

Serology Test Evaluation Report for "COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma)" from BTNX, Inc.

Updated

June 21, 2020

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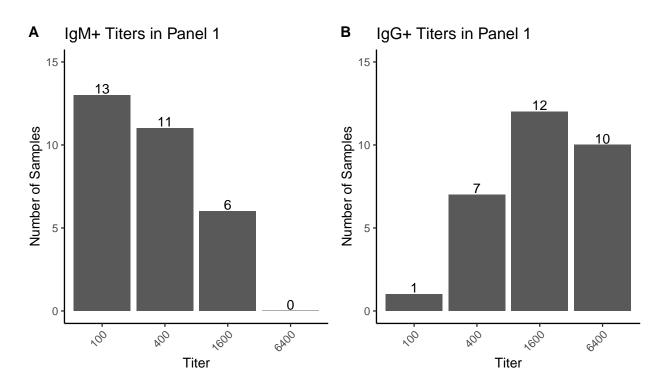
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1 Introduction

The COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) from BTNX, Inc. was tested on 2020-05-08 at the Frederick National Laboratory for Cancer Research (FNLCR), a Federally Funded Research and Development Center (FFRDC) sponsored by the National Cancer Institute (NCI). Tests were from lot number I2004027. The COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to qualitatively detect IgM and IgG separately.



1.1 Panel composition

Figure 1: Titer levels for (A) IgM+ and (B) IgG+ samples according to the CDC SARS-CoV-2 Spike antigen assay

The test was evaluated against "Panel 1," which includes frozen SARS-CoV-2 antibody-positive serum samples (n = 30) and frozen antibody-negative serum and plasma samples (n = 80). The panel size and composition were chosen to enable a laboratory-based evaluation and to provide reasonable estimates and confidence intervals for test performance in the context of limited sample availability. The sample size is comparable to that of a typical sample size used to support Emergency Use Authorization (EUA) by FDA for tests of this type.

1.1.1 Positive samples

Positive samples used in Panel 1 were from patients previously confirmed to have SARS-CoV-2 infection with a nucleic acid amplification test (NAAT). Time between symptom onset, NAAT testing, and sample collection is not known for all samples. Both SARS-CoV-2 IgM and IgG antibodies are present in all Panel 1 positive samples. The Centers for Disease Control and Prevention (CDC) detected the presence of IgG and IgM antibodies at their laboratory using their SARS-CoV-2 spike enzymelinked immunosorbent assay (ELISA) tests.¹ The presence of antibodies was confirmed at FNLCR using CDC's developed ELISAs (Pan-Ig, IgG, and IgM) as well as an IgG Receptor Binding Domain (RBD) ELISA developed by the Krammer Laboratory at the Icahn School of Medicine at Mount Sinai.² The positive samples selected may not reflect the distribution of antibody levels in patient populations that would be evaluated by such a test. Because all samples are positive for both IgM and IgG, this evaluation cannot verify that tests intended to detect IgM and IgG antibodies separately detect these antibodies independently.

Positive samples were assessed at dilutions of 1:100, 1:400, 1:1600, and 1:6400 by CDC on their Pan-Ig assay, their IgM assay, and their IgG assay. Some samples were run at additional dilutions. Any samples that were positive at a dilution greater than 1:6400 were assigned a titer of 6400 because 1:6400 was the highest dilution at which all Panel 1 positive samples were assessed. Two of these samples, C0107 and C0176, were positive for IgG antibodies at a dilution of 1:25600.

1.1.2 Negative samples

All Panel 1 negative samples were collected prior to 2020, before the SARS-CoV-2 virus is known to have circulated in the United States. Panel 1 groups include:

- "Negatives" (n = 70): selected without regard for clinical status. This group includes a sample, C0063, that showed reactivity in the Pan-Ig CDC spike ELISA at FNLCR. It includes another sample, C0087, that showed reactivity in the IgG RBD ELISA at FNLCR.
- "HIV+" (n = 10): selected from banked serum from HIV+ patients.³ This group includes 3 samples, C0018, C0155, and C0182, that showed reactivity in the IgG RBD ELISA at FNLCR.

