March 29, 2023



RaySearch Laboratories AB (publ) % David Hedfors Quality and Regulatory Affairs Director Eugeniavagen 18 Stockholm, 113 68 SWEDEN

Re: K222312

Trade/Device Name: RayStation 12A Regulation Number: 21 CFR 892.5050 Regulation Name: Medical Charged-Particle Radiation Therapy System Regulatory Class: Class II Product Code: MUJ Dated: July 26, 2022 Received: August 1, 2022

Dear David Hedfors:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for

devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <u>https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</u>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <u>https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems</u>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</u>) and CDRH Learn (<u>https://www.fda.gov/training-and-continuing-education/cdrh-learn</u>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</u>) for more information or contact DICE by email (<u>DICE@fda.hhs.gov</u>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,



Digitally signed by Lora D. Weidner -S Date: 2023.03.29 10:20:55 -04'00'

Lora D. Weidner, Ph.D. Assistant Director Radiation Therapy Team DHT8C: Division of Radiological Imaging and Radiation Therapy Devices OHT8: Office of Radiological Health Office of Product Evaluation and Quality Center for Devices and Radiological Health

Enclosure

# Indications for Use

510(k) Number *(if known)* K222312

Device Name RayStation 12A

#### Indications for Use (Describe)

RayStation is a software system for radiation therapy and medical oncology. Based on user input, RayStation proposes treatment plans. After a proposed treatment plan is reviewed and approved by authorized intended users, RayStation may also be used to administer treatments.

The system functionality can be configured based on user needs.

| Type of Use (Select one or both, as applicable) |   |
|---|---|
| Prescription Use (Part 21 CFR 801 Subpart D)    | Over-The-Counter Use (21 CFR 801 Subpart C) |

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

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# 1. 510(k) Summary

# 1.1 510(k) owner

RaySearch Laboratories AB (publ) Eugeniavägen 18 113 68 Stockholm Sweden

Tel: +46 8 510 530 00

# 1.2 Contact person

David Hedfors Quality and Regulatory Affairs Director RaySearch Laboratories AB (publ) Email: quality@raysearchlabs.com Tel: +46 722 366 110

### 1.3 Preparation date

March 28<sup>th</sup>, 2023.

#### 1.4 Trade name

The trade name is RayStation.

The marketing name is RayStation 12A and RayPlan 12A.

#### 1.5 Common name

Radiation therapy treatment planning system

#### 1.6 Classification name

Medical charged-particle radiation therapy system (21 CFR 892.5050, Product Code MUJ)

#### 1.7 Predicate device

K220141 RayStation 11B

#### 1.8 Device description

RayStation is a treatment planning system for planning, analysis and administration of radiation therapy and medical oncology treatment plans. The device lets the user import patient images and data, identify treatment targets and organs at risk, create an optimal treatment plan taking into account patient anatomy, prescribe treatment dose and organ at risk sensitivity, review and approve the plan and then administer the treatment. A scientific basis for the device is the implementation of peer reviewed algorithms of plan parameter optimization and photon and particle dose calculation.

RayStation consists of multiple applications:

- The main RayStation application is used for treatment planning.
- The RayPhysics application is used for commissioning of treatment machines to make them available for treatment planning and used for commissioning of imaging systems.
- The RayTreat application is used for sending plans to treatment delivery devices for treatment and receiving records of performed treatments.

These applications are built on a software platform, containing the radiotherapy domain model and providing GUI, optimization, dose calculation and storage services. The platform uses three Microsoft SQL databases for persistent storage of the patient, machine and clinic settings data.

