

**CENTER FOR DRUG EVALUATION AND  
RESEARCH**

*APPLICATION NUMBER:*

**22-264**

**ADMINISTRATIVE and CORRESPONDENCE**  
**DOCUMENTS**

**PATENT INFORMATION SUBMITTED UPON AND  
AFTER APPROVAL OF AN NDA OR SUPPLEMENT**  
*For Each Patent That Claims a Drug Substance  
(Active Ingredient), Drug Product (Formulation or  
Composition) and/or Method of Use*

NDA NUMBER

22-264

NAME OF APPLICANT/NDA HOLDER

Ortho-McNeil-Janssen Pharmaceuticals, Inc.

*The following is provided in accordance with Section 505(b) and (c) of the Federal Food, Drug, and Cosmetic Act.*

TRADE NAME

INVEGA SUSTENNA (paliperidone palmitate)

ACTIVE INGREDIENT(S)

PALIPERIDONE PALMITATE

STRENGTH(S)

39 mg, 78 mg, 117 mg, 156 mg, 234 mg

DOSAGE FORM

Suspension for injection

APPROVAL DATE OF NDA OR SUPPLEMENT

31 July 2009

This patent declaration form is required to be submitted to the Food and Drug Administration (FDA) within thirty (30) days after approval of an NDA or supplement or within thirty (30) days of issuance of a patent as required by 21 CFR 314.53(c)(2)(ii) at the address provided in 21 CFR 314.53(d)(4). To expedite review of this patent declaration form, you may submit an additional copy of this declaration form to the Center for Drug Evaluation and Research "Orange Book" staff.

**For hand-written or typewriter versions of this report:** If additional space is required for any narrative answer (i.e., one that does not require a "Yes" or "No" response), please attach an additional page referencing the question number.

**FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing.**

**For each patent submitted for the approved NDA or supplement referenced above, you must submit all the information described below. If you are not submitting any patents for this NDA or supplement, complete above section and sections 5 and 6.**

**1. GENERAL**

a. United States Patent Number

5,254,556

b. Issue Date of Patent

19 October 1993

c. Expiration Date of Patent

27 October 2010

d. Name of Patent Owner

Ortho-McNeil-Janssen Pharmaceuticals, inc.

Address (of Patent Owner)

Attn: Chief Intellectual Property Counsel, 1125 Trenton-Harbourton Road

City/State

Titusville, New Jersey

ZIP Code

08560-0020

FAX Number (if available)

Telephone Number

609-730-2000

E-Mail Address (if available)

e. Name of agent or representative who resides or maintains a place of business within the United States authorized to receive notice of patent certification under section 505(b)(3) and (j)(2)(B) of the Federal Food, Drug, and Cosmetic Act and 21 CFR 314.52 and 314.95 (if patent owner or NDA applicant/holder does not reside or have a place of business within the United States)

Address (of agent or representative named in 1.e.)

City/State

ZIP Code

Telephone Number

FAX Number (if available)

E-Mail Address (if available)

f. Is the patent referenced above a patent that has been submitted previously for the approved NDA or supplement referenced above?

Yes  No

g. If the patent referenced above has been submitted previously for listing, is the expiration date a new expiration date?

Yes  No

**For the patent referenced above, provide the following information on each patent that claims the drug substance, drug product, or method of use that is the subject of the approved NDA or supplement. FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing. FDA will consider an incomplete patent declaration to be a declaration that does not include a response to all the questions contained within each section below applicable to the patent referenced above.**

**2. Drug Substance (Active Ingredient)**

2.1 Does the patent claim the drug substance that is the active ingredient in the drug product described in the approved NDA or supplement?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
2.2 Does the patent claim a drug substance that is a different polymorph of the active ingredient described in the NDA? (See Attached Addendum)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2.3 If the answer to question 2.2 is "Yes," do you certify that, as of the date of this declaration, you have test data demonstrating that a drug product containing the polymorph will perform the same as the drug product described in the NDA? The type of test data required is described at 21 CFR 314.53(b).	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.4 Specify the polymorphic form(s) claimed by the patent for which you have the test results described in 2.3.		
2.5 Does the patent claim only a metabolite of the approved active ingredient? (Complete the information in section 4 below if the patent claims an approved method of using the approved drug product to administer the metabolite.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2.6 Does the patent claim only an intermediate?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2.7 If the patent referenced in 2.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>FDA will not list the patent in the Orange Book as claiming the drug substance if:</b> <ul style="list-style-type: none"> <li>• the answers to 2.1 and 2.2 are "No," or,</li> <li>• the answer to 2.2 is "Yes" and the answer to 2.3 is "No," or,</li> <li>• the answer to 2.3 is "Yes" and there is no response to 2.4, or,</li> <li>• the answer to 2.5 or 2.6 is "Yes."</li> <li>• the answer to 2.7 is "No."</li> </ul>		

