

Envisionit DeepAI Ltd % Michael Pogose Director of Quality Assurance and Regulatory Affairs Hardian Ltd t/a Hardian Health c/o Galloways, 3rd Floor 21 Perrymount Road Haywards Heath, West Sussex RH16 3TP United Kingdom

Re: K231871 January 17, 2024

Trade/Device Name: Radify® Triage Regulation Number: 21 CFR 892.2080

Regulation Name: Radiological computer aided triage and notification software

Regulatory Class: Class II Product Code: QFM

Dated: December 19, 2023 Received: December 19, 2023

Dear Michael Pogose:

We have reviewed your section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (the Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database available at https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Additional information about changes that may require a new premarket notification are provided in the FDA guidance documents entitled "Deciding When to Submit a 510(k) for a Change to an Existing Device" (https://www.fda.gov/media/99812/download) and "Deciding When to Submit a 510(k) for a Software Change to an Existing Device" (https://www.fda.gov/media/99785/download).

Your device is also subject to, among other requirements, the Quality System (QS) regulation (21 CFR Part 820), which includes, but is not limited to, 21 CFR 820.30, Design controls; 21 CFR 820.90, Nonconforming product; and 21 CFR 820.100, Corrective and preventive action. Please note that regardless of whether a change requires premarket review, the QS regulation requires device manufacturers to review and approve changes to device design and production (21 CFR 820.30 and 21 CFR 820.70) and document changes and approvals in the device master record (21 CFR 820.181).

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR Part 803) for devices or postmarketing safety reporting (21 CFR Part 4, Subpart B) for combination products (see https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR Part 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR Parts 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to https://www.fda.gov/medical-device-problems.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance) and CDRH Learn (https://www.fda.gov/training-and-continuing-education/cdrh-learn). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice) for more information or contact DICE by email (DICE@fda.hhs.gov) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Jessica Lamb, Ph.D.

Jessica dant

Assistant Director

Imaging Software Team

DHT8B: Division of Radiological Imaging

Devices and Electronic Products

OHT8: Office of Radiological Health

Office of Product Evaluation and Quality Center for Devices and Radiological Health

DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

Indications for Use

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

Submission Number (if known)
K231871
Device Name
RADIFY® Triage
Indications for Use (Describe)
RADIFY® Triage is a radiological computer-assisted triage and notification software that analyzes adult chest X-ray images for the presence of pre-specified suspected critical findings (pleural effusion and/or pneumothorax).
RADIFY® Triage uses an artificial intelligence algorithm to analyze images for features suggestive of critical findings and provides case-level output available in the PACS for worklist prioritization or triage.
As a passive notification for prioritization-only software tool within the standard of care workflow, RADIFY® Triage does not send a proactive alert directly to the appropriately trained medical specialists. The product is not intended to direct attention to specific portions of an image. Its results are not intended to be used on a stand-alone basis for clinical decision-making. The device does not remove the cases from the queue and does not flag the condition as being absent.
Type of Use (Select one or both, as applicable)
Prescription Use (Part 21 CFR 801 Subpart D) Over-The-Counter Use (21 CFR 801 Subpart C)
CONTINUE ON A SEPARATE PAGE IF NEEDED

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510(k) Summary K231871 13th January 2024

1. SUBMITTER INFORMATION

Company address:

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Contact: Dr Jaishree Naidoo Phone: +447440058274

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2. DEVICE

Name of Device: RADIFY® Triage

Common or Usual name: RADIFY® Triage

Classification Name: Radiological Computer-Assisted Prioritization Software For Lesions

Classification Regulation: 21 CFR 892.2080

Regulatory Class: Class II Product Code: QFM

3. PREDICATE DEVICE

The Envisionit Deep AI *RADIFY® Triage* is substantially equivalent to the following devices:

Primary Predicate:

Manufacturer Name	Lunit Inc
Device Trade Name	Lunit INSIGHT CXR Triage
510(k) Number	<u>K211733</u>

4. INTENDED USE/INDICATIONS FOR USE

RADIFY® Triage is a radiological computer-assisted triage and notification software that analyzes adult chest X-ray images for the presence of pre-specified suspected critical findings (pleural effusion and/or pneumothorax).

