

**CENTER FOR DRUG EVALUATION AND  
RESEARCH**

*APPLICATION NUMBER:*  
**200534Orig1s000**

**CHEMISTRY REVIEW(S)**

# Oxycodone Hydrochloride Capsules

## NDA 200534

### Summary of the Basis for the Recommended Action from Chemistry, Manufacturing, and Controls

- Applicant:** Lehigh Valley Technologies, Inc.  
514 North 12th Street, Allentown, PA 18102
- Indication:** For management of moderate to severe pain where the use of an opioid analgesic is appropriate.
- Presentations:** The capsules are packaged in 75 cc round white HDPE bottles (100 counts) and capped with child resistant closures with heart induction inner seal. (b) (4)
- EER Status:** Acceptable as of Jan 7, 2010
- Consults:** EA – Granted  
**Methods Validation** – Revalidation by Agency will not be requested since the methods listed are standard.  
**Pharmacology/Toxicology** – Acceptable.  
**Biopharmaceutics** – Acceptable, with PMC  
**Quality Microbiology** – Acceptable

**Original Submission:** 22-Dec-2009

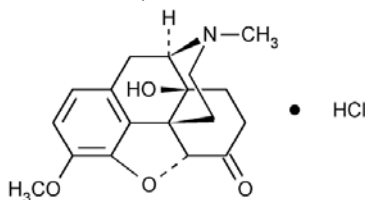
#### Post-Approval CMC Commitments:

The sponsor has committed to submit a prior approval supplement by Oct. 31, 2011, with improved and validated dissolution specifications (method and acceptance criteria).

(b) (4)

**Drug Substance:** Oxycodone hydrochloride drug substance is a white crystalline powder, soluble in water and slightly soluble in alcohols. It is derived from the opioid alkaloid, thebaine and it is manufactured by (b) (4)

(b) (4). Chemically, oxycodone hydrochloride is (5*R*,9*R*,13*S*,14*S*)-4,5α-epoxy-14-hydroxy-3-methoxy-17-methylmorphinan-6-one, hydrochloride (salt) with a molecular mass of 351.82. (C<sub>18</sub>H<sub>21</sub>NO<sub>4</sub> · HCl)



The manufacturing and controls are referenced to DMF (b) (4) held by (b) (4). The drug substance for the related NDA 200-535 (Oxycodone Hydrochloride Oral Solution, (b) (4) 20 mg/mL, review pending) is sourced from a different manufacturer, (b) (4).

The initial drug substance used in formulation development, clinical and part of stability batches was supported by the (b) (4) DMF (b) (4). This drug substance had an interim specification of (b) (4)

Based on the submitted stability data, the drug substance manufactured with the revised process is comparable in quality and strength to the drug substance used during development, with the exception of the improved impurity profile.

The drug substance specifications has acceptable controls for Description, Identification, Assay, Specific Rotation, Residue on Ignition, Related Substances, Chloride content, Residual Solvents, (b) (4), Crystalline Form (b) (4), and Particle size distribution. Note that the sponsor has provided adequate justification for deletion of microbial testing in the drug substance but is however controlled in the drug product.

The container closure is stored in tightly sealed (b) (4) bags (b) (4). The (b) (4) are secured under controlled conditions required for a DEA Schedule II narcotic drug substance. A retest period of (b) (4) is established by (b) (4) but LVT tests the drug substance annually.

**Conclusion:** The drug substance is satisfactory.

#### **Drug Product:**

Oxycodone Hydrochloride Capsules, 5 mg, are formulated as Size #4 hard gelatin capsules: opaque yellow cap (imprinted with LV) and a opaque white body (imprinted with 901); and contain a powdered blend of oxycodone HCl and several standard excipients: microcrystalline cellulose, NF, lactose anhydrous, NF, pre-gelatinized starch, NF, sodium starch glycolate, NF, colloidal silicon dioxide, NF, magnesium stearate, NF, and sodium lauryl sulfate, NF. Each hard gelatin capsule contains 5 mg of oxycodone HCl, and has a (b) (4). The applicant, Lehigh Valley Technologies, Allentown, PA, is the manufacturer of the capsules and also performs the release and stability testing of the drug product. (b) (4) is an alternate packager for the drug product. The manufacturing scale used for the registration batches was (b) (4); the applicant has (b) (4) for the commercial manufacturing.

Multiple formulation changes were implemented during development of the capsule drug product, including substantial changes in composition (different excipients and proportions), and different purity drug substance used in biobatches in comparison to the to-be-marketed product. The manufacturing process is (b) (4).

The drug product is packaged in 100 count 75 cc HDPE bottles with a 33 mm child resistant closure and heat induction seal. The capsules are obtained from (b) (4) and the gelatin complies with the 1997 FDA BSE guidance.

The proposed specifications for the drug product include Description, Identification, Dissolution, Uniformity of Dosage Units, Assay, related Substances, Heavy Metals, Microbial Limits, Container Closure Integrity and (b) (4).

