

**510(k) SUBSTANTIAL EQUIVALENCE DETERMINATION
DECISION SUMMARY**

A. 510(k) Number:

K162673

B. Purpose for Submission:

Clearance of New Device

C. Measurand:

Target messenger RNA (mRNA) sequences from Herpes Simplex Virus-1 (HSV-1) and Herpes Simplex Virus-2 (HSV-2)

D. Type of Test:

An *in vitro* molecular diagnostic test for the direct, qualitative detection and differentiation of HSV-1 and HSV-2 mRNA from anogenital skin lesions

E. Applicant:

Hologic, Inc

F. Proprietary and Established Names:

Aptima Herpes Simplex Viruses 1 & 2 Assay

G. Regulatory Information:

1. Regulation section: 21 CFR 866.3305
2. Classification: Class II
3. Product code: OQO
4. Panel: Microbiology (83)

H. Intended Use:

1. Intended use(s):

The Aptima Herpes Simplex Viruses 1 & 2 assay (Aptima HSV 1 & 2 assay) is an *in vitro* diagnostic nucleic acid amplification test (NAAT), using real time transcription-mediated amplification (TMA), for the qualitative detection and differentiation of herpes simplex virus type 1 (HSV-1) and type 2 (HSV-2) messenger RNA (mRNA) in clinician-

collected swab specimens from anogenital skin lesions. The assay is intended for use with swab specimens placed in Aptima specimen transport medium (STM) or in viral transport media (VTM) that is immediately diluted into STM.

The Aptima HSV 1 & 2 assay is intended for use as an aid in the diagnosis of HSV-1 and/or HSV-2 infections in symptomatic male and female patients. The Aptima HSV 1 & 2 assay is indicated for use on the Panther[®] system.

Warning

The Aptima HSV 1 & 2 assay is not FDA-cleared for use with cerebrospinal fluid (CSF) or for prenatal screening.

2. Indication(s) for use:

Same as Intended Use

3. Special conditions for use statement(s):

For prescription use only

4. Special instrument requirements:

Panther system

I. Device Description:

The Aptima Herpes Simplex Viruses 1 & 2 assay (Aptima HSV 1 & 2 assay) is an *in vitro* real-time nucleic acid amplification test (NAAT) for the qualitative detection and differentiation of messenger RNA (mRNA) from herpes simplex virus type 1 (HSV-1) and type 2 (HSV-2) developed for use on the fully automated Panther system. The Aptima HSV 1 & 2 assay is provided as a 100 test kit. There are 3 kits (1 assay kit, 1 controls kit, and 1 ancillary kit) that are required to perform the Aptima HSV 1 & 2 assay on the Panther system. The 3 kits and their contents are described below.

Reagents Contained in the Aptima HSV Assay Kit, Aptima HSV Controls Kit, and the Aptima Assay Fluids Kit:

Kit	Boxes	Reagent
1) Assay kit	Aptima HSV 1 & 2 Assay Box 1 (Refrigerated)	Amplification Reagent
		Enzyme Reagent
		Promoter Reagent
		Internal Control Reagent
	Aptima HSV 1 & 2 Assay Box 2 (Room Temperature)	Amplification Reconstitution Solution
		Enzyme Reconstitution Solution
		Promoter Reconstitution Solution
		Target Capture Reagent

Kit	Boxes	Reagent
2) Control kit	Aptima HSV 1 & 2 Controls Kit	Negative Control
		Positive Control
3) Ancillary kit	Aptima Assay Fluids Kit	Buffer for Deactivation Fluid
		Wash
		Oil

The Aptima HSV 1 & 2 assay utilizes two collection and handling kits, which are sold independently of the assay. The collection kits described below have previously received market clearance for use in other commercialized Aptima assays:

- Aptima Multitest Swab Specimen Collection Kit (Ref: K032554), for specimens collected in VTM.
- Aptima Specimen Transfer Kit (Ref: K063664, K063451, K043224), for specimens collected in STM.

J. Substantial Equivalence Information:

1. Predicate device name(s): BD ProbeTec Herpes Simplex Viruses (HSV 1 & 2) Qx Amplified DNA Assays
2. Predicate 510(k) number(s): K103798
3. Comparison with predicate:

Similarities		
Item	Aptima HSV 1 & 2 Assay (Subject Device) K162673	BD ProbeTec Assay (Predicate Device) K103798
Intended Use	The Aptima Herpes Simplex Viruses 1 & 2 assay (Aptima HSV 1 & 2 assay) is an <i>in vitro</i> diagnostic nucleic acid amplification test (NAAT), using real time transcription-mediated amplification (TMA), for the qualitative detection and differentiation of herpes simplex virus type 1 (HSV-1) and type 2 (HSV-2) messenger RNA (mRNA) in clinician-collected swab specimens from anogenital skin lesions. The assay is intended for use with swab specimens	The BD ProbeTec Herpes Simplex Viruses (HSV 1 & 2) QX Amplified DNA Assays, when tested with the BD Viper System in Extracted Mode, use Strand Displacement Amplification technology for the direct, qualitative detection and differentiation of Herpes Simplex virus type 1 (HSV1) and Herpes Simplex virus type 2 (HSV2) DNA in clinician-collected external anogenital lesion specimens. The assays are indicated for use with