**3. Drug Product (Composition/Formulation)**

3.1 Does the patent claim the approved drug product as defined in 21 CFR 314.3?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
3.2 Does the patent claim only an intermediate?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
3.3 If the patent referenced in 3.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>FDA will not list the patent in the Orange Book as claiming the drug product if:</b> <ul style="list-style-type: none"> <li>• the answer to question 3.1 is "No," or,</li> <li>• the answer to question 3.2 is "Yes," or,</li> <li>• the answer to question 3.3 is "No."</li> </ul>		

**4. Method of Use**

**Sponsors must submit the information in section 4 for each approved method of using the approved drug product claimed by the patent. For each approved method of use claimed by the patent, provide the following information:**

4.1 Does the patent claim one or more approved methods of using the approved drug product?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
4.2 Patent Claim Number(s) (as listed in the patent)	Does (Do) the patent claim(s) referenced in 4.2 claim an approved method of use of the approved drug product?	
3	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
4.2a If the answer to 4.2 is "Yes," identify the use with specific reference to the approved labeling for the drug product.	Use: (Submit indication or method of use information as identified specifically in the approved labeling.) INVEGA SUSTENNA (paliperidone palmitate) is indicated for the acute and maintenance treatment of schizophrenia.	

4.2b If the answer to 4.2 is "Yes," also provide the information on the indication or method of use for the Orange Book "Use Code" description.

Use: (Submit the description of the approved indication or method of use that you propose FDA include as the "Use Code" in the Orange Book, using no more than 240 total characters including spaces.)

U543 Treatment of Schizophrenia

FDA will not list the patent in the Orange Book as claiming the method of use if:

- the answer to question 4.1 or 4.2 is "No," or
- if the answer to 4.2 is "Yes" and the information requested in 4.2a and 4.2b is not provided in full.

5. No Relevant Patents

For this NDA or supplement, there are no relevant patents that claim the approved drug substance (active ingredient) or the approved drug product (formulation or composition) or approved method(s) of use with respect to which a claim of patent infringement could reasonably be asserted if a person not licensed by the owner of the patent engaged in the manufacture, use, or sale of the drug product.

Yes

6. Declaration Certification

6.1 The undersigned declares that this is an accurate and complete submission of patent information for the NDA or supplement approved under section 505 of the Federal Food, Drug, and Cosmetic Act. This time-sensitive patent information is submitted pursuant to 21 CFR 314.53. I attest that I am familiar with 21 CFR 314.53 and this submission complies with the requirements of the regulation. I verify under penalty of perjury that the foregoing is true and correct.

Warning: A willfully and knowingly false statement is a criminal offense under 18 U.S.C. 1001.

6.2 Authorized Signature of NDA Applicant/Holder or Patent Owner (Attorney, Agent, Representative or other Authorized Official) (Provide information below)

Date Signed

Hal Brent Woodrow

6 Nov. 2009

NOTE: Only an NDA applicant/holder may submit this declaration directly to the FDA. A patent owner who is not the NDA applicant/holder is authorized to sign the declaration but may not submit it directly to FDA. 21 CFR 314.53(c)(4) and (d)(4).

Check applicable box and provide information below.

NDA Applicant/Holder

NDA Applicant's/Holder's Attorney, Agent (Representative) or other Authorized Official

Patent Owner

Patent Owner's Attorney, Agent (Representative) or Other Authorized Official

Name

Hal Brent Woodrow

Address

One Johnson & Johnson

City/State

New Brunswick, New Jersey

ZIP Code

08933

Telephone Number

732-524-2976

FAX Number (if available)

732-524-2808

E-Mail Address (if available)

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The public reporting burden for this collection of information has been estimated to average 5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:

Department of Health and Human Services  
Food and Drug Administration  
Office of Chief Information Officer (HFA-710)  
5600 Fishers Lane  
Rockville, MD 20857

