

## 2. Safety and Effectiveness (Summary)

### 2.1 Indications for Use

The S7™ ELITE CPAP System is for the treatment of Obstructive Sleep Apnea (OSA) in adult patients. The optional integrated humidifier (HUMIDAIRE® 2i™) is indicated for the humidification and warming of air from the S7 Elite flow generator device. The S7 ELITE CPAP flow generator and HUMIDAIRE 2i are for home and hospital use.

### 2.2 Brief Device Description

The S7 ELITE CPAP SYSTEM is a non-invasive Continuous Positive Airway Pressure (CPAP) system, including the following system components:

- Flow generator device
- Integrated Humidifier (HUMIDAIRE 2i)
- Mask and air tubing
- Clinical Interface (AutoScan) Software

The flow generator device incorporates a blower (motor/fan assembly), sensors and processing electronics. The blower supplies pressurized air to the patient via the air tubing and a mask.

The S7 ELITE CPAP FLOW GENERATOR has one (1) mode of operation (CPAP fixed-pressure mode). In this mode the flow generator provides a single fixed-pressure as set by the clinician.

AutoScan software allows adjustment of parameter settings and viewing of flow generator-stored treatment data via a PC.

### 2.3 Substantial Equivalence

This submission demonstrates Substantial Equivalence of the S7 ELITE CPAP System (including the integrated humidifier) with the predicate ResMed Sullivan AutoSet CPAP System (K980721)<sup>1</sup> and the predicate ResMed Sullivan HumidAire Heated Humidifier (K971260). (The Sullivan AutoSet CPAP System was cleared for use with the Sullivan HumidAire Heated Humidifier.)

<sup>1</sup> The Sullivan AutoSet CPAP System was subsequently marketed in the USA under the name "AutoSet T".

The S7 ELITE CPAP flow generator and the HumidAire 2i integrated humidifier are developments from the predicate Sullivan AutoSet flow generator and Sullivan HumidAire devices and share many design features. The predicate Sullivan AutoSet flow generator can operate in two modes (1) fixed-pressure CPAP mode and (2) auto-titrating (AutoSet) mode. The S7 ELITE CPAP flow generator operates in fixed-pressure CPAP mode only. However, the S7 ELITE CPAP flow generator incorporates some of the features from Sullivan AutoSet flow generator, such as storing patient usage, treatment pressure, mask leak and incidence of apneas and hypopneas. These features are used for Clinician review only.

In AutoSet mode the predicate Sullivan AutoSet flow generator adjusts pressure on a breath-by-breath basis to suit patient needs as they vary throughout the night. As a result, the patient receives the appropriate pressure required for effective therapy. The AutoSet algorithm in the Sullivan AutoSet flow generator responds to three key respiratory parameters: Inspiratory flow limitation, Snore and Apnea.

Note that the S7 ELITE CPAP flow generator does not operate in AutoSet mode for providing therapy to the patient as described above.

The S7 ELITE CPAP System has been tested to the following standards and guidance documents:

EN 60601-1	<i>Medical electrical equipment. Part 1: General requirements for safety.</i>
EN 60601-1-2	<i>Medical electrical equipment - Part 1: General requirements for safety - 2. Collateral standard: Electromagnetic compatibility.</i>
IEC 529: 1989	<i>Degrees of protection provided by enclosures (Code IP).</i>
ISO 8185:1997	<i>Humidifiers for medical use - General requirements.</i>
PrEN ISO 17510	<i>Sleep Apnoea Therapy Devices (1998).</i>
Reviewer Guidance for Premarket Notification Submissions, November 1993, ARDB, CDRH, FDA.	
FDA <i>Heated Humidifier Review Guide</i> , Shelf # 780, 8/30/91 (applicable requirements)	

This submission presents the results of bench testing, and together with detailed descriptions demonstrates Substantial Equivalence of the S7 ELITE CPAP System to the predicate devices.

***END – Traditional 510(k) Summary of Safety and Effectiveness***



Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

JUL 8 2002

Mr. Roger Kotter  
ResMed Corporation  
14040 Danielson Street  
Poway, California 92064

Re: K013909

Trade/Device Name: S7™ Elite CPAP System  
Regulation Number: 868.5905  
Regulation Name: Non-Continuous Ventilator (IPPB)  
Regulatory Class: II  
Product Code: BZD  
Dated: April 6, 2002  
Received: April 9, 2002

Dear Mr. Kotter:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies.

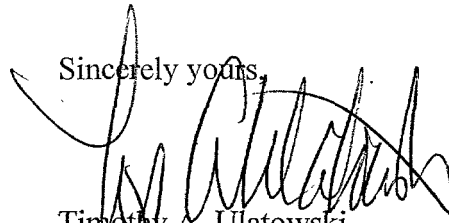
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You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801 and additionally 21 CFR Part 809.10 for in vitro diagnostic devices), please contact the Office of Compliance at (301) 594-4646. Additionally, for questions on the promotion and advertising of your device, please contact the Office of Compliance at (301) 594-4639. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). Other general information on your responsibilities under the Act may be obtained from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address <http://www.fda.gov/cdrh/dsma/dsmamain.html>

Sincerely yours,



Timothy A. Ulatowski

Director

Division of Anesthesiology, General Hospital,  
Infection Control and Dental Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

3.2 Indications for Use

510(k) Number (if known): K03909

Device Name: S7™ ELITE CPAP SYSTEM

Indications for Use:

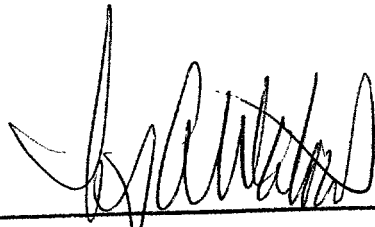
The S7™ ELITE CPAP System is for the treatment of Obstructive Sleep Apnea (OSA) in adult patients. The optional integrated humidifier (HUMIDAIRE® 2i™) is indicated for the humidification and warming of air from the S7 Elite flow generator device. The S7 ELITE CPAP flow generator and HUMIDAIRE 2i are for home and hospital use.

(PLEASE DO NOT WRITE BELOW THIS LINE - CONTINUE ON ANOTHER PAGE IF NEEDED)

Concurrence of CDRH, Office of Device Evaluation (ODE)

Prescription Use  OR Over-The-Counter Use   
(Per 21 CFR 801.109)

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(Division Sign-Off)  
Division of Dental, Infection Control,  
and General Hospital Devices K 013909  
510(k) Number \_\_\_\_\_