Similarities		
Item	Aptima HSV 1 & 2 Assay (Subject Device) K162673	BD ProbeTec Assay (Predicate Device) K103798
	placed in Aptima specimen transport medium (STM) or in viral transport media (VTM) that is immediately diluted into STM. The Aptima HSV 1 & 2 assay is intended for use as an aid in the diagnosis of HSV-1 and/or HSV-2 infections in symptomatic male and female patients. The Aptima HSV 1 & 2 assay is indicated for use on the Panther[®] system. <i>Warning: The Aptima HSV 1 & 2 assay is not FDA-cleared for use with cerebrospinal fluid (CSF) or for prenatal screening.</i>	symptomatic individuals to aid in the diagnosis of anogenital HSV1 and HSV2 infections. <i>Warning:</i> The BD ProbeTec Herpes Simplex Viruses (HSV 1 & 2) QX Amplified DNA Assays (HSV QX Assays) are not FDA cleared for use with cerebrospinal fluid (CSF). The assays are not intended to be used for prenatal screening or for individuals under the age of 17 years.
Qualitative/ Quantitative Assay	Qualitative	Qualitative
Controls	Positive and negative controls. Provided as part of the Master Kit.	Positive and negative controls. Provided as part of a Master Kit.
Patient Population	Symptomatic male and female patients.	Symptomatic male and female patients.
Specimen Types	Clinician-collected swab specimens from skin lesions in the anogenital region.	Clinician-collected external anogenital lesion specimens.

Differences		
Item	Aptima HSV 1 & 2 Assay (Subject Device) K162673	BD ProbeTec Assay (Predicate Device) K103798
Target	mRNA	DNA
Function	Qualitative detection and differentiation of mRNA from herpes simplex viruses 1 & 2	Qualitative detection and differentiation of DNA from herpes simplex viruses 1 & 2
Technology	The subject assay is comprised of a nucleic acid amplification	The predicate assay is comprised of an amplification test for use

Differences		
Item	Aptima HSV 1 & 2 Assay (Subject Device) K162673	BD ProbeTec Assay (Predicate Device) K103798
	test (NAAT) for use on the automated Panther system, that utilizes target capture to extract viral mRNA from patient samples, and real-time transcription mediated amplification (TMA) and detection of HSV-1, and HSV-2 mRNAs and an internal control RNA.	on the automated BD Viper System that utilizes non-specific extraction of DNA from clinical specimens, followed by binding of DNA to magnetic particles, washing of the bound nucleic acid and elution in an amplification-compatible buffer. When present, HSV1 and/or 2 DNA is detected by Strand Displacement Amplification (SDA) of type-specific target sequences in the presence of a fluorescently labeled detector probe.
Platform	The Panther system is an <i>in vitro</i> diagnostic device intended for use in clinical laboratories by trained laboratory professionals for the automation of NAATs. The Panther system provides automation of all assay steps, including sample processing, amplification of target nucleic acid, amplicon detection, data reduction, and amplicon inactivation.	BD Viper System is an <i>in vitro</i> diagnostic device intended for use in clinical laboratories by trained laboratory professionals for the automation of NAATs. The BD Viper System provides automated non-specific extraction and amplification of DNA from clinical specimens. In Extracted Mode, it uses Strand Displacement Amplification technology. When present, HSV 1 and/or 2 amplicon is detected by Strand Displacement Amplification.
Specimen Collection	• Aptima Multitest Swab Specimen Collection Kit (STM). • Aptima Specimen Transfer Kit (for use with specimens collected in VTM)	• BD ProbeTecQ^x Collection Kit for Endocervical or Lesion Specimens • BD Universal Viral Transport Medium (VTM) w/Swab Collection Kit • Copan Universal Transport Medium w/Swab Collection Kit (same as BD VTM Kit)

K. Standard/Guidance Document Referenced (if applicable): Not Applicable

L. Test Principle:

The Aptima HSV 1 & 2 assay involves three main steps, which all take place in a single tube on the Panther system: target capture, target amplification by real time transcription-mediated amplification (TMA), and detection of the amplification products (amplicons) by the fluorescent labeled probes (torches). The assay incorporates an Internal Control (IC) in every test to monitor targeted nucleic acid capture, amplification and detection.

