

Food and Drug Administration 10903 New Hampshire Avenue Document Control Center - WO66-G609 Silver Spring, MD 20993-0002

September 23, 2016

Oventus Manufacturing Pty Ltd % M.W. Anderson, Ph.D. Senior Principal Advisor Regulatory and Clinical Research Institute, Inc. 5353 Wayzata Boulevard, #505 Minneapolis, Minnesota 55416

Re: K161832

Trade/Device Name: O<sub>2</sub> Vent T
Regulation Number: 21 CFR 872.5570
Regulation Name: Intraoral Devices For Snoring And Intraoral Devices For Snoring And Obstructive Sleep Apnea
Regulatory Class: Class II
Product Code: LRK
Dated: August 23, 2016
Received: August 25, 2016

Dear Dr. M.W. Anderson:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act

or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to

<u>http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm</u> for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm.

Sincerely,

## Michael J. Ryan -S

for Tina Kiang, Ph.D. Acting Director Division of Anesthesiology, General Hospital, Respiratory, Infection Control, and Dental Devices Office of Device Evaluation Center for Devices and Radiological Health

Enclosure

### Indications for Use

Form Approved: OMB No. 0910-0120 Expiration Date: January 31, 2017 See PRA Statement below.

510(k) Number *(if known)* K161832

Device Name O2VENT T

Indications for Use (Describe)

The O2Vent T is a removable medical device that is fitted in the patient's mouth and is intended to reduce or alleviate snoring and mild to moderate obstructive sleep apnea (OSA). The device is indicated for use during sleep to aid in the treatment of these conditions.

Type of Use (Select one or both, as applicable)

Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

#### \*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

The burden time for this collection of information is estimated to average 79 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services Food and Drug Administration Office of Chief Information Officer Paperwork Reduction Act (PRA) Staff *PRAStaff@fda.hhs.gov* 

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."



# **510(k) SUMMARY FOR O<sub>2</sub>VENT T** prepared in accordance to 21CFR 807.92

Date Prepared	23 <sup>rd</sup> September 2016
510 (k) Owner Details	
Name:	Oventus Manufacturing Pty Ltd
Address	1 Swann Road, Indooroopilly, QLD 4068, Australia.
Phone:	+61 7 3180 3196
Name of Contact Person	Hemangi Malde
Device Trade name	O <sub>2</sub> Vent T
Common Name	Oral Appliance – Anti snoring device
Classification	Class II
Classification Name	Intraoral devices for snoring and intraoral devices for snoring and obstructive sleep apnea.
Classification Code	LRK
Classification Regulation	21 CFR 872.5570
Device Model Number(s)	O2VTDL, O2VTOV
Predicate Device	OVENT – Oventus Manufacturing Pty Ltd
	TAP-III – Airway Management
Device Description	The $O_2$ Vent T is a removable medical device that is fitted in the
Device Description	patient's mouth and is intended to reduce or alleviate snoring
	and mild to moderate obstructive sleep appear (OSA).
	The $O_2$ Vent T is made up of three parts:
	1. The Upper Tray fitted over the upper teeth, with the breathing
	port at the front leading to the airways on each side to the rear of
	the appliance, is made from medical grade titanium
	2. The Lower Tray fitted over the lower teeth, positions the jaw
	for mandibular advancement.
	The Upper and Lower Trays have a "landing area" customized to the patient's teeth and made from medical grade polymers.

	3. The Upper and Lower Trays are connected together with a connector. A hook mechanism attached to the Upper Tray fits into a socket attached to the Lower Tray and positions the lower jaw forward, preventing the soft tissue of the throat from collapsing and obstructing the airway. An Adjustment Key for the $O_2Vent T$ allows the patient and their Clinician to adjust the protrusion of the lower jaw to the most effective and comfortable position.
Intended Use of the Device	The $O_2Vent T$ is a removable medical device that is fitted in the patient's mouth and is intended to reduce or alleviate snoring and mild to moderate obstructive sleep apnea (OSA). The device is indicated for use during sleep to aid in the treatment of these conditions.
Target Population	Adult patients 18 years and older.
Environment of Use	Home Use and Sleep laboratories.
Summary of Comparison of Technological Characteristics of the Device to Predicate Device	As mentioned in the following pages.