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## **ADDENDUM**

**Applicant understands Question 2.2 to be asking whether the patent claims only the form of the active ingredient described in the approved application. The patent claims the form of the active ingredient described in the approved NDA, among others and accordingly is appropriately submitted for listing.**

## EXCLUSIVITY SUMMARY

NDA # 22-264

SUPPL #

HFD # 130

Trade Name: Invega Sustenna

Generic Name: paliperidone palmitate extended-release injectable suspension

Applicant Name: Johnson & Johnson

Approval Date: July 31, 2009

**PART I IS AN EXCLUSIVITY DETERMINATION NEEDED?  
INFORMATION BELOW REFERS TO SE2-010 ONLY;  
SLR-008 DOES NOT NEED AN EXCLUSIVITY DETERMINATION.**

1. An exclusivity determination will be made for all original applications, and all efficacy supplements. Complete PARTS II and III of this Exclusivity Summary only if you answer "yes" to one or more of the following questions about the submission.

a) Is it a 505(b)(1), 505(b)(2) or efficacy supplement?

YES  NO

If yes, what type? Specify 505(b)(1), 505(b)(2), SE1, SE2, SE3,SE4, SE5, SE6, SE7, SE8

505(b)(1)

c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review only of bioavailability or bioequivalence data, answer "no.")

YES  NO

If your answer is "no" because you believe the study is a bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any arguments made by the applicant that the study was not simply a bioavailability study.

If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical data:

d) Did the applicant request exclusivity?

YES  NO

If the answer to (d) is "yes," how many years of exclusivity did the applicant request?

Three years

e) Has pediatric exclusivity been granted for this Active Moiety? No

If the answer to the above question in YES, is this approval a result of the studies submitted in response to the Pediatric Written Request?

NA

IF YOU HAVE ANSWERED "NO" TO ALL OF THE ABOVE QUESTIONS, GO DIRECTLY TO THE SIGNATURE BLOCKS AT THE END OF THIS DOCUMENT.

2. Is this drug product or indication a DESI upgrade?

YES  NO

IF THE ANSWER TO QUESTION 2 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8 (even if a study was required for the upgrade).

## **PART II FIVE-YEAR EXCLUSIVITY FOR NEW CHEMICAL ENTITIES**

(Answer either #1 or #2 as appropriate)

1. Single active ingredient product.

Has FDA previously approved under section 505 of the Act any drug product containing the same active moiety as the drug under consideration? Answer "yes" if the active moiety (including other esterified forms, salts, complexes, chelates or clathrates) has been previously approved, but this particular form of the active moiety, e.g., this particular ester or salt (including salts with hydrogen or coordination bonding) or other non-covalent derivative (such as a complex, chelate, or clathrate) has not been approved. Answer "no" if the compound requires metabolic conversion (other than deesterification of an esterified form of the drug) to produce an already approved active moiety.

YES  NO

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

2. Combination product.

If the product contains more than one active moiety (as defined in Part II, #1), has FDA previously approved an application under section 505 containing any one of the active moieties in the drug product? If, for example, the combination contains one never-before-approved active moiety and one previously approved active moiety, answer "yes." (An active moiety that is marketed under an OTC monograph, but that was never approved under an NDA, is considered not previously approved.)

YES  NO

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

IF THE ANSWER TO QUESTION 1 OR 2 UNDER PART II IS "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8. (Caution: The questions in part II of the summary should only be answered "NO" for original approvals of new molecular entities.)

IF "YES," GO TO PART III.

### **PART III THREE-YEAR EXCLUSIVITY FOR NDAs AND SUPPLEMENTS**

To qualify for three years of exclusivity, an application or supplement must contain "reports of new clinical investigations (other than bioavailability studies) essential to the approval of the application and conducted or sponsored by the applicant." This section should be completed only if the answer to PART II, Question 1 or 2 was "yes."

1. Does the application contain reports of clinical investigations? (The Agency interprets "clinical investigations" to mean investigations conducted on humans other than bioavailability studies.) If **the application contains clinical investigations only by virtue of a right of reference to clinical investigations in another application**, answer "yes," then **skip to question 3(a)**. If the answer to 3(a) is "yes" for any investigation referred to in another application, do not complete remainder of summary for that investigation.

YES  NO

IF "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8.

