

### December 18, 2018

Shanghai United Imaging Healthcare Co., Ltd. % Shumei Wang Qm & RA VP No. 2258 Chengbei Rd., Jiading Industrial District SHANGHAI 201807 CHINA

Re: K182938

Trade/Device Name: uEXPLORER Regulation Number: 21 CFR 892.1200

Regulation Name: Emission Computed Tomography System

Regulatory Class: Class II Product Code: KPS, JAK Dated: October 17, 2018 Received: October 23, 2018

## Dear Shumei Wang:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/CombinationProducts/GuidanceRegulatoryInformation/ucm597488.htm">https://www.fda.gov/CombinationProducts/GuidanceRegulatoryInformation/ucm597488.htm</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm">http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/">https://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/</a>) and CDRH Learn (<a href="http://www.fda.gov/Training/CDRHLearn">http://www.fda.gov/Training/CDRHLearn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="http://www.fda.gov/DICE">http://www.fda.gov/DICE</a>) for more information or contact DICE by email (<a href="mailto:DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

for

Robert A. Ochs, Ph.D.

Director

Division of Radiological Health

Office of In Vitro Diagnostics

and Radiological Health

Center for Devices and Radiological Health

Enclosure

#### DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

Form Approved: OMB No. 0910-0120

1 ood and Drug Administration	Expiration Date: January 31, 2017
Indications for Use	See PRA Statement below.
510(k) Number (if known)	
K182938	
Device Name uEXPLORER	
Indications for Use (Describe) The uEXPLORER PET/CT is a diagnostic imaging system that combines two exi The quantitative distribution information of PET radiopharmaceuticals within the assist healthcare providers in assessing the metabolic and physiological functions, anatomical information as well as photon attenuation information for the scanned fusion of PET and CT images provides anatomical reference for the findings in th This system is intended to be operated by qualified healthcare professionals to ass diagnosis, staging, restaging, treatment planning and treatment response evaluatio limit to, oncology, cardiology and neurology.	patient body measured by PET can CT provides diagnostic tomographic region. The accurate registration and e PET images.  ist in the detection, localization,

Type of Use	(Select one	or both,	as applicable)
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Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

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### 510(k) SUMMARY

1. Date of Preparation: October 17, 2018

## 2. Sponsor Identification

### Shanghai United Imaging Healthcare Co., Ltd.

No.2258 Chengbei Rd. Jiading District, 201807, Shanghai, China

Contact Person: Shumei Wang

Position: QM&RA VP

Tel: +86-021-67076888-6776 Fax: +86-021-67076889

Email: <a href="mailto:shumei.wang@united-imaging.com">shumei.wang@united-imaging.com</a>

# 3. Identification of Proposed Device

**Trade Name:** uEXPLORER

Common Name: Emission Computed Tomography System

Model(s): uEXPLORER

## **Regulatory Information**

**Regulation Number:** 21 CFR 892.1200

Regulation Name: Emission Computed Tomography System

Regulatory Class: II Product Code: KPS, JAK, Review Panel: Radiology

## 4. Identification of Predicate Device(s)

510(k) Number: K172143 Device Name: uMI 780 Model(s): uMI 780

# **Regulatory Information**

Regulation Number: 21 CFR 892.1200

**Regulation Name:** Emission Computed Tomography System

Regulatory Class: II Product Code: KPS, JAK, Review Panel: Radiology Shanghai United Imaging Healthcare Co., Ltd.
Tel: +86 (21) 67076888 Fax: +86 (21) 67076889

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## 5. Device Description

The uEXPLORER PET/CT system is a combined multi-slice X-Ray Computed Tomography and Positron Emission Tomography scanner. This system is intended to be operated by qualified healthcare professionals for performing diagnostic imaging examinations. The spatial alignment and precise image registration between PET and CT ensure the PET and CT images of the same region can be fused accurately for reading. PET measures the distribution of PET radiopharmaceuticals inside the human body quantitatively. CT produces the anatomical information of the same scanned region, and provides accurate localization for the findings in the PET images. The attenuation information contained in the CT images can be utilized in the PET image reconstruction to ensure quantitation accuracy. The PET system has time-of-flight capability with a timing resolution of 430ps. It has a 1940mm-long axial field of view (FOV) and the system sensitivity is 191 cps/kBq.

The uEXPLORER PET/CT system also includes a patient table, a workstation with associated software installed. The software is used for patient management, data management, scan control, image reconstruction and image reading. All patient images produced by the system conform to the DICOM 3.0 standard.

## 6. Indications for Use

The uEXPLORER PET/CT is a diagnostic imaging system that combines two existing imaging modalities - PET and CT. The quantitative distribution information of PET radiopharmaceuticals within the patient body measured by PET can assist healthcare providers in assessing the metabolic and physiological functions. CT provides diagnostic tomographic anatomical information as well as photon attenuation information for the scanned region. The accurate registration and fusion of PET and CT images provides anatomical reference for the findings in the PET images.