The RayStation application is divided in modules, which are activated through licensing. A simplified license configuration of RayStation is marketed as RayPlan. RayPlan has a limited set of modules, indicated in the following table.

| Planning activity       | Module                       | Available in<br>RayPlan |
|-------------------------|------------------------------|-------------------------|
| Automated planning      | Plan explorer                | No                      |
|                         | Automated breast planning    | No                      |
|                         | Fallback planning            | No                      |
|                         | Fallback protocol management | No                      |
| Patient data management | Patient data management      | Yes                     |
| Patient modeling        | Image registration           | Yes                     |
|                         | Structure definition         | Yes                     |
|                         | Deformable registration      | No                      |
|                         | Eye modeling                 | No                      |
| Plan design             | Virtual simulation           | Yes                     |
|                         | Plan setup                   | Yes                     |
|                         | 3D-CRT beam design           | Yes                     |
|                         | Electron beam design         | Yes                     |
|                         | Proton beam design           | No                      |
|                         | Brachy planning              | Yes                     |
| Plan optimization       | Plan optimization            | Yes                     |
|                         | Multi criteria optimization  | No                      |
| Plan evaluation         | Plan evaluation              | Yes                     |
|                         | Robust evaluation            | No                      |
|                         | Biological evaluation        | No                      |
| QA preparation          | QA preparation               | Yes                     |
| Treatment adaptation    | Dose tracking                | No                      |
|                         | Adaptive replanning          | No                      |

In each planning activity the user can perform some operations that are considered to form a basic task or planning activity in oncology. Together, the planning activities cover a complete treatment planning use case. Each planning activity consists of one or more modules; each corresponding to a coherent group of functionalities. A module may include one or several workspaces, where each workspace holds an optimized layout of regions populated with GUI components that are needed to get through the use case of the module.

The device to be marketed, RayStation 12A, contains modified features compared to version RayStation 11B as indicated below:

- Support for eye planning with wedges
  - A wedge can be used to improve the conformity of dose distribution and spare risk organs. The wedge is not patient specific, meaning that the user must choose a wedge from a predefined set of wedges for the treatment machine. Each wedge in the machine model is associated with an identifying name, a physical opening angle, and a material.
- Automatic field in field planning

- A uniform dose can be achieved on a selected target using automatically generated 3D-CRT fields/segments. Starting from a number of beams (usually 2 or 3) and an initial segment for each beam the action sequentially adds a given number of segments to each beam, choosing apertures and segment weights so that the final dose is approximately uniform on the target. The apertures of the inner segments always have openings that are subsets of the openings of the respective initial segments.
- Brachy therapy support for Elekta Flexitron® afterloaders
  - The connectivity to the Elekta Flexitron® afterloader is validated for the brachy planning in RayStation using the TG43 formalism.
- Electron Monte Carlo dose engine update
  - The previously used plug-in for in-patient transport for the electron Monte Carlo dose engine (VMC++) was replaced by a fully integrated electron Monte Carlo dose engine. In the development of the new dose engine, improvements have been made to increase the accuracy for small cutout sizes.

#### 1.9 Indications for Use

RayStation is a software system for radiation therapy and medical oncology. Based on user input, RayStation proposes treatment plans. After a proposed treatment plan is reviewed and approved by authorized intended users, RayStation may also be used to administer treatments.

The system functionality can be configured based on user needs.

#### 1.10 Technological characteristics summary

The following comparison table summarized the technological characteristics. In the table below, RayStation 12A is compared to the predicate device RayStation 11B.