Target capture:

When the Aptima HSV 1 & 2 assay is performed, the targeted viral mRNA and IC are isolated using magnetic microparticles and target-specific capture oligomers, in a process called target capture. The capture oligomers contain sequences complementary to specific regions of the targeted RNA (HSV mRNA or IC) as well as a string of deoxyadenosine residues. During the hybridization step, the sequence-specific regions of the capture oligomers bind to specific regions of the RNA target molecules. The microparticles, including the captured RNA target molecules bound to them, are pulled to the side of the reaction tube using magnets and the supernatant is aspirated. The particles are washed to remove residual specimen matrix that may contain amplification inhibitors. After target capture steps are completed, the specimens are ready for amplification.

Target Amplification by TMA:

Target amplification occurs via TMA, which is a transcription-based nucleic acid amplification method that utilizes two enzymes, MMLV (Moloney murine leukemia virus) reverse transcriptase and T7 RNA polymerase. The reverse transcriptase is used to generate a DNA copy (containing a promoter sequence for T7 RNA polymerase) of the target sequence. T7 RNA polymerase produces multiple copies of RNA amplicon from the DNA copy template.

Detection:

Detection is achieved using single-stranded nucleic acid torches that are present during the amplification of the target and hybridize specifically to the amplicon in real time. Each torch has a fluorophore and a quencher. The quencher suppresses the fluorescence of the fluorophore as it is designed to be in close proximity when not hybridized to the amplicon. When the torch binds to the amplicon, the quencher is moved farther away from the fluorophore and it will emit a signal at a specific wavelength when excited by a light source. More of the torches hybridize when more amplicon is present. The increase in fluorescent signal from progressive amplification is detected by fluorometers within the Panther system. The Panther system can detect and discriminate between the three fluorescent signals corresponding to HSV-1, HSV-2 and IC amplification products. The fluorescence (measured in relative fluorescence units [RFU]) is monitored over time to produce a real-time fluorescence emergence curve for each reporter dye. The Panther system software compares the fluorescence emergence curves to fixed cut off times to report results (TTime) for HSV-1, HSV-2 and IC.

Test Interpretation:

Test results are automatically determined by the assay software. Results for HSV-1 and HSV-2 detection are reported separately. Table 1 shows the possible results reported in a valid run and result interpretations. Samples with invalid test results should be retested. The first valid result should be reported.

Table 1: Test Results and Interpretation:

HSV-1 Result	HSV-2 Result	Interpretation
HSV1 neg	HSV2 neg	Negative: No HSV-1 or HSV-2 mRNA detected
HSV1 neg	HSV2 POS	HSV-2 positive: HSV-2 mRNA detected
HSV1 POS	HSV2 neg	HSV-1 positive: HSV-1 mRNA detected
HSV1 POS	HSV2 POS	HSV-1 and HSV-2 positive: HSV-1 and HSV-2 mRNA detected
Invalid	Invalid	Invalid: There was an error in the generation of the result. Specimen should be retested.

M. Performance Characteristics (if/when applicable):

1. Analytical performance:

a. Reproducibility:

Aptima HSV 1 & 2 assay reproducibility was evaluated at three external US sites. Testing was performed using three lots of assay reagents and six operators (two at each site). At each site, testing was performed for at least six days where two operators each performed two runs per day over at least three days with one operator performing testing each day. Panel members were created by spiking HSV-1 and/or HSV-2 viral particles into neat STM and three replicates of each panel member were tested in each run. Final HSV-1 concentrations ranged from 0 TCID₅₀/mL to 86.96 TCID₅₀/mL and final HSV-2 concentrations ranged from 0 TCID₅₀/mL to 1.63 TCID₅₀/mL; agreement with expected results is presented in Table 2. Table 3 summarizes the TTime results for panel members containing low and moderate levels of HSV-1 and HSV-2 and Table 4 summarizes the TTime results for the Positive Control.

Table 2: Reproducibility - Agreement of Aptima HSV 1 & 2 Assay with Expected Results

Level		Target Conc (TCID ₅₀ /mL)		Expected Result		N	Agreed (N)		Agreement (%) (95% CI)	
HSV-1	HSV-2	HSV-1	HSV-2	HSV-1	HSV-2		HSV-1	HSV-2	HSV-1	HSV-2
Neg	Neg	0	0	Neg	Neg	108	108	108	100 (96.6-100)	100 (96.6-100)
LPos	Neg	28.90*	0	Pos	Neg	108	103	108	95.4 (89.6-98.0)	100 (96.6-100)
Neg	LPos	0	0.54*	Neg	Pos	108	108	105	100 (96.6-100)	97.2 (92.1-99.1)
LPos	MPos	28.90*	1.63	Pos	Pos	108	97	108	89.8 (82.7-94.2)	100 (96.6-100)
MPos	LPos	86.96	0.54*	Pos	Pos	108	108	108	100 (96.6-100)	100 (96.6-100)
HNeg	Neg	3.00	0	Pos	Neg	108	50	108	46.3 (37.2-55.7)	100 (96.6-100)
Neg	HNeg	0	0.20	Neg	Pos	108	108	86	100 (96.6-100)	79.6 (71.1-86.1)

