

Serology Test Evaluation Report for "COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma)" from BTNX, Inc.

Updated

June 21, 2020

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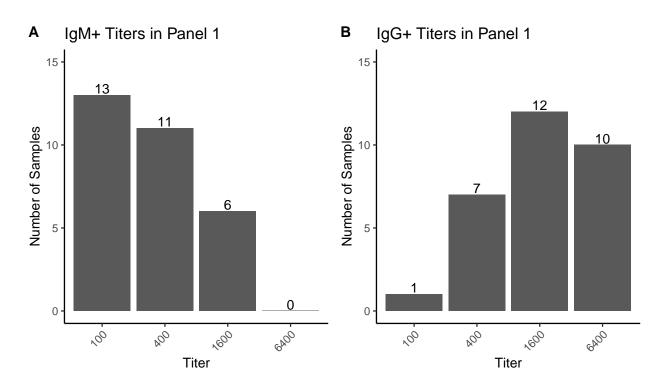
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1 Introduction

The COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) from BTNX, Inc. was tested on 2020-05-08 at the Frederick National Laboratory for Cancer Research (FNLCR), a Federally Funded Research and Development Center (FFRDC) sponsored by the National Cancer Institute (NCI). Tests were from lot number I2004027. The COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to qualitatively detect IgM and IgG separately.



1.1 Panel composition

Figure 1: Titer levels for (A) IgM+ and (B) IgG+ samples according to the CDC SARS-CoV-2 Spike antigen assay

The test was evaluated against "Panel 1," which includes frozen SARS-CoV-2 antibody-positive serum samples (n = 30) and frozen antibody-negative serum and plasma samples (n = 80). The panel size and composition were chosen to enable a laboratory-based evaluation and to provide reasonable estimates and confidence intervals for test performance in the context of limited sample availability. The sample size is comparable to that of a typical sample size used to support Emergency Use Authorization (EUA) by FDA for tests of this type.

1.1.1 Positive samples

Positive samples used in Panel 1 were from patients previously confirmed to have SARS-CoV-2 infection with a nucleic acid amplification test (NAAT). Time between symptom onset, NAAT testing, and sample collection is not known for all samples. Both SARS-CoV-2 IgM and IgG antibodies are present in all Panel 1 positive samples. The Centers for Disease Control and Prevention (CDC) detected the presence of IgG and IgM antibodies at their laboratory using their SARS-CoV-2 spike enzymelinked immunosorbent assay (ELISA) tests.¹ The presence of antibodies was confirmed at FNLCR using CDC's developed ELISAs (Pan-Ig, IgG, and IgM) as well as an IgG Receptor Binding Domain (RBD) ELISA developed by the Krammer Laboratory at the Icahn School of Medicine at Mount Sinai.² The positive samples selected may not reflect the distribution of antibody levels in patient populations that would be evaluated by such a test. Because all samples are positive for both IgM and IgG, this evaluation cannot verify that tests intended to detect IgM and IgG antibodies separately detect these antibodies independently.

Positive samples were assessed at dilutions of 1:100, 1:400, 1:1600, and 1:6400 by CDC on their Pan-Ig assay, their IgM assay, and their IgG assay. Some samples were run at additional dilutions. Any samples that were positive at a dilution greater than 1:6400 were assigned a titer of 6400 because 1:6400 was the highest dilution at which all Panel 1 positive samples were assessed. Two of these samples, C0107 and C0176, were positive for IgG antibodies at a dilution of 1:25600.

1.1.2 Negative samples

All Panel 1 negative samples were collected prior to 2020, before the SARS-CoV-2 virus is known to have circulated in the United States. Panel 1 groups include:

- "Negatives" (n = 70): selected without regard for clinical status. This group includes a sample, C0063, that showed reactivity in the Pan-Ig CDC spike ELISA at FNLCR. It includes another sample, C0087, that showed reactivity in the IgG RBD ELISA at FNLCR.
- "HIV+" (n = 10): selected from banked serum from HIV+ patients.³ This group includes 3 samples, C0018, C0155, and C0182, that showed reactivity in the IgG RBD ELISA at FNLCR.

