SUMMARY OF SAFETY AND EFFECTIVENESS DATA (SSED)

I. GENERAL INFORMATION

Device Generic Name: Magnetic Sentinel Node Detection System

Device Trade Name: Magtrace® and Sentimag® Magnetic Localization System

Device Procode: PUV

Applicant's Name and Address: Endomagnetics Ltd.

330 Cambridge Science Park

Milton Road, Cambridge, CB4 0WN, UK

Date(s) of Panel Recommendation: None

Premarket Approval Application (PMA) Number: P160053/S002

Date of FDA Notice of Approval: December/06, 2022

The original PMA P160053 was approved on July 24, 2018 and is indicated to assist in localizing lymph nodes draining a tumor site, as part of a sentinel lymph node biopsy procedure, in patients with breast cancer undergoing a mastectomy. The SSED to support the indication is available on the CDRH website and is incorporated by reference here. The current supplement was submitted to expand the indication for the device to assist in localizing lymph nodes draining a tumor site, as part of a sentinel lymph node biopsy procedure, in patients with breast cancer.

II. INDICATIONS FOR USE

The Magtrace® and Sentimag® Magnetic Localization System is indicated to assist in localizing lymph nodes draining a tumor site, as part of a sentinel lymph node biopsy procedure, in patients with breast cancer undergoing a mastectomy or lumpectomy. For patients undergoing lumpectomy, nipple sparing, nipple areolar sparing or skin sparing procedures, Magtrace is indicated to be injected only peritumorally.

PMA P160053/S002: FDA Summary of Safety and Effectiveness Data

Magtrace® is intended and calibrated for use ONLY with the Sentimag® system.

III. <u>CONTRAINDICATIONS</u>

- Known hypersensitivity to iron oxide or dextran compounds.
- Iron overload disease
- A metal implant in the axilla or in the chest.
- SLNB before neoadjuvant chemotherapy (NAC) where magnetic resonance imaging (MRI) will be the primary imaging used for monitoring the progress of NAC.
- Patients identified in advance to require post-lumpectomy imaging with breast MRI.

IV. WARNINGS AND PRECAUTIONS

The warnings and precautions can be found in the Magtrace® and Sentimag® Magnetic Localization System labeling.

V. <u>DEVICE DESCRIPTION</u>

The Magtrace® and Sentimag® Magnetic Localization System is indicated to assist in localizing lymph nodes draining a tumor site, as part of a sentinel lymph node biopsy procedure, in patients with breast cancer undergoing a mastectomy and consists of:

- The Magtrace®
- The Sentimag®

Magtrace[®]

Magtrace® is a combination device/drug product consisting of a blackish-brown sterile aqueous suspension of carboxydextran-coated superparamagnetic iron oxide particles in Water for Injection (WFI) containing 0.3% w/v sodium chloride. Magtrace® is supplied as sterile (aseptically filled) in single-use glass vials containing a minimum of 2.2 ml to allow for a consistent 2.0 ml injection volume.

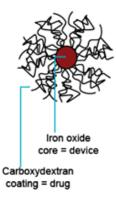
Each milliliter of Magtrace[®] contains approximately 28 milligrams of iron in the form of iron oxide. The recommended quantity of Magtrace[®] administered for use in patients is 2 ml with the equivalent iron content of 55 mg +/- 4 mg per injection.

Magtrace® key characteristics include:

- Magnetic iron oxide core of 3.5-10 nm in diameter provides detectability by the Sentimag®
- Carboxydextran coating which brings the overall particle diameter to 45-65 nm, keeps the particles in solution, and prevents iron oxide aggregation
- 0.3% saline provides tonicity and allows uptake of the particles into the lymphatic system.

An schematic of the Magtrace® particles is shown below in Figure 1.

Figure 1: Magtrace® Particle Schematic



<u>Sentimag[®]</u>

Sentimag[®] is a susceptometer designed to deliver a small alternating magnetic field via a hand-held probe, and to electronically detect the presence of magnetic material in the vicinity of the probe head.

Two generations of this device are now available the original Sentimag (Sentimag Gen 2) and the new generation of the device Sentimag Gen 3.

In both cases, detection is performed via pick-up coils in the probe head, which generate electrical current from the magnetic materials response. This response current is passed through the probe cables and connectors to the Sentimag® base unit, where it is transformed into both audible and visual feedback for the surgeon. The base unit also contains the controls for operating the Sentimag® system that are located on the front of the unit, with a power switch on the back.

The main features of the original Sentimag® are:

- Portable base unit that can sit on a flat surface
- Audible and visual indications of magnetic material proximity:
 - o Magnetic signals indicated by variable pitch (audible), that increases as the probe is brought near Magtrace magnetic tracer material, and yellow LCD digits (visual);
 - o Extraneous or background signals indicated by low and constant pitch (audible) and red LCD digits (visual);
 - o Liquid crystal display (LCD) for numerical indication of signal strength and general unit information (e.g., volume, sensitivity setting).
- Choice of three (3) sensitivity settings, controlled by a knob mounted on the base unit
- Volume control knob on the base unit
- Push button mounted on the base unit that activates instrument balancing function that readies the system for measurement
- Detachable air-operated footswitch allowing remote operation of the balance function
- The detachable applied part is the probe assembly comprising a hand-held probe, a flexible cable of just under three (3) meters length, and colour-coded (black and white) connectors to plug the probe into the base unit.
- Applied Probe assembly is to be used in conjunction with a standard single-use sterile sheath (sold separately by OEM suppliers). Sheaths should be latex-free and at least 1 inch wide and 72 inches long.

The features of Sentimag Gen 3 are:

• Portable base unit that can sit on any flat surface

- Audible and visual indications of marker proximity
- Audible signal with a variable pitch (frequency) that increases as the probe is brought near the magnetic marker
- Volume control knob on the base unit
- Liquid crystal display (LCD) for numerical indication of signal strength in Counts mode.
- Audible and visual discrimination between magnetic signals and extraneous or background signals
- Magnetic signals indicated by variable pitch (audible) and yellow LCD digits (visual); extraneous or background signals indicated by low and constant pitch (audible) and red LCD digits (visual)
- Instrument-balancing function that readies the system for measurement
- Push button mounted on the base unit activates the balance function
- Two probes are compatible with the Sentimag base unit: a Standard Sentimag probe (18.5mm in diameter) and a Thinner (13.5mm diameter) Sentimag Probe
- Detachable electronic footswitch allows remote operation of the balance function
- The detachable applied part is the probe assembly comprising a hand-held probe, a flexible cable of 9 feet in length, and colour-coded (black and white) connector to plug the probe into the base unit
- Applied probe assembly is to be used in conjunction with a standard single-use sterile sheath (sold separately by OEM suppliers)

Sentimag Gen 3 operates in two distinct modes, Count mode and the Measure mode. In Count mode, the Sentimag Gen 3 detector works in exactly the same way as Sentimag Gen in that it can detect and locate Magtrace® for the tissue marker to be surgically removed with the sentinel node following detection. Measure mode is not available to be used with Magtrace and should not be used to detect Magtrace.

In Sentimag Gen 3 the display of the count has been expanded to 5 digits instead of 4 in the Gen 2 and the scale multiplier has been fixed to the equivalent of sensitivity/scale setting 2 in the Gen 2. The combination of these two features means that the Sensitivity/scale control knob is no longer required in Sentimag Gen 3.

The Base Unit, Probe, and Footswitch for both Sentimag Gen 2 and Gen 3 are shown in Figure 2 below.

Figure 2: Sentimag® Probe and Base Unit

Sentimag (Gen 2) Sentimag (Gen 3)

PMA P160053/S002: FDA Summary of Safety and Effectiveness Data



VI. ALTERNATIVE PRACTICES AND PROCEDURES

There are other alternatives to assist in localizing lymph nodes draining a tumor site, as part of a sentinel lymph node biopsy procedure. Each alternative has its own advantages and disadvantages. A patient should fully discuss these alternatives with his/her physician to select the method that best meets expectations and lifestyle. The alternatives are Technetium radioisotopelabelled tracers and blue dye tracers.

VII. MARKETING HISTORY

Sentimag®/Sienna+ (an earlier variant of the Magtrace®) has been commercially available in the European Union (EU) since 2013 and was available in the following countries: United Kingdom, Germany, France, Italy, Spain, Portugal, Netherlands, Austria, Czech Republic, Denmark, Croatia, Sweden, Slovakia, Turkey, Poland, Switzerland, Hong Kong, Singapore, New Zealand, and Australia. In 2017 Sienna+ was replaced with Magtrace which is currently available in the following countries: USA, Canada, United Kingdom, Germany, France, Italy, Spain, Portugal, Netherlands, Austria, Czech Republic, Denmark, Croatia, Sweden, Slovakia, Cyprus, Turkey, Israel, Poland, Switzerland, Hong Kong, South Africa, Serbia, Bosnia, Saudi Arabia, Bahrain, Morocco, New Zealand, and Australia. The Sentimag®/Sienna+ product was not withdrawn from any foreign market for any reason relating to the safety and effectiveness of the device. It was superseded by Magtrace which is a more user friendly version of the same device.

Regarding the differences between Magtrace[®] and Sienna+, the Sienna+ was designed to be pre-mixed with saline immediately prior to administration, whereas Magtrace[®] has been formulated to contain 0.3% w/v sodium chloride and does not require premixing with saline. Apart from this addition of sodium chloride, Magtrace[®] is identical to Sienna+ and is considered acceptable a market history comparison.

VIII. PROBABLE ADVERSE EFFECTS OF THE DEVICE ON HEALTH

Below is a list of the probable adverse effects (e.g., complications) associated with Magtrace[®] and Sentimag[®] Magnetic Localization System include:

Magtrace® is intended for injection into the breast ONLY (interstitial injection).

When similar material to that used in Magtrace® has been injected directly into the bloodstream (intravenously), the following undesirable effects have been reported:

- Common (<2%) pain at the injection site, vasodilation, paresthesia
- Uncommon (≥0.1% to <1%) asthenia, back pain, injection site reactions, chest pain, nausea, vomiting, headache, taste changes, itching, rash, inflammatory response (localized redness and swelling) with intradermal injection.
- Rare (≥0.01% to <0.1%) Hypersensitivity and anaphylaxis, hypertension, phlebitis, hyperesthesia, anxiety, dizziness, convulsion, parosmia, dyspnea, increased cough, rhinitis, eczema, urticaria.

For the specific adverse events that occurred in the clinical studies, please see Section X below.

IX. SUMMARY OF NONCLINICAL STUDIES

A. Laboratory Studies

Table 1- Summary of Laboratory Studies

Test	Purpose	Acceptance Criteria	Results	
Biocompatibility- Sienna+/Magtrace®				
Cytotoxicity EN ISO 10993-5	In Vitro Cytotoxicity test on Sienna+	No toxicological or biologically critical cell damage. Note: Based on the close formulation similarity of Magtrace TM to Sienna+, further cytotoxicity testing was considered unnecessary and was not repeated for the new formulation.	PASS	
Sensitization EN ISO 10993-10	In Vitro maximization – Allergenicity test on Magtrace® equivalent	To show no allergenic potential in guinea pig.	PASS	
Irritation & Intracutaneous Reactivity DIN ISO 10993-10	In Vitro Irritation & Intracutaneous Reactivity Test on Sienna+	Polar and apolar extracts not to cause any intracutaneous reactivity in rabbits within an observation period of 72 hours. Based on the close formulation similarity of Magtrace TM to Sienna+, further cytotoxicity testing was considered unnecessary		
Systemic Toxicity EN ISO 10993-11	Acute Toxicity: on Magtrace® equivalent	Single dose toxicity studies in rats, mice and dogs to show No toxicity or a Low acute toxicity with doses in the 12.5-20 mmol iron per kilogram of body weight range.		

Test	Purpose	Acceptance Criteria	Results
Biocompatibility- S	ienna+/Magtrace®		
Systemic Toxicity EN ISO 10993-11 Subacute & Sub-chronic Toxicity on Magtrace® equivalent Subacute & Sub-chronic Toxicity on Magtrace® observe observe in concilent of the dosi platelet shown to observe observe is given In concilent of the concilent of the dosi platelet shown to observe observe observe is given In concilent of the concilent of		In dogs an increase in serum iron and decrease in iron binding capacity was dose dependent. In 4-week studies in rats, an increase in serum iron and increase in liver and spleen weights was observed as dose-dependent at the end of the dosing period. A transient decrease in platelet counts was also observed this was shown to be due to the iron moiety and only observed in animal models only and not observed in human tests. Chronic toxicity (6-12 month repeated dose) is not deemed necessary as Sienna+/Magtrace® is given in single dose only. In conclusion, Sienna+ with a single dose of 1 mmol per patient can be considered safe with regards to subacute toxicity.	PASS
Genotoxicity EN ISO 10993-3	In-vitro (Ames test) and in-vivo tests (mice, micronucleus) tests on Magtrace® equivalent to detect mutagenic potential	To show no mutagenic potential.	PASS
Cleaning – Sentin	nag®		
Cleaning Validation Study	Validate the cleaning instructions for the Sentimag® system	Acceptance criteria in accordance with Guidance for Industry and FDA Staff – Processing/Reprocessing Medical Devices in Health Care Settings: Validation Methods and Labelling and AAMI TIR30 (2011).	PASS

Test	Purpose	Acceptance Criteria	Results		
Biocompatibility- Sienna+/Magtrace®					
Lifetime Evaluation	Lifetime Evaluation – Sentimag®				
Lifetime Evaluation of the Sentimag [®] System	Estimate the maximum lifetime of the Sentimag® system	Lifetime of the device is estimated to last 5 years.	Pass		
Performance Test	ing – Pre-Clinical Bench	Γορ Testing:			
Investigation of performance for Sienna+ with Sentimag® system	Measure the detection distance of Sienna+ with the Sentimag® probe at the three sensitivity settings available on the device.	Maximum sensing distance of the 28µg Fe magnetic tracer sample was 8mm, 11mm and 14mm for Sensitivity setting 1, 2, and 3 respectively. For the 140µg sample, maximum sensing was achieved at 13mm, 18mm and 19mm for sensitivity setting 1, 2, and 3 current settings respectively.	PASS		
Comparison of Generation 1 Sentimag [®] system, Generation 2 Sentimag [®] system and Generation 3 Sentimag System	The second generation probe was developed for: •Reduction in probe diameter to be similar to a Gamma probe •Increase in sensitivity for transcutaneous and small node detection •Greater resistance to thermal drift.	Diameter reduced from 24mm (Gen 1) to 18.5mm (Gen 2). Additionally, Gen 3 has a 13.5mm probe Sensitivity of Gen 2 system increased approximately 3.5x over that of the Gen 1 System. The sensitivity of Gen 3 for both standard diameter probe (18.5mm) and thinner probe (13.5mm) is exactly the same as Gen 2.	Pass		

B. Electrical Safety Testing – Sentimag®

The purpose of these tests is to demonstrate the electrical safety of the Sentimag® System in accordance with the FDA Recognized Consensus Standard: AAMI/ANSI ES60601-1:2005 / IEC 60601-1:2005 + Corrigenda 2006 and 2007 Medical

electrical equipment — Part 1: General requirements for basic safety and essential performance, and CAN/CSA-C22.2 No. 60601-1:08 - Medical electrical equipment - Part 1: General requirements for safety and essential performance.