2. A clinical investigation is "essential to the approval" if the Agency could not have approved the application or supplement without relying on that investigation. Thus, the investigation is not essential to the approval if 1) no clinical investigation is necessary to support the supplement or application in light of previously approved applications (i.e., information other than clinical trials, such as bioavailability data, would be sufficient to provide a basis for approval as an ANDA or 505(b)(2) application because of what is already known about a previously approved product), or 2) there are published reports of studies (other than those conducted or sponsored by the applicant) or other publicly available data that independently would have been sufficient to support approval of the application, without reference to the clinical investigation submitted in the application.

(a) In light of previously approved applications, is a clinical investigation (either conducted by the applicant or available from some other source, including the published literature) necessary to support approval of the application or supplement?

YES  NO

If "no," state the basis for your conclusion that a clinical trial is not necessary for approval AND GO DIRECTLY TO SIGNATURE BLOCK ON PAGE 8:

(b) Did the applicant submit a list of published studies relevant to the safety and effectiveness of this drug product and a statement that the publicly available data would not independently support approval of the application?

YES  NO

(1) If the answer to 2(b) is "yes," do you personally know of any reason to disagree with the applicant's conclusion? If not applicable, answer NO.

YES  NO

If yes, explain:

(2) If the answer to 2(b) is "no," are you aware of published studies not conducted or sponsored by the applicant or other publicly available data that could independently demonstrate the safety and effectiveness of this drug product?

YES  NO

If yes, explain:

(c) If the answers to (b)(1) and (b)(2) were both "no," identify the clinical investigations submitted in the application that are essential to the approval:

- #1: PSY-3003
- #2: PSY-3004
- #3: SCH-201
- #4: 3007
- #5: 3001

Studies comparing two products with the same ingredient(s) are considered to be bioavailability studies for the purpose of this section.

3. In addition to being essential, investigations must be "new" to support exclusivity. The agency interprets "new clinical investigation" to mean an investigation that 1) has not been relied on by the agency to demonstrate the effectiveness of a previously approved drug for any indication and 2) does not duplicate the results of another investigation that was relied on by the agency to demonstrate the effectiveness of a previously approved drug product, i.e., does not redemonstrate something the agency considers to have been demonstrated in an already approved application.

a) For each investigation identified as "essential to the approval," has the investigation been relied on by the agency to demonstrate the effectiveness of a previously approved drug product? (If the investigation was relied on only to support the safety of a previously approved drug, answer "no.")

Investigation #1	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #2	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #3	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #4	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #5	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>

If you have answered "yes" for one or more investigations, identify each such investigation and the NDA in which each was relied upon:

b) For each investigation identified as "essential to the approval", does the investigation duplicate the results of another investigation that was relied on by the agency to support the effectiveness of a previously approved drug product?

Investigation #1	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #2	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #3	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #4	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Investigation #5	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>

If you have answered "yes" for one or more investigation, identify the NDA in which a similar investigation was relied on:

c) If the answers to 3(a) and 3(b) are no, identify each "new" investigation in the application or supplement that is essential to the approval (i.e., the investigations listed in #2(c), less any that are not "new"):

4. To be eligible for exclusivity, a new investigation that is essential to approval must also have been conducted or sponsored by the applicant. An investigation was "conducted or sponsored by" the applicant if, before or during the conduct of the investigation, 1) the applicant was the sponsor of the IND named in the form FDA 1571 filed with the Agency, or 2) the applicant (or its predecessor in interest) provided substantial support for the study. Ordinarily, substantial support will mean providing 50 percent or more of the cost of the study.

a) For each investigation identified in response to question 3(c): if the investigation was carried out under an IND, was the applicant identified on the FDA 1571 as the sponsor?

Investigation #1

IND # 67,356                      YES                       NO

Investigation #2

IND # 67,356                      YES                       NO

Investigation #3

IND # 67,356                      YES                       NO

Investigation #4

IND # 67,356                      YES                       NO

Investigation #5

IND # 67,356                      YES                       NO

(b) For each investigation not carried out under an IND or for which the applicant was not identified as the sponsor, did the applicant certify that it or the applicant's predecessor in interest provided substantial support for the study?

XX Not Applicable

(c) Notwithstanding an answer of "yes" to (a) or (b), are there other reasons to believe that the applicant should not be credited with having "conducted or sponsored" the study? (Purchased studies may not be used as the basis for exclusivity. However, if all rights to the drug are purchased (not just studies on the drug), the applicant may be considered to have sponsored or conducted the studies sponsored or conducted by its predecessor in interest.)

YES  NO

If yes, explain: Not Applicable

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Name of person completing form:

Title: Kimberly Updegraff, M.S.