All Panel 1 negative samples were assessed at dilutions of 1:100 and 1:400 by CDC on their Pan-Ig assay. A subset of samples was assessed in parallel at additional dilutions and on the CDC IgM

¹See https://www.cdc.gov/coronavirus/2019-ncov/lab/serology-testing.html, which notes "CDC's serologic test is designed and validated for broad-based surveillance and research that will give us information needed to guide the response to the pandemic and protect the public's health. The test is not currently designed to test individuals who want to know if they have been previously infected with COVID-19."

²An implementation of this test, the COVID-19 ELISA IgG Antibody Test, has been granted an EUA authorization by FDA for use at the Mount Sinai Laboratory (MSL), Center for Clinical Laboratories, a division of the Department of

Pathology, Molecular, and Cell-Based Medicine, New York, NY. See https://www.fda.gov/media/137029/download. ³HIV+ samples were deemed appropriate for inclusion in the panel: (1) to increase the sample size and reduce the confidence interval; and (2) to identify any possibility of cross-reactivity with HIV+ samples. It is anticipated that other types of samples, as they become available, may also be evaluated in any future analyses.

and IgG assays. All Panel 1 negative samples were negative at a dilution of 1:100 on the CDC Pan-Ig assay. These samples were assigned an undetectable titer (represented as zero (0) in the line data) for the Pan-Ig assay, the IgM assay, and the IgG assay.

1.2 Analysis

Samples used in this evaluation were not randomly selected, and sensitivity (PPA) and specificity (NPA) estimates in this report may not be indicative of the real-world performance of the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma). Sensitivity and specificity were calculated for each antibody (e.g., IgM, IgG, IgA, and Pan-Ig, as applicable) separately. In addition, sensitivity and specificity were estimated in a combined manner, where a positive result for any antibody the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to detect was considered as a positive test result and a negative result meant that a sample tested negative for all antibodies the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) is intended to detect. Positive and negative predictive values were calculated for combined sensitivity and specificity assuming a prevalence of 5%. Cross-reactivity with HIV+ was evaluated, and results are presented separately. If cross-reactivity was detected, the samples with HIV+ were not included in calculations of specificity.

Confidence intervals for sensitivity and specificity were calculated per a score method described in CLSI EP12-A2 (2008).⁴ Confidence intervals for PPV and NPV were calculated using the values from the 95% confidence intervals for sensitivity and specificity. For evaluation of cross-reactivity with HIV+, it was evaluated whether an increased false positive rate among antibody negative samples with HIV was statistically higher than the false positive rate among antibody negative samples without HIV (for this, a confidence interval for the difference in false positive rates was calculated per a score method described by Altman.⁵)

1.3 Important caveats

Sensitivity and specificity estimates in this report may not be indicative of the real world performance of the BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma).

These results are based on serum and plasma samples only and may not be indicative of performance with other sample types, such as whole blood, including finger stick blood.

Information about anticoagulants used is not known.

The number of samples in the panel is a minimally viable sample size that still provides reasonable estimates and confidence intervals for test performance, and the samples used may not be representative of the antibody profile observed in patient populations.

⁴CLSI. User Protocol for Evaluation of Qualitative Test Performance; Approved Guideline—Second Edition. CLSI document EP12-A2. Wayne, PA: Clinical and Laboratory Standards Institute; 2008. See https://www.accessdata.fda. gov/scripts/cdrh/cfdocs/cfStandards/detail.cfm?standard__identification_no=31791.

⁵Statistics with Confidence: Confidence Intervals and Statistical Guidelines. (2013). Wiley.

1.4 Notes about the evaluation procedure

- The BTNX, Inc. COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) was used per the manufacturer's package insert.
- Devices were tested within any expiration dates provided.
- Devices were not obviously defective / compromised.
- Devices were stored at FNLCR within their labeled conditions.
- A single operator conducted and read the test.
- The personnel who performed the testing were blinded to the identity / code of the sample and the expected results.
- The testing was performed in a non-clinical laboratory environment.
- Negative and positive samples were ordered randomly and then tested serially.
- The operator trained on the COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/Plasma) with positive and negative controls prior to testing.