Table 2: Electrical Safety Testing

Test Name	Acceptance Criteria	Results
Electrical Safety Testing – Sentimag®		
Marking Durability and Legibility Test	As defined in the standard	PASS
Power Input Test	As defined in the standard	PASS
Limitation of Voltage and/or Energy (Capacitance Discharge Test)	As defined in the standard	PASS
Enclosures and Protective covers (Access to live parts)	As defined in the standard	PASS
Grounding Impedance Test	As defined in the standard	PASS
Leakage Current Test	As defined in the standard	PASS
Dielectric Voltage Withstand Test	As defined in the standard	PASS
Mechanical Tests and Stability	As defined in the standard	PASS
Temperature Test	As defined in the standard	PASS
Spillage, Cleaning/Disinfection and Humidity Preconditioning	As defined in the standard	PASS
Abnormal Operation Tests	As defined in the standard	PASS
Creepage Distance and Air Clearance measurements	As defined in the standard	PASS
Insulation – Ball pressure test	As defined in the standard	PASS
Acoustic Energy Test	As defined in the standard	PASS
Actuating Parts Test	As defined in the standard	PASS

The Sentimag® system has been tested, examined, and found to comply with the applicable requirements of UL 60601-1 Medical Electrical Equipment, Part 1 Requirements for Safety April 25, 2003, US National standard ANSI/AAMI ES60601-1: 2005 / A2:2010 – Medical Electrical Equipment, Part 1: General Requirements for Safety and Essential

Performance, and CAN/CSA-C22.2 No. 60601-1:08 - Medical electrical equipment - Part 1: General requirements for safety and essential performance.

C. Electromagnetic Compatibility Testing

The purpose of these tests is to demonstrate the electromagnetic compatibility of the Sentimag® system in accordance with these standards:

- FDA Recognized Consensus Standard: AAMI/ANSI IEC 60601-1-2:2007 Medical electrical equipment Part 1-2: General requirements for basic safety and essential performance Collateral standard: Electromagnetic compatibility Requirements and tests (Edition 3).
- FCC Rules

Table 3: Electromagnetic compatibility testing

Test Name	Acceptance Criteria	Results
EMC Testing – Sentima	$\mathbf{g}^{ ext{ iny }}$	
EMC Testing	As defined in the standard	PASS
Verification EMC Testing	As defined in the standard	PASS
FCC EMC Testing	As defined in the FCC Rules - FCC Rules CFR47: 2008 Part 15.107 and 15.109 Class B	PASS
Conducted RF Immunity	As defined in the standard RF Immunity (EN61000-4-6)	PASS
Radiated Immunity Test	As defined in the standard Radiated Immunity (EN61000-4-3)	PASS

D. Animal Studies

Table 4: Animal Studies

Test Name	Purpose	Acceptance Criteria	Results
Systemic Tran	nsport – Sienna+		

Sienna+ Transport Mechanism (Murine)	To determine Transport time and mechanism of transport of Sienna+ into Sentinel Lymph Node (SLN). Transport of Sienna+ into SLN was monitored in contrast to transport of immunologically marked Tetramethylrhodamine (TRITC)-positive Leukocytes. Time points: 10min, 30min, 1hr, 2hr, 24hr	Sienna+ appeared in SLN after 10 minutes, whereas TRITC-positive leukocytes were only detected at 24 hours. Rapid transport (minutes) - non interactive transport into lymphatic system. Slow transport (hours) - phagocytosis. Results demonstrate that the transport of the Sienna+ particles was mechanical and did not depend on cells or chemical means to transport the particles into the lymphatic system.	PASS
Formulation -	- Sienna+		
Sienna+ Formulation in Porcine model	Optimization of formulation for uptake into the lymphatic system in presence of different formulation components. Time points: 5min, 10min, 15min, 30min, 1hr, 2hr, 24hr, 72hr	Presence of ion pair is essential for uptake into the lymphatic system. Presence of 0.3% w/w NaCl is the optimal concentration of excipient to enhance the uptake of Sienna+ into the lymphatic system	PASS

X. SUMMARY OF PRIMARY CLINICAL STUDIES

The applicant performed a clinical study to establish a reasonable assurance of safety and effectiveness of Magtrace® and Sentimag® Magnetic Localization System for localizing lymph nodes draining a tumor site in patients with breast cancer, as part of a sentinel lymph node biopsy procedure in the U.S. (IDE #G140208, NCT02336737).

An additional supporting study was conducted in France (NCT01790399, See section XI), which was an open-label, multicenter, paired comparison of Sentimag® and Sienna+ and radioisotope with or without blue dye for sentinel lymph node detection in patients with breast cancer scheduled for sentinel node biopsy. Sienna+ is an earlier formulation of Magnecarbodex requiring dilution with saline prior to injection. Note: The French Sentimag Feasibility Trial is discussed in

the Summary of Supplemental Clinical Information section (Section XI) because it was only supporting clinical data.

Table 6: Clinical Studies

√	Products used	Study design	Location	Number of subjects (sites)
	Magtrace [®] , Sentimag [®]	Multi-center paired comparison with Radioisotope + Blue dye	US	160 (6)
French Sentimag [®] Feasibility Trial, NCT01790399	Sienna+, Sentimag®	Multi-center paired comparison with Radioisotope ± Blue dye	France	115 (4)

A. Study Design

Patients were treated between January 9, 2015 and December 16, 2015. The database for this PMA reflected data collected through December 16, 2015 and included 160 patients. There were six (6) investigational sites in the United States.

The study was a pivotal, prospective, open label, multi-center, paired comparison study of the Magtrace®/Sentimag® system with the standard of care (Tc-99m radioisotope with blue dye) for the detection of lymph nodes in patients with breast cancer undergoing a sentinel lymph node biopsy (G140208). The trial was designed to provide powered evidence that the lymph node detection rate of the Magtrace®/Sentimag® system is non-inferior to the standard of care in patients with breast cancer and to summarize measures of product safety and performance.

The active control was Technetium 99 labeled sulfur colloid radioisotope in combination with isosulfan blue dye. The control was administered according to the standard of care at each site. All subjects underwent simultaneous lymph node mapping using Magtrace®, and with radioisotope with blue dye.

The trial sought to reject a null hypothesis that the true per lymph node detection rate for Magtrace® was worse than or equal to the true lymph node detection rate for standard of care by more than the non-inferiority margin δ , and support the alternative

hypothesis that the true lymph node detection rate of Magtrace® was no worse than the true lymph node detection rate for standard of care less the non-inferiority margin δ . That is:

H₀:
$$P_T - P_C \le -\delta$$
 (inferior)
H_a: $P_T - P_C \ge -\delta$ (non-inferior),

where P_T and P_C are the lymph node detection rates for MagtraceTM and standard of care Control, respectively, and δ is the non-inferiority margin.

The sample size calculation for the primary endpoint was performed using PASS 2008 and was based on a non-inferiority (one-sided) test of correlated proportions and the method of Nam with the following assumptions:

- Expected Sentimag®/Magtrace® (test) rate = 95%
- Expected standard of care (Control) rate = 95%
- Non-inferiority margin $\delta = 5\%$
- Assumed discordance rate = 8%
- Test significance level ($\alpha = 0.05$ (1 -sided)
- Power $(1-\beta) \approx 0.85$

A minimum of 265 nodes were required for each method. Given that \sim two (2) lymph nodes were expected per subject, it was anticipated that a total of 140 subjects would be required.

The expected per node detection rate for the standard of care combined technique was 94.6% based on the NSABP B-32 trial (Krag et al. 1).

1. Clinical Inclusion and Exclusion Criteria

Enrollment in the Sentimag® study (G140208) was limited to patients who met the following inclusion criteria:

- Subjects with a diagnosis of primary breast cancer or subjects with pure ductal carcinoma in situ (DCIS)
- Subjects scheduled for surgical intervention, with a sentinel lymph node biopsy procedure being a part of the surgical plan
- Subjects aged 18 years or more at the time of consent

- Subjects with an Eastern Cooperative Oncology Group (ECOG) performance status of Grade 0-2
- Subject has a clinical negative node status (i.e., T0-3, N0, M0)

Patients were <u>not</u> permitted to enroll in the Sentimag[®] study if they met any of the following exclusion criteria:

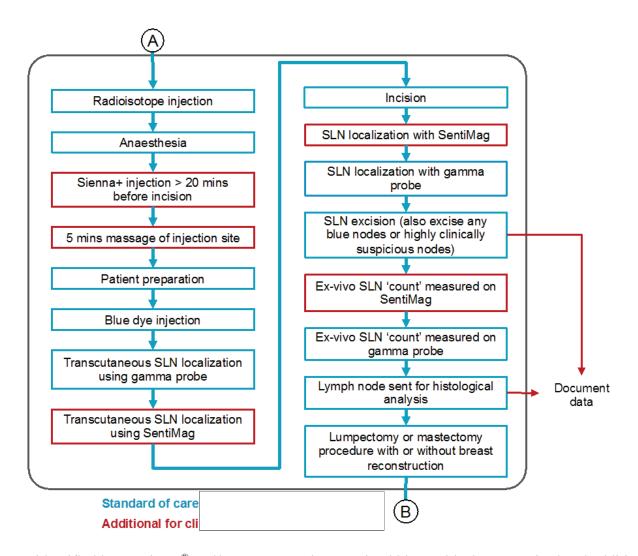
- The subject is pregnant or lactating
- The subject has clinical or radiological evidence of metastatic cancer including palpably abnormal or enlarged lymph nodes
- The subject has a known hypersensitivity to Isosulfan blue dye
- The subject has participated in another investigational drug study within 30 days of scheduled surgery
- Subject has had either a) previous axilla surgery, b) reduction mammoplasty, or c) lymphatic function that is impaired in the surgeon's judgment
- Subject has had preoperative radiation therapy to the affected breast or axilla
- Subject has received a Feraheme® (ferumoxytol) Injection within the past 6 months
- Subject has intolerance or hypersensitivity to iron or dextran compounds or to MagtraceTM
- Subject has an iron overload disease
- Subject has pacemaker or another implantable device in the chest wall

2. Study Procedure and Follow-up Schedule

The study procedure flow is depicted in Figure 3 below.

Figure 3: Sentinel Node Biopsy Procedure Flow

Start of Procedure



Each SLN identified by Sentimag[®] and/or gamma probe or stained blue or black was excised and additional counts, with the excised node on the end of the probe, were taken with each detection system (Sentimag[®] and gamma probe) and recorded. In addition, nodes that were deemed highly clinically suspicious nodes (e.g., very hard and firm, or white colored consistent with gross tumor in the lymph node) were excised as sentinel nodes. Sentinel lymph node biopsy

(SLNB) was stopped when the residual count/signal in the axilla was less than 10% of the largest ex-vivo reading from an already excised node using that detection method.

All patients were scheduled to return for follow-up examinations at between 6 and 22 days post-procedure for a safety assessment postoperatively.

The study visits and assessments are summarized in Table 7.

Table 7: Study Visits and Data Collection Overview

Procedure/ Assessment	Screening / Enrollment	Visit 1 Baseline / Medical History	Visit 2 Sentinel Node Biopsy Procedure	Visit 3 Post-procedure Evaluation (14 days +/- 8 days)	Unscheduled Visit
Inclusion / Exclusion Criteria	X				
Informed Consent	X				
Demographics, Medical / Surgical History					
Pregnancy test			X		
Lymph node mapping and sentinel node biopsy procedure			X		
Excised nodes sent for histological analysis & pathology evaluation	X		X		
SLN Biopsy results				X	
Adverse Event Assessment		X	X	X	X
Medications		X	X	X	X
Device Deficiency Assessment			X		
Study Completion				X	

3. <u>Clinical Endpoints</u>

Primary Safety Endpoint:

To provide evidence of the safety of Magtrace®/Sentimag® as indicated by adverse events and serious adverse events and their relatedness to the detection method or procedure.

Primary Effectiveness Endpoint:

The primary effectiveness endpoint was the lymph node detection rate, which is defined as the number of lymph nodes identified by a specific method (MagtraceTM/Sentimag[®] or Control) divided by the total number of lymph nodes detected.

