers

Proprietary Name: Lumify

Classification: Class II

21 CFR Section	Classification Name	Product Code
892.1550	System, Imaging, Pulsed Doppler, Ultrasonic	90 IYN
892.1560	System, Imaging, Pulsed Echo, Ultrasonic	90 IYO
892.1570	Transducer, Ultrasonic, diagnostic	90 ITX

### 3) Substantially Equivalent Devices

#### Primary Predicate Device

Nuvis Diagnostic Ultrasound System K133833 01/17/2014

#### Reference Device

ClearVue Diagnostic Ultrasound System K120321 02/17/2012

### 4) Device Description

Lumify with the L12-4 transducer is a mobile, general purpose, software controlled, diagnostic ultrasound system. Its function is to acquire ultrasound data and to display the data in various

Philips Ultrasound, Inc.	Quality, Regulatory and Sustainability Traditional 510(k) <b>Lumify Diagnostic Ultrasound System with the L12-4 Transducer</b>	Doc. ID: 238367 Revision: A Doc. date: 2015 Sep 02 Page: 52 of 121
--------------------------	--	---

modes of operation. Lumify supports wireless network connectivity to allow the user to export ultrasound images.

The Lumify Diagnostic Ultrasound System includes:

- A commercial off-the-shelf Android device (COTS)
- Philips ultrasound software running as an app on the off-the-shelf device
- The C5-2 Curved linear array USB transducer
- The L12-4 Linear array USB transducer

Lumify provides customers with a smaller, lower cost, and more easily leveraged ultrasound system.

#### 5) Intended Use

The Lumify Diagnostic Ultrasound System is intended for diagnostic ultrasound imaging in B (2D), Color Doppler, and the Combined Mode (B+Color). The device is indicated for diagnostic ultrasound imaging and fluid flow analysis in the following applications: Fetal/Obstetric, Abdominal, Urology, Gynecological, Cardiac Fetal, Small Organ, Muskulosketel (Conventional & Superficial), Peripheral Vessel, Carotid.

Lumify is intended for use in environments where healthcare is provided by healthcare professionals for the diagnosis of patients, with the exception of home, ambulance and air.

#### 5) Technological comparison to predicate devices

Lumify is a Track 3 system that employs the same fundamental scientific technology as that cleared with K133833. The primary difference between Lumify submitted as Nuvis (K133833) and Lumify submitted with this 510(k) is the addition of the L12-4 transducer (K120321). The additional Lumify indications for use were cleared with the ClearVue L12-4 (K120321). The L12-4 is the same as the ClearVue L12-4 with equivalent indications for use, but with minor modifications including USB connectivity (same as the C5-2 K133833).

#### 6) Determination of Substantial Equivalence

##### Non-clinical performance data

Non-clinical tests relied on in this premarket notification submission for a determination of substantial equivalence include tests which show compliance with the following standards:

- IEC 60601-1: Medical electrical equipment. General requirements for basic safety and essential performance

Philips Ultrasound, Inc.	Quality, Regulatory and Sustainability Traditional 510(k) <b>Lumify Diagnostic Ultrasound System with the L12-4 Transducer</b>	Doc. ID: 238367 Revision: A Doc. date: 2015 Sep 02 Page: 53 of 121
--------------------------	--	---

- IEC 60601-1-2: Medical Electrical Equipment - Part 1-2: General Requirements for Safety - Collateral standard: Electromagnetic Compatibility - Requirements and Tests
- IEC 60601-2-37: Medical electrical equipment. Particular requirements for the safety of ultrasonic medical diagnostic and monitoring equipment
- ISO 10993: Biological evaluation of medical devices.

Quality assurance measures applied to the system design and development include, but were not limited to:

- Risk Analysis
- Product Specifications
- Design Reviews
- Verification and Validation

#### Summary of Clinical Tests

Lumify introduces no new indications for use, modes, features, or technologies relative to the predicate devices (Nuvis/ClearVue) that require clinical testing. The clinical safety and effectiveness of ultrasound systems with these characteristics are well accepted for both predicate and subject devices.

#### 7) Conclusions

Lumify is substantially equivalent to the predicates identified above.

Lumify is essentially the same as Nuvis (K133833) but with the L12-4 transducer (K120321) and additional indications.

#### 514 Performance Standards

There are no Sec. 514 performance standards for this device.

#### Prescription Status

This is a prescription device. The prescription device statement appears in the labeling.

#### Sterilization Site(s)

Not applicable. No components supplied sterile.

#### Track

This is a Track 3 system