**Since the dissolution method was not validated as per ICH requirements, the applicant has provided a commitment to accept the current dissolutions specifications as interim and provide a validated dissolution method by Oct. 31, 2011.** (b) (4)

(b) (4) oxycodone hydrochloride (oral solution, (b) (4) 20 mg/mL) are subject of a pending NDA 200-535 (Lehigh Valley Technologies).

**Conclusion:** The drug product is acceptable.

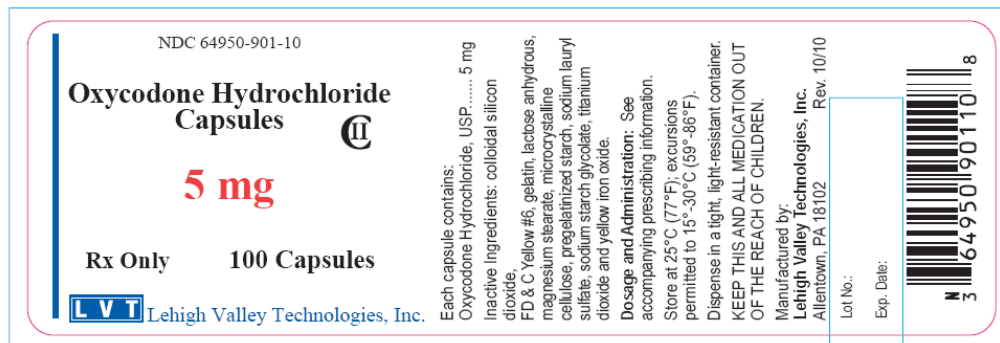
**Additional Items:**

Drug Master Files are acceptable or the pertinent information has been adequately provided in the application.

Method validation will not be requested since all methods are standard.

**Overall Conclusion:**

From a CMC perspective, the application is recommended for **approval**.



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/s/

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PRASAD PERI

10/18/2010

Recommend approval from CMC

**NDA 200-534**

**Oxycodone Hydrochloride (oxycodone hydrochloride, USP)  
Capsules, 5 mg**

**Lehigh Valley Technologies, Inc.**

**Eugenia M. Nashed, Ph.D.  
Office of New Drug Quality Assessment, Division I**

**Division of Anesthesia and Analgesia Products**

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# Chemistry Review Data Sheet

1. NDA 200-534
2. REVIEW #: 1
3. REVIEW DATE: 7-Oct-2010
4. REVIEWER: Eugenia M. Nashed
5. PREVIOUS DOCUMENTS:

Previous Documents

Document Date

None

6. SUBMISSION(S) BEING REVIEWED:

Submission(s) Reviewed	Document Date	Stamp Date	Comments
Original NDA	22-Dec-2009	22-Dec-2009	2 CMC filing safety comments were sent on Feb 18, 2010, and 6 additional CMC comments forwarded in the 74 day letter on Mar 5, 2010.
Amendment	23-Feb-2010	23-Feb-2010	Response to CMC filing safety comments (LOAs to supporting DMFs and BSE/TSE statement for gelatin capsules).
Amendment	03-Mar-2010	03-Mar-2010	Change in the reference listed drug (RLD) – (b) (4)
Amendment	30-Mar-2010	30-Mar-2010	Partial response to 6 CMC comments forwarded on Mar 5, 2010 (74 day letter).
Amendment	05-Apr-2010	05-Apr-2010	Additional response/data to 6 CMC comments requested on Mar 5, 2010 (74 day letter).
Amendment	16-Aug-2010	16-Aug-2010	Partial response to deficiencies discussed during teleconference on Jul 12, 2010, and IR letter dated Jul 22, 2010.
Amendment	19-Aug-2010	20-Aug-2010	Additional response/data to deficiencies discussed during teleconference on Jul 12, 2010, and IR letter dated Jul 22, 2010.
Amendment	02-Sep-2010	02-Sep-2010	Updated Labeling
Amendment	28-Sep-2010	29-Sep-2010	Response to CMC comments discussed during teleconference on Sep 23, 2010, and forwarded in IR letter dated Sep 23, 2010.
Amendment	01-Oct-2010	04-Oct-2010	Additional response to CMC comments discussed during teleconference on Sep 23, 2010, and forwarded in IR letter dated Sep 23, 2010.

7. NAME & ADDRESS OF APPLICANT:

## Chemistry Review Data Sheet

Name: Lehigh Valley Technologies, Inc.

Address: 514 North 12<sup>th</sup> Street, Philadelphia, PA 18102

Representative: Catherine Clark, Director, U.S. Regulatory Affairs

Telephone: (610) 782-9780 Fax: (610) 782-9781

**8. DRUG PRODUCT NAME/CODE/TYPE:**

- a) Proprietary Name: None
- b) Non-Proprietary Name (USAN): Oxycodone Hydrochloride Capsules
- c) Code Name/# (ONDC only):
- d) Chem. Type/Submission Priority (ONDC only):
  - Chem. Type: 2
  - Submission Priority: S

**9. LEGAL BASIS FOR SUBMISSION: 505 (b)(2)**

10. PHARMACOL. CATEGORY: Management of moderate to severe pain

11. DOSAGE FORM: Oral Hard Gelatin Capsules

12. STRENGTH/POTENCY: 5 mg/capsule

13. ROUTE OF ADMINISTRATION: Oral

14. Rx/OTC DISPENSED:  Rx (Schedule II)  OTC

15. [SPOTS \(SPECIAL PRODUCTS ON-LINE TRACKING SYSTEM\)](#):

