

July 2, 2021

Hamilton Thorne, Inc. Donald Fournier Director, Regulatory Affairs & Quality Assurance 100 Cummings Center, Suite 465E Beverly, MA 01915

Re: K202241

Trade/Device Name: LYKOS<sup>™</sup> Assisted Reproduction Laser with Dynamic Targeting System (DTS)
Regulation Number: 21 CFR§ 884.6200
Regulation Name: Assisted Reproduction Laser System
Regulatory Class: II
Product Code: MRX
Dated: June 3, 2021
Received: June 4, 2021

Dear Donald Fournier:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies.

You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <u>https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems</u>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</u>) and CDRH Learn (<u>https://www.fda.gov/training-and-continuing-education/cdrh-learn</u>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</u>) for more information or contact DICE by email (<u>DICE@fda.hhs.gov</u>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

For Monica D. Garcia, Ph.D. Assistant Director DHT3B: Division of Reproductive, Gynecology and Urology Devices OHT3: Office of GastroRenal, ObGyn, General Hospital and Urology Devices Office of Product Evaluation and Quality Center for Devices and Radiological Health

Enclosure

# Indications for Use

510(k) Number *(if known)* K202241

Device Name

LYKOS<sup>™</sup> Assisted Reproduction Laser with Dynamic Targeting System (DTS)

Indications for Use (Describe)

The LYKOS Assisted Reproduction Laser with Dynamic Target System (DTS) is intended for in vitro fertilization (IVF) laboratory use in assisted reproduction procedures to ablate a small, tangential hole or thin the zona pellucida of select IVF embryos to facilitate assisted reproduction hatching procedures and to biopsy trophectoderm cells from blastocyst stage embryos for purposes of preimplantation genetic diagnosis or screening.

Type of Use (Select one or both, as applicable)	
Prescription Use (Part 21 CFR 801 Subpart D)	Over-The-Counter Use (21 CFR 801 Subpart C)

CONTINUE ON A SEPARATE PAGE IF NEEDED.

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#### 510(k) SUMMARY K202241

# LYKOS<sup>™</sup> Assisted Reproduction Laser with Dynamic Targeting System (DTS)

Submitter	Hamilton Thorne, Inc. 100 Cummings Center, Suite 465E Beverly, MA 01915 Tel: (978) 921-2050 Fax: (978) 921-0250
Contact Person	Donald Fournier Director, Regulatory Affairs & Quality Assurance 100 Cummings Center, Suite 465E Beverly MA 01915 Tel: (978) 921-2050 Ext. 1726 Fax: (978) 921-0250 dfournier@hamiltonthorne.com
Date Prepared	June 30, 2021
Subject Device	
Trade Name: Common Name: Regulation Name: Regulation Number: Product Code: Regulatory Class:	LYKOS <sup>™</sup> Assisted Reproduction Laser with Dynamic Targeting System (DTS) Assisted Reproduction Laser System 21 CFR § 884.6200 MRX (System, Assisted Reproduction Laser) Class II

# **Predicate Device**

510(k) Number:	K141434
Device Name:	Saturn 5 <sup>™</sup> Laser System
Manufacturer:	Research Instruments Ltd

The predicate device has not been subject to a design-related recall.

### **Device Description**

The LYKOS with DTS is comprised of hardware, software and firmware. Hardware components include a laser control unit, communication cable, optional foot pedal, microscope mount(s) and a 40x objective. Fully integrated within the objective is the laser, a rotating mirror frame and motors that rotate the mirror and control the direction of the laser beam. The objective can be mounted on most commercially available inverted microscopes. The laser hardware interfaces with a computer and camera, providing a live image of the objective's field of view on the computer monitor. The system also records images and videos of the laser procedures.

The system includes three laser modes: Clinical, Multipulse, and Validation. The Clinical Mode includes laser settings recommended for zona thinning and embryo hatching procedures. The Multipulse Mode allows the use of a series of laser pulses and is intended for trophectoderm biopsy procedures. The Validation Mode is intended to validate the proper operation of the laser including RED-i and laser alignment.

Targeting of the laser beam (i.e., either aiming its direction or plotting its ablation path) may be performed in two modes: fixed direction or DTS. In the fixed direction mode of operation, the direction of the beam remains fixed and the embryo is manually guided to the laser by the user. In the DTS Mode, the position of the embryo remains fixed and the direction of the laser is computer-controlled, navigating a user defined plot as drawn on the computer monitor. The system can be programmed to aim and fire the laser anywhere in the field of view or along a user-defined ablation path.

In both Manual and DTS modes, because the laser operates in the invisible infrared wavelength ( $\lambda = 1460$  nm), to aim and visually verify the position of the laser beam at all times prior to firing, the laser is concentrically aligned with a visible red LED beam (RED-i). Through an automated mapping and verification system using the X-Y coordinates of the computer monitor, the laser can be aimed and an ablation path plotted and verified by the user prior to each use.

# **Indications for Use**

The LYKOS Assisted Reproduction Laser with Dynamic Target System (DTS) is intended for in vitro fertilization (IVF) laboratory use in assisted reproduction procedures to ablate a small, tangential hole or thin the zona pellucida of select IVF embryos to facilitate assisted reproduction hatching procedures and to biopsy trophectoderm cells from blastocyst stage embryos for purposes of preimplantation genetic diagnosis or screening.