Success/Failure Criteria:

The study was considered a success if MagtraceTM/Sentimag[®] demonstrated a statistically significantly non-inferior lymph node detection rate compared to the Control, with a 5% non-inferiority margin. If the lower bound of the one-sided 95% confidence interval for the difference between detection rates at the nodal level was greater than -5%, then the study was considered a success.

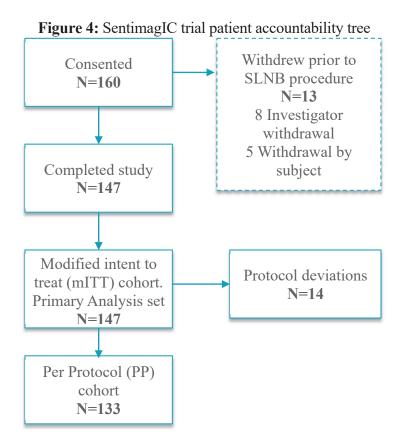
B. Accountability of PMA Cohort

At the time of database lock, of 160 patients enrolled in the PMA study, 147 patients (91.9%) completed the study and are available for analysis. Patient accountability is shown in Figure 4.

Thirteen (13) patients withdrew from the study prior to sentinel lymph node biopsy procedure as follows: five (5) patients withdrew themselves, and eight (8) patients were withdrawn by investigators for the following reasons:

- Two (2) received the incorrect isotope injection (Lymphoseek (technetium Tc 99m tilmanocept) instead of Tc-99m sulfur colloid)
- Two (2) were found not to meet the inclusion/exclusion criteria
- One (1) was withdrawn due to concerns regarding her history of thalassemia
- One (1) was found to have axillary metastasis on a PET scan
- One (1) was withdrawn as there was no study coordinator on site to record the study data
- One (1) patient opted for chemotherapy prior to surgery

The primary analysis set was the modified intent to treat (mITT) cohort comprising all subjects who completed the study procedures (n=147).



C. Study Population Demographics and Baseline Clinicopathological Characteristics

Patient demographic characteristics are shown in Table 8 with the patient baseline clinicopathological characteristics given in Table 9.

Table 8: Study Population Demographics

	- 81 P 1111 C S
	Overall (N=147)
Race (not mutually exclusive, %)	
American Indian or Alaska Native	0.0%

	Owarall (N-147)
A sisu	Overall (N=147)
Asian	4.8 %
Black or African American	7.5%
Pacific Islander	0.0%
White	82.3%
Other	6.1%
Ethnicity (n/N (%))	
Hispanic or Latino	11.6%
Not Hispanic or Latino	88.4%
Mean Age (SD)	61.1 (12.3)
Mean Weight in lbs (SD)	167.1 (38.5)
Mean Height in inches (SD)	63.7 (2.6)
Mean Body Mass Index (BMI Kg/m² (SD))	29.0 (6.9)
Menopausal status	
Premenopausal	19.0%
Perimenopausal	3.4%
Postmenopausal	77.6%

 Table 9: Baseline Patient Clinicopathological Characteristics

Type of surgery*	
Wide local excision/Lumpectomy	103/147 (70.1)
Mastectomy	43/147 (29.3)
Tumor location	
Upper Outer Quadrant (UOQ)	74/147 (50.3)
Upper Inner Quadrant (UIQ)	28/147 (19)
Lower Inner Quadrant (LIQ)	10/147 (6.8)
Lower Outer Quadrant (LOQ)	26/147 (17.7)

Central/Areolar	9/147 (6.1)
Pathological tumor size	
pTis	13/135 (9.6)
pT1a	19/135 (14.1)
pT1b	30/135 (22.2)
pT1c	33/135 (24.4)
pT2	33/135 (24.4)
рТ3	7/135 (5.2)
Tumor grade	
I	45/135 (33.3)
II	51/135 (37.8)
III	37/135 (27.4)
IV	0/135 (0.0)
Not assessable	2/135 (1.5)
Estrogen Receptor (ER) Status (n/N (%))	
Positive	113/135 (83.7)
Negative	13/135 (9.6)
Not performed	9/135 (6.7)
Progesterone Receptor (PR) Status (n/N	
(%))	87/135 (64.4)
Positive	39/135 (28.9)
Negative	9/135 (6.7)
Not performed	
Human Epidermal Growth Factor Receptor	
(HER2) Status (n/N (%))	13/135 (9.6)
Positive	105/135 (77.8)
Negative	17/135 (12.6)
Not performed	

^{*} One patient had SLNB only

D. Safety and Effectiveness Results

1. Safety Results

The analysis of safety was based on the cohort of 147 evaluable patients. The key safety outcomes for this study are presented below. Adverse effects are reported in Tables 10 and 11.

Adverse events that occurred in the PMA clinical study:

A total of 69 adverse events were reported in 56/147 (38.1%) subjects, and of these adverse events, 9 (13.0%) were considered serious adverse events (SAE).

The most common adverse events were breast discoloration/hyperpigmentation, which occurred in 16.3% (24/147) of subjects and ecchymosis/bruising, which occurred in 6.8% (10/147) of subjects. Breast discoloration was not observed in patients that underwent mastectomy (43/147 or 29.3%) at follow up visit between 6-22 days post-surgery.

 Table 10: Adverse events by type

	Events	Subjects
Adverse Event Type	ł	, and the second
	(N)	n (%)
Total Adverse Events	69	56
Total Haverse Events		(38.1)
Breast	24	24
Discoloration/Hyperpigmentation	24	(16.3)
Ecchymosis / Bruising	10	10 (6.8)
Pain	5	5 (3.4)
Other	5	5 (3.4)
Gastrointestinal Disorder	3	3 (2.0)
Cellulitis	3	3 (2.0)
Skin Ischemia	3	3 (2.0)
Cardiac Disorder	3	3 (2.0)
Rash	2	2 (1.4)
Erythema	2	2 (1.4)
Respiratory Disorder	1	1 (0.7)
Hypertension	1	1 (0.7)
Hypotension	1	1 (0.7)
Pulmonary Embolism	1	1 (0.7)
Musculoskeletal Disorder	1	1 (0.7)
Psychological Disorder	1	1 (0.7)
Allergic Reaction	1	1 (0.7)
Pleural Effusion	1	1 (0.7)
Inflammation	1	1 (0.7)

Table 11 shows Magtrace®-related adverse events. If an adverse event was assessed as having an "undetermined" relationship, it was conservatively considered "related."

Twenty (20) events occurring in 20 subjects (13.6%) were related to Magtrace®, and six (6) events occurring in six (6) subjects (4.1%) were assessed as having an undetermined relatedness in relation to Magtrace®. There were nine (9) serious adverse events in the study. After data analysis, seven (7) out of the nine (9) SAEs were unrelated to the Magtrace®, and two (2) of the nine (9) SAEs were found to be undetermined (Bradycardia and Anaphylaxis).

 Table 11: Magtrace®-Related Adverse Events

	Magtrace TM -Related Adverse Events		
Adverse Event Type	Events N Subjects n (%		
Total Adverse Events	26	25 (16.3)	
Breast Discoloration/Hyperpigmentation ¹	23	23 (15.6)	
Erythema	1	1 (0.7)	
Anaphlaxis ²	1	1 (0.7)	
Cardiac Disorder ³	1	1 (0.7)	

¹Breast Discoloration: The degree and duration of skin staining is unknown. Skin staining was not observed in patients that underwent mastectomy (43/147) at follow-up visit between 6-22 days post-surgery.

2. Effectiveness Results

The analysis of effectiveness was based on the 147 evaluable patients who completed the study. Key effectiveness outcomes are presented in Tables 12 to Table 17.

Primary Endpoint Analysis

²Anaphlaxis: During the procedure the patient developed tongue swelling, hypotension, and tachycardia and was treated with epinephrine and steroids and the event resolved that day.

³Cardiac Disorder: Thirty (30) minutes after injection bradycardia followed by pulselessness treated with atropine, CPR with intubation and the event resolved.

The primary endpoint was the lymph node detection rate, which is defined as the number of lymph nodes identified by a specific method (Magtrace® or Control) divided by the total number of lymph nodes detected (n=369). The Magtrace®/Sentimag® had a detection rate 94.3% and the control detected 93.5% of the total nodes detected. The difference in detection rates between the methods (Magtrace® - Control) was 0.8% with a 95% one-sided lower confidence bound of -2.1%.

Table 12: Summary of Overall mITT Study Results

	G140208 Pivotal Study Breast Cancer		
	Magtrace® n = 147	Radioisotope with blue dye $n = 147$	
Nodes detected (n)	348	345	
Per node lymph node detection rate % (95% CI)	94.3% (91.9%, 96.7%)	93.5% (91.0%, 96.0%)	
Per patient lymph node detection rate % (95% CI)	98.6% (95.2%, 99.8%)	98.0% (94.2%, 99.6%)	
Overall per patient concordance % (95% CI)	98.0% (94.2%, 99.6%)		
Patients with at least one positive (metastatic) node (n)	22		
Detection rate for patients with at least one metastatic node % (95% CI)	95.5% (86.8%, 100.0%)	95.5% (86.8%, 100.0%)	

Table 13: The nodal detection rates

	Magtrace TM		
Control (Radioisotope and Blue Dye)	Detected	Not Detected	Total
Detected	326 (88.3%)	19 (5.2%)	345 (93.5%)
Not Detected	22 (6.0%)	2 (0.5%)	
Total	348 (94.3%)		3691 (100.0%)

¹Four sentinel lymph nodes are excluded due to missing data for Magnetic (Magtrace®) count, Radioisotope count and/or Blue Dye.

There were 41 discordant nodes in 29 subjects; 19 were found by control only and 22 were found by Sentimag® only.

Table 14: Findings of Discordant Lymph Nodes

Overall discordant Nodes	Rate	Number of Nodes Detected by Test but not Control	Number of Nodes Detected by Control but not Test
41/369	11.1%	22 (in 16/29 patients)	19 (in 13/29 patients)

All of the discordant nodes had no clinical impact as:

- All malignant SLNs were concordant
- All discordant SLNs were benign. (See Table 20 malignant nodes table)

Table 15: Sentinel Node per-Node Detection Rates by Radioisotope Alone

	Magtrace TM		
Radioisotope	Detected	Not Detected	Total
Detected	319 (86.4%)	19 (5.1%)	338 (91.6%)
Not Detected	29 (7.9%)	2 (0.5%)	
Total	348 (94.3%)		3691 (100.0%)

Four sentinel lymph nodes are excluded due to missing data for Magnetic (Magtrace®) count, Radioisotope count and/or Blue Dye.

Table 16: Sentinel Node per-Node Detection Rates by Blue Dye Alone

	Magtrace ®		
Blue Dye	Detected	Not Detected	Total
Detected	175 (47.4%)	5 (1.4%)	180 (48.8%)
Not Detected	173 (46.9%)	16 (4.3%)	
Total	348 (94.3%)		3691 (100.0%)

¹Four sentinel lymph nodes are excluded due to missing data for Magnetic (Magtrace®) count, Radioisotope count and/or Blue Dye.

Table 17: Sentinel Node per-Subject Detection Rates by Method

	Magtrace ®		
Control (Radioisotope and Blue Dye)	At Least 1 Node Detected	No Nodes Detected	Total
At Least 1 Node Detected	144/147 (98.0%)	0 (0.0%)	144 (98.0%)
No Nodes Detected	1/147 (0.7%)	1/147 (0.7%)	
Total	145/147 (98.6%)		147 (100.0%)

Other Endpoint Analysis

 Table 18: Results of Other Per Node Endpoints

Per Node Endpoints

	n/N Rate (95% CI)
Overall Nodal Concordance Number of nodes identified by both test and Control out of all nodes identified	326/369 (88.3%) CI (85.1%, 91.6%)
Overall Nodal Discordance Number of nodes identified by either test or Control (but not by both) out of all nodes identified	41/369 (11.1%) CI (7.9%, 14.3%)
Nodal concordance Number of nodes identified by both test and Control out of nodes identified by Control	326/345 (94.5%) CI (92.1%, 96.9%)
Reverse nodal concordance Number of nodes identified by both test and Control out of nodes identified by test	326/348 (93.7%) CI (91.1%, 96.2%)

Table 19: Number of Lymph Nodes Detected per Subject Assessed for Each Method.

	Mean (S.D)	Median	Range
Magtrace®	2.4 (1.19)	2	0-6
Control	2.4 (1.34)	2	0-6
Radioisotope	2.3 (1.38)	2	0-6
Blue Dye	1.2 (0.93)	1	0-4

3. Subgroup Analysis

Per node endpoints for cancer positive (malignant) nodes

The nodal status was reported as the percentage of histologically malignant nodes detected by a specific detection method (magnetic; combined radioisotope and blue dye; radioisotope alone; blue dye alone) on a per node and a per subject basis.

Of the 25 confirmed analyzable positive (malignant) nodes in the mITT analysis set, 96.0% (24/25) with a 95% CI of (88.3%, 100.0%) were identified by both the Control radioisotope or blue dye, and Magtrace®. One (1) node was not

identified by either Control or Magtrace®, but was considered 'highly clinically suspicious' in the judgment of the investigator. All the nodes identified by either Magtrace® or Control were identified by both Magtrace® and Control. Blue dye detected 60.0% (15/25).

Of the 24 malignant nodes identified by both Magtrace® and Control, 19 contained macrometastasis, and five (5) contained micrometastasis. The one node that was not identified by either Control or Magtrace® but was considered clinically suspicious contained a macrometastasis.