| Item  | Compared to<br>RayStation 11B | Comment   |
|---|-------------------------------|---|
| Hardware platform                                   | Substantially Equivalent      | Both systems use standard office PCs as hardware platform.  |
| Operating system                                    | Substantially Equivalent      | Both systems use Windows 10 Professional (or higher) and Windows Server 2012 R2 (or higher).  |
| Target population                                   | Substantially Equivalent      | RayStation 11B and RayStation 12A are intended for the  |
| Anatomical sites                                    | Substantially Equivalent      | have been prescribed an external beam radiation therapy or<br>medical oncology treatment.   |
| Human factors                                       | Substantially Equivalent      | In terms of human factors, the systems are considered<br>equivalent. The user interfaces are almost identical.  |
| Standards met                                       | Substantially Equivalent      | Both systems comply with the following FDA-recognized consensus standards: IEC 61217:2011, IEC 62083, IEC 62304:2015, IEC 62366-1:2015, ISO 14971:2019 and with IEC 60601-2-68:2014 standard.   |
| Image types   | Substantially Equivalent      | RayStation 11B and RayStation 12A both support CT, PET and MR images for identifying patient organs and contouring.   |
| Reporting aspects                                   | Substantially Equivalent      | When evaluating and approving treatment plans, all necessary data is presented to the user and available in print in both systems.  |
| Image storing                                       | Substantially Equivalent      | None of the systems is intended for long term storage of images or other patient data.  |
| Network / remote<br>connections and<br>capabilities | Substantially Equivalent      | Both systems are capable of network transfer of patient data<br>using the DICOM protocol. RayStation 12A and RayStation<br>11B are designed for desktop use and for remote access using<br>standard virtualization techniques. Remote connection to the<br>system is verified in detail and equivalent to local connection. |

| Cybersecurity | Substantially Equivalent | Both systems are compliant with the requirements listed in<br>the FDA guideline 1825 "Content of Premarket Submissions |
|---------------|--------------------------|--|
|               |                          | for Management of Cybersecurity in Medical Devices".   |

| Feature                 | Description  | Present in<br>RayStation<br>11B<br>(K220141) | Present in<br>RayStation<br>12A | Significantly<br>changed?  |
|-------------------------|--|--|---------------------------------|--|
| 3D<br>visualization     | Displays the patient geometry and<br>structures in three dimensions, with the<br>possibility to rotate the patient image. If<br>available, the dose distribution and beam<br>modifiers are shown as well.  | Yes  | Yes                             | No   |
| Adaptive<br>replanning  | The process of replanning the treatment<br>for a patient, based on information about<br>e.g. patient geometry, biology and dose<br>delivery acquired during treatment.   | Yes  | Yes                             | No   |
| Beam<br>commissioning   | Modeling of the radiation beam using a<br>limited set of measurements on the clinical<br>beam for commissioning treatment<br>machines to make them available for<br>treatment planning.  | Yes  | Yes                             | No   |
| Beam design             | Definition of beam orientations, apertures<br>and various beam modifiers in order to<br>manually create a treatment plan.  | Yes  | Yes                             | No   |
| Beam set-up             | Manual or automatic definition of<br>isocenter, selection of treatment unit from<br>the set of commissioned treatment<br>machines, and specification of<br>gantry/couch/collimator angles.   | Yes  | Yes                             | Yes, new<br>functionality<br>Automatic<br>Field in Field<br>planning was<br>added. |
| Beam's eye<br>view      | Displays the beam's eye view of the patient structures, fluence and beam modifier settings for any beam.   | Yes  | Yes                             | No   |
| Brachy<br>planning      | Tools for planning of HDR brachytherapy<br>treatments. Includes channel<br>reconstruction and optimization and<br>editing of dwell times.  | Yes  | Yes                             | Yes. Now<br>supports<br>Elekta<br>Flexitron<br>afterloaders.                       |
| CyberKnife<br>planning  | CyberKnife planning is completely<br>integrated in RayStation. This includes<br>optimization of high quality treatment<br>plans collimated with MLC, fixed cones<br>or iris cones, as well as support for all<br>CyberKnife Synchrony techniques for<br>target tracking and real time motion<br>synchronization. | Yes  | Yes                             | No   |
| Deformable registration | Establishing a point-to-point mapping<br>between two images using a deformation<br>model. Used for mapping of dose and<br>structures between images.   | Yes  | Yes                             | No   |