RADIFY® Triage uses an artificial intelligence algorithm to analyze images for features suggestive of critical findings and provides case-level output available in the PACS for worklist prioritization or triage.

As a passive notification for prioritization-only software tool within the standard of care workflow, *RADIFY® Triage* does not send a proactive alert directly to the appropriately trained medical specialists. The product is not intended to direct attention to specific portions of an image. Its results are not intended to be used on a stand-alone basis for clinical decision-making. The device does not remove the cases from the queue and does not flag the condition as being absent.

5. DEVICE DESCRIPTION

RADIFY® Triage is a radiological computer-assisted prioritization software that utilizes Albased image analysis algorithms to identify pre-specified critical findings (pleural effusion and/or pneumothorax) on frontal (AP and PA) views chest X-ray images and flag the images in the PACS to enable worklist prioritization by the appropriately trained medical specialists who are qualified to interpret chest radiographs. The software does not alter the order or remove cases from the reading queue.

The algorithm was trained on datasets from US and non-USA sources. This training dataset consisted of 93.7% of the data from South Africa, and 6.3% of the data from the USA. The input for *RADIFY® Triage* is a frontal chest x-ray (AP and PA view) in digital imaging and communications in medicine (DICOM) format.

Chest X-rays are sent to *RADIFY® Triage* via PACS (Picture Archiving and Communication System (PACS) and processed by the device for analysis. Following receipt of chest x-rays, the software device automatically analyses each image to detect features suggestive of pneumothorax and/or pleural effusion. Chest x-rays without the suspicious findings are placed in the worklist for routine review, which is the standard of care. *RADIFY® Triage* does not provide any proactive alerts and is not intended to direct attention to specific portions of the image. The results are not intended to be used on a standalone basis for clinical decision-making nor is it intended to rule out the target conditions or otherwise preclude clinical assessment of x-ray cases.

6. COMPARISON OF PREDICATE DEVICES

RADIFY® Triage and the identified predicate device(s) are software-only devices that use Artificial intelligence (AI) algorithms and are intended to aid in triage and prioritization of radiological images.

Both *RADIFY® Triage* and Lunit Insight (Primary Predicate) have the same principles of operation and underlying technological characteristics:

- 1. Artificial Intelligence Algorithm(s) Deep Convolutional Neural Networks (DCNN)
- 2. Triage and notification software

There are no notable technological differences between the subject and the primary predicate device. Both Envisionit Deep AI and Lunit INSIGHT CXR Triage analyze chest x-rays for the presence of pre-specified target conditions - pleural effusion and pneumothorax.

Both devices identify time-sensitive findings and provide passive notification for suspected pneumothorax and pleural effusion.

In terms of establishing substantial equivalence, the subject and predicate device have the same intended use, as an image processing tool that triages images for features suggestive of critical findings and produces case-level output. The indications for use proposed for the subject device are similar to those of the predicate device.

Comparison of the key features of the subject, and predicate devices is provided in Table 1.

Table 1. Summary of Substantial Equivalence to Predicate Device

	Predicate Device	Subject Device	
	Lunit INSIGHT CXR Triage	RADIFY® Triage	
Device Name	Lunit INSIGHT CXR Triage RADIFY® Triage		
510 (k) Number	K211733	K231871	
Regulation	21 CFR 892.2080	21 CFR 892.2080	
Regulation Description	Radiological computer aided triage and notification software	ed Radiological computer aided triage and notification software	
Product Code	QFM	QFM	
Device Type	Radiological Computer- Assisted Prioritization Software For Lesions	Radiological Computer- Assisted Prioritization Software For Lesions	
Manufacturer	Lunit Inc.	Envisionit Deep Al	
Intended Use/Indications for Use	Lunit Insight CXR Triage is a radiological computerassisted triage and notification software that analyzes adult chest X-ray images for the presence of pre-specified suspected critical findings (pleural effusion and/or pneumothorax). Lunit INSIGHT CXR Triage uses an artificial intelligence algorithm to analyze images for features suggestive of critical findings and provides case-level output available in the PACS/workstation for worklist prioritization or triage. As a passive notification for prioritization-only software	RADIFY® Triage is a radiological computerassisted triage and notification software that analyzes adult chest X-ray images for the presence of pre-specified suspected critical findings (pleural effusion and/or pneumothorax). RADIFY® Triage uses an artificial intelligence algorithm to analyze images for features suggestive of critical findings and provides case-level output available in the PACS for worklist prioritization or triage. As a passive notification for prioritization-only software tool within the standard of care workflow, RADIFY®	