Date: August 3, 2009

Name of Office/Division Director signing form: Thomas P. Laughren, M.D.

Title: Director, Division of Psychiatry Products

Form OGD-011347; Revised 05/10/2004; formatted 2/15/05

Linked Applications	Submission Type/Number	Sponsor Name	Drug Name / Subject
NDA 22264	ORIG 1		PALIPERIDONE PALMITATE 1 MONTH INJECTION
NDA 22264	ORIG 1		PALIPERIDONE PALMITATE 1 MONTH INJECTION

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**This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.**

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/s/

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KIMBERLY S UPDEGRAFF  
08/06/2009

MITCHELL V Mathis  
08/06/2009  
For Dr. Laughren

**PEDIATRIC PAGE**  
**(Complete for all filed original applications and efficacy supplements)**

NDA/BLA#: 22-264 Supplement Number: \_\_\_\_\_ NDA Supplement Type (e.g. SE5): \_\_\_\_\_

Division Name: DPP HFD-130 PDUFA Goal Date: 08/03/09 Stamp Date: 2/3/2009

Proprietary Name: Invega Sustenna

Established/Generic Name: paliperidone palmitate (b) (4)

Dosage Form: IM injection

Applicant/Sponsor: J&J PR&D

Indication(s) previously approved (please complete this question for supplements and Type 6 NDAs only):

- (1) \_\_\_\_\_  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_  
(4) \_\_\_\_\_

Pediatric use for each pediatric subpopulation must be addressed for each indication covered by current application under review. A Pediatric Page must be completed for each indication.

Number of indications for this pending application(s): 1  
(Attach a completed Pediatric Page for each indication in current application.)

**Indication:** Treatment of Schizophrenia

**Q1:** Is this application in response to a PREA PMR? Yes  Continue  
No  Please proceed to Question 2.

If Yes, NDA/BLA#: \_\_\_\_\_ Supplement #: \_\_\_\_\_ PMR #: \_\_\_\_\_

Does the division agree that this is a complete response to the PMR?

- Yes. Please proceed to Section D.  
 No. Please proceed to Question 2 and complete the Pediatric Page, as applicable.

**Q2:** Does this application provide for (If yes, please check all categories that apply and proceed to the next question):

(a) NEW  active ingredient(s) (includes new combination);  indication(s);  dosage form;  dosing regimen; or  route of administration?\*

(b)  No. PREA does not apply. **Skip to signature block.**

\* **Note for CDER: SE5, SE6, and SE7 submissions may also trigger PREA.**

**Q3:** Does this indication have orphan designation?

- Yes. PREA does not apply. **Skip to signature block.**  
 No. Please proceed to the next question.

**Q4:** Is there a full waiver for all pediatric age groups for this indication (check one)?

- Yes: (Complete Section A.)  
 No: Please check all that apply:  
 Partial Waiver for selected pediatric subpopulations (Complete Sections B)  
 Deferred for some or all pediatric subpopulations (Complete Sections C)  
 Completed for some or all pediatric subpopulations (Complete Sections D)  
 Appropriately Labeled for some or all pediatric subpopulations (Complete Sections E)  
 Extrapolation in One or More Pediatric Age Groups (Complete Section F)

(Please note that Section F may be used alone or in addition to Sections C, D, and/or E.)

**Section A: Fully Waived Studies (for all pediatric age groups)**

Reason(s) for full waiver: **(check, and attach a brief justification for the reason(s) selected)**

- Necessary studies would be impossible or highly impracticable because:
  - Disease/condition does not exist in children
  - Too few children with disease/condition to study
  - Other (e.g., patients geographically dispersed): \_\_\_\_\_
- Product does not represent a meaningful therapeutic benefit over existing therapies for pediatric patients AND is not likely to be used in a substantial number of pediatric patients.
- Evidence strongly suggests that product would be unsafe in all pediatric subpopulations (*Note: if studies are fully waived on this ground, this information must be included in the labeling.*)
- Evidence strongly suggests that product would be ineffective in all pediatric subpopulations (*Note: if studies are fully waived on this ground, this information must be included in the labeling.*)
- Evidence strongly suggests that product would be ineffective and unsafe in all pediatric subpopulations (*Note: if studies are fully waived on this ground, this information must be included in the labeling.*)
- Justification attached.