CI = confidence interval, Conc = concentration, HNeg = high negative (<1 X LoD), LPos = low positive (1-2 X LoD), MPos = moderate positive (2-3 X LoD), Neg = negative, Pos = positive,

*LoD values for HSV-1 and HSV-2 in neat STM were 28.90 and 0.54 TCID₅₀/mL, respectively.

Table 3: Reproducibility - Signal Variability of the Aptima HSV 1 & 2 Assay in Low and Moderate Panel Members

	Virus Level	N	Mean TTime	Between Sites	Between Lots	Between Operators/ Days ¹	Between Runs	Within Runs	Total
				SD (%CV)	SD (%CV)	SD (%CV)	SD (%CV)	SD (%CV)	SD (%CV)
HSV-1	LPos	103	24.68	0 (0)	1.63 (6.62)	0.75 (3.04)	0.54 (2.18)	0.88 (3.55)	2.07 (8.40)
	LPos	97	23.91	0 (0)	2.18 (9.11)	0.86 (3.58)	0 (0)	1.60 (6.71)	2.84 (11.87)
	MPos	108	22.96	0 (0)	1.54 (6.69)	0.38 (1.65)	0.68 (2.96)	0.94 (4.11)	1.96 (8.55)
HSV-2	LPos	105	25.49	0 (0)	0.84 (3.30)	0.70 (2.74)	0 (0)	2.52 (9.87)	2.74 (10.76)
	LPos	108	25.34	0 (0)	1.54 (6.08)	0.86 (3.41)	0.59 (2.34)	2.67 (10.53)	3.26 (12.85)
	MPos	108	22.91	0 (0)	1.09 (4.76)	0.35 (1.53)	0.42 (1.83)	1.06 (4.64)	1.62 (7.07)

Conc = concentration, CV = coefficient of variation, LPos = low positive (1-2 X LoD), MPos = moderate positive (2-3 X LoD), SD = standard deviation

Note: Variability from some factors may be numerically negative. This can occur if the variability due to those factors is very small. In these cases, SD and CV are shown as 0.

¹Between Operators may be confounded with Between Days; therefore, Between Operators and Between Days estimates are combined in Between Operators/Days.

Table 4: Reproducibility - Signal Variability of the Aptima HSV 1 & 2 Assay in the Positive Control

Virus	N	Mean TTime	Between Sites	Between Lots	Between Operators/ Days ¹	Within Days	Total
			SD (%CV)	SD (%CV)	SD (%CV)	SD (%CV)	SD (%CV)
HSV-1	36	20.11	0 (0)	1.30 (6.48)	0.40 (1.99)	1.09 (5.42)	1.75 (8.68)
HSV-2	36	22.16	0 (0)	1.61 (7.27)	0.71 (3.21)	1.38 (6.22)	2.24 (10.09)

CV = coefficient of variation, SD = standard deviation

Note: Variability from some factors may be numerically negative. This can occur if the variability due to those factors is very small. In these cases, SD and CV are shown as 0.

¹Between Operators may be confounded with Between Days; therefore, Between Operators and Between Days estimates are combined in Between Operators/Days.

b. Linearity/assay reportable range:

Not Applicable.

c. Traceability, Stability, Expected values (controls, calibrators, or methods):

Internal Control

The IC consists of a random sequence of RNA unrelated to HSV 1 or HSV 2 . The IC is added to each specimen aliquot during sample preparation and is amplified in the same reaction as the HSV-1 and HSV-2 mRNA targets. It is used to determine the validity of each individual reaction and as an indicator of analyte capture, amplification and/or detection errors, as well as to control for operator and instrument errors.

External Assay Controls

Two controls are provided separately by Hologic to the user in the Aptima HSV Controls Kit: one HSV1/HSV2 dual positive control and one negative control. The positive control is composed of known concentrations of in vitro transcripts (IVT) corresponding to HSV-1 and HSV-2 UL42 mRNAs. These controls must generate results within predefined specifications to be valid. The negative control must also provide a valid negative result for the run to be valid. Additionally, both controls must generate IC results within predefined specifications.

d. Detection limit:

(i) Analytical Sensitivity (Limit of Detection) in Clinical Specimen Matrix:

The Limit of Detection (LoD) of the Aptima HSV 1 & 2 assay was determined by testing a series of panels consisting of HSV-1 or HSV-2 diluted in pooled negative clinical specimens collected in one of two transport media: 1) STM and 2) VTM. For HSV-1, MacIntyre and HF viral strains were tested. For HSV-2, MS and G strains were tested. At least 60 replicates were tested at each concentration for each panel member for each matrix and virus strain across 3 reagents lots. The LoD for each strain and specimen type was calculated using Probit analysis and summarized in Table 5.