	Predicate Device	Subject Device	
	Lunit INSIGHT CXR Triage	RADIFY® Triage	
	tool within standard of care workflow, Lunit INSIGHT CXR Triage does not send a proactive alert directly to the appropriately trained medical specialists. Lunit INSIGHT CXR Triage is not intended to direct attention to specific portions of an image or to anomalies other than pleural effusion and/or pneumothorax. Its results are not intended to be used on a stand-alone basis for clinical decision-making.	Triage does not send a proactive alert directly to the appropriately trained medical specialists. The product is not intended to direct attention to specific portions of an image. Its results are not intended to be used on a stand-alone basis for clinical decision-making. The device does not remove the cases from the queue and does not flag the condition as being absent.	
Intended User	Appropriately trained medical specialists who are qualified to interpret chest radiographs.	Appropriately trained medical specialists who are qualified to interpret chest radiographs.	
Modality	Chest X-ray	Chest X-ray	
Target Clinical Conditions	Pleural effusion, Pneumothorax on Chest/Lung Frontal Chest X- ray	Pleural effusion, Pneumothorax on Chest/Lung Frontal Chest X- ray	
Algorithm for pre-specified critical findings detection	Al algorithm designed to detect pleural effusion and pneumothorax in chest X-ray images. Lunit INSIGHT CXR Triage uses a vendor agnostic algorithm compatible with DICOM chest Xray images	Al algorithm designed to detect pleural effusion and pneumothorax in chest X-ray images. <i>RADIFY® Triage</i> uses a vendor agnostic algorithm compatible with DICOM chest Xray images	
Notification only/Parallel workflow	Yes	Yes	
Input format	DICOM	DICOM	
Device output in case of positive detection	When deployed on other radiological imaging	When deployed on other radiological imaging	

	Predicate Device	Subject Device	
	Lunit INSIGHT CXR Triage	RADIFY® Triage	
	equipment, Lunit INSIGHT CXR Triage automatically runs after image acquisition and prioritizes and displays the analysis result through the worklist interface of PACS/workstation. No markup on original image. Secondary capture of the finding. Upon image acquisition from other radiological imaging equipment (e.g. X-ray systems), an on-device, technologist notification indicating which cases were flagged by Lunit INSIGHT CXR Triage in PACS, is generated. The on device notification is contextual and does not provide any diagnostic information. It is not intended to inform any clinical decision, prioritization, or action to the technologist	equipment, RADIFY® Triage automatically runs after image acquisition and prioritizes and displays the analysis result through the worklist interface of PACS. No markup on original image. Secondary capture of the finding.	
Notification (i.e., recipient, timing and means of notification)	Passive notification. Images with suspicion of pleural effusion and/or pneumothorax are flagged in PACS/workstation.	Passive notification. Images with suspicion of pleural effusion and/or pneumothorax are flagged in PACS/workstation.	
Where generated results (i.e., DICOM files) are stored	PACS/Workstation	PACS/Workstation	
Performance level – Timing of notification	The average time taken for the notification to travel from the Lunit INSIGHT CXR Triage to the point at which the result is displayed in the destination PACS/RIS/EPR worklist is 14.66 seconds.	The average time taken for the notification to travel from the <i>RADIFY® Triage</i> to the point at which the result is displayed in the destination PACS/RIS/EPR worklist is 3 seconds.	

