

SEP 15 2005

K 051997

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**SECTION II**  
**510(k) SUMMARY OF SAFETY And EFFECTIVENESS**

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***Predicate Device:***

The device submitted in this 510(k) maintains characteristics that are substantially equivalent in intended use, design, technology/principles of operation, materials and specifications to the following devices:

- Terumo's CAPIOX® SX10 Oxygenator – K960074.
- Terumo's CAPIOX® SX10 Hardshell Reservoir – K030449.
- Medtronic Minimax Plus Oxygenator – K933586.

***Intended Use:***

The CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir is intended to be used to exchange gases between blood and a gaseous environment to satisfy the gas exchange needs of a patient during cardiopulmonary bypass surgery.

The integral heat exchanger is used to warm or cool blood and/or perfusion fluid as it flows through the device.

The (detachable) hardshell reservoir(s) is (are) used to store blood during extra-corporeal circulation from both venous line and the cardiotomy line (via gravity or vacuum assisted venous drainage procedures). The reservoir contains a venous section that is comprised of a filter and defoamer to facilitate air bubble removal. The cardiotomy section of the reservoir contains a filter to remove particulate matter and a defoamer to facilitate air bubble removal. The Reservoir(s) may also be used for Post-Operative Chest Drainage procedures.

The CAPIOX® RX15 Oxygenator with/without Hardshell Reservoir can be used in procedures lasting up to 6 hours.

The CAPIOX® RX15 is for use with patients when the required blood flow rate will not exceed 5.0 L/min. when used with a 4 Liter Reservoir; and when the required blood flow rate will not exceed 4.0 L/min. when used with a 3 Liter Reservoir).

***Principles of Operation and Technology:***

The design of the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir is such that blood is collected into the reservoir via gravity or external vacuum. Blood may enter via the venous inlet port and/or the cardiotomy inlet port. The reservoir contains filtering devices to remove particulate matter and air. Blood is then pumped from the reservoir into the heat exchanger device whereby blood temperature is controlled. After the blood exits the heat exchanger, it enters the oxygenator device whereby gas transfer (introduction of oxygen and removal of carbon dioxide) occurs. After gas transfer has occurred, the blood exits the device and is pumped towards the patient.

***Design and Materials:***

The design of the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir provides a semi-integral device whereby the oxygenator and heat exchanger are joined together, while the hardshell reservoir can be detached from the device assembly.

**CAPIOX® RX15 Hollow Fiber Oxygenator  
with/without Hardshell Reservoir**

***Submitter Information:***

This submission was prepared in July 2005 by:

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Sr. Regulatory Affairs Specialist  
Terumo Cardiovascular Systems Corp.  
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Elkton, MD 21921  
Telephone: 1-800-283-7866, Ext. 7420

This submission was prepared for:

Ashitaka Factory of Terumo Corporation  
150 Maimaigi-cho  
Fujinomiya city, Shizuoka Pref.  
Japan 418-0015

***Device Names/Classifications:***

<u>Proprietary Name</u>	<u>Classification Name</u>	<u>Common Name</u>
CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir	Cardiopulmonary Bypass Oxygenator (Code: DTZ)	Oxygenator
	Cardiopulmonary Bypass Heat Exchanger (Code: DTR)	Heat Exchanger
	Cardiopulmonary Bypass Blood Reservoir (Code: DTN)	Blood Reservoir
	Cardiopulmonary Bypass Defoamer (Code: DTP)	Defoamer
	Cardiopulmonary Bypass Cardiotomy Suction Line Blood Filter (Code: JOD)	Blood Filter
	Cardiopulmonary Bypass Stopcock, Manifold, Fitting (Code: DTL)	Sampling Manifold with Stopcocks

The materials that are used in the construction of the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir include, but are not limited to, polycarbonate, stainless steel, polyvinyl chloride, polyurethane, polyester, polypropylene, polyethylene and X-Coating.

***Performance Evaluations:***

Clinical studies are not necessary to demonstrate substantial equivalence of the subject device to the predicate devices. Substantial equivalence is demonstrated with the following *in-vitro* performance evaluations:

- Gas Transfer
- Effects on Blood Components (Hemolysis)
- Pressure Drop
- Mechanical Integrity
- Static Priming Volume
- Heat Exchanger Performance
- Defoaming
- Filtration Efficiency
- Flow Rate
- Tubing Connection Strength

***Substantial Equivalence Comparison:***

The CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir is substantially equivalent to the predicate devices as follows:

- Intended Use: The intended uses of the subject device and the predicate devices (Terumo's SX10 devices) are essentially the same. The oxygenator devices are used to provide for gas exchange between blood and a gaseous environment to satisfy the gas exchange needs of a patient during cardiopulmonary bypass surgery.

Each of the integral heat exchangers is used to warm or cool blood and/or perfusion fluid as it flows through the device.

The respective hardshell reservoirs are each used to collect and store blood during the bypass procedure. Filters are present in each device to facilitate air and particulate removal.

- Principles of Operation and Technology: The technology of the subject device and the predicate devices is essentially identical. The devices operate in a manner where blood is collected into the reservoir. The blood may enter the reservoir via the venous inlet or the cardiotomy inlet. The reservoirs each contain filtering/defoaming devices that facilitate the removal of particulate matter and air. Blood is then pumped from the reservoir into the heat exchanger device whereby blood temperature is controlled with the use of an external water bath. After the blood exits the heat exchanger, it enters the oxygenator device whereby gas transfer occurs (i.e., introduction of oxygen; removal of carbon dioxide). The transfer process occurs via diffusion across the walls of the hollow fiber membranes contained within the oxygenator. After gas transfer has occurred, the blood exits the devices and is pumped towards the patient.