All Panel 1 negative samples were assessed at dilutions of 1:100 and 1:400 by CDC on their Pan-Ig assay. A subset of samples was assessed in parallel at additional dilutions and on the CDC IgM

¹See https://www.cdc.gov/coronavirus/2019-ncov/lab/serology-testing.html, which notes "CDC's serologic test is designed and validated for broad-based surveillance and research that will give us information needed to guide the response to the pandemic and protect the public's health. The test is not currently designed to test individuals who want to know if they have been previously infected with COVID-19."

²An implementation of this test, the COVID-19 ELISA IgG Antibody Test, has been granted an EUA authorization by FDA for use at the Mount Sinai Laboratory (MSL), Center for Clinical Laboratories, a division of the Department of

Pathology, Molecular, and Cell-Based Medicine, New York, NY. See https://www.fda.gov/media/137029/download. ³HIV+ samples were deemed appropriate for inclusion in the panel: (1) to increase the sample size and reduce the confidence interval; and (2) to identify any possibility of cross-reactivity with HIV+ samples. It is anticipated that other types of samples, as they become available, may also be evaluated in any future analyses.

and IgG assays. All Panel 1 negative samples were negative at a dilution of 1:100 on the CDC Pan-Ig assay. These samples were assigned an undetectable titer (represented as zero (0) in the line data) for the Pan-Ig assay, the IgM assay, and the IgG assay.

1.2 Analysis

Samples used in this evaluation were not randomly selected, and sensitivity (PPA) and specificity (NPA) estimates in this report may not be indicative of the real-world performance of the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma). Sensitivity and specificity were calculated for each antibody (e.g., IgM, IgG, IgA, and Pan-Ig, as applicable) separately. In addition, sensitivity and specificity were estimated in a combined manner, where a positive result for any antibody the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to detect was considered as a positive test result and a negative result meant that a sample tested negative for all antibodies the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to detect. Positive and negative predictive values were calculated for combined sensitivity and specificity assuming a prevalence of 5%. Cross-reactivity with HIV+ was evaluated, and results are presented separately. If cross-reactivity was detected, the samples with HIV+ were not included in calculations of specificity.

Confidence intervals for sensitivity and specificity were calculated per a score method described in CLSI EP12-A2 (2008).⁴ Confidence intervals for PPV and NPV were calculated using the values from the 95% confidence intervals for sensitivity and specificity. For evaluation of cross-reactivity with HIV+, it was evaluated whether an increased false positive rate among antibody negative samples with HIV was statistically higher than the false positive rate among antibody negative samples without HIV (for this, a confidence interval for the difference in false positive rates was calculated per a score method described by Altman.⁵)

1.3 Important caveats

Sensitivity and specificity estimates in this report may not be indicative of the real world performance of the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma).

These results are based on serum and plasma samples only and may not be indicative of performance with other sample types, such as whole blood, including finger stick blood.

Information about anticoagulants used is not known.

The number of samples in the panel is a minimally viable sample size that still provides reasonable estimates and confidence intervals for test performance, and the samples used may not be representative of the antibody profile observed in patient populations.

⁴CLSI. User Protocol for Evaluation of Qualitative Test Performance; Approved Guideline—Second Edition. CLSI document EP12-A2. Wayne, PA: Clinical and Laboratory Standards Institute; 2008. See https://www.accessdata.fda. gov/scripts/cdrh/cfdocs/cfStandards/detail.cfm?standard__identification_no=31791.

⁵Statistics with Confidence: Confidence Intervals and Statistical Guidelines. (2013). Wiley.

1.4 Notes about the evaluation procedure

- The BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) was used per the manufacturer's package insert.
- Devices were tested within any expiration dates provided.
- Devices were not obviously defective / compromised.
- Devices were stored at FNLCR within their labeled conditions.
- A single operator conducted and read the test.
- The personnel who performed the testing were blinded to the identity / code of the sample and the expected results.
- The testing was performed in a non-clinical laboratory environment.
- Negative and positive samples were ordered randomly and then tested serially.
- The operator trained on the COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) with positive and negative controls prior to testing.