SPOTS product – Form Completed

Not a SPOTS product

16. CHEMICAL NAME, STRUCTURAL FORMULA, MOLECULAR FORMULA, MOLECULAR WEIGHT:

**17. RELATED/SUPPORTING DOCUMENTS:****A. Supporting DMFs:**

Chemistry Review Data Sheet

DMF #	TYPE	HOLDER	ITEM REFERENCED	CODE <sup>1</sup>	STATUS <sup>2</sup>	DATE REVIEW COMPLETED	COMMENTS <sup>3</sup>
(b) (4)	II		(b) (4)	1	Adequate	09/29/10	Review of response to Deficiency Letter dated Jun 6, 2010
	IV			1 4	Adequate	07/27/04 02/23/10	The gelatin conforms to the 1997 FDA BSE Guidance as interpreted by the current ONDQA policy
	V			4	N/A		Meets Federal Regulations under 21 CFR, 174-186
	V			4	N/A		Meets Federal Regulations under 21 CFR, 174-186
	V			4	N/A		Meets Federal Regulations under 21 CFR, 174-186
	V			4	N/A		Meets Federal Regulations under 21 CFR, 174-186

<sup>1</sup> Action codes for DMF Table:

1 – DMF Reviewed.

Other codes indicate why the DMF was not reviewed, as follows:

2 –Type 1 DMF

3 – Reviewed previously and no revision since last review

4 – Sufficient information in application

5 – Authority to reference not granted

6 – DMF not available

7 – Other (explain under "Comments")

<sup>2</sup> Adequate, Inadequate, or N/A (There is enough data in the application, therefore the DMF did not need to be reviewed)

<sup>3</sup> Include reference to location in most recent CMC review

**B. Other Supporting Documents:**

Doc #	OWNER	ITEM REFERENCED	STATUS	DATE REVIEW COMPLETED	COMMENTS
NDA 21-011		Roxicodone (oxycodone hydrochloride) Tablets	Approved		On the market, the same indication.

**C. Related Documents:**

DOCUMENT	APPLICATION NUMBER	OWNER	DESCRIPTION/COMMENT
NDA	200-535	Lehigh Valley Technologies, Inc.	Oxycodone Hydrochloride (oxycodone hydrochloride, USP) Oral Solution, (b) (4) 20 mg/mL – Review pending

**18. CONSULTS/CMC-RELATED REVIEWS:**

CONSULTS	SUBJECT	DATE FORWAR'D	STATUS/ REVIEWER	COMMENTS
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## Chemistry Review Data Sheet

EES	GMP status of the manufacturing and testing facilities	Dec 2009	Acceptable 01/07/2010 M. Stock (HFD-320)	Acceptable, based on the profile
Pharm/Tox	Safety of impurities	Mar 2010	Acceptable Sep 17, 2010; Carlic Huynh	Proposed limits for (b) (4) are acceptable, other impurities are within the ICH recommendation limits.
ONDQA Biopharm. Team	Proposed Dissolution method and acceptance criteria	May 2010	Approvable Aug 29, 2010 Minerva Hughes  Acceptable Oct 6, 2010	Method and Specifications for Dissolution are Deficient.  Interim method and acceptance criteria are acceptable based on the agreement to provide revised validated dissolution method and data-based acceptance criteria in PA supplement.
DDMAC	Labeling		Pending	
EA				Waiver requested and granted; drug product already on the market
Microbiology	Microbial limits specifications	Sep 14, 2010	Acceptable Oct 4, 2010 Robert Mello	Acceptable based on amendments dated 9/28/10, 10/1/10 and 10/7/10.

# The Chemistry Review for NDA 200-534

## The Executive Summary

### I. Recommendations

#### A. Recommendation and Conclusion on Approvability

The application is recommended for **approval** from the CMC perspective, based on adequate quality information and data submitted to the NDA.

The overall EER status for this NDA is acceptable (AC) as of Jan 7, 2010. The supporting DMFs have adequate status as of Sep 29, 2010.

An agreement was provided by the applicant to revise the dissolution method and specifications in a post-approval supplement (Amendments dated Sep 28, and Oct 1, 2010) – refer to section I.B., below in this review.

The acceptable safety of the drug substance and drug product impurities is addressed in the PharmTox reviews dated Sep 17, 2010, based on the CMC consult – for summary, refer to section II.A, below.

#### B. Recommendation on Phase 4 (Post-Marketing) Commitments, Agreements, and/or Risk Management Steps, if Approvable

##### Agreements

We acknowledge the interim acceptance criteria established for testing of drug product dissolution and remind you of the agreement to submit a prior-approval supplement by Oct 31, 2011, with improved and validated dissolution method and adequate, data-reflecting regulatory specifications for drug product dissolution, as outlined in the following agreement.

