

Food and Drug Administration 10903 New Hampshire Avenue Document Control Center - WO66-G609 Silver Spring, MD 20993-0002

May 18, 2016

Stryker Endoscopy Ms. Angela Wong Staff Regulatory Affairs Analyst 5900 Optical Court San Jose, California 95138

Re: K160332

Trade/Device Name: Stryker SDC3 HD Information Management System with Wireless Device Control Capability

Regulation Number: 21 CFR 876.1500 Regulation Name: Endoscope and Accessories Regulatory Class: Class II Product Code: GCJ, HRX Dated: April 15, 2016 Received: April 18, 2016

Dear Ms. Wong:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in

the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to

<u>http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm</u> for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm.

Sincerely yours,

Jennifer R. Stevenson -A

For Binita S. Ashar, M.D., M.B.A., F.A.C.S. Director Division of Surgical Devices Office of Device Evaluation Center for Devices and Radiological Health

Enclosure

#### DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

# **Indications for Use**

510(k) Number (if known)

K160332

Device Name

Stryker SDC3 HD Information Management System with Wireless Device Control Capability

Indications for Use (Describe)

The SDC3 is indicated for use with compatible endoscopic and general surgery devices. SDC3 can be used in general laparoscopy, nasopharyngoscopy, ear endoscopy, sinuscopy, and plastic surgery wherever a laparoscope, endoscope, or an arthroscope is indicated for use. A few examples of the more common endoscopic surgeries are laparoscopic cholecystectomy, laparoscopic hernia repair, laparoscopic appendectomy, laparoscopic pelvic lymph node dissection, laparoscopically assisted hysterectomy, laparoscopic and thorascopic anterior spinal fusion, anterior cruciate ligament reconstruction, knee arthroscopy, shoulder arthroscopy, small joint arthroscopy, decompression fixation, wedge resection, lung biopsy, pleural biopsy, dorsal sympathectomy, pleurodesis, internal mammary artery dissection for coronary artery bypass grafting where endoscopic visualization is indicated and examination of the evacuated cardiac chamber during performance of valve replacement. SDC3 users are general surgeons, gynecologists, cardiac surgeons, thoracic surgeons, plastic surgeons, orthopedic surgeons, ENT surgeons, and urologists.

Type of Use	(Select one	or both, as	applicable)
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Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

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Endoscopy

### 510(k) SUMMARY

### 1) General Information

510(k) Sponsor	Stryker Endoscopy
Addrog	5900 Optical Court
Address	San Jose, CA 95138
FDA Registration	2036485
Number	2930483
	Angela Wong
<b>Correspondence Person</b>	Staff Regulatory Affairs Analyst
_	Stryker Endoscopy
Contact Information	Email: angela.wong @ stryker.com
	Phone: (408) 754-2737
Date Prepared	4 February 2016

### 2) Device Identification

#### **Proposed Device:**

Proprietary Name	Stryker SDC3 HD Information Management	
	System with Wireless Device Control Capability	
Common Name	SDC3 HD Information Management System	
Classification Name	Laparoscope, General and Plastic Surgery	
<b>Regulation Number</b>	21 CFR 876.1500	
Product Code	GCJ	
Subsequent Product Code	HRX	
<b>Regulatory Class</b>	II	

### **Predicate Device:**

Proprietary Name	Stryker SDC3 HD Information Management
	System
Common Name	SDC3 HD Information Management System
Premarket Notification	K121893
Classification Name	Laparoscope, General and Plastic Surgery
<b>Regulation Number</b>	21 CFR 876.1500
Product Code	GCJ
Subsequent Product Code	HRX
<b>Regulatory Class</b>	П

### 3) Device Description

The Stryker SDC3 HD Information Management System with wireless device control capability (herein referred to as 'proposed device') consists of the following:

- 1. Stryker SDC3 HD Information Management System (SDC3) that consists of:
  - a. A stand-alone console containing SDC3 software version 1.5 and above
  - b. A device control package (contains optional software upgrade and a handheld Infrared (IR) remote control)
  - c. A voice control package (contains optional software upgrade and a microphone/headset).
- 2. The Universal Gateway System (Gateway) (acts as a medium for transferring medical device and non-medical device data).