	Predicate Device	Subject Device
	Lunit INSIGHT CXR Triage	RADIFY® Triage
Performance level – accuracy of classification	Pleural Effusion	Pleural Effusion
	ROC AUC > 0.95 AUC: 0.9686 (95% CI: [0.9547, 0.9824]) Sensitivity 89.86% (95% CI: [86.72, 93.00]) Specificity 93.48% (95% CI: [91.06, 95.91])	ROC AUC > 0.95 AUC: 97.61 (95% CI: [97.36, 97.86]) Sensitivity 94.39% (95% CI: [93.26, 95.51]) Specificity 96.42% (95% CI: [95.29, 98.00])
	Pneumothorax ROC AUC > 0.95 AUC: 0.9630 (95% CI: [0.9521, 0.9739]) Sensitivity 88.92% (95% CI: [85.60, 92.24]) Specificity 90.51% (95% CI: [88.18, 92.83])	Pneumothorax ROC AUC > 0.95 AUC: 97.43 (95% CI: [97.12, 97.74]) Sensitivity 94.81% (95% CI: [93.90, 95.73]) Specificity 97.91% (95% CI: [97.00, 98.83])

7. TESTING

Software

Software verification and validation testing were conducted, and documentation was provided as recommended by FDA's Guidance for Industry and FDA Staff, "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices." The software documentation level for this device is Basic Documentation.

Performance Testing - Clinical

The performance of *RADIFY® Triage* was validated by clinical tests. All the safety parameters of the device were verified in accordance with the software specifications and applicable performance standards and met the acceptance criteria (Device shows > 95% AUC) (passed), demonstrating that the software fulfils all its requirement specifications.

Clinical studies were conducted on retrospectively collected Chest X-rays to evaluate the performance of *RADIFY® Triage* for triaging of pneumothorax and pleural effusion. There was total independence between the training and test dataset with a new study of unseen images from an independent dataset from 2 sites in the US being used to present the performance testing results as follows.

Pneumothorax

The study dataset included a total of 1229 scans (270 scans with pneumothorax and 959 scans without pneumothorax) from various parts of the US.

The dataset was obtained from three hospitals across the US in order to generate evidence on the device function in various subgroups. A data collection protocol was set in place to ensure that there were sufficient numbers of important subgroups. The protocol specifies the inclusion and exclusion criteria and the expected numbers of cases and controls to be included.

The dataset consisted of 670 males and 559 females. The cases were aged from 22 years to above 85 years. To assess safety and performance of *RADIFY® Triage* device, a total of 1229 images were obtained from a large urban hospital in New York City and 3 different private clinics in urban and suburban areas in Texas state, in order to generate evidence on the device function in various subgroups. The dataset consisted of clinical confounders that included cardiomegaly, consolidation, infiltrates, opacities, and nodules. The dataset was also obtained from various X-ray device manufacturers to ensure consistent performance.

The algorithm was trained on training data from across the world, consisting of 93.9% of the data from South Africa and 6.1% of the data from the USA.

The external validation test set was obtained from sites that were different from the training data sites and therefore this ensured the independence of the test data from training data.

The ground truth was established by 3 board-certified ABR (USA) radiologists with a minimum of 11 years of experience.

The AUC of the device in triaging scans with findings suspicious of pneumothorax exceeded the acceptance criteria with AUC: 97.43 (95% CI: [97.12, 97.74]), Sensitivity 94.81% (95% CI: [93.90, 95.73]) and Specificity 97.91% (95% CI: [97.00, 98.83]).

The predicate Lunit INSIGHT CXR Triage's performance was ROC AUC 96.30 (95% CI: 95.21 - 97.39), Sensitivity 88.92% (95% CI: 85.60 - 92.24) and Specificity 90.51% (95% CI: 88.18 - 92.83).

The device's generalizability was ensured by performing subgroup analyses. The results for pneumothorax were consistent in both genders and the AUC was 97.23 (96.83, 97.64), Sensitivity 95.45, 95% (CI 94.17, 96.74) and Specificity 97.57, 95% CI (96.28, 98.86) in male, and AUC 97.52 (97.03, 98.02), Sensitivity 93.62, 95% CI (92.32, 94.91), and Specificity 98.28, 95% CI (96.98, 99.57) in female.

The device's results were also found to be consistent in a wide range of ages: with AUC of 97.95 95% CI (97.26, 98.64) in the 22-44 age group, 98.70 95% CI (98.30, 99.10) in the 45-64 age group, 96.72 95% CI (96.18, 97.26) in the 65-84 age group and 95.64 95% CI (94.41, 96.87) in the 85 or greater age group. The device's results were also consistent across image acquisition devices.

