

JUN 6 2002

K020860 10f2

## 510(k) Summary of Safety and Effectiveness for m3 (micro-Multileaf Collimator)

### 1. Company

Address: BrainLAB AG  
Ammerthalstrasse 8  
85551 Heimstetten  
Germany

Contact Person: Stefan Vilsmeier, President  
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### 2. Device Name

Trade name: **m3 (micro-Multileaf Collimator)**  
Common name: micro-Multi-Leaf Collimator  
Device Classification name: Accelerator, Linear, Medical

### 3. Predicate Devices:

**micro-Multi-Leaf Collimator (K004022)**

Device Classification Name: Accelerator, Linear, Medical  
Regulatory Class: Class II

### 4. Device Description

The m3 is a therapeutic X-ray Collimator. It comprises multiple motorised tungsten leafs, which are suited to shaping specific therapeutic X-ray fields, both in a static fashion as well as dynamically via leaf-movement during treatment.

The subject of this submission is the use of the m3 together with Siemens Linear Accelerators for advanced treatment modalities such as Dynamic Arc and automated Step and Shoot Treatments. The correct m3 setup is controlled by the "LANTIS" Verify and Record System with PRIMEVIEW front end. In case the m3 is not correctly set-up according to the Linac settings, treatment will be aborted or not permitted.

The verification and validation procedures have shown the safety and effectiveness of the **m3 (micro-Multileaf Collimator)** and the device can be utilized within its intended use.

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## **5. Intended Use**

The m3 is intended to assist the radiation oncologist in the delivery of radiation to well-defined target volumes while sparing surrounding normal tissue and critical organs from excess radiation. In conjunction with Elekta and GE Linacs, the m3 performs the same function as customized shadow blocks or stereotactic collimators. This standard configuration is suitable for static conformal treatments and "step and shoot IMRT".

The advanced m3 Siemens Integration feature available for Siemens Linacs allows additionally to perform "dynamic arc" and automated "step and shoot IMRT" treatments with the m3.

The advanced Varian integration feature available for Varian Linacs allows to perform "dynamic arc" and "dynamic IMRT" treatments with the m3.

## **6. Performance Standards:**

No applicable standards have been established by FDA under section 514 of the Food, Drug and Cosmetic Act.



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Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

Mr. Stefan Vilsmeier  
President and CEO  
BrainLAB AG  
Ammerthalstrasse 8  
85551 Heimstetten  
GERMANY

Re: K020860  
Trade/Device Name: m3 Moco-Multileaf Collimator  
Accelerator, Linear, Medical  
Regulation Number: 21 CFR 892.5050  
Regulation Name: Medical charged-particle radiation  
therapy system  
Regulatory Class: II  
Product Code: 90 IYE  
Dated: March 14, 2002  
Received: March 18, 2002

Dear Mr. Vilsmeier:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (sections 531-542 of the Act); 21 CFR 1000-1050.

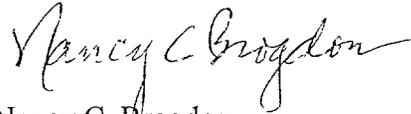
This letter will allow you to begin marketing your device as described in your 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Office of Compliance at one of the following numbers, based on the regulation number at the top of this letter:

8xx.1xxx	(301) 594-4591
876.2xxx, 3xxx, 4xxx, 5xxx	(301) 594-4616
884.2xxx, 3xxx, 4xxx, 5xxx, 6xxx	(301) 594-4616
892.2xxx, 3xxx, 4xxx, 5xxx	(301) 594-4654
Other	(301) 594-4692

Additionally, for questions on the promotion and advertising of your device, please contact the Office of Compliance at (301) 594-4639. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). Other general information on your responsibilities under the Act may be obtained from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address <http://www.fda.gov/cdrh/dsma/dsmamain.html>.

Sincerely yours,



Nancy C. Brogdon  
Director, Division of Reproductive,  
Abdominal, and Radiological Devices  
Office of Device Evaluation  
Center for Devices and Radiological Health

Enclosure

510(k) Number (if known): K020860

Device Name: m3 (micro-Multileaf Collimator)

Indications For Use:

The m3 is intended to assist the radiation oncologist in the delivery of radiation to well-defined target volumes while sparing surrounding normal tissue and critical organs from excess radiation. In conjunction with Elekta and GE Linacs, the m3 performs the same function as customized shadow blocks or stereotactic collimators. This standard configuration is suitable for static conformal treatments and "step and shoot IMRT". The advanced m3 Siemens Integration feature available for Siemens Linacs allows additionally to perform "dynamic arc" and automated "step and shoot IMRT" treatments with the m3. The advanced Varian integration feature available for Varian Linacs allows to perform "dynamic arc" and "dynamic IMRT" treatments with the m3.

(PLEASE DO NOT WRITE BELOW THIS LINE - CONTINUE ON ANOTHER PAGE IF NEEDED)

Concurrence of CDRH, Office of Device Evaluation (ODE)

Prescription Use   
(Per 21 CFR 801.109)

OR

Over-The-Counter Use

*David A. Seymour*

(Optional Format I-2-96)

(Division Sign-Off)

Division of Reproductive, Abdominal,  
and Radiological Devices

510(k) Number K020860