Table 5: LoD of the Aptima HSV 1 & 2 Assay in VTM and STM

HSV Type/Strain	Media Type	LoD TCID ₅₀ /mL
HSV-1 MacIntyre	STM	60.6
	VTM	186.9
HSV-1 HF	STM	78.9
	VTM	159.3
HSV-2 MS	STM	18.2
	VTM	28.7
HSV-2 G	STM	18.8
	VTM	128.8

(ii) LoD Verification:

The LoD was verified using two clinical isolates of HSV-1 and two clinical isolates of HSV-2 in both VTM and STM. Each HSV clinical isolate was tested with the Aptima HSV 1 & 2 assay using 60 replicates each at 3 concentrations (1X LoD, 3X LoD, and 10X LoD, see Table 6 for LoD values). Testing was completed in both STM and VTM matrix for all four clinical isolates and was conducted using 3 lots of reagents. All replicates for all clinical isolates at all three concentrations tested were detected by the Aptima HSV 1 & 2 assay, demonstrating that the assay can detect a range of both HSV-1 and HSV-2 clinical isolates at the determined LoD.

Table 6: HSV 1 and HSV 2 LoD

HSV Type	Media Type	LoD (TCID ₅₀ /mL)
HSV-1	STM	78.9
	VTM	186.9
HSV-2	STM	18.8
	VTM	128.8

e. Analytical specificity:

Potential cross-reactivity to the non-targeted micro-organisms listed in Table 7 was

evaluated in the Aptima HSV 1 & 2 assays. Panel members were prepared by spiking the potentially cross-reacting microorganisms into STM and then these panels were divided into 3 groups: 1) unspiked, 2) HSV-1 spiked to a concentration of 3X LoD, and 3) HSV-2 spiked to a concentration of 3X LoD. Group 1 was used to test for cross-reactivity while Groups 2 and 3 were used to test for microbial interference. No cross-reactivity or interference in the performance of the assay was observed in the presence of the microorganisms tested.

Table 7: Cross-Reactivity and Microbial Interference Panel

Microorganism	Concentration
<i>Acinetobacter calcoaceticus</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Acinetobacter lwoffii</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Actinomyces israelii</i>	1x10 ⁶ RNA copies /mL ²
Adenovirus type 1	1x10 ⁵ TCID50/mL ³
<i>Alcaligenes faecalis</i>	1x10 ⁶ CFU/mL ¹
<i>Atopobium vaginiae</i>	1x10 ⁶ RNA copies /mL ²
<i>Bacteroides fragilis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Bifidobacterium adolescentis</i>	1x10 ⁶ CFU/mL ^{1,2}
BK virus	1x10 ⁵ DNA copies/mL ³
<i>Bordetella bronchiseptica</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Bordetella pertussis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Campylobacter jejuni</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Candida glabrata</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Clostridium difficile</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Clostridium perfringens</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Corynebacterium genitalium</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Cryptococcus neoformans</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Enterobacter aerogenes</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Enterobacter cloacae</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Enterococcus faecium</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Enterococcus faecalis</i>	1x10 ⁶ CFU/mL ^{1,2}
Epstein-Barr virus	1x10 ⁵ DNA copies/mL ³
<i>Escherichia coli</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Fusobacterium nucleatum</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Gardnerella vaginalis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Haemophilus ducreyi</i>	1x10 ⁶ CFU/mL ^{1,2}
Hepatitis B virus	1x10 ⁵ IU/mL ^{4,3}
<i>Klebsiella pneumoniae</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Lactobacillus crispatus</i>	1x10 ⁶ CFU/mL ^{1,2}

Microorganism	Concentration
<i>Moraxella catarrhalis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Mycoplasma hominis</i>	1x10 ⁶ RNA copies /mL ²
<i>Mycoplasma orale</i>	1x10 ⁶ RNA copies /mL ²
<i>Neisseria gonorrhoeae</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Neisseria meningitidis</i>	1x10 ⁶ CFU/mL ^{1,2}
Parvovirus B19	1x10 ⁵ TCID50/mL ³
<i>Prevotella bivia</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Propionibacterium acnes</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Proteus mirabilis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Proteus vulgaris</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Pseudomonas aeruginosa</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Staphylococcus aureus</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Staphylococcus epidermidis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Staphylococcus saprophyticus</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Streptococcus mitis</i>	1x10 ⁶ CFU/mL ^{1,2}
<i>Streptococcus pneumonia</i> *	1x10 ⁵ CFU/mL ^{1,2}
<i>Streptococcus pyogenes</i>	1x10 ⁶ CFU/mL ^{1,2}
Varicella-zoster virus	1x10 ⁵ DNA copies/mL ³
West Nile virus	1x10 ⁵ TCID50/mL ³