Table 20: Sentinel lymph node detection of malignant nodes - per node

	M agtrace TM		
Control (Radioisotope or Blue Dye)	Cancer Positive Detected	Cancer Positive Not Detected	Total
Cancer Positive Detected	24 (96.0%)	0 (0.0%)	24 (96.0%)
Cancer Positive Not Detected	0 (0.0%)	1 (4.0%)	
Total	24 (96.0%)		251 (100.0%)

One additional positive node (and the one subject with this node) is excluded from analyses discussed above since it did not meet any of the criteria for a sentinel lymph node. This node, subject 06-018, Node 4, was one of two (2) nodes excised in a single piece of tissue: subject 06-018, Nodes 3 and 4. Node 3 had a Magtrace® and radioisotope signal and was recorded as a sentinel lymph node. Node 4 did not meet any of the pre-determined criteria for a sentinel lymph node and was therefore recorded as a non-sentinel lymph node. Upon histopathological analysis Node 4 was found to be malignant.

4. Pediatric Extrapolation

In this premarket application, existing clinical data was not leveraged to support approval of a pediatric patient population.

E. Financial Disclosure

The Financial Disclosure by Clinical Investigators regulation (21 CFR 54) requires applicants who submit a marketing application to include certain information concerning the compensation to, and financial interests and arrangement of, any clinical investigator conducting clinical studies covered by the regulation. The pivotal clinical study included 13 investigators. None of the clinical investigators had disclosable financial interests/arrangements as defined in sections 54.2(a), (b), (c), and (f). The information provided does not raise any questions about the reliability of the data.

XI. SUMMARY OF SUPPLEMENTAL CLINICAL INFORMATION

The supplemental clinical information includes:

- 1. French Clinical Study NCT01790399
- 2. Subgroup Analyses of Mastectomy Cohort
- 3. Protocol Deviations in the Pivotal (Sentimag®) study
- 4. Device Failures in the Pivotal (Sentimag®) Study
- 5. Magnetic Resonance Imaging (MRI) Artifact
- 6. Published literature studies

1. French Feasibility Study Summary:

A feasibility study was conducted in France (NCT No: NCT01790399). This was an investigator-led multi-center paired comparison of Sienna $^{+}$ and Sentimag[®] with radioisotope \pm Blue dye. Sienna $^{+}$ is a previous formulation of the same iron oxide particles, which required dilution with saline prior to injection.

A. French Study Title: Detection of Sentinel Node using Sentimag®/Sienna+ for breast cancer: A feasibility study.

B. Overview of Feasibility Trial

Patients were treated between January 30, 2013, and January 22, 2014.

C. Patient Disposition

Number enrolled: n=115

Number of evaluable patients: n=108

Withdrew: n=7, 1 withdrew consent, 1 did not receive study drug, the remainder had missing data due to data entry fault at

the time of surgery

Number of participating Centers: n=4

D. Study Objectives

• <u>Primary</u>: To evaluate the feasibility of the sentinel lymph node identification technique using the Sentimag[®] device (manual magnetometer)/Sienna+ (superparamagnetic iron-oxide tracer).

• <u>Secondary</u>: To evaluate the reliability of the technique compared with benchmark methods (isotopic and/or colorimetric).

E. Clinical Endpoints

Safety Endpoint:

Rates of adverse events and serious adverse events were recorded.

Primary Endpoint:

• The primary endpoint of this trial was the proportion of successful procedures for SLN identification (identification rate per patient) by the magnetic method compared with the standard method (isotopes with or without patent blue).

Other Endpoints:

- The secondary endpoint evaluated the concordance of sentinel nodes detected with magnetic and standard method. The concordance is reported by patient and by node.
- Concordance per subject is defined as the number of subjects in whom the magnetic technique agrees with the standard technique (i.e., subjects in whom either both identified a node, or neither identified a node) divided by the total number of evaluable subjects.

• Concordance per node is defined as the number of nodes in whom the magnetic technique agrees with the standard technique (i.e., nodes detected by either both techniques or neither technique) divided by the total number of evaluable nodes.

Success/Failure Criteria:

• A successful procedure was defined as the detection of at least one magnetic sentinel node for the magnetic method; and at least one node radioactive and/or blue (if blue dye was used) for the standard method.

F. Study Design

Methodology:

• The investigated devices were the Sentimag® probe system and Sienna+ magnetic tracer. Sienna+ was diluted with 3ml of 0.9% saline prior to injection.

The control products used were: Nanocis® or Nanocoll albumin colloids radiolabeled with Technetium 99m isotope; with or without patent blue dye.

- Patients received the radioisotope injection first; either the day before or day of surgery, per the usual custom of the center. After induction of anesthesia, the Sienna+ was administered followed by blue dye.
- Sentinel Node Detection was first performed with Sentimag® followed by gamma probe and blue dye. All nodes identified by any method were removed.

Radioisotope (Technetium albumin colloid) was injected according to the standard of care protocol at each site. Forty-five (45) of 108 patients (45/108, 42%) also received a blue dye injection shortly prior to surgery at sites where blue dye was standard protocol. Sienna+ was injected at least 20 minutes prior to initiating sentinel lymph node mapping.

Lymph node detection was performed intraoperatively using the Sentimag[®] probe to identify magnetic nodes, followed by the use of a handheld gamma probe to identify radioactive ('hot') nodes. Any blue or black/brown stained nodes, and any nodes judged to be highly clinically suspicious by the surgeon were also excised. The excised nodes were evaluated using histopathology.

The percentage of lymph nodes identified by each technique was presented with a 95% confidence interval. The comparison of discordant pairs (identified or non-identified SLN) was conducted using the McNemar test per patient and

per lymph node. To detect a 5% discrepancy percentage between the two (2) techniques with a 95% confidence interval of 0.04, 115 evaluable patients needed to be enrolled.

G. Clinical Inclusion and Exclusion Criteria

Enrolment in the French Study was limited to patients who met the following inclusion criteria:

- Female patients with invasive or micro-invasive breast cancer proven by histology or cytology regardless of the histology type
- cT0/cT1/cT2 (up to 5 cm) cN0 clinic and/or echographic previously untreated (chemotherapy or neo-adjuvant hormonotherapy)
- Aged 18 years or over
- Scheduled for breast surgery and axillary staging by sentinel lymph node
- Female patient using effective contraception (BHCG negative)
- Patient affiliated to a health insurance system
- Informed consent signed by the patient

Patients were <u>not</u> permitted to enroll in the French Study if they met any of the following exclusion criteria:

- T3 or T4 tumor (> 5 cm, cutaneous or muscular infiltration, or inflammatory cancer)
- Existence of an axillary adenopathy suspected clinically or in imaging
- Bifocal or multi-focal tumors known before surgery
- History of mammary of axillary surgery
- Metastatic patient
- Patient with a contra-indication to anesthesia and/or surgery
- Intolerance or hypersensitivity:
 - to iron or dextran or superparamagnetic iron oxide particles
 - to the patent blue dye in centers where it is currently used
- Patient unable to receive a radioactive isotope for excision of the sentinel lymph node
- Allergy to radioactive product
- Iron excess disease
- Cardiac stimulator or any other device implantable in the thoracic wall

- Unable to be medically monitored in the study for geographic, social or mental reasons
- Patient deprived of their freedom or under guardianship
- Pregnant or breast-feeding

H. Patient accountability

One hundred fifteen (115) subjects were enrolled at four (4) investigational sites in France and 108 subjects completed the Sentinel Lymph Node Biopsy (SLNB) procedure. Seven (7) subjects were not evaluable: one (1) did not receive the Sienna+ injection; one (1) subject withdrew consent prior to the SLNB procedure; and five (5) had missing data for the Sentimag[®] technique due to a data entry fault in the operating room.

The patient accountability tree is shown in Figure 5.

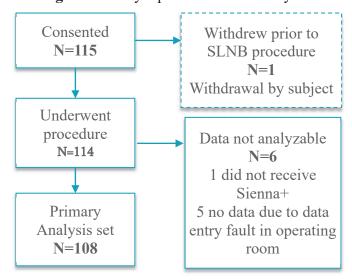


Figure 5: Study 2 patient accountability tree

I. Study population demographics

The median age was 58 years (range 29-79). Histopathological analysis showed that 89% of tumors were invasive carcinoma. Baseline clinicopathologic characteristics for the French Study population are shown in Table 21.

Table 21. Demographic and Clinicopathologic Baseline Characteristics for the French Study Population

	N = 108	%
Age		
≤ 5 0	29	27
51-69	62	57
≥ 70	17	16
BMI		
Thin	3	3
Normal	44	41
Overweight	40	37
Obese	18	17
Morbidly obese	2	2
Missing	1	
Hormonal status		
Active	26	24
Pre-menopausal	5	5
Menopausal	77	71
Location of the lesion		
Upper inner quadrant	26	24
Upper outer quadrant	62	57
Lower-inner quadrant	5	5
Lower-outer quadrant	9	8
Retro-areolar	5	1
Histology type		
Invasive root carcinoma	96	89
Invasive lobular	9	8
Other	3	3
SBR Grade	37	34

	N = 108	%
II	58	54
III	13	12
Hormonal receptors		
Estrogen receptors		
Negative	9	8
Positive	99	92
Progesterone receptors		
Negative	28	26
Positive	80	74
HER status (in IHC)		
0	60	57
+	29	27
++	8	8
+++	9	8
Missing (#5, #6)	2	
KI67		
≤ 15	70	67
>15	35	33
Median (range)	10	(0-
Missing (#6, #8, #99)	3	90)

J. <u>Safety & Effectiveness Results</u>

Safety results:

Seventy (70) subjects had post-operative complications. The most common adverse events were breast discoloration/hyperpigmentation, which occurred in 22 subjects and seroma (noted as "punctured lymphocele") which occurred in 14 subjects.

Three (3) serious adverse events were recorded in two (2) subjects: one subject was hospitalized for a bacterial infection and one subject had two (2) separate hematoma events not related to the study. No serious adverse events related to the device were reported.

Effectiveness results:

Table 22: Primary Endpoint Analysis

	Sienna + (Magnecarbodex) n=108	Radioisotope with/without Blue Dye
		n= 108
Nodes Detected (n)	208	193
Per Patient Lymph Node Detection Rate % (95% CI)	97.2% (92.1%, 99.4%)	95.4% (89.5%, 98.5%)
Overall per Patient Concordance % (95% CI)	96.3% (90.8%, 99.0%)	

Table 23: Detection Concordance for Cancer Positive Nodes

Per Patient	Sienna cancer +	Sienna Cancer -
Control cancer +	43	1
Control cancer -	2	0

Primary endpoint analysis

The primary endpoint of this trial was the proportion of successful procedures for Sentinel Lymph Node (SLN) identification (identification rate per patient) by the magnetic method compared with the standard method (isotopes with or without patent blue).

In total, 220 SLNs were collected from 106 patients. The identification of at least one SLN with standard method was achieved in 95.4% of patients (103/108, 95%CI: 89.5–98.5) and with Sienna+ in 97.2% of patients (105/108, 95%CI: 92.1–99.4).

The concordance rate per subject of the two (2) mapping methods (magnetic and isotopic \pm patent blue) was 96.3%, 95%CI: 90.8–99.0). The discordance rate of both methods per subject was 3.7% (4/108, CI: 1.0–9.2%). The p-value for the Exact McNemar test was p = 0.6250, which means that there is insufficient statistical evidence that the two methods are discordant.

Per node endpoints

Among the 220 SLNs removed, 214 were subjected to statistical analysis (six (6) nodes had intraoperative tracer values missing). A mean [SD] of 2.08 [0.943] SLNs per subject were identified. The mean number of magnetic nodes identified was 2.01 [0.976] per subject and the mean of standard nodes identified was 1.94 [0.968]. The nodal concordance rate was 88.3% (95%CI: 83.2–92.3).

Endpoints for subjects with positive nodes

Forty-six patients (46, 43.4%) had nodal involvement with 21 (45.7%) presenting micrometastasis and 25 (54.3%) presenting macrometastasis. The per subject malignancy detection rate was 95.7% (44/46, 95%CI: 85.2–99.5) for the standard method and 97.8% (45/46, 95%CI: 88.4–99.9) for the magnetic technique.

Among these node-positive patients, the concordance rate was 93.5% (43/46, 95% CI: 82.1%; 98.6%). For the 61 involved SLNs included in the calculation, the concordance rate was 86.9% (53/61, 95% CI: 75.8%; 94.2%).

Table 24 summarizes the per-patient and per-node endpoints.

Table 24: Per node and per patient lymph node detection rates for Sienna+ and Radioisotope in NCT01790399

	French NCT01790399Study	
	Sienna+	Radioisotope with or
		without blue dye
	n = 108	n = 108
Nodes detected (n)	208	193
Per node lymph node detection rate % (95% CI)	97.2%	90.2%
	97.2%	95.4%
Per patient lymph node detection rate % (95% CI)	(92.1%,	(89.5%,98.5%)
	99.4%)	
Organilly any matient concendence 0/ (050/ CI)	96.3%	
Overall per patient concordance % (95% CI)	(90.8%, 99.0%)	
Patients with at least one positive node n	46	
Detection water few nations with at least one metastatic node 0/ (050/ CD)	97.8%	95.7%
Detection rate for patients with at least one metastatic node % (95% CI)	(88.4, 99.9)	(85.2, 99.5)

K. Protocol Deviations

A total of 36 protocol deviations was reported in 29.6% (34) of subjects. The most common protocol deviation was incorrect β HCG pregnancy testing or testing out of the specified timeframe. This deviation occurred 13 times and at all four (4) sites. The deviations that occurred did not negatively impact the scientific soundness or the data integrity of the clinical study.

L. Financial Disclosure

The Financial Disclosure by Clinical Investigators regulation (21 CFR 54) requires applicants who submit a marketing application to include certain information concerning the compensation to, and financial interests and arrangement of, any clinical investigator conducting clinical studies covered by the regulation. The supplemental clinical study included 14 investigators. None of the clinical investigators had disclosable financial interests/arrangements as defined in sections 54.2(a), (b), (c), and (f). The information provided does not raise any questions about the reliability of the data.