1.5 Additional notes, anomalies, and clarifications

FNLCR provided the following additional information:

The buffer appeared to stop flow at the Control line. The same analyst repeated the testing on the same day for the following Sample Nos. 9, 12, 35, 65, 69, 81, and 97. The results from the repeated testing are presented in the BTNX data file.

2 Results

| | · · · | arator Me | | Collected pr Antibody Ne | | | |
|---|---------------|---------------|---------------|-----------------------------|------|-------|--|
| COVID-19 IgG/IgM Test Cassettes (Whole Blood/Serum/- Plasma) | lgM+, lgG+ | lgM+, lgG- | lgM-, IgG+ | Negative | HIV+ | Total | |
| IgM+, IgG+ | 25 | | | | | 25 | |
| IgM+, IgG- | 4 | | | | 1 | 5 | |
| IgM-, IgG+ | 1 | | | | | 1 | |
| lgM-, lgG- | | | | 70 | 9 | 79 | |
| Total | 30 | | | 70 | 10 | 110 | |

Table 1: Summary Results

Table 2: Summary Statistics

| Measure | Estimate | Confidence Interval |
|------------------------------------|---------------------------|---------------------|
| IgM Sensitivity | 96.7% (29/30) | (83.3%; 99.4%) |
| IgM Specificity | 100% (70/70) | (94.8%; 100%) |
| IgG Sensitivity | 86.7% (26/30) | (70.3%; 94.7%) |
| IgG Specificity | 100% (70/70) | (94.8%; 100%) |
| Combined Sensitivity | 100% (30/30) | (88.7%; 100%) |
| Combined Specificity | 100% (70/70) | (94.8%; 100%) |
| Combined PPV for prevalence = 5.0% | 100% | (47.3%; 100%) |
| Combined NPV for prevalence = 5.0% | 100% | (99.4%; 100%) |
| Cross-reactivity with HIV+ | 10.0% (1/10), detected | |

Line Data 3

Negative Negative

Negative Negative

Negative Negative

Negative Negative

Positive Positive

Positive Positive

15

16

17

18

19

20

In the table below, "Days" refers to "Days from symptom onset to blood collection."

Sample ΙgΜ (IgM / Control Sample CDC CDC Davs Group IgG **IgA** CDC Pan Iq Type Number Result Result lgG) Spike Spike Spike Result Result ID ΙgΜ lgG Result Panlg Titer Titer Titer Negative Negative Pass C0001 Serum 0 0 0 1 Negative Negative Pass C0002 Serum 2 0 0 0 Negative Negative 0 3 Pass C0004 Plasma 0 0 Negative Negative Pass C0005 Plasma 0 0 0 4 Negative Negative Pass C0008 Plasma 0 0 0 5 Positive Positive C0010 Pass Serum 1600 400 1600 6 29 Negative Negative Pass C0011 Serum 0 7 0 0 Negative Negative Pass C0012 Serum 0 0 0 8 Negative Negative C0014 0 9 Pass Serum 0 0 Negative Negative C0016 Serum 0 0 0 10 Pass Negative Negative C0018 Plasma Pass 0 0 0 11 Negative Negative Pass C0020 12 Serum 0 0 0 Negative Negative Pass C0024 13 Serum 0 0 0 Negative Negative C0027 Serum 14 Pass 0 0 0