Detailed technology comparison table:

| DICOM RT<br>export               | Export of images, structure set, plan, and dose according to the DICOM RT standard.  | Yes | Yes | No  |
|----------------------------------|--|-----|-----|-----|
| DICOM RT<br>import               | Import of images, structure set, plan, and dose according to the DICOM RT standard.  | Yes | Yes | No  |
| Dose<br>calculation<br>electrons | For electron beams RayStation calculates<br>dose by the Monte Carlo technique. The<br>electron beam phase space is generated in<br>run time by sampling from a phase space<br>model where the electrons are created at<br>the secondary scattering foil. Both the<br>electron transport through the treatment<br>head and the in-patient dose computation<br>is performed using the Monte Carlo<br>algorithm.  | Yes | Yes | Yes |
|                                  | In versions prior to RayStation 11A, the<br>transport through the treatment head has<br>been handled by a Monte Carlo algorithm<br>developed by RaySearch, while the in-<br>patient transport and dose computation has<br>been the responsibility of the plug-in dose<br>engine VMC++. In RayStation 12A, the<br>VMC++ dose engine has been exchanged<br>with an in-patient Monte Carlo transport<br>and dose scoring algorithm fully<br>developed by RaySearch. Additionally,<br>some minor improvements have been<br>made to the treatment head transport, but<br>this part is essentially the same as in<br>RayStation 11B.                                       |     |     |     |
|                                  | There are substantial similarities between<br>the replaced VMC++ code and the<br>EGSnrc code and these two Monte Carlo<br>dose engines agrees on sub-percent level<br>[1][2]. The dose engine developed by<br>RaySearch is similar to the EGSnrc, as has<br>been described in references 11, 12, 17, 24<br>and 108 in the 008 RSL-D-RS-12A-REF-<br>EN-1.0-2022-06-23 RayStation 12A<br>Reference Manual. Therefore, we<br>conclude that the electron dose engine<br>used in RayStation 12A (fully developed<br>by RaySearch) is substantially equivalent<br>to the electron dose engine used in<br>RayStation 11B (in-patient dose<br>computation handled by VMC++). |     |     |     |

|                                | The supporting testing confirms  |     |     |    |
|--------------------------------|--|-----|-----|----|
|                                | equivalence between the RayStation 11B<br>and RayStation 12A dose engines.<br>Regression tests performed during the<br>electron dose engine validation between<br>the two versions are within tolerance<br>limits which shows a similar level of<br>accuracy between the two dose engines.<br>Acceptance criteria for comparison with<br>previous RayStation dose: The calculated<br>doses shall fail for less than 2% of the data |     |     |    |
|                                | References:  |     |     |    |
|                                | [1] Kawrakow I and Fippel M, "VMC++,<br>a MC algorithm optimized for electron<br>and photon beam dose calculations for<br>RTP," Proceedings of the 22nd Annual<br>International Conference of the IEEE<br>Engineering in Medicine and Biology<br>Society (Cat. No.00CH37143), Chicago,<br>IL, USA, 2000, pp. 1490-1493 vol.2, doi:<br>10.1109/IEMBS.2000.898024.   |     |     |    |
|                                | <ul> <li>[2] Kawrakow I, Fippel M, Friedrich K.</li> <li>3D electron dose calculation using a Voxel based Monte Carlo algorithm (VMC). Med Phys. 1996 Apr;23(4):445-57. doi: 10.1118/1.597673. PMID: 9157256.</li> </ul>   |     |     |    |
| Dose<br>calculation<br>photons | For <b>photon</b> beams RayStation calculates<br>dose by the point kernel superposition<br>method (a.k.a. Collapsed Cone) or a<br>Monte Carlo algorithm for radiation<br>transport. The incident energy fluence is   | Yes | Yes | No |
|                                | modeled as a superposition of a primary<br>energy fluence and a scatter energy<br>fluence. The dose contribution from<br>contamination electrons is calculated by a<br>pencil beam algorithm.  |     |     |    |