The proposed device is a modification of the *Stryker SDC3 HD Information Management System* (hereafter referred to as "predicate device", cleared under K121893) to add *wired and wireless device control functionality* via the Gateway. The wired device control feature (cleared under K121893) and the operating room documentation functionalities of electronically capturing, transferring, storing and displaying of medical device data (Class I device function) provided by the SDC3 remains the same compared to the predicate device. It should be noted that the device control functionality (both wired and wireless) of the proposed device only provides users with convenient, centralized control of connected (wired and wireless), compatible medical devices. The SDC3 accesses existing controls within each device and is <u>secondary to the built-in control interface that is</u> already on each device.

Wireless technology and functions	<ul> <li>Universal Gateway System Wireless Module:</li> <li>Wireless Technology : 2.4GHz 802.11n (Wi-Fi) wireless radio.</li> </ul>	
RF Frequencies	Universal Gateway System Wireless Module:	
and Maximum	• <b>RF Frequencies</b>	
output powers	802.11n (ISM band): 2.412 - 2.484	
	GHz	
	• Maximum Output Power	
	■ 802.11n: 14.5 dBm +/- 1.5 dB	
	• Range at Maximum Output Power	
	■ 100+ feet depending on a number of	
	factors	
Wireless Quality of	The wireless quality of service needed for wireless device	
Service	control is the same quality of service that is currently offered	
	by wired device control. Therefore, the Quality of Service	

The table below summarizes the wireless technology of the proposed device.

	$(0 \circ S)$ metrics considered for the proposed device accounted
	(Q05) metrics considered for the proposed device accounted
	for the latency, data rate, accuracy, and communication
	reliability required to achieve equivalent performance as
	compared to the wired device control.
Security	It is recommended that the user use WPA2-Enterprise with
	AES encryption for Wi-Fi security.
Alarm Signals	No alarm signals are transmitted wirelessly in the proposed
	device. The SDC3 monitors its connection to each Gateway.
	If a connection to a given Gateway is dropped, an audible
	alert will be issued by the SDC3 for each compatible device
	that is connected to that Gateway.
Connection to	No other wireless products or devices are able to establish a
other wireless	wireless device control connection. This is enforced by the
devices	proposed device security measures.
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## 4) Indication for Use

The SDC3 is indicated for use with compatible endoscopic and general surgery devices. SDC3 can be used in general laparoscopy, nasopharyngoscopy, ear endoscopy, sinuscopy, and plastic surgery wherever a laparoscope, endoscope, or an arthroscope is indicated for use. A few examples of the more common endoscopic surgeries are laparoscopic cholecystectomy, laparoscopic hernia repair, laparoscopic appendectomy, laparoscopic pelvic lymph node dissection, laparoscopically assisted hysterectomy, laparoscopic and thorascopic anterior spinal fusion, anterior cruciate ligament reconstruction, knee arthroscopy, shoulder arthroscopy, small joint arthroscopy, decompression fixation, wedge resection, lung biopsy, pleural biopsy, dorsal sympathectomy, pleurodesis, internal mammary artery dissection for coronary artery bypass, coronary artery bypass grafting where endoscopic visualization is indicated and examination of the evacuated cardiac chamber during performance of valve replacement. SDC3 users are general surgeons, gynecologists, cardiac surgeons, thoracic surgeons, plastic surgeons, orthopedic surgeons, ENT surgeons, and urologists.

## 5) Intended Use

The intended use of the SDC3 system is to allow for voice control and remote control of medical device settings by the surgeons or operating room personnel, thereby eliminating the need of manual operation of those devices compatible with SDC3, or relying upon verbal communications between the surgeon and other personnel in the operating room in order to adjust the surgical equipment. It also has an additional digital documentation functionality to electronically capture, transfer, store and display medical device data (Class I device function), which is independent of the functions or parameters of any attached device.

### 6) Comparison of Technological Characteristics with the Predicate Device

The Stryker SDC3 HD Information Management System (SDC3) with wireless device control capability (herein referred to as the proposed device) has the same technological characteristics and design as the predicate device except for the addition of wired and wireless device control functionality via the Gateway. The addition of wired and wireless device to be compatible with Universal Gateway System (Gateway), which act as a medium for the SDC3 to transfer device control data wired and wirelessly.

All other technological characteristics of the proposed device are identical to the predicate device. The proposed device uses the same hardware and communication protocols, employs the same voice recognition technology, and is compatible with the same types of controllable devices as listed in the predicate device's product labeling.

In accordance with 21 CFR807.92 (a) (6), a summary of the differences between the proposed and predicate device is provided in Table 5.1. A complete comparison of technological characteristics between the proposed and predicate devices is provided in Section 12 - Substantial Equivalence Discussion.