Pass

Pass

Pass

Pass

Pass

Pass

C0029

C0032

C0033

C0034

C0037

C0038

Serum

Plasma

Serum

Serum

Serum

Serum

0

0

0

0

400

100

0

0

0

0

100

100

0

0

0

0

19

20

1600

100

Table 3: Line Data

Negatives

Negatives

Negatives

Negatives

Negatives

Positives

Negatives

Positives

Positives

HIV+

| Sample Number | lgM Result | lgG Result | lgA Result | Pan Ig Result | (IgM / IgG) Result | Control | Sample ID | Туре | CDC Spike Pan- Ig Titer | CDC Spike IgM Titer | CDC Spike IgG Titer | Days | Group |
|------------------|---------------|---------------|---------------|------------------|--------------------------|---------|--------------|--------|-------------------------------------|------------------------------|------------------------------|------|-----------|
| 21 | Positive | Positive | | | | Pass | C0040 | Serum | 1600 | 400 | 1600 | 24 | Positives |
| 22 | Negative | Negative | | | | Pass | C0041 | Plasma | 0 | 0 | 0 | | Negatives |
| 23 | Negative | Negative | | | | Pass | C0043 | Serum | 0 | 0 | 0 | | Negatives |
| 24 | Negative | Negative | | | | Pass | C0044 | Serum | 0 | 0 | 0 | | Negatives |
| 25 | Negative | Negative | | | | Pass | C0048 | Serum | 0 | 0 | 0 | | Negatives |
| 26 | Positive | Negative | | | | Pass | C0049 | Serum | 400 | 400 | 400 | 23 | Positives |
| 27 | Negative | Negative | | | | Pass | C0050 | Serum | 0 | 0 | 0 | | Negatives |
| 28 | Negative | Negative | | | | Pass | C0051 | Plasma | 0 | 0 | 0 | | Negatives |
| 29 | Positive | Positive | | | | Pass | C0053 | Serum | 1600 | 1600 | 1600 | 28 | Positives |
| 30 | Negative | Negative | | | | Pass | C0054 | Plasma | 0 | 0 | 0 | | HIV+ |
| 31 | Negative | Negative | | | | Pass | C0058 | Serum | 0 | 0 | 0 | | Negatives |
| 32 | Negative | Negative | | | | Pass | C0059 | Plasma | 0 | 0 | 0 | | Negatives |
| 33 | Positive | | | | | Pass | C0061 | Serum | 1600 | 100 | 1600 | 26 | Positives |
| 34 | | Negative | | | | Pass | C0062 | Serum | 0 | 0 | 0 | | Negatives |
| 35 | Negative | Negative | | | | Pass | C0063 | Plasma | 0 | 0 | 0 | | Negatives |
| 36 | Positive | Positive | | | | Pass | C0064 | Serum | 1600 | 1600 | 6400 | 20 | Positives |
| 37 | - | Negative | | | | Pass | C0065 | Plasma | 0 | 0 | 0 | | Negatives |
| 38 | - | Negative | | | | Pass | C0066 | Serum | 0 | 0 | 0 | | Negatives |
| 39 | • | Negative | | | | Pass | C0067 | Serum | 0 | 0 | 0 | | Negatives |
| 40 | Negative | Negative | | | | Pass | C0069 | Serum | 0 | 0 | 0 | | Negatives |
| 41 | Negative | Negative | | | | Pass | C0070 | Serum | 0 | 0 | 0 | | Negatives |
| 42 | Positive | Positive | | | | Pass | C0071 | Serum | 6400 | 1600 | 6400 | 20 | Positives |
| 43 | Negative | Negative | | | | Pass | C0072 | Serum | 0 | 0 | 0 | | Negatives |
| 44 | Negative | Negative | | | | Pass | C0073 | Serum | 0 | 0 | 0 | | Negatives |

Table 3: Line Data (continued)