| Dose<br>calculation<br>brachy | For brachy plans RayStation calculates dose based on the TG43 formalism.  | Yes | Yes | No   |
|-------------------------------|---|-----|-----|--|
| Dose display<br>(2D)          | Displays the patient geometry with<br>structures superimposed on the image data<br>together with the dose distribution in<br>transversal, sagittal, and coronal<br>directions.  | Yes | Yes | No   |
| Dose tracking                 | Dose tracking scenarios including<br>deformable registration of one CT or<br>CBCT to another and subsequent<br>deformation and accumulation of dose.  | Yes | Yes | No   |
| Eye planning                  | Tools for specifying a highly detailed<br>geometrical model of the eye based on<br>measurements from ultrasound and<br>surgery. Support for positioning of<br>tantalum clips. Import and visualization of<br>fundus images. Creation and dose<br>computation of proton plans with gaze<br>angle-based treatment directions.   | Yes | Yes | Yes, Now<br>supports eye<br>planning with<br>wedges. |
| Fallback<br>planning          | Automatic generation of fallback plans<br>using alternative treatment machines and<br>treatment techniques. User-defined<br>protocols specifies the setup of the<br>fallback plans which are automatically<br>generated from the protocols and<br>optimized using dose mimicking<br>functions.  | Yes | Yes | No   |
| Image<br>conversion           | Conversion of CBCT images to synthetic<br>CT images that can be used for more<br>accurate dose calculations.  | Yes | Yes | No   |
| Inverse<br>planning           | The user can define optimization settings<br>such as optimization tolerance and<br>maximum number of iterations as well as<br>segmentation settings on the multileaf<br>collimator and the Pencil Beam Scanning<br>spot pattern. An interface for controlling<br>the optimization process is provided and<br>the progress of optimization is displayed<br>in a view. The system generates control<br>points for step-and shoot MLC plans,<br>Sliding Window plans (DMLC), rotational<br>plans (VMAT), 3DCRT plans, Wave Arc<br>plans, TomoTherapy plans and proton<br>Pencil Beam Scanning plans, using the<br>defined optimization problem. The inverse<br>planning can be carried out either through<br>a conventional inverse approach or by<br>using multi-criteria optimization (photons<br>and protons only). | Yes | Yes | No   |

| LET evaluation                      | Computation and evaluation of dose-<br>averaged LET (Linear Energy Transfer)<br>for proton plans. LET is an additional<br>physical quantity that can be used to<br>assess the radiobiological effect of the<br>proton radiation. | Yes | Yes | No |
|-------------------------------------|--|-----|-----|----|
| Machine<br>database                 | Microsoft SQL database for storage of<br>beam model parameters, machine<br>constraints and dose curves with<br>dosimetric data for treatment units.  | Yes | Yes | No |
| MR based<br>planning                | Allowing MR-images as planning images<br>and base dose computation on material<br>override ROIs.   | Yes | Yes | No |
| Optimization<br>functions           | The optimization functions are specified in<br>terms of objectives and constraints to form<br>the optimization problem that is solved by<br>the optimization engine.   | Yes | Yes | No |
| Patient<br>anatomy<br>modeling      | Manual and semi-automatic segmentation<br>tools for contouring ROIs slice by slice<br>together with semi-automated generation<br>of the patient outline ROI.   | Yes | Yes | No |
|                                     | The model-based segmentation technique<br>allows for semi-automatic delineation of<br>structures by matching 3D shape models<br>of the structures to new image data.   |     |     |    |
|                                     | With atlas-based segmentation, the user<br>can define templates consisting of already<br>segmented image data and use this<br>template for segmentation of new patient<br>images.  |     |     |    |
|                                     | With deep learning segmentation, the user<br>can use trained deep learning models for<br>automatic segmentation of new patient<br>images. (The model training is performed<br>offline on clinical CT and structure data.)        |     |     |    |
| Patient<br>database                 | Microsoft SQL database for storage of all patient and plan data. Not for long term storage.  | Yes | Yes | No |
| Plan Explorer                       | The system computes a large set of plans<br>according to given rules and the user is<br>provided with tools to select good plans<br>from these.  | Yes | Yes | No |
| Quality<br>assurance<br>preparation | Tools for transferring the clinical plan to a<br>phantom and recalculate dose. The output<br>is the dose distribution in DICOM format<br>or a 2D dose plane and a QA report.   | Yes | Yes | No |
|                                     | Predicted EPID response is retrieved by<br>photon dose computation in a specially<br>designed phantom.   |     |     |    |

