

November 6, 2019

Orthodent Laboratory, Inc. % Robert Dean President Compliance Systems International, LLC. 1083 Delaware Ave. Buffalo, New York 14209

Re: K190003

Trade/Device Name: Vivid Aligners Regulation Number: 21 CFR 872.5470

Regulation Name: Orthodontic Plastic Bracket

Regulatory Class: Class II Product Code: NXC

Dated: September 24, 2018 Received: October 8, 2019

#### Dear Robert Dean:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal

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statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (<a href="DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

for Srinivas Nandkumar, Ph.D.
Acting Director
DHT1B: Division of Dental Devices
OHT1: Office of Ophthalmic, Anesthesia,
Respiratory, ENT and Dental Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

## **Indications for Use**

Form Approved: OMB No. 0910-0120
Expiration Date: 06/30/2020

Expiration Date: 06/30/2020 See PRA Statement below.

O(k) Number (if known)			
90003			
vice Name vid Aligner			
lications for Use (Describe) vid Aligner is indicated for use in the alignment of permanent teeth through orthodontic treatment of misalignment and alocclusion.			
pe of Use (Select one or both, as applicable)			
Prescription Use (Part 21 CFR 801 Subpart D) Over-The-Counter Use (21 CFR 801 Subpart C)			
CONTINUE ON A SEPARATE PAGE IF NEEDED.			

This section applies only to requirements of the Paperwork Reduction Act of 1995.

## \*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

The burden time for this collection of information is estimated to average 79 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services Food and Drug Administration Office of Chief Information Officer Paperwork Reduction Act (PRA) Staff PRAStaff@fda.hhs.gov

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."

## K190003

## 510(k) Summary

Submitter Name: Orthodent Laboratory, Inc.

Submitter Address: 166 Chandler St. Suite 301, Buffalo, NY 14207

Phone Number: 800-837-1552

Contact Person: Mr. Michael Wright

Date Prepared: October 29, 2019

Device Trade Name: Vivid Aligner

Common Name Aligner, Sequential

Classification Name Orthodontic Plastic Bracket

Number 21 CFR 872.5470

Product Code NXC Regulatory Class 2

Primary Predicate

Device: K173784, Smylio Invisible Clear Aligner

Reference Predicate

Devices: K173785 Derby Dental, Custom Clear Aligner System

K182826 Ormco Spark Aligner System

Statement of

Indications for through

Vivid Aligner is indicated for use in the alignment of permanent teeth through orthodontic treatment of misalignment and malocclusion.

Use:

Device Description and Summary of Technological Characteristics:

Orthodent Laboratory, Inc. Vivid Aligner are intraoral thermoformed plastic aligner that are worn 20 to 22 hours per day and are designed to be used in a sequence, each aligner providing a gentle continuous force, to allow for the movement of teeth to the final desired position. The aligner are to be removed for eating and for cleaning. Orthodent Laboratory, Inc. Vivid Aligner are fabricated using a three-step process. The first step is to obtain the dimensions and details of the patient's baseline dentition. This is generally done using an oral scan data or a physical impression. This scanned data (digital CAD/CAM models or patient models) are imported into specialized dental software for treatment planning. The second step is the printing of 3D models of the treatment plan for use in step 3 (thermoforming). In the second step, Orthodent Laboratory utilizes a software application to plan the treatment by creating a series of sequential models that gradually position the teeth into their final desired position. The treatment plan is sent to the doctor for approval. Upon approval, a 3D printer is used to create the molds needed for each treatment step to provide the surface around which the aligner is thermoformed. The final step is the thermoforming of a plastic sheet material to each of the sequential treatment steps. This process is done using a standard thermoforming equipment and the appropriate material as outlined in this submission.

Mechanism of Action: In the same manner as the predicate device, each aligner exerts gentle force to achieve progressive realignment of the teeth until the final correction has been attained. This is based on the treatment plan and proceeds over time.

Device Testing:

#### Biocompatibility

Contact of the device to the patient's oral tissue requires the Aligner material to be biocompatible. The thermoplastic polyurethane has been tested according to Good Laboratory Practices for its biocompatibility according to ISO 10993, as follows:

Part 3 (Bacterial Mutagenicity – Ames Assay)

Part 5 (Cytotoxicity Elution - MEM),

Part 10 (Intracutaneous/Intradermal) Reactivity),

Part 10 (Oral Mucosa Irritation),

Part 10 (Maximization for Delayed-Type Hypersensitivity),

Part 11 (Subacute Systemic Toxicity)

### Animal | Human Testing

No animal or human testing were required for this product because it is composed of the same materials and has a similar design and method of manufacture/fabrication in comparison to the predicate device.