| Sample Number | lgM Result | lgG Result | lgA Result | Pan Ig Result | (IgM / IgG) Result | Control | Sample ID | Туре | CDC Spike Pan- Ig Titer | CDC Spike IgM Titer | CDC Spike IgG Titer | Days | Group |
|----------------------------|--|--|---------------|------------------|--------------------------|--------------------------------------|---|--|-------------------------------------|------------------------------|------------------------------|----------|---|
| 45 | Positive | Negative | | | | Pass | C0074 | Serum | 400 | 100 | 400 | 24 | Positives |
| 46 47 | Positive | Negative Positive | | | | Pass Pass | C0079 C0080 | Plasma Serum | 0 1600 | 0 400 | 0 1600 | 29 | Negatives Positives |
| 48 49 50 | • | Negative Negative Positive | | | | Pass Pass Pass | C0081 C0083 C0084 | Serum Serum Serum | 0 0 6400 | 0 0 100 | 0 0 6400 | 24 | Negatives Negatives Positives |
| 51 52 53 54 55 | Negative Negative Positive Positive | Negative Negative Positive Negative | | | | Pass Pass Pass Pass Pass | C0087 C0089 C0090 C0092 C0093 | Serum Plasma Serum Serum Plasma | 0 0 1600 1600 0 | 0 0 100 100 0 | 0 0 1600 1600 0 | 22 | Negatives HIV+ Positives Positives HIV+ |
| 56 57 58 59 60 | Negative Negative Negative | Negative Negative Negative Negative | | | | Pass Pass Pass Pass Pass | C0094 C0095 C0098 C0099 C0100 | Serum Plasma Plasma Plasma Serum | 0 0 0 0 | 0 0 0 0 | 0 0 0 0 | | Negatives Negatives Negatives HIV+ Negatives |
| 61 62 63 64 65 | Positive Positive Negative | Negative Negative Positive Negative Negative | | | | Pass Pass Pass Pass Pass | C0101 C0102 C0107 C0109 C0110 | Plasma Serum Serum Plasma Serum | 0 400 6400 0 0 | 0 400 400 0 | 0 400 6400 0 0 | 25 36 | Negatives Positives Positives Negatives Negatives |
| 66 67 68 | Negative | Negative Negative Negative | | | | Pass Pass Pass | C0115 C0116 C0117 | Serum Serum Plasma | 0 0 0 | 0 0 0 | 0 0 0 | | Negatives Negatives Negatives |

Table 3: Line Data (continued)

| Sample Number | lgM Result | lgG Result | lgA Result | Pan Ig Result | (IgM / IgG) Result | Control | Sample ID | Туре | CDC Spike Pan- Ig Titer | CDC Spike IgM Titer | CDC Spike IgG Titer | Days | Group |
|------------------|---------------|---------------|---------------|------------------|--------------------------|---------|--------------|--------|-------------------------------------|------------------------------|------------------------------|------|-----------|
| 69 | Negative | Negative | | | | Pass | C0118 | Serum | 0 | 0 | 0 | | Negatives |
| 70 | Positive | Positive | | | | Pass | C0119 | Serum | 1600 | 100 | 1600 | 20 | Positives |
| 71 | Negative | Negative | | | | Pass | C0120 | Serum | 0 | 0 | 0 | | Negatives |
| 72 | Negative | Negative | | | | Pass | C0121 | Plasma | 0 | 0 | 0 | | Negatives |
| 73 | Positive | Positive | | | | Pass | C0122 | Serum | 400 | 100 | 400 | 24 | Positives |
| 74 | • | Negative | | | | Pass | C0126 | Serum | 0 | 0 | 0 | | Negatives |
| 75 | Positive | Positive | | | | Pass | C0127 | Serum | 400 | 400 | 1600 | 23 | Positives |
| 76 | Negative | Negative | | | | Pass | C0128 | Serum | 0 | 0 | 0 | | Negatives |
| 77 | Negative | Negative | | | | Pass | C0131 | Serum | 0 | 0 | 0 | | Negatives |
| 78 | Positive | Positive | | | | Pass | C0132 | Serum | 1600 | 1600 | 6400 | | Positives |
| 79 | Positive | Positive | | | | Pass | C0136 | Serum | 6400 | 400 | 6400 | | Positives |
| 80 | Negative | Negative | | | | Pass | C0138 | Plasma | 0 | 0 | 0 | | HIV+ |
| 81 | Negative | Negative | | | | Pass | C0139 | Serum | 0 | 0 | 0 | | Negatives |
| 82 | Negative | Negative | | | | Pass | C0140 | Plasma | 0 | 0 | 0 | | Negatives |
| 83 | Positive | Positive | | | | Pass | C0144 | Serum | 6400 | 1600 | 6400 | 21 | Positives |
| 84 | 0 | Negative | | | | Pass | C0146 | Serum | 0 | 0 | 0 | | Negatives |
| 85 | Positive | Negative | | | | Pass | C0150 | Plasma | 0 | 0 | 0 | | HIV+ |
| 86 | Positive | Positive | | | | Pass | C0153 | Serum | 400 | 100 | 400 | 31 | Positives |
| 87 | Negative | Negative | | | | Pass | C0155 | Plasma | 0 | 0 | 0 | | HIV+ |
| 88 | • | Negative | | | | Pass | C0156 | Plasma | 0 | 0 | 0 | | Negatives |
| 89 | • | Negative | | | | Pass | C0158 | Serum | 0 | 0 | 0 | | Negatives |
| 90 | Negative | Positive | | | | Pass | C0160 | Serum | 1600 | 100 | 1600 | 17 | Positives |
| 91 | Positive | Negative | | | | Pass | C0161 | Serum | 400 | 100 | 400 | 25 | Positives |
| 92 | Positive | Positive | | | | Pass | C0164 | Serum | 1600 | 400 | 6400 | 23 | Positives |