| RBE dose<br>handling       | RBE (Relative Biological Effectiveness)<br>models can be defined and commissioned.<br>For proton treatments, the user can select<br>whether to look at RBE-corrected dose or<br>physical dose. Dose summation is only<br>possible for photon doses and RBE-<br>corrected proton doses.                                       | Yes | Yes | No |
|----------------------------|--|-----|-----|----|
| Robust<br>evaluation       | Tools used to answer questions of how the<br>dose distribution would appear if the<br>patient setup at the time of treatment does<br>not fully correspond to the planning CT. A<br>model of patient uncertainties such as CT<br>inaccuracy and setup errors is used to<br>compute a set of scenario doses for<br>evaluation. | Yes | Yes | No |
| Robust<br>optimization     | Optimization where a model of patient<br>uncertainties such as CT inaccuracy, setup<br>errors or organ motion is used during the<br>optimization.  | Yes | Yes | No |
| Scripting                  | Scripting gives programmatic access to<br>functionality, excluding user risk<br>mitigations. Through scripting, the clinic<br>specific procedures can be automated. The<br>operating system and other applications<br>can be accessed.   | Yes | Yes | No |
| Supported                  | HFS, FFS, HFP, FFP   | Yes | Yes | No |
| positions                  | Decubitus left/right   | Yes | Yes | No |
| -                          | Seated position (for ions)   | Yes | Yes | No |
| System<br>integrity tools  | Hardware based license, preventing<br>unauthorized useable copies to be made.<br>Checksum control of binary files to<br>prevent tampering. Data in the patient and<br>machine databases only available for users<br>with administrator rights.   | Yes | Yes | No |
| TomoTherapy<br>planning    | Planning for TomoTherapy machines is<br>completely integrated in RayStation. Also<br>provides tools for selection of targets and<br>imaging angles for the TomoTherapy<br>machine to use for target tracking during<br>delivery.   | Yes | Yes | No |
| Treatment<br>adaptation    | A general concept where the treatment<br>plan is adapted during the course of<br>treatment. Tools available today include<br>deformable dose accumulation, CBCT<br>dose calculation and replanning scenarios.  | Yes | Yes | No |
| Treatment plan<br>approval | Approval of the preferred treatment plan<br>and referenced ROIs by authorized<br>medical staff. Once a treatment plan is<br>approved, it is locked for any further<br>modification.  | Yes | Yes | No |

| Treatment plan<br>creation     | Treatment plan creation with specification<br>of plan properties such as number of<br>fractions and delivery technique.   | Yes | Yes | No |
|--------------------------------|---|-----|-----|----|
| Treatment plan<br>evaluation   | Evaluation of a single plan. Comparison<br>of dose distributions and DVH curves of<br>two or three plans.   | Yes | Yes | No |
| Treatment<br>delivery          | An approved plan can be assigned to<br>fractions in a treatment course and sent to<br>the treatment delivery device. RayTreat<br>offer treatment room interfaces for patient<br>positioning, imaging and plan delivery. | Yes | Yes | No |
| Undo/redo and<br>auto recovery | The undo stack is saved to the database,<br>enabling recovery of RayStation after<br>crash. The user may redo all or selected<br>changes at reopen of patient after crash.  | Yes | Yes | No |
| Virtual<br>Simulation          | Setup of isocenter, beam arrangements<br>and basic aperture design. Export to laser<br>systems for patient marking.   | Yes | Yes | No |

## 1.11 Assessment of non-clinical performance data

Software verification and validation testing were conducted, and documentation was provided as recommended by FDA's Guidance for Industry and FDA Staff, "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices". The software for this device was considered as a "Major" level of concern, since a failure or latent flaw in the software could directly result in serious injury or death to the patient.