1. You agree to submit drug product dissolution method based on unit sampling that is sufficiently discriminating to assure product quality control. A full dissolution method development and validation report, in accordance with applicable ICH, FDA and USP guidelines should be submitted, in addition to the dissolution data. We would like to remind you that the dissolution method is a two part process comprised of the dissolution step and the determinative step. Your HPLC method for assaying dissolution samples should be appropriately validated. All supporting validation data (specificity, accuracy, linearity, range, method repeatability, intermediate precision, etc.) should be clearly presented in the dissolution method development report. Please note that the development of an in-process disintegration test does not preclude the requirement for an acceptable dissolution method for product release. The proposed dissolution tolerances should be based on data and sufficiently justified.

## Executive Summary Section

The currently approved expiry period for drug product is 24 months, (b) (4). Due to the interim method, specifications and limited data available for the drug product dissolution, any extension of drug product expiry period beyond 24 months may be accomplished only *via* a prior-approval supplement with adequate supporting data.

## II. Summary of Chemistry Assessments

### A. Description of the Drug Substance(s) and Drug Product(s)

The oxycodone hydrochloride drug substance is a white crystalline powder, soluble in water and slightly soluble in alcohols. It is derived from the opioid alkaloid, thebaine and it is manufactured by (b) (4). The manufacturing and controls are supported by DMF (b) (4), which has an acceptable status. The drug substance for the related NDA 200-535 (Oxycodone Hydrochloride Oral Solution, (b) (4) 20 mg/mL, review pending) is sourced from a different manufacturer, (b) (4).

The initial drug substance used in formulation development, clinical and part of stability batches was supported by the (b) (4) DMF (b) (4). This drug substance had an interim specification of (b) (4).

(b) (4). Based on the submitted stability data, the drug substance manufactured with the revised process is comparable in quality and strength to the drug substance used during development, with the exception of the improved impurity profile.

The drug substance controls were revised several times during the course of this review, and the last version of drug substance specifications submitted in amendment dated Oct 1, 2010 (see copy reproduced in section S.4.1 of this review), has acceptable controls for identification, assay, impurities (acceptable based on PT review dated Sep 17, 2010), (b) (4), one crystalline form ( (b) (4) ), and particle size distribution.

Oxycodone Hydrochloride Capsules, 5 mg, are formulated as Size #4, yellow and white hard gelatin capsules which contain a powdered blend of oxycodone HCl and several standard excipients: microcrystalline cellulose, NF, lactose anhydrous, NF, pre-gelatinized starch, NF, sodium starch glycolate, NF, colloidal silicon dioxide, NF, magnesium stearate, NF, and sodium lauryl sulfate, NF. Each hard gelatin capsule contains 5 mg of oxycodone HCl, and has a (b) (4). The applicant, Lehigh Valley Technologies, is the manufacturer of the capsules and also performs the release and stability testing of the drug product. (b) (4) oxycodone hydrochloride (oral solution, (b) (4) 20 mg/mL) are subject of the pending NDA 200-535 (Lehigh Valley Technologies).

Multiple formulation changes were implemented during development of the capsule drug product, including substantial changes in composition (different excipients and proportions),

## Executive Summary Section

and different purity drug substance used in biobatches in comparison to the to-be-marketed product. Drug product controls were revised several times during the course of NDA review (five IR letters and two teleconferences with the applicant) and need additional adjustments in the future. These revisions are for drug product dissolution specifications (method, method validation and acceptance criteria), and are specified in the agreement listed in section I.B. of this review. These are based on the recommendation from the ONDQA Biopharm review team (refer to review dated Oct 13, 2010).

The currently proposed expiry period of 24 months is supported by the submitted stability data (revised data in submission dated Oct 1, 2010), however any further extension of the expiry period has to be implemented *via* a prior-approval (PA) supplement due to the currently limited data, specifications and method for control of drug product dissolution, which will be revised by the applicant post-approval.

**B. Description of How the Drug Product is Intended to be Used**

The proposed drug product is an immediate-release oral gelatin capsule containing 5 mg of oxycodone hydrochloride in a (b) (4) of ingredients. It is used for management of moderate to severe pain where the use of an opioid analgesic is appropriate. (b) (4)  
oxycodone hydrochloride are subject of the pending NDA 200-535.

**C. Basis for Approvability or Not-Approval Recommendation**

The original NDA application lacked adequate data and information to assure safety and quality controls for the drug product. Four IR letters (Feb 18, Mar 5, Jul 22, and Sep 23, 2010) with CMC comments were forwarded to the applicant, and the major deficiencies (dissolution, impurities, microbial limits, drug substance particle size distribution, and stability data) were discussed during teleconferences on Jul 12, and Sep 23, 2010. The last version of drug substance and drug product specifications submitted on Oct 1, 2010, is acceptable for the approval recommendation from the CMC perspective, with an agreement on post-approval improvements to controls for drug product dissolution (PA supplement), as recommended by the Biopharmaceutics review team (Review dated Oct 13, 2010).