- **Design and Materials:** The design and the materials of the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir and the predicate devices are essentially the same. The design of each device is similar in that they each contain a hardshell reservoir for collection of blood, a heat exchanger for temperature control, and an oxygenator for gas transfer. Such a design is common among oxygenating systems in the marketplace.

The devices are manufactured with variations of plastics, adhesives, urethanes, polypropylene, stainless steel, etc. The CAPIOX® RX15 device contains X-Coating, which is a biocompatible surface coating that reduces platelet adhesion to the device. The predicate device does not contain X-coating, although X-Coating is present on many Terumo devices that have been cleared by FDA. The use of X-Coating is well documented for being safe and effective, and it's inclusion in the proposed device raises no new issues of safety and/or effectiveness.

Another difference between the new CAPIOX® RX15 and the predicate device is the orientation of the polypropylene fibers that comprise the oxygenator module. The predicate SX10 device is comprised of a *fiber bundle*, which is essentially a collection of individual fibers that are captured in urethane adhesive at either end of the bundle. By contrast, the new CAPIOX® RX15 design utilizes a *wound fiber* design whereby single strands of fiber are continuously wrapped around a supporting core. The noted difference in the fiber bundle orientation does not present any new and/or additional risk or safety issues with the new design.

- **Performance:** Comparisons of the performance of the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir and the predicate devices were conducted. The comparisons demonstrated that there were no clinically significant performance differences between the devices.

***Substantial Equivalence Summary:***

In summary, the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir and the predicate SX10 devices are substantially equivalent in intended use, principles of operation and technology, design and materials, and performance. Any noted differences between the subject device and the predicate devices do not raise new issues of safety and effectiveness.

***Additional Safety Information:***

- Sterilization conditions have been validated in accordance with AAMI guidelines to provide a Sterility Assurance Level (SAL) of  $10^{-6}$ . Terumo further asserts that the ethylene oxide residues will not exceed the maximum residue limits at the time of product distribution.
- Terumo maintains biocompatibility studies as recommended in the FDA General Program Memorandum #G95-1 (5/1/95): Use of International Standard ISO 10993, "Biological Evaluation of Medical Devices – Part 1: Evaluation and Testing." [External Communicating Devices, Circulating Blood, Limited Exposure ( $\leq 24$  hours) Contact Duration]. The blood contacting materials were found to be biocompatible.

- The polymer coating material that is applied to the blood-contacting surfaces of the device was also evaluated in an *in-vivo* animal study. No adverse conditions were noted.

***Conclusion:***

In summary, the CAPIOX® RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir is substantially equivalent in intended use, principles of operation and technology, design and materials, and performance to the predicate Terumo SX10 Hollow Fiber Oxygenator (K960074) Terumo SX10 Hardshell Reservoir (K030449), and Medtronic Minimax Plus Oxygenator (K933586).



SEP 15 2005

Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

Terumo Cardiovascular Systems Corp.  
c/o Mr. Garry A. Courtney, MBA, RAC  
Senior Regulatory Affairs Specialist  
125 Blue Ball Road  
Elkton, MD 21921

Re: K051997  
Capiiox RX15 Hollow Fiber Oxygenator with/without Hardshell Reservoir  
Regulation Number: 21 CFR 870.4350  
Regulation Name: Cardiopulmonary Bypass Oxygenator  
Regulatory Class: II (two)  
Product Code: DTZ  
Dated: July 21, 2005  
Received: July 25, 2005

Dear Mr. Courtney:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

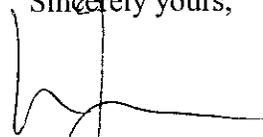
If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Page 2 – Mr. Garry A. Courtney, MBA, RAC

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050. This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Office of Compliance at (240) 276-0120. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 443-6597 or at its Internet address <http://www.fda.gov/cdrh/industry/support/index.html>.

Sincerely yours,



Bram D. Zuckerman, M.D.  
Director  
Division of Cardiovascular Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known): **K051997**

Device Name: **Capiox RX15 Hollow Fiber Oxygenator with/without  
Hardshell Reservoir**

Indications For Use:

**The Capiox RX15 is intended to be used during open-heart surgical procedures requiring cardiopulmonary bypass for period up to 6 hours. The Capiox RX15 is for use with patients when required blood flow rate will not exceed 5 L/min (4 L/min if using the CX\*RX15RW30 product code or CX\*RX15RE30 Product code).**

**The Capiox RX15 Hardshell Reservoir is also intended for use in vacuum assisted venous drainage procedures, in post-operative chest drainage, and autotransfusion procedures to aseptically return the blood to the patient for blood volume replacement.**

Garry A. Courtney  
Regulatory Affairs

Prescription Use XX  
(Part 21 CFR 801 Subpart D)

AND/OR

Over-The-Counter Use \_\_\_\_\_  
(21 CFR 807 Subpart C)

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)

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Concurrence of CDRH, Office of Device Evaluation (ODE)

  
\_\_\_\_\_  
(Division Sign-Off)  
Division of Cardiovascular Devices

510(k) Number K051997

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