This system is intended to be operated by qualified healthcare professionals to assist in the detection, localization, diagnosis, staging, restaging, treatment planning and



treatment response evaluation for diseases and disorders in, but not limit to, oncology, cardiology and neurology.

# 7. Comparison of Technological Characteristics with the Predicate Devices

The uEXPLORER PET/CT has the same indications for use as the predicate device uMI 780 PET/CT. The fundamental scientific technology of the proposed device is same as the predicate device.

Table 1 below provides a comparison of the technological characteristics of the proposed device in comparison to the predicate device.

Table 1 Comparison of Technological Characteristics

ITEM	Proposed Device	<b>Predicate Device</b>
Product Code	KPS, JAK	KPS, JAK
Regulation No.	21 CFR 892.1200	21 CFR 892.1200
Class	Class II	Class II
Intended Use	The uEXPLORER PET/CT is a diagnostic imaging system that combines two existing imaging modalities - PET and CT. The quantitative distribution information of PET radiopharmaceuticals within the patient body measured by PET can assist healthcare providers in assessing the metabolic and physiological functions. CT provides diagnostic tomographic anatomical information as well as photon attenuation information for the scanned region. The accurate registration and fusion of PET and CT images provides anatomical reference for the findings in the PET images.	The uMI 780 PET/CT is a diagnostic imaging system that combines two existing imaging modalities - PET and CT. The quantitative distribution information of PET radiopharmaceuticals within the patient body measured by PET can assist healthcare providers in assessing the metabolic and physiological functions. CT provides diagnostic tomographic anatomical information as well as photon attenuation information for the scanned region. The accurate registration and fusion of PET and CT images provides anatomical reference for the findings in the PET images.



	This system is intended to be operated by qualified healthcare professionals to assist in the detection, localization, diagnosis, staging, restaging, treatment planning and treatment response evaluation for diseases and disorders in, but not limit to, oncology, cardiology and	This system is intended to be operated by qualified healthcare professionals to assist in the detection, localization, diagnosis, staging, restaging, treatment planning and treatment response evaluation for diseases and disorders in, but not limit to, oncology, cardiology and
	neurology.	neurology.
PET Specification	11001055	110010108j1
Sensitivity	>/=170cps/kBq	>/=15cps/kBq
NECR Peak	>/=1400	>/=165kcps@16kBq/cc
Value	kcps@16kBq/cc	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Peak True Count	>/=4500kcps@28kBq/cc	>/=500kcps@30kBq/cc
Rate	1	1 1
PET Scatter	=0.44</td <td><!--=0.44</td--></td>	=0.44</td
Fraction		
Count Rate Bias	=±5%</td <td><!--=±5%</td--></td>	=±5%</td
Axial	=3.5mm</td <td><!--=3.5mm</td--></td>	=3.5mm</td
FWHM@1cm		
Transaxail FWHM@1cm	=3.5mm</td <td><!--=3.5mm</td--></td>	=3.5mm</td
Axial	=4.0mm</td <td><!--=4.0mm</td--></td>	=4.0mm</td
FWHM@10cm		
Transaxial	=4.0mm</td <td><!--=4.0mm</td--></td>	=4.0mm</td
FWHM@10cm CT Specification		
Scan Regime	Continuous Rotation	Continuous Rotation
Scan Regime	Topo	Topo
Scan Modes	Axial Scan	Axial Scan
	Helical Scan	Helical Scan
Z-plane coverage	40mm	40mm
Number of	80	80
detector row		
Minimum slice	0.5mm	0.5mm
thickness	II . 0.2 C 2600	II . 0.2 C 2600
Rotation speed	Up to 0.3 sec for 360°	Up to 0.3 sec for 360°
Table Maximum	rotation 250kg	rotation 250kg
table load	250Kg	250Kg
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Safety		
Electrical Safety	ANSI AAMI ES60601-	ANSI AAMI ES60601-
-	1:2005/(R)2012 and	1:2005/(R)2012 and
	A1:2012,	A1:2012,
	C1:2009/(R)2012 and	C1:2009/(R)2012 and
	A2:2010/(R)2012	A2:2010/(R)2012
EMC	IEC 60601-1-2 Medical	IEC 60601-1-2 Medical
	electrical equipment -	electrical equipment -
	Part 1-2: General	Part 1-2: General
	requirements for basic	requirements for basic
	safety and essential	safety and essential
	performance - Collateral	performance - Collateral
	Standard:	Standard:
	Electromagnetic	Electromagnetic
	disturbances -	disturbances -
	Requirements and tests	Requirements and tests
Biocompatibility	Patient Contact Materials	Patient Contact
	were tested and	Materials were tested
	demonstrated no	and demonstrated no
	cytotoxicity (ISO 10993-	cytotoxicity (ISO
	5), no evidence for	10993-5), no evidence
	irritation and	for irritation and
	sensitization (ISO 10993-	sensitization (ISO
	10).	10993-10).

### **Performance Data**

The following performance data were provided in support of the substantial equivalence determination.