M. NCT01790399 Feasibility Safety & Effectiveness Conclusions

The study success criterion was met showing no significant discrepancy between the per subject detection rates for the two (2) techniques. The investigational device produced a similar risk profile to Control with no unanticipated adverse device effects. The analysis of this study provides valid scientific evidence to support the safety and effectiveness of Sentimag[®]/Sienna+ to assist in detecting and localizing lymph nodes draining a tumor site in breast cancer, as part of a SLNB procedure.

1. Subgroup Analyses of Mastectomy Cohort

Forty-three (43) of the 160 patients in the pivotal trial underwent mastectomy with SLNB. The demographics of this cohort are shown in Table 25 below.

Table 25: Demographics of the Mastectomy Patient Cohort (Pivotal Study)

Characteristic	n/N (%) or Mean (SD)
Race (not mutually exclusive, n/N (%))	
American Indian or Alaska Native	0/43 (0%)
Asian	2/43 (4.7%)
Black or African American	2/43 (4.7%)

Native Hawaiian or Other Pacific Islander	0/43 (0%)
White	36/43 (83.7%)
Other	3/43 (7.0%)
Ethnicity (n/N (%))	
Hispanic or Latino	5/43 (11.6%)
Not Hispanic or Latino	38/43 (88.4%)
Age	54.7 (11.7)
BMI	26.8 (5.4)
Endpoint	
Magtrace TM per node detection rate	116/123 (94.3%)
Control per node detection rate	115/123 (93.5%)
Magtrace TM per subject detection rate	43/43 (100%)
Control per subject detection rate	43/43 (100%)
Node positive subjects:	6/43 (14.0%)

The baseline clinical pathological characteristics of the mastectomy cohort are shown in Table 26 below:

 Table 26: Baseline Clinicopathologic Characteristics of the Mastectomy Patient Cohort

Tumor location	
Upper Outer Quadrant (UOQ)	23/43 (53.5%)
Upper Inner Quadrant (UIQ)	10/43 (23.3%)
Lower Inner Quadrant (LIQ)	1/43 (2.3%)
Lower Outer Quadrant (LOQ)	5/43 (11.6%)
Central/Areolar	4/43 (9.3%)
Pathological tumor size	
pTis	6/38 (15.8%)

pT1a	2/38 (5.3%)
pT1b	4/38 (10.5%)
pT1c	9/38 (23.7%)
pT2	13/38 (34.2%)
pT3	4/38 (10.5%)
Tumor grade	
I	6/38 (15.8%)
II	19/38 (50%)
III	11/38 (28.9%)
IV	0/38 (0%)
Not assessable	2/38 (5.3%)
Estrogen Receptor (ER) Status	
(n/N (%))	34/43 (79.1%)
Positive	34/43 (77.170)
Negative	4/43 (9.3%)
Not performed	5/43 (11.6%)
Progesterone Receptor (PR) Status	
(n/N (%))	25/43 (58.1%)
Positive	23/43 (30.170)
Negative	13/43 (30.2%)
Not performed	5/43 (11.6%)
Human Epidermal Growth Factor	
Receptor (HER2) Status (n/N (%))	2/42 (4 7%)
Positive	2/43 (4.7%)
Negative	33/43 (76.7%)
Not performed	8/43 (18.6%)

Table 27: Per node detection rates for mastectomy patients from the mITT group

Magtrace TM (mITT nodal analysis)				
Control (Radioisotope and Blue Dye)	Detected Not Detected Total			
Detected	108/123 (87.8%)	7/123 (5.7%)	115/123 (93.5%)	
Not Detected	8/123 (6.5%)	0/123 (0%)		
Total	116/123 (94.3%)		123/123 (100%)	

Table 28: Malignant node per node detection rates for mastectomy patients from the mITT group

	Magtrace TM (mITT nodal analysis of malignant nodes)		
Control (Radioisotope and Blue Dye)	Malignant Detected	Malignant Not Detected	Total
Detected	8/8 (100%)	0/8 (0%)	8/8 (100%)
Not Detected	0/8 (0%)	0/8 (0%)	
Total	8/8 (100%)		8/8 (100%)

2. Protocol Deviations in the Pivotal (Sentimag®) study

In total, 29 protocol deviations were reported in 17.5% (28) of subjects. The most common protocol deviation was the use of Lymphoseek (technetium Tc 99m tilmanocept) as the radioisotope Control versus the protocol-required radiolabeled sulfur colloid radioisotope. This deviation occurred 13 times at three (3) different sites. The deviations that occurred did not negatively impact the scientific soundness or the data integrity of the clinical study. However, subjects in whom Lymphoseek was used were excluded from the PP analysis as this met one of the pre-specified criteria for exclusion from the PP analysis set.

3. <u>Device Failures in the Pivotal (Sentimag®) Study</u>

Four (4) Sentimag® device failures were reported in four (4) subjects. No adverse effects occurred as a result of the device failures.

4. Magnetic Resonance Imaging (MRI) Artifact

Magtrace® can cause image artifacts during magnetic resonance imaging (MRI) near injection and drainage site. These artifacts may be present long-term.

- Information from European sample cases and reports indicate that the artifact persists, often unchanged, for at least 25 months.
- The artifact from the device may make large parts of the images completely uninterpretable and nondiagnostic.

Magtrace® may also travel to regions away from the injection site such as liver, spleen, etc. if injected directly into the blood stream. In such cases the presence of Magtrace® may cause image artifacts during Magnetic Resonance Imaging (MRI) of those regions. Some manipulation of scan parameters may be required to compensate for the artifact.

Magtrace® residues have not been reported to produce artifacts affecting imaging in Contrast Enhanced Digital Mammography (CEDM), X-ray, PET, PET/CT, CT, or ultrasound studies.

Table 29 summarizes per patient or per breast occurrence of imaging artifacts in mastectomy patients.

In the study conducted by Krischer et al.², 24 subjects participated of which two (2) had bilateral mastectomy treatment making in total 26 breast cancer cases. Of these, 18 underwent Breast Conserving Surgery (BCS), and eight (8) underwent mastectomy. Of the BCS cases, the data from one subject (PID 15) was not interpretable due to breathing artifacts, leaving 17 interpretable BCS cases. There were two (2) bilateral surgeries, but no bilateral mastectomies. Subject PID 3 had a Right mastectomy and a left lumpectomy and subject PID 17 had bilateral lumpectomy. Therefore, in total, eight (8) patients underwent mastectomy, of whom one also had a lumpectomy in the contralateral breast. None of the cases show the occurrence of artifact.

In the SentimagIC pivotal study, 43/147 subjects had mastectomy. Of these, imaging was available for 2/43 plus a further subject 05-012 who received lumpectomy in the study and mastectomy after the study completed. None of the cases show the occurrence of artifact.

Table 29: Per patient and per breast occurrence of artifact in post-mastectomy MRI

Source	Number of post mastectomy images	Per patient (per breast) occurrence of artifact
Krischer et al. ² (see reference: Krischer et al., Feasibility of breast MRI after sentinel procedure for breast cancer with superparamagnetic tracers, Eur J Surg Oncol. 2018 Jan;44(1):74-79.)	24 subjects participated, of which two (2) had bilateral mastectomy, making 26 total breast cancer cases. • eight (8) mastectomies • 18 BCS (including one after chemotherapy) One subject (PID 15) not interpretable due to breathing artifacts and movement. Therefore 25 breast cancers eligible for analysis: • eight (8) mastectomies • 17 BCS Bilateral cases were: PID 3 right mastectomy, left lumpectomy; and PID 17 bilateral lumpectomy	0/8 (0/8)
SentimagIC pivotal study	43/147 subjects had mastectomy. Of these, imaging was available for 2/43 plus a further subject 05-012 who received lumpectomy in the study and mastectomy after the study completed.	0/3 (0/5) (Only 3/5 breasts received Magtrace®)
Total		0/11 (0/15)

Table 30 summarizes the type of mastectomy conducted after which the subject underwent MRI treatment. As noted above, there is no incidence of MRI artifacts observed in any of the cases outlined below.

Table 30: Type of mastectomy before MRI

Study	Type of mastectomy	Sienna injection technique	Incidence of MRI artifact
Krischer, 2018 paper	8 subjects received mastectomy (non-skin or nipple sparing)**	Sub-areolar interstitial	0/8 (None visible)
SentimagIC pivotal study Subject 05-012b,c*	Bilateral. Non-skin or nipple sparing	Sub-cutaneous, sub-areolar	None visible
SentimagIC pivotal study Subject 05-018	Bilateral. Non-skin or nipple sparing	Sub-cutaneous, sub-areolar	None visible
SentimagIC pivotal study Subject 06-030	Skin-sparing	Sub-cutaneous, sub-areolar	None visible

^{*}Subject 05-012 received lumpectomy surgery in the study, but subsequently bilateral mastectomy, after which these MRI scans were obtained.

The summary of studies on MRI Artifact is presented in Table 31 to Table 33.

^{**}Data on the type of mastectomy obtained from the author via a personal communication.

Table 31 (All Injection Methods)

Study [Reference]	Amount of Magtrace Injected and Location (e.g., subareolar, periareolar, retroareolar, peritumoral, etc.)	Net Number of Subjects Participated/ Studied	Number (and %) of Subjects with Image Artifacts	Size of the Artifacts (mean, range)	Did Artifacts Resolve Completely (Yes (%), No (%))	Time taken to resolve artifacts (mean, and range)	Radiology reported outcome (no artifacts, minimal artifacts, suitable for diagnosis/ non-diagnostic, significantly impaired)
Krischer 2018	2 ml Sienna+ 3ml Saline. 5 ml Retroareolar	n=17 evaluable	13/17 (76.5%)	Not specified	Not specified	Not specified	4/17 (23.5%) Grade 2 - without restriction, 10/17 (58.8%) Grade 1-with restriction, 3/17 (17.6%) Grade 0 – impossible
Aribal 2021	2 ml Sienna+ 3ml Saline. 5 ml Periareolar	n=25	21/25 (84%)	Not specified	Not specified	Not specified	No artifact 4/25(16%) Focal area of signal void artifact (less than 5mm) 10/25 (40%) Segmental area of signal void artifact 3/25(12%) Non diagnostic 8/25(32%)
PostMag Registry (Reference No. ISRCTN85167182) This study is not yet published.	2ml Peritumoral	n=11	10 (91%)	Mean artifact Volume 10 cm ³ Range: 0 cm ³ - 28 cm ³	Yes (18%) No (82%)	Mean 3 months Range: 1 month to 5 months	No Artifacts 1/11 (9%) Minimal Artifacts suitable for diagnosis 6/11 (55%) Significantly impaired, but could be diagnostic 3/11 (25%) Non diagnostic, 1/11 (9%)
Unpublished study	2ml Peritumoral	n=2	1(50%)	Mean artifact Volume 5 cm ³ Range: 0 cm ³ - 10 cm ³	Yes (50%) No (50%)	Not applicable, Magtrace intraoperative followed by MRI	No Artifacts 0/2 (0%) Minimal Artifacts suitable for diagnosis 2/2 (100%) Non diagnostic, significantly impaired 0/2 (0%)
Sentimag IC Study Reference: NCT02336737 IDE No. G140208	2ml Subareolar	147/13	12 (92%)	Mean 68 cm ³ Range 0 cm ³ - 204 cm ³	Yes (8%) No (92%)	Mean: 10 months, Range: 1 month to 15 months	No Artifacts 1/13 (8%) Minimal Artifacts suitable for diagnosis 4/13 (31%) Significantly impaired but could be diagnostic 5/13 (39%) Non diagnostic, 3/13 (23%)
Shrotria, Stuart European Journal of Surgical Oncology 46 (2020) P136 Abstract 121.	2ml Periareolar	160/14	Not confirmed, assumed 100%	Not known	Not confirmed, assumed No (100%)	Not known	No Artifacts 0/14 (0%) Minimal Artifacts suitable for diagnosis 11/14 (78%) Significantly impaired 0/14 (0%) Non diagnostic, 3/14 (21%)

Table 32 (Peritumoral Injections)

Study [Reference]	Amount of Magtrace Injected	Net Number of Subjects Participated/ Studied	Number (and %) of Subjects with Image Artifacts	Size of the Artifacts (mean, range)	Did Artifacts Resolve Completely (Yes (%), No (%))	Time taken to resolve artifacts (mean, and range)	Radiology reported outcome (no artifacts, minimal artifacts, suitable for diagnosis/ non-diagnostic, significantly impaired)
PostMag Registry (Reference No. ISRCTN85167182) This study is not yet published.	2ml Peritumoral	n=11	10 (91%)	Mean artifact Volume 10 cm ³ Range: 0 cm ³ -28 cm ³	Yes (18%) No (82%)	Mean 3 months Range: 1 month to 5 months	No Artifacts 1/11 (9%) Minimal Artifacts suitable for diagnosis 6/11 (55%) Significantly impaired, but could be diagnostic 3/11 (25%) Non diagnostic, 1/11 (9%)
Unpublished study	2ml Peritumoral	n=2	1(50%)	Mean artifact Volume 5 cm3 Range: 0 cm3 -10 cm3	Yes (50%) No (50%)	Not applicable, Magtrace intraoperative followed by MRI	No Artifacts 0/2 (0%) Minimal Artifacts suitable for diagnosis 2/2 (100%) Non diagnostic, significantly impaired 0/2 (0%)

Table 33 (Not Peritumoral Injections, All other methods of Injection)