Feature/ Functions	Proposed Devices	Predicate Devices (K121893)
Wireless Device Control	<ul> <li>Wireless Technology: <ul> <li>2.4GHz 802.11n (Wi-Fi) wireless radio.</li> </ul> </li> <li>RF Frequencies: <ul> <li>802.11 n (ISM band): 2.412 - 2.484 GHz</li> </ul> </li> <li>Maximum Output Power <ul> <li>802.11 n: 14.5 dBm +/- 1.5 dB</li> </ul> </li> <li>Range at Maximum Output Power <ul> <li>100+ feet depending on a number of factors</li> </ul> </li> </ul>	• Not available
Connection to Compatible Controllable Devices	<ul> <li>Wired connection to SDC3's device control ports via device control cable.</li> <li>Wired connection to Gateway device control ports via device control cable.</li> </ul>	• Wired connection to SDC3's device control ports via device control cable.
Controllable Devices	Same type of currently marketed devices as predicate device. A complete compatible devices list is provided under Section 12 – <i>Substantial Equivalence</i> <i>Discussion</i> .	Compatible controllable devices include legacy and currently marketed devices. A complete compatible devices list is provided under Section 12 – Substantial Equivalence Discussion.

 Table 5.1: Differences between the Proposed and Predicate Devices

# 7) Performance Testing

The Stryker SDC3 HD Information Management System with wireless device control capability was tested for performance in accordance with internal design specifications, applicable performance standards and FDA guidance documents. Risk analysis was carried out in accordance with *ISO 14971:2007 – Medical Devices* – *Application of Risk Management to Medical Devices*; subsequently design verification/validation activities and corresponding acceptance criteria were identified and performed in accordance to the risk analysis assessment.

Electrical safety and electromagnetic compatibility testing was performed in accordance with the standards listed below. Testing indicates that the proposed device conforms to these standards.

- ANSI/AAMI ES60601-1:2005/(R)2012 and A1:2012, C1:2009/(R)2012 and A2:2010/(R)2012 (Consolidated Text) Medical Electrical Equipment Part 1: General Requirements for Basic Safety and Essential Performance
- IEC60601-1-2:2007 Medical Electrical Equipment Part 1-2: General Requirements for Basic Safety and Essential Performance – Collateral Standard: Electromagnetic Compatibility – Requirements and Tests

Software was developed, tested, and verified per *IEC 62304:2006 - Medical Device Software – Software Life Cycle Processes* as well as FDA guidance documents:

- Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices
- Content of Premarket Submissions for Management of Cybersecurity in Medical Devices
- Guidance for Industry, FDA Reviewers and Compliance on Off-The-Shelf Software Use in Medical Devices

The software for this device was classified as "moderate" level of concern, since a failure or latent flaw in the software could result in minor injury to the patient or operator.

Performance testing was conducted to ensure that the device functioned as intended and met design specifications, acceptance criteria and the content of the FDA guidance document *"Radio Frequency Wireless Technology in Medical Devices."* Table 5.2 summarized performance verification and validation data provided within this submission.

	Test Description
lest	Test Description
SDC3 and Gateway Software Device	Verified device/voice control of
Control Verification	compatible devices via Gateway through
	SDC3's GUI, IR Remote, and supported
	Camera Head when the devices are
	connected to Gateway.
SDC3 and Gateway Connectivity	Verified the compatibility of SDC3 with
Software Verification	Universal Gateway System and the
	connection security between the SDC3
	and the Universal Gateway System.
Software Network Security	Verified network security features of the
Verification	SDC3.
Wireless Co-existence Verification	Verified SDC3's ability to upload case
Test	data to an external server wirelessly under
	co-existence environment.
Benchmarking Test	Verified latency of wireless device control
	data transmission under co-existence
	environment, as compared to an identical
	wired system. Also verified the data
	accuracy in a non-coexistence
	environment.
SDC3 and Gateway Accuracy and	Verified accuracy and reliability of
Reliability Coexistence Report.	wireless device control data transmission
	under co-existence environment.
Timing Test	Verified that the connection and
	disconnection times between the SDC3
	and the Gateway meet the specification.
Design Validation	Validated expanded functionality of
	wireless device control to ensure defined
	user needs and intended use are met under
	simulated use conditions.

### **Table 5.2: Performance Verification and Validation Summary**

## 8) Conclusion

Based on the indications for use, intended use, technological characteristics, performance testing and comparison to the predicate device, the Stryker SDC3 HD Information Management System with wireless device control capability raises no new questions of safety and effectiveness as compared to the predicate device.