**Support for eye planning with wedges** – Validation of the eye planning feature extended to include wedges was performed as part of the dose engine validation for proton ocular treatments. The test cases cover line doses in homogeneous phantoms using a square aperture and a wedge mounted with varying opening angles and positions. Depth dose curves with ranges and modulations are used in the validation. An interval is used for the opening angle of the wedge and for the lateral position of the opening edge of the wedge with respect to the central axis. Depth-dose profiles along the central axis were acquired with a plane-parallel chamber in a water tank. The accuracy requirements are related to:

- The SOBP distal fall-off of the central axis depth dose curve
- 95% and 98% of the computed depth dose values with Gamma pass rates

The requirements are met by the data in the validation report. The proton dose computation for proton ocular treatments in RayStation 12A has been successfully validated for accuracy in clinically relevant settings according to specification.

**Automatic field in field planning** - Validation of the new feature for creating field in field plans for e.g. 3DCRT plans with multiple segments in each beam was performed as part of the overall system validation.

The requirements are that

- For a 3D-CRT plan, the merged beams' MU shall agree with original beams' MU.
- Merged beams' segments shall keep original shapes.
- MU and segment weights after split are subdivided correctly and that split beams are managed correctly in terms of ordering and ROI handling.

Testing shows that the segment MUs and shapes agree, beam and segment administration and handling are correct and that all beams created by the split beam action have the same Treat and Protect ROIs as the beam that was split. These tests demonstrate that RayStation 12A can safely perform field in field planning.

**Brachy Therapy now support Elekta Flexitron® afterloaders** – Validation of the HDR brachytherapy planning for Elekta Flexitron afterloaders was performed as part of the Dose Engine validation of Brachy TG43.

Computed doses have been compared with reference doses, i.e. from published consensus data sets, from measurements or from independent and well-established systems for dose computation. The reference doses consist of point doses, line doses, as well as 2D and 3D doses. The reference dose sources are

- Published consensus data
- Measured doses
- Doses computed in two major competing TPS
- Doses computed with an independent Monte Carlo software

RayStation provides support for TG43 dose computation with user specified TG43 parameters for any applicable source. Comparison to QA along-away data ensures that the dose engine can accurately reproduce the dose for a variety of sources given that the input data is correct. Measurement from EQUAL-ESTRO relates computed dose to delivered dose for a setup with three dwell positions. Comparison to an independent and TG43 compliant treatment planning system is used to further validate the correct superposition of dose from a large number of dwell positions. Finally, the comparison to an independent walidation of a complete treatment plan. The validation demonstrates that the dose computation is adequate for clinical use.

**Electron Monte Carlo dose engine update** – The electron dose calculation in RayStation supports LINACs using the dual foil scattering technique with applicators and cutouts. The dual foil assembly shapes the electron beam phase space in the upper part of the treatment head (i.e. towards the vacuum window). The applicator and cutout further shape the beam to yield clinically usable lateral flatness and penumbras while minimizing radiation leakage outside of the field.

The electron phase space model in RayStation is designed to model the arrangement sketched above. The implementation is parameter driven and thus generic with respect to a typical dual foil, applicator and cutout arrangement.

The validation strategy is to compare doses computed with RayStation 12A to reference doses. The different reference doses used are

- Measured doses.
- Doses computed in a well-established competing TPS.
- Doses computed with earlier versions of RayStation.
- Doses computed in BEAMnrc/egs++.

Two different gamma criteria for comparison with another TPS or measurement are evaluated for each test case, with specified requirements on level of agreement.

The fraction of the calculated dose data points for comparison with previous RayStation dose that fail has been evaluated, and the fraction of the calculated dose data points for comparison to BEAMnrc/egs++ that fail has been evaluated.

Validation of the new dose engine has been performed which demonstrates that the dose computation is adequate for clinical use.

#### 1.11.1 Conclusion

The non-clinical data support the safety of the device and the software verification and validation demonstrate that the RayStation 12A device should perform as intended in the specified use conditions, and the performance testing demonstrates that the RayStation 12A device performs comparably to the predicate device that is currently marketed for the same intended use.