¹CFU = Colony Forming Units, ²Procured internally from Hologic, Inc., ³Obtained from ZeptoMetrix Corporation (Buffalo NY), ⁴IU=International Units

*Cross reactivity was observed in *Streptococcus pneumoniae* at 1x10⁶ CFU/mL

f. Interfering Studies

The susceptibility of the Aptima HSV 1 & 2 assay to interference by elevated levels of potentially interfering substances that may be present in clinical specimens was evaluated. HSV negative samples and samples spiked to a test concentration of ~3X LoD of HSV-1 Macintyre virus or HSV-2 MS virus were tested. No interference in performance of the Aptima HSV 1 & 2 assay was observed in the presence of a representative brand of the following exogenous and endogenous substances at the concentrations stated in Table 8.

Table 8: Interfering Substances

Substance	Brand/Source	Final Concentration
Vaginal lubricant	KY Jelly	5% V/V
Spermicide/contraceptive jelly	Options Gynol II	4% W/V
Anti-fungal cream	Monistat 3	5% W/V
Douche	Up & Up Feminine Wash	5% V/V
Feminine spray	FDS Feminine Deodorant Spray	5% W/V
Body lotion	Vaseline Aloe Fresh	5% W/V
Powder	Summer's Eve Powder	5% W/V
Glacial acetic acid wash solution	Glacial acetic acid wash solution	5% V/V
Hemorrhoid cream	Preparation H	5% W/V
Urine	In-house urine collection	5% V/V
Whole blood	In-house whole blood collection	0.5% V/V
Leukocytes	Biological Specialty Corporation Leukocytes	4x10 ⁵ cells/mL
Mucus	Sigma Aldrich Mucine	0.3% W/V
Seminal fluid	Seminal fluid	5% V/V
Feces	Feces	0.03% W/V
Protein	Casein	4% W/V
Antiviral drug	Acyclovir	5% W/V

g. Specimen Stability in Viral Transport Medium (VTM) and Aptima Specimen Transport Medium (STM)

The specimen stability was assessed with naturally occurring positive clinical specimens in both VTM (diluted in STM) and neat STM that were placed at various temperatures over a period of time (30°C for 30 days, 2-8°C for 30 days, -20°C for 60 days, and -70°C for 60 days) and subsequently tested with the Aptima HSV 1 & 2 assay.

In addition, each transport medium was spiked with HSV-1 MacIntyre strain and HSV-2 MS strain at 3X and 10X LoD and tested, in duplicate, at the following temperatures and intervals: 30°C for ≥ 30 days, 2-8°C for ≥ 30 days, -20°C for ≥ 30 days and -70°C for ≥ 30 days.

For the specimen freeze and thaw study, stability was assessed by alternately freezing specimens at -20°C or -70°C and then thawing at room temperature for a total of 3 additional freeze-thaw cycles before being tested again in the Aptima HSV 1 & 2 assay in duplicate.

The study data support the stability claims for HSV-1 and HSV-2 specimens collected in STM and stored at 2°C to 30°C for up to 36 days and at -20°C to -70°C for up to 36 days. The study data also support the stability claims for HSV-1 and HSV-2

specimens collected in VTM, immediately transferred to STM, and then stored at 2°C to 30°C for up to 30 days and at -20°C to -70°C for up to 36 days. Specimens collected directly in STM or collected in VTM and then immediately transferred to STM may be frozen and thawed up to 3 times prior to testing.

h. Competitive Inhibition

Competitive inhibition was evaluated to assess the ability of the Aptima HSV 1 & 2 assay to detect HSV 1 and HSV 2 analytes when both are present in the same specimen. Low and high titer concentrations of HSV-1 viral particles were tested in combination with low, moderate, and high concentrations of HSV-2 virus. Panel composition and concentrations are listed in Table 9. All testing resulted in 100% detection for both HSV-1 and HSV-2.