## **Non-Clinical Testing**

Non-clinical testing including dosimetry and system performance tests were conducted for the uEXPLORER during the product development.

UNITED IMAGING HEALTHCARE claims conformance to the following standards and guidance:

## **Electrical Safety and Electromagnetic Compatibility (EMC)**

Electrical Safety and Electromagnetic Compatibility (EMC) testing were conducted on the uEXPLORER in accordance with the following standards:

- ANSI AAMI ES60601-1:2005/(R)2012 and A1:2012, C1:2009/(R)2012 and A2:2010/(R)2012 (Consolidated Text) Medical electrical equipment - Part 1: General requirements for basic safety and essential performance (IEC 60601-1:2005, MOD)
- ➤ IEC 60601-1-2 Edition 4.0 2014-02 Medical electrical equipment Part 1-2: General requirements for basic safety and essential performance - Collateral Standard:



# Electromagnetic disturbances - Requirements and tests

- ➤ IEC 60601-2-44 Edition 3.2: 2016 Medical electrical equipment Part 2-44: Particular requirements for the basic safety and essential performance of x-ray equipment for computed tomography
- ➤ IEC 60601-1-3 Edition 2.1 2013-04 Medical electrical equipment Part 1-3: General requirements for basic safety and essential performance Collateral Standard: Radiation protection in diagnostic X-ray equipment
- ➤ IEC 60825-1 Edition 2.0 2007-03 Safety of laser products Part 1: Equipment classification, and requirements [Including: Technical Corrigendum 1 (2008), Interpretation Sheet 1 (2007), Interpretation Sheet 2 (2007)]

## **Product Particular Standards**

- ➤ IEC 61223-3-5 First edition 2004-08 Evaluation and routine testing in medical imaging departments Part 3-5: Acceptance tests -Imaging performance of computed tomography X-ray equipment [Including: Technical Corrigendum 1 (2006)]
- ➤ NEMA XR 25-2010: Computed Tomography Dose Check
- ➤ NEMA XR 28-2013 Supplemental Requirements for User Information and System Function Related to Dose in CT
- ➤ NEMA XR 29-2013: Standard Attributes on CT Equipment Related to Dose Optimization and Management

## **Performance Verification**

- ➤ NEMA NU 2-2012 Performance Measurements of Positron Emission Tomographs;
- > Extended Performance Test Report for performance study;
- > Clinical Evaluation for sample clinical images evaluation;
- ➤ AEC Test Report for AEC performance study.

#### **Software**

- ➤ NEMA PS 3.1-3.20(2011): Digital Imaging and Communications in Medicine (DICOM)
- ➤ IEC 62304: Medical Device Software software life cycle process
- Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices
- Content of Premarket Submissions for Management of Cybersecurity in Medical Devices

#### **Biocompatibility**

- ➤ ISO 10993-10 Third Edition 2010-08-01 Biological evaluation of medical devices Part 10: Tests for irritation and skin sensitization
- ➤ ISO 10993-5 Third edition 2009-06-01 Biological evaluation of medical devices Part 5: Tests for in vitro cytotoxicity

#### Other Standards and Guidances

- ➤ ISO 14971: Medical Devices Application of risk management to medical devices
- Code of Federal Regulations, Title 21, Part 820 Quality System Regulation



- Code of Federal Regulations, Title 21, Subchapter J Radiological Health
- ➤ Laser Products Conformance with IEC 60825-1 and IEC 60601-2-22; Guidance for Industry and FDA Staff (Laser Notice No. 50)
- ➤ Provision for Alternate Measure of the Computed Tomography Dose Index (CTDI) to Assure Compliance with the Dose Information Requirements of the Federal Performance Standard for Computed Tomography

#### Software Verification and Validation

Software documentation for a Moderate Level of Concern software per FDA' Guidance Document "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices" is included as a part of this submission.

The risk analysis was completed and risk control was implemented to mitigate identified hazards. The testing results show that all the software specifications have met the acceptance criteria. Verification and validation testing of the proposed device was found acceptable to support the claim of substantial equivalence.

UNITED IMAGING HEALTHCARE conforms to the Cybersecurity requirements by implementing a process of preventing unauthorized access, modification, misuse or denial of use, or unauthorized use of information that is stored, accessed, or transferred from a medical device to an external recipient. Cybersecurity information in accordance with guidance document "Content of Premarket Submissions for Management of Cybersecurity in Medical Devices" is included in this submission.

### **Clinical Testing**

No Clinical Study is included in this submission.

#### Summary

The features described in this premarket submission are supported with the results of the testing mentioned above, the uEXPLORER was found to have a safety and effectiveness profile that is similar to the predicate device.

#### 9. Conclusions

Based on the comparison and analysis above, the proposed device has same intended use, similar performance, equivalence safety and effeteness as the predicate device. The differences above between the proposed device and predicate device do not affect the intended use, technology characteristics, safety and effectiveness. And no issues are raised regarding to safety and effectiveness. The proposed device is determined to be Substantially Equivalent (SE) to the predicate device.