Feature	O <sub>2</sub> Vent T	OVENT	TAP-III
510k Number	Not yet received.	K160234	K062951
Device Photo			
Intended Use	The $O_2Vent T$ is a removable medical device that is fitted in the patient's mouth and is intended to reduce or alleviate snoring and mild to moderate obstructive sleep apnea (OSA). The device is indicated for use during sleep to aid in the treatment of these conditions.	The OVENT is a removable medical device that is fitted in the patient's mouth and is intended to reduce or alleviate snoring and mild to moderate obstructive sleep apnea, OSA. The device is indicated for use during sleep to aid in the treatment of these conditions.	To reduce or alleviate night time snoring and mild to moderate obstructive sleep apnea (OSA).
Product Codes	LRK	LRK	LRK
Regulation	21CFR 872.5570	21CFR 872.5570	21CFR 872.5570
Use of device	Removable intraoral device. Multiple use. Prescription use only.	Removable intraoral device. Multiple use. Prescription use only.	Removable intraoral device. Multiple use. Prescription use only.
Target Population	People over 18 years of age who snore and/or have sleep apnea.	People over 18 years of age who snore and/or have sleep apnea.	People over 18 years of age who snore and/or have sleep apnea.
Environment of Use	To be used in the patient's home or in sleep laboratories.	To be used in the patient's home or in sleep laboratories.	To be used in the patient's home or in sleep laboratories.
Cleaning Instructions	Should be cleaned daily in soap and water.	Should be cleaned daily in soap and water.	Should be cleaned daily in soap and water.
	Can be periodically cleaned in an ultrasonic cleaner with an effervescent denture cleaning tablet.	Can be periodically cleaned in an ultrasonic cleaner with an effervescent denture cleaning tablet.	Can be periodically cleaned in an ultrasonic cleaner with an effervescent denture cleaning tablet.

Feature	O <sub>2</sub> Vent T	OVENT	TAP-III
Device	Repositions the lower	Repositions the lower	Repositions the lower
Functionality	jaw forward.	jaw forward.	jaw forward.
	Acts by increasing the	Acts by increasing the	Acts by increasing the
	pharyngeal space to	pharyngeal space to	pharyngeal space to
	improve the patient's	improve the patient's	improve the patient's
	ability to exchange air.	ability to exchange air.	ability to exchange air.
	Does not have a	Has a lingual flange	Does not have a
	lingual flange for	for holding the	lingual flange for
	holding the mandible	mandible forward <sup>1</sup> .	holding the mandible
	forward.		forward.
	Permits patient to	Permits patient to	Permits patient to
	breathe through their	breathe through their	breathe through their
	mouth <sup>2</sup> .	mouth <sup>2</sup> .	mouth <sup>2</sup> .
	Retains the top and	Retains the top and	Retains the top and
	bottom teeth using	bottom teeth using	bottom teeth using
	rigid trays.	rigid trays.	rigid trays.
Device Design	Customized for each	Customized made for	Customized made for
	patient in a dental	each patient in a dental	each patient in a dental
A 1° 4 4	laboratory.	laboratory.	laboratory.
Adjustment	Can be adjusted by the $a_{1}^{1}$ initial conductive $a_{4}^{1}$	Cannot be adjusted by	Can be adjusted by the
	clinician and patient <sup>4</sup> .	the clinician or patient <sup>4</sup> .	clinician and patient <sup>4</sup> .
Supplied	Non-Sterile	Non-sterile	Non-sterile
Sterile/Non-Sterile	Non-Sterne	Non-sterne	Non-sterne
Materials Use - 1	Medical grade metal	Medical grade metal	Medical grade metals
Waterials Ose - 1	used <sup>5</sup> .	used <sup>5</sup> .	used <sup>5</sup> .
	useu .	useu .	useu.
Materials Use - 2	Dental plastic	Dental plastic	Dental plastic
Whatemans 0.50 2	laminates and acrylics	laminates and acrylics	laminates and acrylics
	used for upper and	used for upper and	used for upper and
	lower trays which is in		lower trays which is in
	contact with the	contact with the	contact with the
	patient's teeth.	patient's teeth.	patient's teeth.
	1	1	1
Biocompatibility*	Not performed as the	Passes Part 5 and Part	Passes Part 5 and Part
	materials are identical	10 of ISO10993.	10 of ISO10993.
	as in the OVENT.		
Mechanical safety	Can withstand	Can withstand	Can withstand
	mechanical forces	mechanical forces	mechanical forces
	without significant	without significant	without significant
	degradation.	degradation.	degradation.