Study [Reference]	Amount of Magtrace Injected	Net Number of Subjects Participated/ Studied	Number (and %) of Subjects with Image Artifacts	Size of the Artifacts (mean, range)	Did Artifacts Resolve Completely (Yes (%), No (%))	Time taken to resolve artifacts (mean, and range)	Radiology reported outcome (no artifacts, minimal artifacts, suitable for diagnosis/ non-diagnostic, significantly impaired)
Krischer 2018	2 ml Sienna+ 3ml Saline.	n=17 evaluable	13/17 (76.5%)	Not specified	Not specified	Not specified	3/17 (17.6%) grade 0 – impossible,
			(, 0.0, 0)				10/17 (58.8%) Grade 1-with restriction,
	5 ml Retroareolar						4/17 (23.5%) Grade 2 - without restriction
Aribal 2021	2 ml Sienna+	n=25	21/25 (84%)	Not specified	Not specified	Not specified	No Artefacts 26/34 (81%)
	3ml Saline. 5 ml Periareolar						Minimal Artefacts suitable for diagnosis 6/34 (18%)
							Significantly impaired, but could be diagnostic 1/34 (3%)
							Non diagnostic, 1/34 (6%)
Sentimag IC	2ml Subareolar	147/13	12 (92%)	Mean 68 cm ³	Yes (8%)	Mean: 10 months,	No Artifacts 1/13 (8%)
Study Reference:				Range 0 cm ³ -	No (92%)	Range: 1 month to 15 months	Minimal Artifacts suitable for diagnosis 4/13
NCT02336737 IDE No. G140208				204 cm ³			(31%)

Study [Reference]	Amount of Magtrace Injected	Net Number of Subjects Participated/ Studied	Number (and %) of Subjects with Image Artifacts	Size of the Artifacts (mean, range)	Did Artifacts Resolve Completely (Yes (%), No (%))	Time taken to resolve artifacts (mean, and range)	Radiology reported outcome (no artifacts, minimal artifacts, suitable for diagnosis/ non-diagnostic, significantly impaired)
							Significantly impaired but could be diagnostic 5/13 (39%) Non diagnostic, 3/13 (23%)
Shrotria, Stuart European Journal of Surgical Oncology 46 (2020) P136 Abstract 121.	2ml Periareolar	160/14	Not confirmed, assumed 100%	Not known	Not confirmed, assumed No (100%)	Not known	No Artifacts 0/14 (0%) Minimal Artifacts suitable for diagnosis 11/14 (78%) Significantly impaired 0/14 (0%) Non diagnostic, 3/14 (21%)

5. Published literature studies

Further studies: Seven (7) European studies have been carried out for which the data are (Note: The French NCT01790399 Study is the Houpeau study in Table 34). published. These are summarized in the Table 34 along with the supporting publications

Table 34: Summary of Published European Studies

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Author	Douek ⁷	Thill ⁸	Rubio ¹⁰	Ghilli ¹¹	Houpeau ¹²	Pinero ¹³	Karakatsanis ¹⁴
Centers	7	4	1	3	4	9	7
Locations	UK, Netherlands	Germany, Poland, Switzerland	Spain	Italy	France	Spain	Sweden, Denmark
Patients enrolled	160	150	100	185	108	181	206
Control technique	Isotope + Blue dye	Isotope	Isotope	Isotope	Isotope + Blue dye	Isotope	Isotope + Blue dye
Per patient d	etection rate (Per patient detection rate (proportion of patients in whom at least one node is found)	atients in v	vhom at lea	st one node is	found)	
Test:	94.4%	98.0%	96.0%	98.4%	97.2%	97.8%	97.6%
	151/160	147/150	96/100	182/185	105/108	177/181	201/206
Control:	95.0%	97.3%	93.0%	97.8%	95.4%	98.3%	97.1%
	152/160	146/150	93/100	181/185	103/108	178/181	200/206
Per node det	ection rate: (P	Per node detection rate: (Proportion of total nodes found)	al nodes fo	ound)			
Test:	80.0%	97.3%	N/A	95.0%	97.2%	91.0%	93.3%
	323/404	283/291		342/360	208/214	292/321	376/403
Control:	73.5%	91.8%	N/A	94.2%	90.2%	86.3%	91.3%
	297/404	267/291		339/360	193/214	277/321	368/403
Mean nodes	Mean nodes detected per patient:	atient:					
Test:	2.0	1.9	2.2	1.8	1.9	1.6	1.8
Control:	1.9	1.8	1.77	1.8	1.8	1.5	1.8

received Sienna+ (Magtrace®) showed signs of skin staining. Skin staining was sparing mastectomy. The position of the stain was towards upper outer quadrant and subcutaneous, periareolar injection subsequent to which she had undergone skin resolved in both patients in 3 months post-surgery. The first subject had received Table 34, above) in which two (2) of a total of 57 mastectomy patients who had Skin staining was observed only in the MONOS study (Karakatsanis study in

outer quadrant and the size of the stain 1 x 2 cm. In this case the stain also underwent classic mastectomy. The position of the stain was also towards upper subject also received subcutaneous, periareolar injection subsequent to which she the size of the stain 1 x 2 cm. The stain had disappeared after 3 months. The second disappeared after 3 months.

underwent mastectomy, no skin staining was observed at follow-up visit between 6-22 days In the SentimagIC pivotal study (G140208, NCT02336737) where 43 of 147 patients follow up was recorded. post-surgery and the surgeons did not record the type of mastectomy. No subsequent

Tables 35 to 38 summarize skin staining in patients for a number of studies.

Table 35 Skin Staining with All Injection Methods

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri- areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/ Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Rubio 2015	5ml SA	120	n=103 lumpectomy n=17 mastectomy	20% no timepoint specified	Not stated specifically "The tattoo usually vanishes over time."	Not stated specifically "In our study patients still have some discoloration after 6 months of the injection. This tattoo is similar to the one produced by the blue dye in terms of numbers and time of stain"	Unavailable
Karakatsanis 2016	5ml SA	Follow up for 186	n=154 Lumpectomy n=52 mastectomy	35% post-op 0-3 months "95.6% of patients with discoloration had been treated with breast conserving surgery" (lumpectomy)	Yes:75.8% No: 24.2% At 15 months	"Discoloration was present in 35.5 % of patients post-operatively (0–3 months) and faded progressively in size and color over time to 21 % of patients after a year. Staining remained present in 8.6 % 15 months after the operation, but much smaller and paler."	Unavailable
Houpeau 2016	5ml SA	108	n=100 lumpectomy n=5 mastectomy n=3 oncoplasty	22 (20.4%) <30days post- operative	Not stated	Not Stated, max follow up 30 days	Unavailable
Ghilli 2015	5ml SA	193	n=184 lumpectomy	"more than 40% of cases"	Yes:91% No: 9% At 6 months "transient in more than 91% in the first 6 months after the procedure."	"transient in more than 91% in the first 6 months after the procedure."	"The pigmentation was usually very light in colour and did not represent a real problem for the patient. As soon as surgeons became more familiar

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri- areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/ Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
			n=6 bilateral reduction mammoplasty n=2 mastectomy				with the technique, this side effect was controlled by performing the injection slightly deeper. "
Karakatsanis 2017	5ml PA or PT	184 PA 131, PT 53	n=126 lumpectomy n=57 mastectomy	Overall: 73/184 (39.9%) PA: 58/131 (44.3%) PT: 15/53 (28.3%) at 3 months no staining in mastectomy patients	Yes: 9.5% No: 90.5% At 15 months	"Albeit much smaller and paler, staining was still present in 36·1 per cent of patients (66 of 183) after 15months" "Of patients with skin staining, 97% had been treated with BCS."	(Likert scale was used for assessment.) "Patients who received a deeper peritumoral injection of SP10 had less staining immediately after surgery, as well as less staining over time. In total, 58 of 73 patients who developed staining had received a peri areolar injection, whereas only 15 had had a peritumoral injection (P = 0.046)" "All 66 patients with discoloration remaining after more than 10months responded to the questionnaire at both time points. Only two patients in this subgroup (3 per cent) complained that they were affected by the stain. Views regarding skin staining and cosmesis were mixed. The majority of patients considered staining a minor problem, if an issue at all (60 per cent at the first assessment and 61 per cent at the second). No substantial change in views was noted between the two time points (P = 0.280)"
Karakatsanis 2019	Not specified	n=189	n=129 lumpectomy	Lumpectomy: 42/129 (32.6	Not reported	Not reported	unavailable

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri- areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/ Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
			n=60 mastectomy	not specified No staining in mastectomy group			
Lorek 2019	5ml SA	n=303	n=191 lumpectomy n=107 mastectomy	47 (15.5%) initial follow-up at 3 months	Yes: 76.6% No: 23.4% At 30 months	"The average time needed for the discoloration to reduce by approximately 50% was 9 months and to disappear completely at approximately. 18 months. The longest persisting discoloration observed took 22 and 24 months."	unavailable
Wärnberg 2019	5ml RA or PT	n=337 n=177 RA n=163 PT	RA cohort: n=110 lumpectomy n=67 mastectomies. In the PT cohort: n=148 lumpectomy n=15 mastectomies	Lumpectomy + RA injn: 74/110 (67.3%) Lumpectomy + PT injn: 56/148 (37.8%) (p <0.001)	Lumpectomy + RA: Yes: 31.3% No: 68.7% Lumpectomy + PT: Yes: 75.1% No: 24.9%	Analysis based upon N = 130 (74+56) patients with skin staining. 46.2% had a remaining staining after retroareolar injections peritumoral (p \ 0.001 at 36 months)."	Analysis based upon 46 women of 75 with a remaining stain (since Likert scale was employed later). Self-assessed cosmetic outcome (0-5 points) was worse after retro-areolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points (p \ 0.001) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retro-areolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri- areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/ Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
							significant differences between the two injection types (data not shown). Women with a higher BMI scored lower at all time points, regardless of injection type, but the differences were not statistically significant (data not shown).
Alvarado 2019	2ml SA	n=146	n=103 lumpectomy, n=43 mastectomy	Lumpectomy: 23/103 (22.3%) 1-3 week follow-up	Not reported	Not reported	unavailable
Vural 2019	5ml RA	n=104 underwent analysis	n=89 lumpectomy/onc oplastic n=18 mastectomy	22 (20.4%) at post-operative consultation	Not reported	Not reported	unavailable
Rubio 2020	3 groups of n=45: Group 1: 1ml SA Group 2: 1.5ml SA Group 3: 2ml SA	n=135 n=118 answered questions on skin staining	n = 135 lumpectomy	83 (70.3%) one month post-operative	Not reported	Not reported	(Likert scale was used for assessment.) "As answer to the question whether the skin staining was a problem for the patient, patients reported not being a problem, being 23/33 (69.7%) in group 1, 28/40 (70%) in group 2, and 30 (73.2%) in group 3" "At 6 months follow up, 78.9% of the patients still felt that the skin staining was not a problem."
PostMag (2020) Registry (Reference	2ml PT	32/32	n=32 Lumpectomy n=0 Mastectomy	12 (37.5%) (immediately post op)	Yes (100%) No (0%)	Mean= 6 months Range=3 months to 9 months	Unavailable.

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri- areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/ Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
No. ISRCTN8516 7182)							No skin discoloration was observed in any of the subjects at 12 months

Table 36 Skin Staining with Peritumoral Injections

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/Stu died	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem-important/unavailable)
PostMag (2020) Registry (Reference No. ISRCTN85167182)	2ml PT	32/32	n=32 Lumpectomy n=0 Mastectomy	12 (37.5%) (immediately post op)	Yes (100%) No (0%)	Mean= 6 months Range=3 months to 9 months	Unavailable. No skin discoloration was observed in any of the subjects at 12 months
Wärnberg 2019	5ml PT	n=163 PT	In the PT cohort: n=148 lumpectomy n=15 mastectomies	Lumpectomy + PT injn: 56/148 (37.8%) (p <0.001)	Lumpectomy + PT: Yes: 75.1% No: 24.9%	Analysis based upon N = 130 (74+56) patients with skin staining. "After 6, 12, 24, and 36 months, 65.4%, 63.6%, 58.1%, and 46.2% had a remaining staining after retro-areolar injections and 34.0%, 31.3%, 14.0%, and 9.4% after peritumoral (p \ 0.001 at 36 months)."	Analysis based upon 46 women of 75 with a remaining stain (since Likert scale was employed later). Self-assessed cosmetic outcome (0-5 points) was worse after retro-areolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points (p \ 0.001) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retro-areolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically significant differences between the two injection types (data not shown). Women with a higher BMI scored lower at all time points, regardless of injection type, but the differences were not statistically significant (data not shown).
Karakatsanis 2017	5ml PT	n=53 PT	Type of surgery not stratified by injection site.	PT: 15/53 (28.3%) at 3 months	Not stratified by injection site. Overall	"Albeit much smaller and paler, staining was still	(Likert scale was used for assessment.)