# Substantial Equivalence Comparison

A comparison of the indications for use and technological characteristics of the subject and predicate devices are summarized in the table below:

Parameter	Subject Device	Predicate Device	Comparison
	LYKOS DTS	SATURN 5 – K141434	
Indications for Use	The LYKOS Assisted	For use in assisted reproduction	Different: The indications for
	Reproduction Laser with	procedures to ablate or thin the	use statements for the subject
	Dynamic Target System (DTS) is	zona pellucida of an oocyte or	and predicate devices are
	intended for in vitro fertilization	embryo to facilitate assisted	different. Both the subject and
	(IVF) laboratory use in assisted	hatching or recovery of cells for	predicate devices are for use in
	reproduction procedures to ablate	pre-implantation genetic	ablating or thinning the zona
	a small, tangential hole or thin the	diagnosis (blastomeres). The	pellucida (ZP) to facilitate
	zona pellucida of select IVF	device can also be used on	assisted hatching of embryos
	embryos to facilitate assisted	blastocyst stage embryos for	and can also be used for
	reproduction hatching procedures	biopsy of trophectoderm cells	trophectoderm biopsy.
	and to biopsy trophectoderm cells	for preimplantation diagnosis	However, the predicate device
	from blastocyst stage embryos for	procedures, and blastocyst	is indicated for additional uses
	purposes of preimplantation	collapse prior to vitrification	beyond those of the subject
	genetic diagnosis or screening.	procedures.	device, including
			ablation/thinning of the ZP of
			oocytes and blastocyst collapse
			prior to vitrification, These
			differences represent a more
			limited use of the subject
			device and do not represent a
			new intended use. Therefore,
			the indented uses are the same.

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System Configuration	<ul> <li>Laser control unit</li> <li>40X objective</li> <li>Microscope adapters</li> <li>Mirror module</li> <li>Motor module</li> <li>Laser application software</li> <li>Computer user-interface</li> <li>Camera</li> <li>Foot switch (optional)</li> </ul>	<ul> <li>Laser control unit</li> <li>40X objective</li> <li>Microscope adapters</li> <li>Mirror module</li> <li>Motor module (Active version only)</li> <li>Laser application software</li> <li>Computer user-interface</li> <li>Camera</li> <li>East switch (optional)</li> </ul>	<b>Different:</b> The subject and predicate are comprised of different components. The differences in device components do not raise different questions of safety and effectiveness (S&E).
Operating Modes	<ul> <li>Fixed Direction – The direction of the laser beam is fixed; user manually guides embryo.</li> <li>DTS – The direction and path of the laser beam are computer- controlled; embryo is stationary.</li> </ul>	Fixed Mode – The direction of the laser beam is fixed; user manually guides embryo. Active Mode – The direction and path of the laser beam is computer-controlled; embryo is stationary.	Similar
Laser Modes	Clinical Mode: Allows a single ablation of the target (Fixed Mode and DTS Mode) or a series of ablations (DTS Mode) Multipulse Mode: Allows the use of a series of laser pulses for biopsy procedures (Fixed Mode only) Validation Mode: For validation of proper operation of the laser (Fixed Mode and DTS Mode)	Single Pulse: Allows a single ablation of the target (Fixed Mode and Active Mode) Biopsy Mode: Allows to ablate a series of holes for biopsy procedures (Active Mode only)	<b>Different:</b> The subject and predicate devices have different laser modes. The differences do not raise different questions of S&E.
Laser Specifications	$\lambda = 1460 \text{ nm}$ Power: 3-300 mW Pulse length: 0.1 to 3.0 ms	$\lambda = 1480 \text{ nm}$ Power 400 mW Pulse length 5-2000 µs	<b>Different:</b> The subject and predicate devices have differences in laser wavelength, power and pulse lengths. These differences do not raise different questions of S&E.
Alignment Indicator	Yes - RED-i	Yes -Pilot laser	Similar
Laser Classification (U.S CFR 1040.10)	Class I	Class I	Same
Microscope Compatibility	Compatible with various models of inverted microscopes.	Compatible with various models of inverted microscopes.	Same
Laser Firing Mechanism	Mouse; foot pedal	Mouse; foot pedal	Same
Hole Size Indicator	Yes	Yes	Same

As noted in the table above, there are differences in the indications for use statements and technological features of the subject and predicate devices. However, as stated in the table, the differences in indications for use do not represent a new intended use and the differences in technological features do not raise different questions of safety and effectiveness.

#### **Summary of Performance Testing**

The following performance data was provided in support of the substantial equivalence determination:

• <u>Laser Performance Testing</u> in accordance with the 2004 FDA guidance document "Assisted Reproduction Laser Systems – Class II Special Controls Guidance Document for Industry and FDA Staff." Additional testing included the following:

- Validation of the RED-i target alignment feature
- Validation of the accuracy and precision of DTS single-pulse across the field of view
- Validation of the accuracy and precision of DTS multiple-pulse (linear or curved plots) across the field of view
- <u>Electrical Safety and Electromagnetic Compatibility (EMC) Testing</u> in accordance with:
  - Electrical Safety Testing: IEC 61010-1:20117; IEC 60825-1:2014
  - EMC Testing: IEC 61326-1:2013
- <u>Software and Cybersecurity:</u>
  - Software documentation in accordance with the 2005 FDA guidance document "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices" to support device software with a moderate level of concern.
  - Cybersecurity information in accordance with the 2014 FDA guidance document "Content of Premarket Submissions for Management of Cybersecurity in Medical Devices."
- <u>Reprocessing</u>: Validation testing conducted in accordance with the 2015 FDA guidance document, "Reprocessing Medical Devices in Health Care Settings: Validation Methods and Labeling."

### Conclusion

The results of the testing described above demonstrate that the subject device is substantially equivalent to the predicate device.