\* This is assumed as the devices are FDA 510(k) cleared and are currently on the market. Only the OVENT device was tested as part of this submission. Biocompatibility was not performed on the  $O_2$ Vent T device as the materials used in the device were similar to that of OVENT.

**Note (1)** The OVENT has a choice of lingual flange (to be decided by the clinician) although for most cases adequate retention (in advanced position) is achieved by the molded polymer insert.

Note (2) The  $O_2$ Vent T and OVENT both have a dedicated breathing port (delivering air to the rear of the mouth) to allow the user to breathe through their mouth if they want, the TAP-III allows users to open their mouth to a degree.

Note (4) The OVENT requires a new insert to be made for mandibular adjustment, the  $O_2$ Vent T and TAP device can be adjusted by the clinician or patient.

Note (5) The O<sub>2</sub>Vent T and OVENT uses titanium and the TAP-III uses stainless steel.

Summary of Non Clinical Tests	The non-clinical testing included risk assessment of the physical properties of the O <sub>2</sub> Vent T and its ability to achieve its intended use. The only new material that was incorporated in the O <sub>2</sub> Vent T is the connector assembly made from special grade 316 stainless steel. The material and design specifications of the connector assembly is already used in as the predicate TAP-III device. No other risks are introduced in the device. The O <sub>2</sub> Vent T met the specifications as set. A biocompatibility assessment of the device was performed. The purpose of the biocompatibility assessment was to ensure that biocompatibility had been established for the device. No new materials are being used in the device; all materials are already used in the predicate device (OVENT). No new risks are introduced in the new device apart from the connector assembly which are not present in the predicate device and the new material has no patient tissue contact in the new device.
	The device is deemed to be biocompatible, based on the similarity of the materials of constructions to the predicate devices (OVENT & TAP-III).
Summary of Clinical Tests	<ul> <li>Human clinical studies were not deemed necessary to evaluate the substantial equivalence of the O<sub>2</sub>Vent T as the O<sub>2</sub>Vent T does not:</li> <li>Use designs dissimilar from the predicate device and other previously-cleared devices under a 510(k);</li> </ul>
	<ul> <li>Use new technologies different from legally-marketed intra- mandibular repositioning devices for snoring and/or obstructive sleep apnea;</li> <li>Deviate from the indications for use identified in the predicate devices: the OVENT and TAP-III.</li> </ul>
	In lieu of human clinical testing, the risks and mitigating controls associated with the use of mandibular repositioning devices, as identified by the FDA, have been addressed in the

	"Risk Assessment". The function of mandibular repositioning devices required that the prescribing dentist be aware of the potential for soreness caused by mandibular advancement. Management of these risks is achieved by advising the patients and dentists in the "Instructions for Use". The contraindications, warnings, precautions, storage, fitting and adjustment directions are written to avoid potential problems from arising or persisting caused by Mandibular Advancement Devices.
Differences between the proposed and predicate device(s).	As discussed in the comparison chart above, the main functional difference between the proposed $O_2$ Vent T and predicate device OVENT is the method of adjustment. $O_2$ Vent T allows protrusion of the mandible from the original treatment position via a screw mechanism without requiring additional impressions or laboratory procedures as required for OVENT. The adjustments can be made by the clinician or patient for the $O_2$ Vent T device, however it is not possible in case of the OVENT device. Additionally, in order to facilitate the ability to titrate the device, the $O_2$ Vent T has separate upper and lower trays connected via the screw mechanism, while the OVENT has a monobloc design. No new risks are introduced in the new device apart from the connector assembly and separate trays, which are not present in the predicate OVENT device, however are present in the TAP-III predicate device. The treatment outcomes for $O_2$ Vent T and OVENT are expected to be equivalent as the final levels of mandibular protrusion and the provision for oral breathing via the customized airway is same for each device. Hence the differences mentioned are unlikely to adversely or otherwise affect the expected clinical outcomes for patients using the $O_2$ Vent T device as compared to those using the OVENT, thus not affecting the substantial equivalency of the proposed device.
Conclusion on Non Clinical and Clinical Tests	<ul> <li>The O<sub>2</sub>Vent T is considered to be substantially equivalent to the predicate devices based on the following:</li> <li>It has the same intended use and is indicated for the same user population.</li> <li>It has equivalent technological characteristics to the predicates.</li> <li>The device is as safe, as effective and performs as well as or better than the legally marked devices identified above.</li> </ul>