1.5 Additional notes, anomalies, and clarifications

FNLCR provided the following additional information:

The buffer appeared to stop flow at the Control line. The same analyst repeated the testing on the same day for the following Sample Nos. 9, 12, 35, 65, 69, 81, and 97. The results from the repeated testing are presented in the BTNX data file.

2 Results

	· · ·	arator Me		Collected pr Antibody Ne			
COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/- Plasma)	lgM+, lgG+	lgM+, lgG-	lgM-, IgG+	Negative	HIV+	Total	
IgM+, IgG+	25					25	
IgM+, IgG-	4				1	5	
IgM-, IgG+	1					1	
lgM-, lgG-				70	9	79	
Total	30			70	10	110	

Table 1: Summary Results

Table 2: Summary Statistics

Measure	Estimate	Confidence Interval
IgM Sensitivity	96.7% (29/30)	(83.3%; 99.4%)
IgM Specificity	100% (70/70)	(94.8%; 100%)
IgG Sensitivity	86.7% (26/30)	(70.3%; 94.7%)
IgG Specificity	100% (70/70)	(94.8%; 100%)
Combined Sensitivity	100% (30/30)	(88.7%; 100%)
Combined Specificity	100% (70/70)	(94.8%; 100%)
Combined PPV for prevalence = 5.0%	100%	(47.3%; 100%)
Combined NPV for prevalence = 5.0%	100%	(99.4%; 100%)
Cross-reactivity with HIV+	10.0% (1/10), detected	

Line Data 3

Negative Negative

Negative Negative

Negative Negative

Negative Negative

Positive Positive

Positive Positive

15

16

17

18

19

20

In the table below, "Days" refers to "Days from symptom onset to blood collection."

Sample ΙgΜ (IgM / Control Sample CDC CDC Davs Group IgG **IgA** CDC Pan Iq Type Number Result Result lgG) Spike Spike Spike Result Result ID ΙgΜ lgG Result Panlg Titer Titer Titer Negative Negative Pass C0001 Serum 0 0 0 1 Negative Negative Pass C0002 Serum 2 0 0 0 Negative Negative 0 3 Pass C0004 Plasma 0 0 Negative Negative Pass C0005 Plasma 0 0 0 4 Negative Negative Pass C0008 Plasma 0 0 0 5 Positive Positive C0010 Pass Serum 1600 400 1600 6 29 Negative Negative Pass C0011 Serum 0 7 0 0 Negative Negative Pass C0012 Serum 0 0 0 8 Negative Negative C0014 0 9 Pass Serum 0 0 Negative Negative C0016 Serum 0 0 0 10 Pass Negative Negative C0018 Plasma Pass 0 0 0 11 Negative Negative Pass C0020 12 Serum 0 0 0 Negative Negative Pass C0024 13 Serum 0 0 0 Negative Negative C0027 Serum 14 Pass 0 0 0