Table 3: Line Data (continued)

| Sample Number | lgM Result | lgG Result | lgA Result | Pan Ig Result | (IgM / IgG) Result | Control | Sample ID | Туре | CDC Spike Pan- Ig Titer | CDC Spike IgM Titer | CDC Spike IgG Titer | Days | Group |
|------------------|---------------|---------------|---------------|------------------|--------------------------|---------|--------------|--------|-------------------------------------|------------------------------|------------------------------|------|-----------|
| 93 | Negative | Negative | | | | Pass | C0165 | Serum | 0 | 0 | 0 | | Negatives |
| 94 | Negative | Negative | | | | Pass | C0169 | Serum | 0 | 0 | 0 | | Negatives |
| 95 | Positive | Positive | | | | Pass | C0172 | Serum | 1600 | 400 | 1600 | 19 | Positives |
| 96 | Negative | Negative | | | | Pass | C0173 | Serum | 0 | 0 | 0 | | Negatives |
| 97 | Negative | Negative | | | | Pass | C0174 | Serum | 0 | 0 | 0 | | Negatives |
| 98 | Positive | Positive | | | | Pass | C0176 | Serum | 6400 | 400 | 6400 | 19 | Positives |
| 99 | Negative | Negative | | | | Pass | C0179 | Plasma | 0 | 0 | 0 | | Negatives |
| 100 | Positive | Positive | | | | Pass | C0180 | Serum | 6400 | 1600 | 6400 | 24 | Positives |
| 101 | Negative | Negative | | | | Pass | C0181 | Serum | 0 | 0 | 0 | | Negatives |
| 102 | Negative | Negative | | | | Pass | C0182 | Plasma | 0 | 0 | 0 | | HIV+ |
| 103 | Negative | Negative | | | | Pass | C0185 | Plasma | 0 | 0 | 0 | | Negatives |
| 104 | Negative | Negative | | | | Pass | C0186 | Serum | 0 | 0 | 0 | | Negatives |
| 105 | Positive | Positive | | | | Pass | C0191 | Serum | 400 | 100 | 400 | 22 | Positives |
| 106 | Negative | Negative | | | | Pass | C0193 | Plasma | 0 | 0 | 0 | | Negatives |
| 107 | Negative | Negative | | | | Pass | C0197 | Plasma | 0 | 0 | 0 | | HIV+ |
| 108 | Negative | Negative | | | | Pass | C0198 | Plasma | 0 | 0 | 0 | | Negatives |
| 109 | - | Negative | | | | Pass | C0199 | Plasma | 0 | 0 | 0 | | Negatives |
| 110 | Negative | Negative | | | | Pass | C0200 | Serum | 0 | 0 | 0 | | Negatives |

Table 3: Line Data (continued)