In the 88 scans that had both pleural effusion and pneumothorax co-existing, performance accuracy of detecting Pneumothorax was maintained at: AUC of 96.36% (95% CI [95.78, 96.93]).

Pleural Effusion

The study dataset included a total 1229 scans (392 scans with pleural effusion and 837 scans without pleural effusion) from a large urban hospital in New York City and 3 different private clinics in urban and suburban areas in Texas state. A data collection protocol was set in place to ensure that there were sufficient numbers of important subgroups. There were 559 scans from females and 670 from males. The ages ranged from 22 years to greater than 85 years.

The ground truth was established by 3 board-certified ABR (USA) radiologists with a minimum of 11 years of experience.

The AUC of the device in triaging scans with findings suspicious of pleural effusion exceeded the acceptance criteria with AUC: 97.61 (95% CI: [97.36, 97.86]), Sensitivity 94.39% (95% CI: [93.26, 95.51]) and Specificity 96.42% (95% CI: [95.29, 98.00]).

The predicate, Lunit INSIGHT CXR Triage, reported a performance of ROC AUC 0.9686 (95% CI: 0.9547 - 0.9824), sensitivity 89.86% (95% CI: 86.72 - 93.00) and specificity 93.48% (95% CI: 91.06 - 95.91).

The device's generalizability for triaging scans with pleural effusion was ensured by performing <u>subgroup analyses</u>.

The results for pleural effusion were consistent in both genders and the AUC was 97.62, 95% CI (97.24,97.99), Sensitivity 92.44, 95% CI (90.83, 94.05) and Specificity 97.67, 95% CI (96.06, 99.29) in female, and AUC 97.61, 95% CI (97.28, 97.94), Sensitivity 95.91, 95% CI (94.34, 97.48) and Specificity 95.33, 95% CI (93.77, 96.90) in male.

The device's results were also found to be consistent in a wide range of ages: with AUC of 97.36,95% CI (96.61, 98.11) in the 22-44 age group, 96.32 95% CI (95.76, 96.88) in the 45-64 age group, 97.94 95% CI (97.57, 98.32) in the 65-84 age group and 98.73, 95% CI (98.33, 99.14) in the 85 or greater age group. The device's results were also consistent across image acquisition devices.

In the 88 scans that had both pleural effusion and pneumothorax co-existing, performance accuracy of detecting Pleural effusion was maintained at: AUC of 98.20% (95% CI [97.82, 98.58]).

The device's <u>performance time</u> was also assessed for **RADIFY® Triage**. This is the time to analyze the study and send the notification to the worklist. The performance time averaged at 3s. This is comparable to the performance of the predicate performance of 14.66s. Table 2 below shows overall performance results.

Table 2 Overall results of Accuracy testing for RADIFY® Triage

	Sensitivity (%)	Specificity (%)	AUC (%) (95% CI)
Pneumothorax	94.81	97.91	97.43
	95% CI (93.90, 95.73)	95% CI (97.00, 98.83)	95% CI (97.12 97.74)
Pleural Effusion	94.39	0.9642	97.61
	95% CI (93.26, 0.955)	95% CI(95.29, 97.54)	95% CI (97.36, 97.86)
All	94.26	97.27	97.62
	95% CI (93.53, 94.99)	95% CI (96.54, 98.00)	95% CI (97.43 97.81)

8. CONCLUSION

The comparison of devices in Table 1 and the software and performance testing presented above demonstrate that the *RADIFY® Triage* device is substantially equivalent to the predicate device. The *RADIFY® Triage* is a software-only device, similar to the predicate (Lunit INSIGHT CXR Triage). It is as safe and effective as the predicate device. It has the same intended users and similar indications, technological characteristics, and principles of operation as the predicate device.

There are no differences in the indications, therefore there is no risk of its safety and effectiveness being affected when used as labelled. Both devices operate in parallel to the standard of care workflow. The performance testing demonstrates that the *RADIFY® Triage* performs as intended and is therefore substantially equivalent to the predicate. Software and Clinical testing support that the device performs in accordance with the device requirements.