Pass

Pass

Pass

Pass

Pass

Pass

C0029

C0032

C0033

C0034

C0037

C0038

Serum

Plasma

Serum

Serum

Serum

Serum

0

0

0

0

400

100

0

0

0

0

100

100

0

0

0

0

19

20

1600

100

Table 3: Line Data

Negatives

Negatives

Negatives

Negatives

Negatives

Positives

Negatives

Positives

Positives

HIV+

Sample Number	lgM Result	lgG Result	lgA Result	Pan Ig Result	(IgM / IgG) Result	Control	Sample ID	Туре	CDC Spike Pan- Ig Titer	CDC Spike IgM Titer	CDC Spike IgG Titer	Days	Group
21	Positive	Positive				Pass	C0040	Serum	1600	400	1600	24	Positives
22	Negative	Negative				Pass	C0041	Plasma	0	0	0		Negatives
23	Negative	Negative				Pass	C0043	Serum	0	0	0		Negatives
24	Negative	Negative				Pass	C0044	Serum	0	0	0		Negatives
25	Negative	Negative				Pass	C0048	Serum	0	0	0		Negatives
26	Positive	Negative				Pass	C0049	Serum	400	400	400	23	Positives
27	Negative	Negative				Pass	C0050	Serum	0	0	0		Negatives
28	Negative	Negative				Pass	C0051	Plasma	0	0	0		Negatives
29	Positive	Positive				Pass	C0053	Serum	1600	1600	1600	28	Positives
30	Negative	Negative				Pass	C0054	Plasma	0	0	0		HIV+
31	Negative	Negative				Pass	C0058	Serum	0	0	0		Negatives
32	Negative	Negative				Pass	C0059	Plasma	0	0	0		Negatives
33	Positive					Pass	C0061	Serum	1600	100	1600	26	Positives
34		Negative				Pass	C0062	Serum	0	0	0		Negatives
35	Negative	Negative				Pass	C0063	Plasma	0	0	0		Negatives
36	Positive	Positive				Pass	C0064	Serum	1600	1600	6400	20	Positives
37	-	Negative				Pass	C0065	Plasma	0	0	0		Negatives
38	-	Negative				Pass	C0066	Serum	0	0	0		Negatives
39	•	Negative				Pass	C0067	Serum	0	0	0		Negatives
40	Negative	Negative				Pass	C0069	Serum	0	0	0		Negatives
41	Negative	Negative				Pass	C0070	Serum	0	0	0		Negatives
42	Positive	Positive				Pass	C0071	Serum	6400	1600	6400	20	Positives
43	Negative	Negative				Pass	C0072	Serum	0	0	0		Negatives
44	Negative	Negative				Pass	C0073	Serum	0	0	0		Negatives

Table 3: Line Data (continued)

Sample Number	lgM Result	lgG Result	lgA Result	Pan Ig Result	(IgM / IgG) Result	Control	Sample ID	Туре	CDC Spike Pan- Ig Titer	CDC Spike IgM Titer	CDC Spike IgG Titer	Days	Group
45	Positive	Negative				Pass	C0074	Serum	400	100	400	24	Positives
46 47	Positive	Negative Positive				Pass Pass	C0079 C0080	Plasma Serum	0 1600	0 400	0 1600	29	Negatives Positives
48 49 50	•	Negative Negative Positive				Pass Pass Pass	C0081 C0083 C0084	Serum Serum Serum	0 0 6400	0 0 100	0 0 6400	24	Negatives Negatives Positives
51 52 53 54 55	Negative Negative Positive Positive	Negative Negative Positive Negative				Pass Pass Pass Pass Pass	C0087 C0089 C0090 C0092 C0093	Serum Plasma Serum Serum Plasma	0 0 1600 1600 0	0 0 100 100 0	0 0 1600 1600 0	22	Negatives HIV+ Positives Positives HIV+
56 57 58 59 60	Negative Negative Negative	Negative Negative Negative Negative				Pass Pass Pass Pass Pass	C0094 C0095 C0098 C0099 C0100	Serum Plasma Plasma Plasma Serum	0 0 0 0	0 0 0 0	0 0 0 0		Negatives Negatives Negatives HIV+ Negatives
61 62 63 64 65	Positive Positive Negative	Negative Negative Positive Negative Negative				Pass Pass Pass Pass Pass	C0101 C0102 C0107 C0109 C0110	Plasma Serum Serum Plasma Serum	0 400 6400 0 0	0 400 400 0	0 400 6400 0 0	25 36	Negatives Positives Positives Negatives Negatives
66 67 68	Negative	Negative Negative Negative				Pass Pass Pass	C0115 C0116 C0117	Serum Serum Plasma	0 0 0	0 0 0	0 0 0		Negatives Negatives Negatives

Table 3: Line Data (continued)