**III. Administrative****A. Reviewer's Signature****B. Endorsement Block**

ChemistName/Date: Same date as draft review  
ChemistryTeamLeaderName/Date  
ProjectManagerName/Date

**C. CC Block**

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/s/

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EUGENIA M NASHED  
10/14/2010

PRASAD PERI  
10/15/2010  
I concur



**INITIAL QUALITY ASSESSMENT**  
**Division of Pre-Marketing Assessment I, Branch II**  
**Office of New Drug Quality Assessment**  
**Division of Anesthesia and Analgesia Products**

OND Division: Anesthesia and Analgesia Products  
NDA: **200-534** and **200-535**  
Applicant: Lehigh Valley Technologies, Inc.  
Stamp date: December 22, 2009 and Feb 23, 2010 Amendment  
PDUFA Date: June 22, 2010  
Trademark: None  
Established Name: Oxycodone HCl  
Dosage Form: Capsules, containing 5 mg oxycodone HCl (NDA 200-534)  
Solution, (b) (4)  
100 mg oxycodone HCl per 5 mL (NDA 200-535)  
Route of Administration: Oral  
Indication: Management of moderate to severe pain  
CMC Reviewer: Eugenia M. Nashed, Ph.D.  
Pharmaceutical Assessment Lead: Danae D. Christodoulou, Ph.D.

	YES	NO
ONDQA Fileability:	<u>√</u>	___
Comments for 74-Day Letter:	<u>√</u>	___

## Summary, Critical Issues and Comments

### A. Summary

Two NDAs are submitted as 505(b)(2) applications for oxycodone hydrochloride (oxycodone HCl), with reference to the approved drugs, Roxicodone<sup>®</sup> IR tablets, NDA 21-011 (Roxane Labs) (b) (4)

(b) (4) Pre-IND meeting for these applications was held on 6 Dec 2007, and pre-NDA meeting correspondence took place on 31 Mar 2009, when the acceptance criteria for (b) (4) (b) (4) impurities and extend of the required stability data were discussed, in addition to other issues.

The proposed drug products are immediate-release formulations containing oxycodone HCl for management of moderate to severe pain where the use of an opioid analgesic is appropriate. The NDA 200-534 is for hard gelatin capsules containing 5 mg of oxycodone HCl per capsule ( (b) (4) ), and NDA 200-535 describes (b) (4) 20 mg/mL (for opioid-tolerant patients) of oxycodone HCl. Both drug products are manufactured by the NDA applicant, Lehigh Valley Technologies, Inc.

The oxycodone HCl drug substance is sourced from (b) (4) for NDA 200-534 (Capsules), and it is sourced from (b) (4) for NDA 200-535 (Oral Solutions). The initial drug substance for capsules which was used for formulation development, clinical and primary stability batches was supported by (b) (4) DMF (b) (4). This drug substance had an interim specification of (b) (4) (b) (4)

NDA 200-534 drug product is formulated as Size #4, yellow and white hard gelatin capsules which contain a powdered blend of oxycodone HCl and several standard excipients, microcrystalline cellulose, NF, lactose anhydrous, NF, pre-gelatinized starch, NF, sodium starch glycolate, NF, colloidal silicon dioxide, NF, magnesium stearate, NF, and sodium lauryl sulfate, NF. Substantial formulation changes are noted during development.

NDA 200-535 drug product is formulated as oral solution containing, in addition to oxycodone HCl, several standard excipients, (b) (4) sorbitol (b) (4), USP, saccharin sodium, USP, citric acid anhydrous, USP, sodium citrate dihydrate, USP, sodium benzoate, NF, natural/artificial berry flavor (b) (4) (DMF (b) (4)), and (b) (4) D&C Yellow #10 colorant (20 mg/mL). Formulation changes are noted during development.

Numerous CMC deficiencies were discussed during the NDA Filing meeting on Feb 18, 2010. A request regarding missing LOAs to several DMFs and lack of statement regarding BSE/TSE safety of the used gelatin was forwarded to the applicant on Feb 18, 2010. The applicant's response received by e-mail on Feb 19, 2010 (hard copy on Feb 23, 2010) is considered sufficient from the CMC perspective to file both NDAs for review. Summary of remaining CMC issues to be addressed during NDA review include additional release and stability attributes, additional stability data, compatibility of the formulations, extractables and leachables data for the container closures containing liquid formulations, acceptance criteria for impurities and PT and Micro consults.

In summary, both NDA applications are Acceptable for Filing from a CMC perspective, based on the data submitted in the original NDA and in Feb 23, 2010, amendment. CMC comments for the 74-day letter are listed in Section D of this review.