Table 9: Panels Co-infected with Varying Titers of HSV-1 and HSV-2

Panel Member	HSV-1 Concentration	HSV-2 Concentration
HSV-1 Low; HSV-2 Low	86.7 TCID ₅₀ /mL ¹	1.62 TCID ₅₀ /mL ²
HSV-1 Low; HSV-2 Moderate	86.7 TCID ₅₀ /mL ¹	540 TCID ₅₀ /mL ³
HSV-1 High; HSV-2 Low	28,900 TCID ₅₀ /mL ⁴	1.62 TCID ₅₀ /mL ²
HSV-1 High; HSV-2 Moderate	28,900 TCID ₅₀ /mL ⁴	540 TCID ₅₀ /mL ³
HSV-1 Low; HSV-2 High	86.7 TCID ₅₀ /mL ¹	9,000 TCID ₅₀ /mL ⁵

¹3X LoD HSV-1; ²3X LoD HSV-2; ³1000X LoD HSV-2; ⁴1000X LoD HSV-1; ⁵16667X LoD HSV-2.

i. Assay cut-off: Not Applicable

2. Comparison studies:

a. Method comparison with predicate device:

The clinical performance evaluation was performed against a composite reference method comprised of the ELVIS HSV ID and D3 Typing Test system viral culture and a validated PCR/ bidirectional sequencing procedure. A third FDA cleared assay was used when the ELVIS D3 culture and PCR/sequencing results did not agree on the type of HSV detected or when PCR/sequencing detected both HSV-1 and HSV-2. See Clinical Study Section below (Section 3).

b. Matrix comparison: Not applicable

3. Clinical studies:

a. Clinical Sensitivity: Not applicable

b. Clinical specificity: Not applicable

c. Other clinical supportive data (when a. and b. are not applicable):

The performance of the Aptima HSV 1 & 2 assay was compared with a composite reference method: the ELVIS HSV ID and D3 Typing Test System (Diagnostic Hybrids, Inc.; K091753), and a validated PCR/ bidirectional sequencing procedure. A third FDA cleared assay was used when the ELVIS D3 culture and PCR/sequencing results did not agree on the type of HSV detected or when PCR/sequencing detected both HSV-1 and HSV-2.

Note: The ELVIS D3 culture method cannot detect type HSV-1 if the sample is positive for HSV-2, thus, the method cannot detect HSV-1 and HSV-2 co-infected samples.

Clinical Performance:

A prospective, multicenter clinical study was conducted to establish the performance characteristics of the Aptima HSV 1 & 2 assay. A total of 544 evaluable subjects (195 males and 349 females) with active anogenital lesions were enrolled from 17 US clinical sites, including family planning, dermatology, pediatrics/adolescent, sexually transmitted infection, private practice, public health clinics, hospitals, universities, and clinical research sites.

Two (2) swab specimens were collected from a single lesion from each subject: one was collected with a swab from a commercially available VTM collection kit and one was collected with a swab from the Aptima Multitest Swab Specimen Collection Kit (STM). Specimens were processed in accordance with the appropriate package insert instructions. Aptima HSV 1 & 2 assay testing was performed at 3 external sites. The performance of the Aptima HSV-1 & 2 assay was evaluated relative to the composite reference method specified above. The performance of the Aptima HSV 1 & 2 assay was estimated for detection of HSV-1 and HSV-2 separately using each specimen type.

For HSV-1, of the 544 evaluable subjects, 528 VTM and 531 STM specimen types resulted in evaluable results for HSV-1 analysis (16 VTM and 13 STM were reported as unknown composite reference interpretation). For HSV-2, of the 544 evaluable subjects, 533 VTM and 535 STM specimen types produced evaluable results for HSV-2 analysis (11 VTM and 9 STM were reported as unknown composite reference interpretation).

The performance of the Aptima HSV 1 & 2 assay compared to the composite reference method for anogenital skin lesion specimens collected in STM and VTM is summarized in Tables 10 and 11. The overall sensitivity for HSV-1 was 93.4% for VTM and 94.7% for STM specimen types and the specificity was 99.8% for VTM and 99.6% for STM specimen types (Table 10). For HSV-2, the sensitivity was 96.9% for VTM and 98.4% for STM specimen types and the specificity was 97.5% for VTM and 92.8% for STM specimen types (Table 11).

Table 10: Summary of HSV-1 Results by VTM and STM Specimen Type

Specimen Type	Gender	N	TP	FP	TN	FN	Prev (%)	Sensitivity % (95% CI) ¹	Specificity % (95% CI) ¹	PPV % (95% CI) ²	NPV % (95% CI) ²
VTM	Combined	528	71	1 ³	451	5 ⁴	14.4	93.4 (85.5-97.2)	99.8 (98.8-99.9)	98.6 (93.0-100)	98.9 (97.6-99.6)
	Male	192	19	1	170	2	10.9	90.5 (71.1-97.3)	99.4 (96.8-99.9)	95.0 (78.6-99.8)	98.8 (96.4-99.9)
	Female	336	52	0	281	3	16.4	94.5 (85.1-98.1)	100 (98.7-100)	100 (93.7-100)	98.9 (97.1-99.8)
STM	Combined	531	71	2 ⁵	454	4 ⁶	14.1	94.7 (87.1-97.9)	99.6 (98.4-99.9)	97.3 (91.1-99.6)	99.1 (97.9-99.8)
	Male	192	20	2	169	1	10.9	95.2 (77.3-99.2)	98.8 (95.8-99.7)	90.9 (74.5-98.7)	99.4 (97.2-100)
	Female	339	51	0	285	3	15.9	94.4 (84.9-98.1)	100 (98.7-100)	100 (93.6-100)	99.0 (97.2-99.8)

STM = Aptima Multitest Swab specimen, Prev = prevalence, VTM = VTM sample

¹CI: confidence interval.