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/Stu died	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem-important/unavailable)
			n=126 lumpectomy n=57 mastectomy. no staining in mastectomy patients		Yes: 9.5% No: 90.5% At 15 months	present in 36·1 per cent of patients (66 of 183) after 15months" "Of patients with skin staining, 97% had been treated with BCS."	"Patients who received a deeper peritumoral injection of SPIO had less staining immediately after surgery, as well as less staining over time. In total, 58 of 73 patients who developed staining had received a peri areolar injection, whereas only 15 had had a peritumoral injection (P = 0·046)" "All 66 patients with discoloration remaining after more than 10months responded to the questionnaire at both time points. Only two patients in this subgroup (3 per cent) complained that they were affected by the stain. Views regarding skin staining and cosmesis were mixed. The majority of patients considered staining a minor problem, if an issue at all (60 per cent at the first assessment and 61 per cent at the second). No substantial change in views was noted between the two time points (P = 0·280)"

Table 37 Skin Staining with Injection Methods other than Peritumoral Injection

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	d/Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Rubio 2015	5ml SA	120	n=103 lumpectomy n=17 mastectomy	20% no timepoint specified	Not stated specifically "The tattoo usually vanishes over time."	Not stated specifically "In our study patients still have some discoloration after 6 months of the injection. This tattoo is similar to the one produced by the blue dye in terms of numbers and time of stain"	Unavailable
Karakatsanis 2016	5ml SA	Follow up for 186	n=154 Lumpectomy n=52 mastectomy	35% post-op 0- 3 months "95.6% of patients with discoloration had been treated with breast conserving surgery" (lumpectomy)	Yes:75.8% No: 24.2% At 15 months	"Discoloration was present in 35.5 % of patients post- operatively (0–3 months) and faded progressively in size and color over time to 21 % of patients remained present in 8.6 % 15 months after the operation, but much smaller and paler."	Unavailable
Houpeau 2016	5ml SA	108	n=100 lumpectomy n=5 mastectomy n=3 oncoplasty	22 (20.4%) <30days post- operative	Not stated	Not Stated, max follow up 30 days	Unavailable
Ghilli 2015	5ml SA	193	n=184 lumpectomy n=6 bilateral reduction mammoplasty n=2 mastectomy	"more than 40% of cases"	Yes:91% No: 9% At 6 months "transient in more than 91% in the first 6 months after the procedure."	"transient in more than 91% in the first 6 months after the procedure."	"The pigmentation was usually very light in colour and did not represent a real problem for the patient. As soon as surgeons became more familiar with the technique, this side effect was controlled by performing the injection slightly deeper."
Karakatsanis 2017	5ml PA	n=184 Total	n=126 lumpectomy	Overall: 73/184 (39.9%)	Not stratified by injection site. Overall	"Albeit much smaller and paler, staining was still present in 36·1 per	(Likert scale was used for assessment.)

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+	Net Number of Subjects Participate d/Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
	diluted with 3ml saline	n=131 PA	n=57 mastectomy no staining in mastectomy patients	PA: 58/131 (44.3%) at 3 months	Yes: 9.5% No: 90.5% At 15 months	cent of patients (66 of 183) after 15months" "Of patients with skin staining, 97% had been treated with BCS."	"Patients who received a deeper peritumoral injection of SPIO had less staining immediately after surgery, as well as less staining over time. In total, 58 of 73 patients who developed staining had received a peri areolar injection, whereas only 15 had had a peritumoral injection (P = 0.046)" "All 66 patients with discoloration remaining after more than 10months responded to the questionnaire at both time points. Only two patients in this subgroup (3 per cent) complained that they were affected by the stain. Views regarding skin staining and cosmesis were mixed. The majority of patients considered staining a minor problem, if an issue at all (60 per cent at the first assessment and 61 per cent at the second). No substantial change in views was noted between the two time points (P = 0.280)"
Karakatsanis 2019	Not specified	n=189	n=129 lumpectomy n=60 mastectomy	Lumpectomy: 42/129 (32.6%) timepoint not specified No staining in mastectomy group	Not reported	Not reported	unavailable
Lorek 2019	5ml SA	n=303	n=191 lumpectomy n=107 mastectomy	47 (15.5%) initial follow-up at 3 months	Yes: 76.6% No: 23.4% At 30 months	"The average time needed for the discoloration to reduce by approximately 50% was 9 months and to disappear completely at approximately. 18 months. The longest persisting discoloration observed took 22 and 24 months"	unavailable

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participate d/Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%)) As % of patients with staining	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Wärnberg 2019	5ml RA	n=337 n=177 RA	RA cohort: n=110 lumpectomy n=67 mastectomies.	Lumpectomy + RA injn: 74/110 (67.3%)	Lumpectomy + RA: Yes: 31.3% No: 68.7%	Analysis based upon N = 130 (74+56) patients with skin staining. "After 6, 12, 24, and 36 months, 65.4%, 63.6%, 58.1%, and 46.2% had a remaining staining after retro-areolar injections and 34.0%, 31.3%, 14.0%, and 9.4% after peritumoral (p \ 0.001 at 36 months)."	Analysis based upon 46 women of 75 with a remaining stain (since Likert scale was employed later). Self-assessed cosmetic outcome (0-5 points) was worse after retro-areolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points (p \ 0.001) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retro-areolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically significant differences between the two injection types (data not shown). Women with a higher BMI scored lower at all time points, regardless of injection type, but the differences were not statistically significant (data not shown).
Alvarado 2019	2ml SA	n=146	n=103 lumpectomy, n=43 mastectomy	Lumpectomy: 23/103 (22.3%) 1-3 week follow-up	Not reported	Not reported	unavailable
Vural 2019	5ml RA	n=104 underwent analysis	n=89 lumpectomy/oncopla stic n=18 mastectomy	22 (20.4%) at post-operative consultation	Not reported	Not reported	unavailable
Rubio 2020	3 groups of n=45: Group 1: 1ml SA Group 2: 1.5ml SA Group 3: 2ml SA	n=135 n=118 answered questions on skin staining	n = 135 lumpectomy	83 (70.3%) one month post- operative	Not reported	Not reported	(Likert scale was used for assessment.) "As answer to the question whether the skin staining was a problem for the patient, patients reported not being a problem, being 23/33 (69.7%) in group 1, 28/40 (70%) in group 2, and 30 (73.2%) in group 3" "At 6 months follow up, 78.9% of the patients still felt that the skin staining was not a problem."

Table 38 Skin Staining in Lumpectomy Patients

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/Stu died	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
PostMag (2020) Registry (Reference No. ISRCTN8516718 2)	2ml PT	32/32	n=32 Lumpectomy	12 (37.5%) (immediately post op)	Yes (100%) No (0%)	Mean= 6 months Range=3 months to 9 months	Unavailable. No skin discoloration was observed in any of the subjects at 12 months
Karakatsanis 2019	'Interstitial' Site not specified	n=189	n=129 lumpectomy	Lumpectomy: 42/129 (32.6 %) timepoint not specified No staining in mastectomy group	Not reported	Not reported	unavailable
Wärnberg 2019	5ml RA or PT	n=337 n=177 RA n=163 PT	RA cohort: n=110 lumpectomy In the PT cohort: n=148 lumpectomy	Lumpectomy + RA injn: 74/110 (67.3%) Lumpectomy + PT injn: 56/148 (37.8%) (p <0.001)	Lumpectomy + RA: Yes: 31.3% No: 68.7% Lumpectomy + PT: Yes: 75.1% No: 24.9%	Analysis based upon N = 130 (74+56) patients with skin staining. "After 6, 12, 24, and 36 months, 65.4%, 63.6%, 58.1%, and 46.2% had a remaining staining after retro-areolar injections and 34.0%, 31.3%, 14.0%, and 9.4% after peritumoral (p \ 0.001 at 36 months)."	Analysis based upon 46 women of 75 with a remaining stain (since Likert scale was employed later). Self-assessed cosmetic outcome (0-5 points) was worse after retro-areolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points (p \ 0.001) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retro-areolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically significant differences between the two injection types (data not shown). Women with a higher BMI scored lower at all time points, regardless of injection type, but the differences were not statistically significant (data not shown).
Alvarado 2019	2ml SA	n=146	n=103 lumpectomy	Lumpectomy: 23/103 (22.3%) 1-3 week follow-up	Not reported	Not reported	unavailable

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro- areolar (RA), peri- tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participated/Stu died	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Rubio 2020	3 groups of n=45: Group 1: 1ml SA Group 2: 1.5ml SA Group 3: 2ml SA	n= 135 n=118 answered questions on skin staining	n = 135 lumpectomy	83 (70.3%) one month post- operative	Not reported	Not reported	(Likert scale was used for assessment.) "As answer to the question whether the skin staining was a problem for the patient, patients reported not being a problem, being 23/33 (69.7%) in group 1, 28/40 (70%) in group 2, and 30 (73.2%) in group 3" "At 6 months follow up, 78.9% of the patients still felt that the skin staining was not a problem."

Table 39 Skin Staining with Blue Dye

Study [Reference]	Injection type and Blue Dye	Net Number of Subjects Participated /Studied	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Govaert 2005	Periareolar (Patent Blue: Isomer of Isosulfan blue)	33	Lumpectomy (BCS)	32 (97%)	Yes (59.4%) No (40.6%)	Staining: 32/33 (97% @ 0 month) 23/33 (70% @ 3 month) 21/33 (64% @ 6 month) 14/32* (44% @ 9 month) 13/32* (41% @ 12 month)	*One patient died after 7 months. Patients did not think it was a problem.
Gumus 2013	Periareolar (Patent Blue)	236	Lumpectomy (BCS)	86 (36.5%) @ 12 mon.	Unknown	36.5% @ 12 month 23.6% @ 24 month 8.6% @ 36 month	
Fattahi 2014	Periareolar (Patent Blue PB, Methylene Blue MB)	PB: 156 MB: 156	Lumpectomy (BCS)	PB: 37/156 (23.7%) MB: 22/156 (14.1%)	Unknown	PB: 37 (23.7% @ 0 month) MB: 22 (14.1% @ 0 month)	1/156 local inflammation, PB 5/156 local inflammation , MB

Γ	Peek 2016	Periareolar	160	Lumpectomy	6/160 (3.8%)	-	-	-
				(BCS)				
		(Patent Blue)						

Table 40 A Comparison of Skin Staining due to Magtrace and Blue dye in Lumpectomy Patients

Device/Product	Injection Technique	Number of Subjects	Skin Staining Incidence
			(Mean, Range)
Magtrace/Sienna	All	640	45.3%
	(Refer to Table 38)		Immediate: (22.3%-70.3%)
			Long term: 0%-46.2% after 36 months
	Peritumoral	180	37.8%
	(Refer to Table 38)		Immediate: 37.5% - 37.8%
			Long term: 0% - 9.4% after 36 months
	All but peritumoral	331	54.4%
	(Subareolar, retroareolar, periareolar)		Immediate: 22.3% - 70.3%
	(Refer to Table 38)		Long term: 46.2% (N = 110)
Blue dye	All	585	27.5%
(excluding	(Refer to Table 39)		Immediate: 3.8%-97%
Methylene blue)			Long term: up to 41%*
			*Up to 41 % after 12 months and 8.6% (N = 236) after 36 months.
	Peritumoral	Unknown	Unknown
	(Refer to Table 39)		Immediate: Unknown
			Long Term: Unknown
	All but peritumoral	585	27.5%
	(Subareolar, retroareolar, periareolar)		Immediate: 3.8%-97%
	(Refer to Table 39)		Long term: up to 41%

Skin Staining Overview

The only study to date that has set out to prospectively gather data on staining including stratification by injection site and type of surgery, and recording patient reported outcomes related to staining is the Wärnberg 2019 study. The size of the staining, intensity, and cosmetic outcome was self-assessed. At first, only change of intensity was described, but later women classified the intensity of the staining according to a Likert item scale from 0 to 5, based on photos of selected cases mailed to the women. In the absence of a relevant, validated questionnaire, women were asked to evaluate the cosmetic outcome of the staining on a Likert item scale from 0 to 5 (0 = not a problem, 1 = slight problem, 2 = minor problem, 3 = clearly a problem, 4 = considerable problem, 5 = important problem). The self-assessment gave us the subjective views of the women. Follow-up was ended when the staining was gone. The study reported that the

self-assessed cosmetic outcome (0-5 points) was worse after retro-areolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points ($p \setminus 0.001$) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retro-areolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically significant differences between the two injection types.

In total 337 women were included undergoing 340 operations. Lumpectomy procedures were performed in 257 women (1 bilateral case) and 80 women had a primary mastectomy. In the subareolar cohort there were 110 lumpectomy procedures and 67 mastectomies and, in the peri-tumoral cohort there were 147 lumpectomies and 15 mastectomies.

After lumpectomy 74 of 110 (67.3%) had a skin staining after a retroareolar and 56 of 148 (37.8%) after a peritumoral injection (p < 0.001) immediately after their procedures. Including all women, the mean size of staining was 16.3 (range 2-100) cm2 and 6.8 (range 1-100) cm2 after retroareolar and peritumoral injections (p < 0.001), at the first visit. Including only those 130 with an actual staining, the mean size was 24.2 and 17.9 cm² (p = 0.02), respectively. After 6, 12, 24, and 36 months, 65.4%, 63.6%, 58.1%, and 46.2% had a remaining staining after retroareolar injections and 34.0%, 31.3%, 14.0%, and 9.4% after peritumoral (p < 0.001 at 36 months). Size diminished successively over time.

Intensity was reported by the women to be paler at 554 of 738 interviews (75.1%). After introducing the intensity-scale, 46 women of 75 with a remaining stain answered, and 15 of those answered twice, with a 3-month interval. The mean score of intensity, regardless of injection type, was 2.8, 1.7, and 0.9 points at 6–12, 13–24, and 25–36 months, respectively. In those with two successive scorings, the reported intensity score was 1.2 points less at the second scoring. No difference in intensity of the staining was found at 36 months after retroareolar or peritumoral injections (p = 0.60).

Comparing the incidence of skin staining in lumpectomy patients for Magtrace and blue dye, the mean skin staining incidence for Magtrace and blue dye was \sim 45.3% and \sim 16.4%, respectively. The long term range of skin staining for Magtrace and blue dye was 0-46.2% and 0-41%, respectively.

XII. PANEL MEETING RECOMMENDATION AND FDA'S POST-PANEL ACTION

In accordance with the provisions of section 515(c)(3) of the act as amended by the Safe Medical Devices Act of 1990, this PMA was not referred to the General and Plastic Surgery Devices Panel, an FDA advisory committee, for review and recommendation because there were no outstanding issues regarding the safety and effectiveness of the device.