## B. Review, Comments and Recommendations

### Drug Substance

The drug substances manufacturing processes and controls are referenced to Drug Master Files as follows:

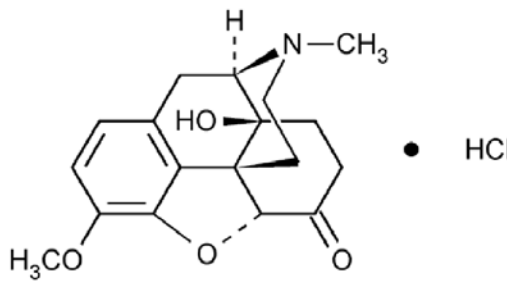
#### Oxycodone HCl

DMF (b) (4), (b) (4) (NDA 200-534 commercial batches only; development and stability batches were sourced from (b) (4) DMF (b) (4))

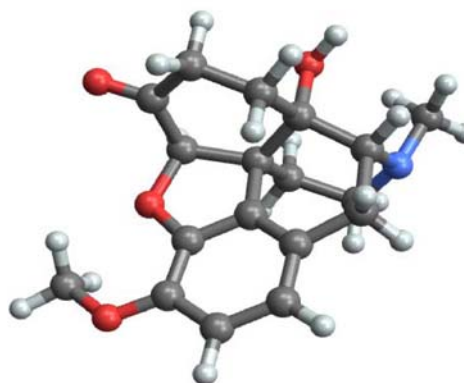
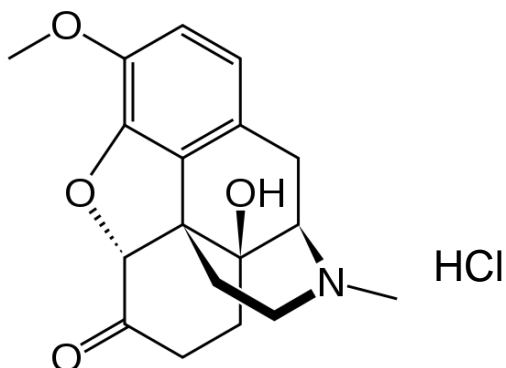
DMF (b) (4), (b) (4) (NDA 200-535)

### Molecular Structure, Chemical Name, Molecular Formula and General Properties

#### Chemical Structure/Properties of Oxycodone Hydrochloride

<b>Chemical Structure</b>	
<b>Molecular Formula</b>	$C_{18}H_{21}NO_4 \cdot HCl$
<b>Relative Molecular Mass</b>	351.82

The molecule contains four chiral centers with the indicated absolute configuration (in parentheses) at C5 (R), C9 (R), C13 (S), and C14 (S). Refer to simplified 3D structure depicted below.



(5*R*,9*R*,13*S*,14*S*)-4,5α-epoxy-14-hydroxy-3-methoxy-17-methylmorphinan-6-one, hydrochloride

### C. Critical issues for review and recommendation

During assessment of the CMC information provided in this NDA, the primary reviewer will review issues identified above and other related ones, summarized here, for their impact on drug product quality and performance throughout the product's life-cycle:

1. The comparability of the drug substance(s) from two different suppliers, DMF (b) (4) (oxycodone HCl, (b) (4)) and DMF (b) (4) (oxycodone HCl, (b) (4)s) will be reviewed and evaluated.
2. Impact of the physical properties of drug substance and adequacy of controls applied to monitor polymorphic form(s) of the drug substances will be assessed during review of both DMFs and NDAs, as any potential polymorphic conversion may influence the solubility and dissolution of the drug substance.
3. The amounts and specifications of compendial excipients in the formulation. Evaluation of the suitability of pharmacopeial specifications of excipients for drug product manufacturability, quality and performance.
4. Formulation changes during development and their impact on the quality and performance of the commercial drug products. Compatibility of the final formulation after review of the requested data.
5. In-process blend uniformity, stratified sampling and in-process content uniformity according to the FDA "Guidance for Industry: Powder Blends and Finished Dosage Units – Stratified In-Process Dosage Unit Sampling and Assessment".
6. The dissolution method will be evaluated for discriminatory ability and robustness, after review of the requested dissolution data.
7. Adequacy of the proposed controls for drug product, after receiving of the requested resubmission of specifications and batch analyses. Acceptability of the proposed limits for identified and unidentified impurities/degradants in the drug product. Proposed acceptance criteria will be assessed in consultation with the Toxicology Division.
8. Review of results for extractables and leachables after receiving the requested data.
9. The proposed expiration dating of 24 months in view of the submitted stability data will be assessed as per ICH Q1E.

## D. Comments for 74-day Letter:

NDA 200-534

1. Resubmit drug substance specifications to include reporting of each impurity occurring at, or above (b) (4), with corresponding RRT or name if known. Tighten the proposed acceptance criteria for 6- $\alpha$ -oxycodol or qualify this impurity as specified in comment above in this letter. Attach, to the specification sheet, complete list of identified impurities with the chemical names and structures.
2. Provide data on compatibility studies for the proposed commercial drug product formulation. Alternatively, provide precise references to the appropriate sections of the US-approved reference drug product(s).
3. Provide detailed description of the dissolution method to include testing apparatus and exact experimental conditions, in addition to a reference to USP chapter <711>. Justify the adequacy of the selected dissolution conditions and provide dissolution profiles obtained during release and stability testing of the commercial formulation of the capsules. Submit adequate data for commercial formulation to support the proposed acceptance criteria for capsule dissolution.
4. Submit revised drug product specifications to include controls for blend uniformity, moisture content, residual solvents, and microbial limits for the drug product. Note that each impurity occurring in the drug product at, or above (b) (4) needs to be reported with RRT value or name if known, and each impurity at, or above (b) (4) needs to be qualified. Refer to the specific comment above in this letter. Attach, to the specification sheet, complete list of identified impurities with chemical names and structures.
5. Resubmit batch analyses data to include testing for all drug product attributes as requested in comment above, including results for individual and total impurities.
6. Provide updated stability data for the commercial drug product formulation to support the requested expiry period. Submit revised stability specifications, as requested for drug product above. Provide data collected according to the revised protocol for each testing interval.