*If studies are fully waived, then pediatric information is complete for this indication. If there is another indication, please complete another Pediatric Page for each indication. Otherwise, this Pediatric Page is complete and should be signed.*

**Section B: Partially Waived Studies (for selected pediatric subpopulations)**

Check subpopulation(s) and reason for which studies are being partially waived (fill in applicable criteria below):

*Note: If Neonate includes premature infants, list minimum and maximum age in "gestational age" (in weeks).*

			Reason (see below for further detail):				
	minimum	maximum	Not feasible <sup>#</sup>	Not meaningful therapeutic benefit*	Ineffective or unsafe <sup>†</sup>	Formulation failed <sup>Δ</sup>	
<input checked="" type="checkbox"/>	Neonate	__ wk. __ mo.	0 wk. 1 mo.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	Other	0 yr. 1 mo.	11 yr. 11 mo.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Are the indicated age ranges (above) based on weight (kg)?  No;  Yes.

Are the indicated age ranges (above) based on Tanner Stage?  No;  Yes.

Reason(s) for partial waiver **(check reason corresponding to the category checked above, and attach a brief justification)**:

**#** Not feasible:

- Necessary studies would be impossible or highly impracticable because:
  - Disease/condition does not exist in children
  - Too few children with disease/condition to study
  - Other (e.g., patients geographically dispersed): \_\_\_\_\_

**\*** Not meaningful therapeutic benefit:

- Product does not represent a meaningful therapeutic benefit over existing therapies for pediatric

patients in this/these pediatric subpopulation(s) AND is not likely to be used in a substantial number of pediatric patients in this/these pediatric subpopulation(s).

† Ineffective or unsafe:

- Evidence strongly suggests that product would be unsafe in all pediatric subpopulations (*Note: if studies are partially waived on this ground, this information must be included in the labeling.*)
- Evidence strongly suggests that product would be ineffective in all pediatric subpopulations (*Note: if studies are partially waived on this ground, this information must be included in the labeling.*)
- Evidence strongly suggests that product would be ineffective and unsafe in all pediatric subpopulations (*Note: if studies are partially waived on this ground, this information must be included in the labeling.*)

Δ Formulation failed:

- Applicant can demonstrate that reasonable attempts to produce a pediatric formulation necessary for this/these pediatric subpopulation(s) have failed. (*Note: A partial waiver on this ground may only cover the pediatric subpopulation(s) requiring that formulation. An applicant seeking a partial waiver on this ground must submit documentation detailing why a pediatric formulation cannot be developed. This submission will be posted on FDA's website if waiver is granted.*)

Justification attached.

*For those pediatric subpopulations for which studies have not been waived, there must be (1) corresponding study plans that have been deferred (if so, proceed to Sections C and complete the PeRC Pediatric Plan Template); (2) submitted studies that have been completed (if so, proceed to Section D and complete the PeRC Pediatric Assessment form); (3) additional studies in other age groups that are not needed because the drug is appropriately labeled in one or more pediatric subpopulations (if so, proceed to Section E); and/or (4) additional studies in other age groups that are not needed because efficacy is being extrapolated (if so, proceed to Section F). Note that more than one of these options may apply for this indication to cover all of the pediatric subpopulations.*

**Section C: Deferred Studies (for selected pediatric subpopulations).**

Check pediatric subpopulation(s) for which pediatric studies are being deferred (and fill in applicable reason below):

Deferrals (for each or all age groups):				Reason for Deferral			Applicant Certification †
Population		minimum	maximum	Ready for Approval in Adults	Need Additional Adult Safety or Efficacy Data	Other Appropriate Reason (specify below)*	Received
<input type="checkbox"/>	Neonate	__ wk. __ mo.	__ wk. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	Other	<u>12</u> yr. <u>0</u> mo.	<u>17</u> yr. <u>11</u> mo.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	All Pediatric Populations	0 yr. 0 mo.	16 yr. 11 mo.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Date studies are due (mm/dd/yy): _____							

Are the indicated age ranges (above) based on weight (kg)?  No;  Yes.

Are the indicated age ranges (above) based on Tanner Stage?  No;  Yes.

\* Other Reason: \_\_\_\_\_

† Note: Studies may only be deferred if an applicant submits a certification of grounds for deferring the studies, a description of the planned or ongoing studies, evidence that the studies are being conducted or will be conducted with due diligence and at the earliest possible time, and a timeline for the completion of the studies. If studies are deferred, on an annual basis applicant must submit information detailing the progress made in conducting the studies or, if no progress has been made, evidence and documentation that such studies will be conducted with due diligence and at the earliest possible time. This requirement should be communicated to the applicant in an appropriate manner (e.g., in an approval letter that specifies a required study as a post-marketing commitment.)

