



K062711

MAR 07 2007

## **510(K) SUMMARY (21 CFR 807.92)**

### **ULTRA LOW PROFILE RONGEUR**

**510(k) Owner:** Baxano, Inc.  
2660 Marine Way, Suite B  
Mountain View, CA 94043  
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**Contact Person:** Jefferey Bleam  
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**Date Prepared:** September, 2006

**Trade Name:** Ultra Low Profile (ULP) Rongeur and Access Tools

**Common Name:** Manual rongeur for cutting or biting bone in the skull or spinal column

**Classification:** Manual rongeur (per 21 CFR section 882.4840)

**Predicate Devices:** Codman Laminectomy Shaver, Integra Ruggles Instrument, US Surgical Kerrison Spinal Rongeur, Zeppelin Laminectomy Rongeur Ellman Disc FX System (access tools)

**Device Description:** The ULP Rongeur and Access Tools are used to access the neural foramen and decompress targeted areas. The Rongeur is pulled into the foramen with the distal handle and tissue is removed by pulling up on the distal handle to decompress the impinged nerve root. The Access accessories include a probe, guide and needle wire.

**Intended Use:** The Baxano, Inc. Ultra Low Profile Rongeur is designed for accessing, cutting, and biting soft tissue and bone during surgery involving the spinal column.

Substantial  
Equivalence:

The ULP Rongeur has the same indications for use as the predicates; to access, cut, and bite soft tissue and bone involving the spinal column. In addition, the Access Tools have the same intended uses; to access, guide, and probe in neurosurgical applications. Any differences between the ULP Rongeur and the predicates are specific in design and do not raise new questions of safety or effectiveness. The device and the predicates are labeled for open decompression procedures.

Technological  
Characteristics:

The ULP Rongeur is made of stainless steel and is curved, with a low profile, to allow access to compromised neural areas when exposure is otherwise difficult to obtain. These are the same materials and technological characteristics as the predicate devices. The Access Tools include a probe and guide substantially equivalent to probes and guides commercially available for discectomy procedures in the lumbar spine.

Non-Clinical  
Performance Data:

Mechanical performance tests were conducted to verify that the device meets design specifications and intended performance characteristics, based on the application for removing bone in compromised neural areas.

The ULP Rongeur was used in multiple cadaver studies by physicians skilled in procedures for decompressing neural foramen and lateral recess. Results demonstrated that the ULP Rongeur provided comparable decompression with no visible damage to neural structures, and an ease of use comparable to the standard of care, when compared to commercially available ronguers.

Conclusions:

Baxano has determined, based on the performance testing and cadaver studies, that the ULP Rongeur and Access Tools conform to the design specifications and are at least as safe and effective as the predicate devices for accessing and decompressing bone in the spinal column.

*Any statement regarding "substantial equivalence" made in this 510(k) submission and summary only relates to whether the product addressed in this submission may be lawfully marketed without premarket approval or reclassification, and is not intended to be interpreted as an admission or any other type of evidence in any patent proceeding, including patent infringement, litigation or proceeding before any Patent Office. The present submission and statements therein therefore should not be construed as affecting or relating to the scope of any patent or patent application, or to whether the product addressed in this submission, or its use, may be considered indistinct, from a patentability perspective, from any of the other devices referenced in this filing.*



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

Food and Drug Administration  
9200 Corporate Boulevard  
Rockville MD 20850

Baxano, Inc.  
% Rockwell & Associates  
Ms. Sharon Rockwell  
Vice-President, Regulatory and  
Clinical Affairs  
2660 Marine Way, Suite B  
Mountain View, California 94043

MAR 07 2007

Re: K062711

Trade/Device Name: Baxano Ultra Low Profile Rongeur  
Regulation Number: 21 CFR 882.4840  
Regulation Name: Manual rongeur  
Regulatory Class: II  
Product Code: HAE  
Dated: February 26, 2007  
Received: February 27, 2006

Dear Ms. Rockwell:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to such additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); good manufacturing practice requirements as set

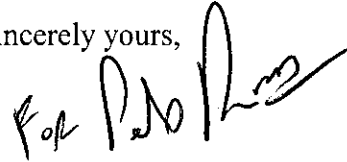
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forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

This letter will allow you to begin marketing your device as described in your Section 510(k) premarket notification. The FDA finding of substantial equivalence of your device to a legally marketed predicate device results in a classification for your device and thus, permits your device to proceed to the market.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Office of Compliance at (240) 276-0115. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (240) 276-3150 or at its Internet address <http://www.fda.gov/cdrh/industry/support/index.html>.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Mark N. Melkerson", with a stylized flourish at the end.

Mark N. Melkerson  
Director  
Division of General, Restorative  
and Neurological Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

## TAB 4

### INDICATIONS FOR USE STATEMENT

510(k) Number (if known): \_\_\_\_\_

Device Name: ~~Baxano Ultra Low Profile Rongeur~~

Indications for Use:

The Baxano, Inc. Ultra Low Profile Rongeur is designed for accessing, cutting, and biting soft tissue and bone during surgery involving the spinal column.

Prescription Use   X    
(Part 21 CFR 801 Subpart D)

AND/OR

Over-The-Counter Use \_\_\_\_\_  
(21 CFR 801 Subpart C)

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE  
IF NEEDED)

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Concurrence of CDRH, Office of Device Evaluation (ODE)



(Division Sign-Off)

Division of General, Restorative,  
and Neurological Devices

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