

**MAY 13 2004****Summary of Safety and Effectiveness**

**Air Safety Ltd.  
NFC House, Vickers Industrial Estate  
Mellishaw Lane  
Morecambe, Lancaster LA3 3EN  
England**

**Non-Confidential Summary of Safety and Effectiveness**

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**Official Contact:** Steve Brown – Quality Manager

**Proprietary or Trade Name:** Air Safety HEPA and non-HEPA Filters

**Common/Usual Name:** Bacterial / Viral Filters

**Classification Name:** Filter, Bacterial, Breathing Circuit, CAH

**Predicate Devices:** Engineered Medical Systems –  
HEPA - K013089  
Non-HEPA – K013122  
Smiths Filter – K002201  
NPB D/X7 - K964540 and K984379

**Device Description**

The Air Safety HEPA and Non-HEPA filters are available in multiple sizes and shapes, and incorporate standard 15 / 22 mm connectors with or without a gas sampling luer port. Some models adapt to fit ventilator exhalation limb only. The depth (HEPA filtration) filter uses a pleated paper fiber for filtration. Filters are tested for rating performance according to EN 13328 Salt for Breathing System filtration performance. The “HEPA” performance was also tested in accordance to DOE-3025-99, DOE-3020-97 and ASTM D2986 – DOP. The electrostatic (non-HEPA filtration) filters are tested by Nelson Laboratories for BFE and VFE.

**Indications for Use and Environments**

Indications for Use –

**Anesthesia / Respiratory Filters**

For use with ventilators, anesthesia machines and open flow systems where filtration of inspired and / or expired gases is desired.

Model – HEPA filter 3000/04 – Single patient use for exhalation limb of circuit on NPB 700 series ventilators

Models – HEPA filters - 6500/01, 6888/01, 8222/01, 8444/01

Model – Non-HEPA filters - 4000/01

Single patient use up to 24 hours. Patient tidal volumes > 150 ml, when applicable.

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Environment of Use --

Home, Hospital, Sub-acute Institutions, Emergency services

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|--|
| <b>General Technical Characteristics</b> |
|--|

| <b>Attribute</b>  | <b>Air Safety</b>                             |
|---|---|
| Indications for use - To filter inlet, inspired and / or expired gases.                   | Same  |
| Intended for extended or single patient use up to 24 hours                                | Yes   |
| Prescription  | Yes   |
| Intended population   | Any patient some with tidal volumes > 150 ml  |
| Intended Environment of Use   | Home, Hospital, sub-acute, Emergency services |
| Placement in various locations in circuit or ventilator                                   | Yes   |
| <b>Design</b>   |   |
| Gas sampling port   | Optional                                      |
| Standard 15/22 mm connectors  | Yes   |
| Dead Space (ml)   | 45 to 84 ml<br>209 mm for Model 3000/04       |
| Resistance to flow  | ≤ 3.4 cm H <sub>2</sub> O @ 60 Lpm            |
| HEPA – Models – 6500/01, 6888/01, 8222/01, 8444/01<br>Bacterial filtration – BFE – Nelson | 99.99999%<br>Model 3000/04 – 99.9999%         |
| HEPA – Models – 6500/01, 6888/01, 8222/01, 8444/01<br>Viral filtration – VFE – Nelson     | 99.99975%<br>Model 3000/04 – 99.9999%         |
| Non- HEPA – Model 4000/01<br>Bacterial filtration – BFE – Nelson                          | 99.99996%                                     |
| Non- HEPA – Model 4000/01<br>Viral filtration – VFE – Nelson                              | 99.99925%                                     |
| <b>Materials</b>  |   |
| Housing polystyrene   | Yes   |
| Filter media - HEPA   | Paper fiber                                   |
| Filter Media – Electrostatic – non-HEPA   | Polypropylene                                 |
| <b>Performance Standards</b>  |   |
| None under Section 514  | Yes   |
| ISO 5356-1 Conical 15/22  | Yes   |
| ISO 594-2 Luer Fittings   | Yes   |
| DOE 3025-99, DOE 3020-97 and ASTM D2986 - DOP   | > 99.97% of 0.3 micron DOP particle @ 60 Lpm  |

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**Differences between Other Legally Marketed Predicate Devices**

The data within the submission demonstrates that the proposed devices when compared to the predicate devices are safe and effective and substantially equivalent.



**MAY 13 2004**

Air Safety Ltd.  
C/O Mr. Paul Dryden  
ProMedic, Inc.  
6329 W. Waterview Ct.  
McCordsville, IN 46055

Re: K033008  
Trade/Device Name: Air Safety HEPA and Non-HEPA Filters  
Regulation Number: 21 CFR 868.5620  
Regulation Name: Filter, Bacterial, Breathing-Circuit  
Regulatory Class: Class II  
Product Code: CAH  
Dated: March 12, 2004  
Received: March 15, 2004

Dear Mr. Dryden:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set

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forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050. This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Office of Compliance at (301) 594-4646. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address <http://www.fda.gov/cdrh/dsma/dsmamain.html>.

Sincerely yours,



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Chiu Lin, Ph.D.

Director

Division of Anesthesiology, General Hospital,

Infection Control and Dental Devices

Office of Device Evaluation

Center for Devices and

Radiological Health

Enclosure