NDA 200-535

1. Resubmit drug substance specifications to include reporting of each impurity occurring at, or above, (b) (4), with corresponding RRT or name if known. Tighten the proposed acceptance criteria for 6- $\alpha$ -oxycodol or qualify this impurity as specified in request number 2 in this letter. . Attach, to the specification sheet, a complete list of identified impurities with the chemical names and structures.
2. Provide data on compatibility studies for the proposed commercial drug product formulations. Alternatively, provide precise references to the appropriate sections of the US-approved reference drug products.

3. Submit revised drug product specifications to include controls for the content of residual solvents and improved controls for impurities. Note that each impurity occurring in the drug product at, or above (b) (4) needs to be reported with RRT value or name if known, and each impurity at, or above (b) (4) needs to be qualified. Refer to request number 2 in this letter. Attach, to the specification sheet, a complete list of identified impurities with the chemical names and structures.
4. Resubmit batch analyses data to include testing for all drug product attributes as requested in comment above, including results for individual and total impurities.
5. Provide (b) (4)
6. Submit data for extractables testing performed on each part of the container closure system (bottle, cap seal (b) (4)) and leachables data for the drug product. Include results from testing for leachables on stability. Provide references to appropriate 21 CFR food contact regulations for the container closure system.

E.

**Recommendation for fileability:** The NDA is recommended for filing from the CMC perspective, based on pre-NDA agreements and submitted NDA data. Data for 3 registration batches for capsules with 9 months of long term storage conditions and 6 months of accelerated storage conditions were submitted along with supportive data (up to 24 months) for older drug product batches. Also, data for 3 registration batches for each solution presentation were provided. Although additional testing attributes need to be added to the pending release and stability testing programs, the NDA is suitable for evaluation and assessment based on the current FDA and ICH guidelines for submitting CMC information for the New Drug Applications. See below, a step by step summary evaluation of the required parameters for the NDA submission.

**Recommendation for Team Review:** The NDA is not recommended for team review, since it is a 505(b)(2) application, the drug substances are not NMEs, the formulation does not include novel excipients and the manufacturing process for the drug product does not present unusual complexity.

### Consults

Specifications for impurities will be evaluated in consultation with the Toxicology reviewer. No statistical consult was deemed necessary. The proposed expiration dating will be evaluated during review after the update for pending stability data is submitted.

**NDA Number: 200-534 and  
200-535**

**Supplement Number and Type:**

**Established/Proper Name:**

**Oxycodone HCl Capsules**

**Oxycodone HCl Solution**

**Applicant: Lehigh Valley  
Technologies, Inc.**

**Letter Date: 12/22/09**

**Stamp Date: 12/22/09**

The following parameters are necessary in order to initiate a full review, i.e., complete enough to review but may have deficiencies. On **initial** overview of the NDA application for filing:

<b>A. GENERAL</b>				
	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
1.	Is the CMC section organized adequately?	X		
2.	Is the CMC section indexed and paginated (including all PDF files) adequately?	X		
3.	Are all the pages in the CMC section legible?	X		
4.	Has all information requested during the IND phase, and at the pre-NDA meetings been included?	X		

<b>B. FACILITIES*</b>				
	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
5.	Is a single, comprehensive list of all involved facilities available in one location in the application?	X		(M3)
6.	For a naturally-derived API only, are the facilities responsible for critical intermediate or crude API manufacturing, or performing upstream steps, specified in the application? If not, has a justification been provided for this omission? <b>This question is not applicable for synthesized API.</b>			NA

7.	<p>Are drug substance manufacturing sites identified on FDA Form 356h or associated continuation sheet? For each site, does the application list:</p> <ul style="list-style-type: none"> <li>• Name of facility,</li> <li>• Full address of facility including street, city, state, country</li> <li>• FEI number for facility (if previously registered with FDA)</li> <li>• Full name and title, telephone, fax number and email for on-site contact person.</li> <li>• Is the manufacturing responsibility and function identified for each facility?, and</li> <li>• DMF number (if applicable)</li> </ul>	X		
8.	<p>Are drug product manufacturing sites are identified on FDA Form 356h or associated continuation sheet. For each site, does the application list:</p> <ul style="list-style-type: none"> <li>• Name of facility,</li> <li>• Full address of facility including street, city, state, country</li> <li>• FEI number for facility (if previously registered with FDA)</li> <li>• Full name and title, telephone, fax number and email for on-site contact person.</li> <li>• Is the manufacturing responsibility and function identified for each facility?, and</li> <li>• DMF number (if applicable)</li> </ul>	X		Clarifications and communications with OC.
9.	<p>Are additional manufacturing, packaging and control/testing laboratory sites are identified on FDA Form 356h or associated continuation sheet. For each site, does the application list:</p> <ul style="list-style-type: none"> <li>• Name of facility,</li> <li>• Full address of facility including street, city, state, country</li> <li>• FEI number for facility (if previously registered with FDA)</li> <li>• Full name and title, telephone, fax number and email for on-site contact person.</li> <li>• Is the manufacturing responsibility and function identified for each facility?, and</li> <li>• DMF number (if applicable)</li> </ul>		X	Clarifications and communications with OC.
10.	<p>Is a statement provided that all facilities are ready for GMP inspection at the time of submission?</p>		X	

\* If any information regarding the facilities is omitted, this should be addressed ASAP with the applicant and can be a *potential* filing issue or a *potential* review issue.