XIII. CONCLUSIONS DRAWN FROM PRECLINICAL AND CLINICAL STUDIES

A. <u>Effectiveness Conclusions</u>

The pivotal multi-center clinical study in 160 US patients with breast cancer met the pre-specified success criterion as the null hypothesis was rejected for the primary endpoint. This was clinically meaningful because of the strong concordance. The analysis of this study provides valid scientific evidence to support the effectiveness of Magtrace®/Sentimag® which can be considered clinically and statistically non-inferior to the combined technique of radioisotope and blue dye to assist in detecting and localizing lymph nodes draining a tumor site in breast cancer, as part of a SLNB procedure.

Supporting evidence from the French study together with the meta-analyses (see Table 34: Summary of Published European Studies) provide further support for the safety and effectiveness of Magtrace®/Sentimag® in the detection of lymph nodes in breast cancer patients.

B. Safety Conclusions

In the pivotal clinical study, Magtrace® produced a similar risk profile to the standard technique with no unanticipated adverse device effects with the exception of MRI artifact and breast skin staining. There were no adverse events related to the Sentimag® device.

The risk profile of Sienna+ in the supporting French clinical study is also similar to the standard technique, providing further support for the safety of the product.

C. Benefit-Risk Determination

Benefits:

The Magtrace® and Sentimag® magnetic localization system offers the following benefits over the combined radioisotope and isosulfan blue dye standard technique for SLNB:

• The use of Magtrace®/Sentimag® spares the patient and healthcare team exposure to the ionizing radiation associated with the alternative radioisotope tracer.

- Magtrace®/Sentimag® allows these procedures to be conducted in locations without the need for special handling of radioisotopes, therefore, it can be provided outside of a hospital setting.
- Magtrace® can be injected while the patient is under anesthesia, sparing the patient a painful injection procedure associated with radioisotopes which must be injected while the patient is awake.
- Magtrace®/Sentimag® saves time as it provides greater flexibility in deciding when to inject the patient with the Magtrace® particles from 20 minutes before the procedure as opposed to using the radioisotopes which requires a more restrictive schedule window of 4-24 hours.
- Magtrace® has a long shelf life (and no half-life), allowing it to be shipped to hospitals that do not have access to nuclear medicine.
- Magtrace® is not associated with risks of life-threatening anaphylaxis and tissue necrosis seen with Blue Dye injections.

Risks:

The risks include:

- Bradycardia
- Anaphylaxis
- MRI artifact
- Skin Staining

Bradycardia (1 event) and anaphylaxis (1 event) in two (2) separate patients, were reported as undetermined in relatedness to the device.

Magnetic Resonance Images (MRI) Artifact:

Magtrace can produce image artifacts in patients on MRI near the injection site. These artifacts may persist, often unchanged, long term and in some cases make large parts of the images completely uninterpretable and nondiagnostic.

The MRI artifact risks can be mitigated by the following means:

- 1. Patient labeling and user manual will inform patients and users of the risk of MRI artifact after Magtrace injection.
- 2. The use of a peritumoral injection may lead to reduction in artifacts.

Skin Staining:

- Magtrace can create skin discoloration (tattooing) which may persist long term. Published studies have reported skin discoloration in up to 37.8% patients immediately and 9.4% long term (i.e., 36 months) after peritumoral injection in lumpectomy patients.
- This can be mitigated by the following means:
 - 1. Restricting injection technique to peritumoral for patients undergoing lumpectomy, nipple sparing or skin sparing procedures.
 - 2. Recommending not using Magtrace in patients that may be adversely affected by (tattooing) skin discoloration.
 - 3. Magtrace package insert will include precaution of skin staining (skin discoloration).
 - 4. User manuals (both Magtrace and Sentimag) will inform users of the immediate and long term (tattooing) risk of skin discoloration with percentages due to peritumoral injection technique (up to 37.8% patients immediately and 9.4% long term).
 - 5. The patient brochure will inform patients that Magtrace may produce long term (tattooing) skin discoloration, that choice of injection technique by your provider may reduce this risk, and to talk to your provider regarding the risk of long term skin staining.
 - 6. User manual and patient brochure will state not to use Magtrace in patients who may be adversely affected by (tattooing) skin discoloration.

There is a risk of a learning curve to new users of this product. This risk could be mitigated in the labeling recommendation for concurrent use with standard of care sentinel lymph node identification techniques for the first number of cases.

Risk Mitigation

• Most high-risk patients can be excluded in advance of surgery based upon their individual risk.

- Routine pre-treatment investigation of patients enables identification of high risk patients who can be excluded from exposure through warnings.
- The risks of MRI artifact can be sufficiently mitigated through a series of warnings and contraindications so that Magtrace is not used in patients where the post-surgical need for MRI can be anticipated and reduce the potential for non-diagnostic post-surgical MRI images significantly.

These warnings and contraindications include:

Warnings:

- Magtrace can produce image artifacts in patients on MRI near the injection site. These artifacts may persist, often unchanged, long term and in some cases make large parts of the images completely uninterpretable and nondiagnostic. If artifact occurs on MRI, other imaging modalities may be needed which may or may not adequately compensate for the artifact present. Magtrace residues have not been reported to produce artifacts affecting imaging in Contrast Enhanced Digital Mammography (CEDM), X-ray, PET, PET/CT, CT, or ultrasound studies.
- Because of potential MRI artifacts, surgeons should consider whether Magtrace is appropriate for a patient on a case-by-case basis. Magtrace may not be appropriate for patients undergoing breast conserving surgery in whom MRI is likely to be required for subsequent screening or, in the event of recurrence, for diagnostic work-up. These groups include:
 - o Patients in whom only MRI was able to visualize a primary cancer.
 - o Patients with multifocal/multicentric breast cancer undergoing breast conserving surgery where clinical or conventional imaging findings are indeterminate.
 - o Patients who have a high estimated lifetime risk of breast cancer (20% or greater) based on their family history or tumor gene expression testing.
 - o Patients in whom the likelihood of local or regional recurrence is elevated.
- Magtrace may travel to regions away from the injection site, such as liver, spleen, etc., if inadvertently injected directly into the blood stream. In such cases, the presence of Magtrace may cause image artifacts during MRI. Some manipulation of scan parameters may be required to compensate for the artifact.

Contraindications:

• SLNB before neoadjuvant chemotherapy (NAC) where magnetic resonance imaging (MRI) will be the primary imaging used for monitoring the progress of NAC.

Patients identified in advance to require post-lumpectomy imaging with breast MRI

The risks of (tattooing) skin discoloration can be sufficiently mitigated through labeling so that patients and providers are informed of the risks and Magtrace is not used in patients that may be adversely affected by (tattooing) skin discoloration.

These warnings include:

- Magtrace may produce temporary or long-term (tattooing) skin discoloration. Peritumoral injection may reduce the incidence of long term (tattooing) skin discoloration. Published studies have reported skin discoloration in up to 37.8% patients immediately and 9.4% long term after peritumoral injection.
- Subareolar injection of Magtrace should not be used in the following:
 - o Breast conserving Surgery (Lumpectomy)
 - o Nipple, Nipple Areolar or Skin Sparing Procedures
 - o Patients who may be adversely affected by (tattooing) skin discoloration.
- Intensity and Severity of skin staining in US population are unknown. Magtrace should not be used in patients who may be adversely affected by (tattooing) skin discoloration. Example images of Magtrace induced (tattooing) skin discoloration are presented below.



Additional Precautions Include:

Magtrace has not been evaluated for repeated use or in patients with breast implants.

Informed Consent

• As part of their informed consent, patients be notified in advance that the device may compromise future MRI imaging of the affected breast.

IFU Labeling and User Training

• Information on peritumoral injection of Magtrace may result in less skin staining.

This submission included the following specific information on patient perspectives for this product.

Patient Perspectives

When skin discoloration is present:

- Majority > 60%) of the patients did not consider staining a problem or "not important".
- Majority > 60%) of the patients reported not being affected by it.

• Patient Perception (n = 193)

Study [Reference]	Amount of Magtrace Injected and Location (e.g., sub-areolar (SA), peri-areolar (PA), retro-areolar (RA), peri-tumoral (PT), etc.) 5ml = 2ml Sienna+ diluted with 3ml saline	Net Number of Subjects Participat ed/Studie d	Numbers of lumpectomy vs mastectomy procedures	Number of Subjects with Skin Staining	Did Skin Staining Resolve Completely (Yes (%), No (%))	Time taken to resolve skin staining (mean, and range)	Patient reported outcome (no-problem/problem-not important/problem- important/unavailable)
Karakatsan is 2017	5ml PA	n=184 Total n=131 PA	n=126 lumpectomy n=57 mastectomy no staining in mastectomy patients	Overall: 73/184 (39.9%) PA: 58/131 (44.3%) at 3 months	Not stratified by injection site. Overall Yes: 9.5% No: 90.5% At 15 months	"Albeit much smaller and paler, staining was still present in 36·1 per cent of patients (66 of 183) after 15months" "Of patients with skin staining, 97% had been treated with BCS."	(Likert scale was used for assessment.) "Patients who received a deeper peritumoral injection of SPIO had less staining immediately after surgery, as well as less staining over time. In total, 58 of 73 patients who developed staining had received a peri areolar injection, whereas only 15 had had a peritumoral injection (P = 0.046)"

							"All 66 patients with discoloration remaining after more than 10 months responded to the questionnaire at both time points. Only two patients in this subgroup (3 per cent) complained that they were affected by the stain. Views regarding skin staining and cosmesis were mixed. The majority of patients considered staining a minor problem, if an issue at all (60 per cent at the first assessment and 61 per cent at the second). No substantial change in views was noted between the two time points (P = 0.280)"
Wärnberg 2019	5ml RA or PT	n=337 n=177 RA	RA cohort: n=110 lumpectomy n=67 mastectomies. In the PT cohort: n=148 lumpectomy n=15 mastectomies	Lumpectomy + RA injn: 74/110 (67.3%) Lumpectomy + PT injn: 56/148 (37.8%) (p <0.001)	Lumpectomy + RA: Yes: 31.3% No: 68.7% Lumpectomy + PT: Yes: 75.1% No: 24.9%	Analysis based upon N = 130 (74+56) patients with skin staining. "After 6, 12, 24, and 36 months, 65.4%, 63.6%, 58.1%, and 46.2% had a remaining staining after retroareolar injections and 34.0%, 31.3%, 14.0%, and 9.4% after peritumoral (p \ 0.001 at 36 months)."	Analysis based upon 46 women of 75 with a remaining stain (since Likert scale was employed later). Self-assessed cosmetic outcome (0-5 points) was worse after retroareolar compared with peritumoral injections at 12 and 24 months: mean (median) 1.3 (0) vs. 0.5 (0) points (p \ 0.001) and 0.6 (0) vs. 0.2 (0) points (p = 0.02). However, the difference was gone after 36 months: 0.2 (0) vs. 0.1 (0) for retroareolar and peritumoral injections, respectively (p = 0.49). Analyzing women with an actual stain at each time point showed no statistically significant differences between the two injection types (data not shown). Women with a higher BMI scored lower at all time points, regardless of injection type, but the differences were not statistically significant (data not shown).
Rubio 2020	3 groups of n=45: Group 1: 1ml SA Group 2: 1.5ml SA Group 3: 2ml SA	n=135 n=118 answered questions on skin staining	n = 135 lumpectomy	83 (70.3%) one month post-operative	Not reported	Not reported	(Likert scale was used for assessment.) "As answer to the question whether the skin staining was a problem for the patient, patients reported not being a problem, being 23/33 (69.7%) in group 1, 28/40 (70%) in group 2, and 30 (73.2%) in group

			3" (in a survey 1month post- operative)
			"At 6 months follow up, 78.9% of the patients still felt that the skin staining was not a problem.".

In conclusion, given the available information above, the data support that for breast cancer patients who undergo sentinel lymph node biopsy with Magtrace the benefits outweigh the probable risks.

D. Overall Conclusions

The data in this application support the reasonable assurance of safety and effectiveness of this device when used in accordance with the indications for use. The analysis of the pivotal clinical study provides valid scientific evidence to support the safety and effectiveness of Magtrace®/Sentimag®, which can be considered clinically and statistically non-inferior to the combined technique of radioisotope and blue dye, to assist in detecting and localizing lymph nodes draining a tumor site in breast cancer, as part of a SLNB procedure.

Taken together with the analysis of the supporting studies, the overall clinical data package provides support for the safety and efficacy of Magtrace®/Sentimag® when used in accordance with the indications for use.

XIV. CDRH DECISION

CDRH issued an approval order on December 06, 2022. The final clinical conditions of approval cited in the approval order are described below.

Post Approval Study to Evaluate the Impact of Device Induced Skin Staining on Breast Cancer Patients – Women who choose partial mastectomy (lumpectomy) as part of their treatment for breast cancer do so, in part, to preserve the appearance of their breast. The impact of device induced skin staining on breast cancer patients should be evaluated in representative US women population by providing patient perception regarding benefit of device use versus the risk of skin staining (as a function of patient age group, BMI, race, Fitzpatrick skin color, etc.) that specifically includes as part of this assessment i) the frequency, duration, intensity, and severity of the skin staining for peritumoral injection technique in lumpectomy patients; and ii) the patient perspective regarding the impact of the skin staining for the expanded indication. Patient reported outcome data may come from validated patient surveys (e.g., Breast-Q), survey methods using a Likert 5-point scale, or other patient reported

outcome methods and patient perception of the skin staining should address: satisfaction, intensity, severity, appearance, body image, emotional distress, sexual impact, other cosmetic factors (skin color, symmetry, etc.) in statistically significant number of patients.

The applicant's manufacturing facilities have been inspected and found to be in compliance with the device Quality System (QS) regulation (21 CFR 820).

XV. <u>APPROVAL SPECIFICATIONS</u>

Directions for use: See device labeling.

Hazards to Health from Use of the Device: See Indications, Contraindications, Warnings, Precautions, and Adverse Events in the device labeling.

Post-approval Requirements and Restrictions: See approval order.

XVI. <u>REFERENCES</u>

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