Non-Clinical Physical Properties Testing:

Device material tested to the following standards and meet the acceptance criteria

- Elongation @ Yield (%) ASTM D638
- Elongation @ Break (%) ASTM D638
- Tensile @ Yield (PSI) ASTM D638
- Tensile Strength (PSI) ASTM D638
- Tensile Modulus (PSI) ASTM D638
- Flexural Modulus (PSI) ASTM D790
- Flexural Strength (PSI) ASTM D790
- Specific Gravity g.cm3 ASTM D792
- Water Absorption (%)24 hours @ 23°C ASTM D570
- Gardner Impact Strength 23°C J/mm ASTM D5420,

Differences between ODL Vivid Aligner compared to predicate device

ODL Vivid Aligner	S & E Effect	Smylio K173784
ODL prepares the	No effect, both	Smylio K173784 doctor
treatment plan in Step 2 of	treatment plans are	prepares the treatment plan
the manufacturing process	doctor approved.	
for subsequent approval by		
a doctor.		

ODL uses 3Shape Software K180491	No effect, 3Shape Software K180491 is FDA 510K cleared, the use/manufacturing process has been validated by ODL	Smylio uses 3Shape Software K152086
<ul> <li>ODL biocompatibility summary applied ISO 10993</li> <li>-3, Biological evaluation of medical devices — Part 3: Tests for genotoxicity, carcinogenicity and reproductive toxicity</li> <li>-5, Biological evaluation of medical devices — Part 5: Tests for in vitro cytotoxicity</li> <li>-10, Biological evaluation of medical devices — Part 10: Tests for irritation and skin sensitization</li> <li>-11, Biological evaluation of medical devices — Part 11: Tests for systemic toxicity</li> </ul>	No effect on biocompatibility. ISO 7405 directly references the same test as conducted using ISO 10993 et.al.	Smylio biocompatibility summary references ISO 7405, Dentistry — Evaluation of biocompatibility of medical devices used in dentistry

Trade Name:	Submission Device Orthodent Laboratory, Inc. Vivid Aligner	Predicate Device K173784 Smylio Invisible Clear Aligner
510(k) Number		K173785
Manufacturer	Orthodent Laboratories Inc.	Smylio
Classification #, Product Code Device Class	21 CFR 852.5470 NXC 2	21 CFR 852.5470 NXC 2
Indications for Use	Vivid Aligner is indicated for use in the alignment of permanent teeth through orthodontic treatment of misalignment and malocclusion	Smylio Invisible Clear Aligner is indicated for use in the alignment of permanent teeth through orthodontic treatment of misalignment and malocclusion.
Mode of Action	Alignment of teeth by application of continuous gentle force, by sequential use of preformed plastic trays.	Alignment of teeth by application of continuous gentle force, by sequential use of preformed plastic trays.
Method of Use	Each preformed plastic tray is worn by the patient as prescribed by the dental practitioner, usually a few weeks prior to using the next sequential Aligner tray.	Each preformed plastic tray is worn by the patient as prescribed by the dental practitioner, usually a few weeks prior to using the next sequential Aligner tray.
Material	Thin thermoformed polyurethane	Thin thermoformed polyurethane
Biocompatible	Yes	Yes
OTC or Rx	Rx	Rx
Software Use	Yes	Yes
Sterile	No	No

The intended use of the Orthodent Laboratory, Inc. Vivid Aligner is the same to that of the primary predicate device as they are both intended for correcting dental malocclusion in patients with permanent dentition.

It has a similar technological principle, and the device characteristics are similar to the predicate device. The mode of operation and the material used to fabricate the aligner trays is the same as the predicate device. There are minor differences comparing Orthodent Laboratory, Inc. Vivid Aligner to the predicate Smylio Invisible Clear Aligner which do affect substantial equivalence or safety and effectiveness.

## Substantial Equivalence Conclusion

Thus, based on the above it can be concluded that Orthodent Laboratory, Inc. Vivid Aligner is substantially equivalent to the predicate device.