**C. ENVIRONMENTAL ASSESMENT**



	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
11.	Has an environmental assessment report or categorical exclusion been provided?	X		

<b>D. DRUG SUBSTANCE/ACTIVE PHARMACEUTICAL INGREDIENT (DS/API)</b>				
	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
12.	Does the section contain a description of the DS manufacturing process?	X		Referenced to DMF(s) [REDACTED] (b) (4)
13.	Does the section contain identification and controls of critical steps and intermediates of the DS?	X		Referenced to DMF(s) [REDACTED] (b) (4)
14.	Does the section contain information regarding the characterization of the DS?	X		Referenced to DMF(s) [REDACTED] (b) (4)
15.	Does the section contain controls for the DS?	X		Specifications included in the NDA
16.	Has stability data and analysis been provided for the drug substance?			Referenced to DMF(s) [REDACTED] (b) (4)
17.	Does the application contain Quality by Design (QbD) information regarding the DS?		X	
18.	Does the application contain Process Analytical Technology (PAT) information regarding the DS?		X	

<b>E. DRUG PRODUCT (DP)</b>				
	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
19.	Is there a description of manufacturing process and methods for DP production through finishing, including formulation, filling, labeling and packaging?	X		
20.	Does the section contain identification and controls of critical steps and intermediates of the DP, including analytical procedures and method validation reports for assay and related substances if applicable?	X		
21.	Is there a batch production record and a proposed master batch record?	X		
22.	Has an investigational formulations section been provided? Is there adequate linkage between the investigational product and the proposed marketed product?	X		
23.	Have any biowaivers been requested?			
24.	Does the section contain description of to-be-marketed container/closure system and presentations)?	X		
25.	Does the section contain controls of the final drug product?	X		
26.	Has stability data and analysis been provided to support the requested expiration date?	X		
27.	Does the application contain Quality by Design (QbD) information regarding the DP?	X		
28.	Does the application contain Process Analytical Technology (PAT) information regarding the DP?		X	

F. METHODS VALIDATION (MV)				
	Parameter	Yes	No	Comment
29.	Is there a methods validation package?	X		

G. MICROBIOLOGY				
	Parameter	Yes	No	Comment
30.	If appropriate, is a separate microbiological section included assuring sterility of the drug product?		X	NA (Solid Oral Dosage Form) Micro consult for (b) (4) (b) (4) for the solutions

H. MASTER FILES (DMF/MAF)				
	Parameter	Yes	No	Comment
31.	Is information for critical DMF references (i.e., for drug substance and important packaging components for non-solid-oral drug products) complete?	X		Original NDA and Amendment dated Feb 23, 2010

DMF #	TYPE	HOLDER	ITEM REFERENCED	LOA DATE	COMMENTS
(b) (4)	2	(b) (4)	(b) (4)		API
	2				API
	2				Capsule
	2				Container Closure
	3				Container Closure
	3				Container Closure

I. LABELING				
	Parameter	Yes	No	Comment
32.	Has the draft package insert been provided?	X		
33.	Have the immediate container and carton labels been provided?	X		

<b>J. FILING CONCLUSION</b>				
	<b>Parameter</b>	<b>Yes</b>	<b>No</b>	<b>Comment</b>
34.	<b>IS THE PRODUCT QUALITY SECTION OF THE APPLICATION FILEABLE?</b>	X		Based on data submitted in NDA and Feb 23, 2010, Amendment
35.	If the NDA is not fileable from the product quality perspective, state the reasons and provide <b>filing</b> comments to be sent to the Applicant.			Not applicable
36.	Are there any <b>potential review</b> issues to be forwarded to the Applicant for the 74-day letter?	X		Several potential review issues are listed in Section D of the IQA, above

*{See appended electronic signature page}*

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Eugenia Nashed, Ph.D.  
Senior CMC Reviewer  
Division of Pre-Marketing Assessment #1, Branch #2  
Office of New Drug Quality Assessment

02-19-2010

*{See appended electronic signature page}*

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Prasad Peri, Ph.D.  
Branch Chief  
Division of Pre-Marketing Assessment #1, Branch #2  
Office of New Drug Quality Assessment

02-19-2010

Application Type/Number	Submission Type/Number	Submitter Name	Product Name
NDA-200534	ORIG-1	Lehigh Valley Technologies, Inc. 514 N. 12th Street, Allentown, PA 18105	OXYCODONE HCL CAPSULES

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**This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.**

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/s/

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EUGENIA M NASHED  
03/02/2010

PRASAD PERI  
03/02/2010  
I concur