If all of the pediatric subpopulations have been covered through partial waivers and deferrals, Pediatric Page is complete and should be signed. If not, complete the rest of the Pediatric Page as applicable.

**Section D: Completed Studies (for some or all pediatric subpopulations).**

Pediatric subpopulation(s) in which studies have been completed (check below):					
Population		minimum	maximum	PeRC Pediatric Assessment form attached?.	
<input type="checkbox"/>	Neonate	__ wk. __ mo.	__ wk. __ mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<input type="checkbox"/>	All Pediatric Subpopulations	0 yr. 0 mo.	16 yr. 11 mo.	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Are the indicated age ranges (above) based on weight (kg)?  No;  Yes.

Are the indicated age ranges (above) based on Tanner Stage?  No;  Yes.

*Note: If there are no further pediatric subpopulations to cover based on partial waivers, deferrals and/or completed studies, Pediatric Page is complete and should be signed. If not, complete the rest of the Pediatric Page as applicable.*

**Section E: Drug Appropriately Labeled (for some or all pediatric subpopulations):**

Additional pediatric studies are not necessary in the following pediatric subpopulation(s) because product is appropriately labeled for the indication being reviewed:					
Population		minimum	maximum		
<input type="checkbox"/>	Neonate	__ wk. __ mo.	__ wk. __ mo.		
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.		
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.		
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.		
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.		
<input type="checkbox"/>	All Pediatric Subpopulations	0 yr. 0 mo.	16 yr. 11 mo.		

Are the indicated age ranges (above) based on weight (kg)?  No;  Yes.

Are the indicated age ranges (above) based on Tanner Stage?  No;  Yes.

*If all pediatric subpopulations have been covered based on partial waivers, deferrals, completed studies, and/or existing appropriate labeling, this Pediatric Page is complete and should be signed. If not, complete the rest of the Pediatric Page as applicable.*

**Section F: Extrapolation from Other Adult and/or Pediatric Studies (for deferred and/or completed studies)**

*Note: Pediatric efficacy can be extrapolated from adequate and well-controlled studies in adults and/or other pediatric subpopulations if (and only if) (1) the course of the disease/condition AND (2) the effects of the product are sufficiently similar between the reference population and the pediatric subpopulation for which information will be extrapolated. Extrapolation of efficacy from studies in adults and/or other children usually requires supplementation with other information obtained from the target pediatric subpopulation, such as*

**IF THERE ARE QUESTIONS, PLEASE CONTACT THE CDER PMHS VIA EMAIL ([cderpmhs@fda.hhs.gov](mailto:cderpmhs@fda.hhs.gov)) OR AT 301-796-0700.**

*pharmacokinetic and safety studies. Under the statute, safety cannot be extrapolated.*

Pediatric studies are not necessary in the following pediatric subpopulation(s) because efficacy can be extrapolated from adequate and well-controlled studies in adults and/or other pediatric subpopulations:					
Population		minimum	maximum	Extrapolated from:	
				Adult Studies?	Other Pediatric Studies?
<input type="checkbox"/>	Neonate	__ wk. __ mo.	__ wk. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Other	__ yr. __ mo.	__ yr. __ mo.	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	All Pediatric Subpopulations	0 yr. 0 mo.	16 yr. 11 mo.	<input type="checkbox"/>	<input type="checkbox"/>

Are the indicated age ranges (above) based on weight (kg)?  No;  Yes.

Are the indicated age ranges (above) based on Tanner Stage?  No;  Yes.

*Note: If extrapolating data from either adult or pediatric studies, a description of the scientific data supporting the extrapolation must be included in any pertinent reviews for the application.*

*If there are additional indications, please complete the attachment for each one of those indications. Otherwise, this Pediatric Page is complete and should be signed and entered into DFS or DARRTS as appropriate after clearance by PeRC.*

This page was completed by:

*{See appended electronic signature page}*

\_\_\_\_\_  
Regulatory Project Manager

(Revised: 6/2008)

**NOTE: If you have no other indications for this application, you may delete the attachments from this document.**

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**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
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/s/

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Kimberly Updegraff  
7/14/2009 01:48:21 PM