Sample Number	lgM Result	lgG Result	lgA Result	Pan Ig Result	(IgM / IgG) Result	Control	Sample ID	Туре	CDC Spike Pan- Ig Titer	CDC Spike IgM Titer	CDC Spike IgG Titer	Days	Group
69	Negative	Negative				Pass	C0118	Serum	0	0	0		Negatives
70	Positive	Positive				Pass	C0119	Serum	1600	100	1600	20	Positives
71	Negative	Negative				Pass	C0120	Serum	0	0	0		Negatives
72	Negative	Negative				Pass	C0121	Plasma	0	0	0		Negatives
73	Positive	Positive				Pass	C0122	Serum	400	100	400	24	Positives
74	•	Negative				Pass	C0126	Serum	0	0	0		Negatives
75	Positive	Positive				Pass	C0127	Serum	400	400	1600	23	Positives
76	Negative	Negative				Pass	C0128	Serum	0	0	0		Negatives
77	Negative	Negative				Pass	C0131	Serum	0	0	0		Negatives
78	Positive	Positive				Pass	C0132	Serum	1600	1600	6400		Positives
79	Positive	Positive				Pass	C0136	Serum	6400	400	6400		Positives
80	Negative	Negative				Pass	C0138	Plasma	0	0	0		HIV+
81	Negative	Negative				Pass	C0139	Serum	0	0	0		Negatives
82	Negative	Negative				Pass	C0140	Plasma	0	0	0		Negatives
83	Positive	Positive				Pass	C0144	Serum	6400	1600	6400	21	Positives
84	0	Negative				Pass	C0146	Serum	0	0	0		Negatives
85	Positive	Negative				Pass	C0150	Plasma	0	0	0		HIV+
86	Positive	Positive				Pass	C0153	Serum	400	100	400	31	Positives
87	Negative	Negative				Pass	C0155	Plasma	0	0	0		HIV+
88	•	Negative				Pass	C0156	Plasma	0	0	0		Negatives
89	•	Negative				Pass	C0158	Serum	0	0	0		Negatives
90	Negative	Positive				Pass	C0160	Serum	1600	100	1600	17	Positives
91	Positive	Negative				Pass	C0161	Serum	400	100	400	25	Positives
92	Positive	Positive				Pass	C0164	Serum	1600	400	6400	23	Positives

Table 3: Line Data (continued)

Sample Number	lgM Result	lgG Result	lgA Result	Pan Ig Result	(IgM / IgG) Result	Control	Sample ID	Туре	CDC Spike Pan- Ig Titer	CDC Spike IgM Titer	CDC Spike IgG Titer	Days	Group
93	Negative	Negative				Pass	C0165	Serum	0	0	0		Negatives
94	Negative	Negative				Pass	C0169	Serum	0	0	0		Negatives
95	Positive	Positive				Pass	C0172	Serum	1600	400	1600	19	Positives
96	Negative	Negative				Pass	C0173	Serum	0	0	0		Negatives
97	Negative	Negative				Pass	C0174	Serum	0	0	0		Negatives
98	Positive	Positive				Pass	C0176	Serum	6400	400	6400	19	Positives
99	Negative	Negative				Pass	C0179	Plasma	0	0	0		Negatives
100	Positive	Positive				Pass	C0180	Serum	6400	1600	6400	24	Positives
101	Negative	Negative				Pass	C0181	Serum	0	0	0		Negatives
102	Negative	Negative				Pass	C0182	Plasma	0	0	0		HIV+
103	Negative	Negative				Pass	C0185	Plasma	0	0	0		Negatives
104	Negative	Negative				Pass	C0186	Serum	0	0	0		Negatives
105	Positive	Positive				Pass	C0191	Serum	400	100	400	22	Positives
106	Negative	Negative				Pass	C0193	Plasma	0	0	0		Negatives
107	Negative	Negative				Pass	C0197	Plasma	0	0	0		HIV+
108	Negative	Negative				Pass	C0198	Plasma	0	0	0		Negatives
109	-	Negative				Pass	C0199	Plasma	0	0	0		Negatives
110	Negative	Negative				Pass	C0200	Serum	0	0	0		Negatives

Table 3: Line Data (continued)