²PPV 95% CI computed from the exact 95% CI for the positive likelihood ratio, NPV 95% CI computed from the exact 95% CI for the negative likelihood ratio.

³The sample had a negative culture result.

⁴Two samples had negative culture results, one had a non-typable HSV positive culture result, and two were HSV-1 positive by culture.

⁵Both specimens had negative culture results.

⁶One specimen had a negative culture result, one had a non-typable HSV positive culture result, and two were HSV-1 positive by culture.

Table 11: Summary of HSV-2 Results by VTM and STM Specimen Type

Specimen Type	Gender	N	TP	FP	TN	FN	Prev (%)	Sensitivity % (95% CI) ¹	Specificity % (95% CI) ¹	PPV % (95% CI) ²	NPV % (95% CI) ²
VTM	Combined	533	248	7 ³	270	8 ⁴	48.0	96.9 (94.0-98.4)	97.5 (94.9-98.8)	97.3 (94.7-98.8)	97.1 (94.6-98.7)
	Male	194	79	2	110	3	42.3	96.3 (89.8-98.7)	98.2 (93.7-99.5)	97.5 (92.0-99.7)	97.3 (93.0-99.4)
	Female	339	169	5	160	5	51.3	97.1 (93.5-98.8)	97.0 (93.1-98.7)	97.1 (93.8-99.0)	97.0 (93.4-99.0)
STM	Combined	535	253	20 ⁵	258	4 ⁶	48.0	98.4 (96.1-99.4)	92.8 (89.1-95.3)	92.7 (89.4-95.3)	98.5 (96.3-99.6)
	Male	194	79	6	106	3	42.3	96.3 (89.8-98.7)	94.6 (88.8-97.5)	92.9 (86.5-97.1)	97.2 (92.8-99.4)
	Female	341	174	14	152	1	51.3	99.4 (96.8-99.9)	91.6 (86.3-94.9)	92.6 (88.5-95.7)	99.3 (96.6-100)

STM = Aptima Multitest Swab specimen, Prev = prevalence, VTM = VTM sample

¹ CI: confidence interval.

²PPV 95% CI computed from the exact 95% CI for the positive likelihood ratio, NPV 95% CI computed from the exact 95% CI for the negative likelihood ratio

³Six samples had negative culture results and one was HSV-1 positive by culture.

⁴All eight samples had negative culture results.

⁵Eighteen specimens had negative culture results and two were HSV-1 positive by culture.

⁶All four specimens had negative culture results

4. Clinical cut-off: N/A

5. Expected values/Reference range:

Prevalence: The prevalence of HSV-1 and HSV-2 observed during the multi-center clinical study was calculated for the Aptima HSV 1 & 2 assay. The prevalence of HSV-1 and HSV-2 with the Aptima HSV 1 & 2 assay is summarized by age group, gender group, and specimen type in Table 12.

Table 12: Gender and Age Distribution by VTM and STM Specimen Type¹

Gender Age Group	%Prevalence (# positive results/# tested)			
	VTM		STM	
	HSV-1	HSV-2	HSV-1	HSV-2
Male				
All ages	10.4 (20/192)	41.8 (81/194)	11.5 (22/192)	43.8 (85/194)
< 2 years	0.0 (0/0)	0.0 (0/0)	0.0 (0/0)	0.0 (0/0)
2 to 11 years	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)
12 to 21 years	11.1 (2/18)	38.9 (7/18)	11.1 (2/18)	38.9 (7/18)
22 to 30 years	14.0 (13/93)	34.0 (32/94)	14.9 (14/94)	35.8 (34/95)
31 to 40 years	12.5 (5/40)	50.0 (20/40)	12.8 (5/39)	53.8 (21/39)
41 to 50 years	0.0 (0/20)	52.4 (11/21)	0.0 (0/20)	52.4 (11/21)
51 to 60 years	0.0 (0/14)	57.1 (8/14)	7.1 (1/14)	57.1 (8/14)
> 60 years	0.0 (0/6)	50.0 (3/6)	0.0 (0/6)	66.7 (4/6)
Female				
All ages	15.5 (52/336)	51.3 (174/339)	15.0 (51/339)	55.1 (188/341)
< 2 years	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)
2 to 11 years	0.0 (0/0)	0.0 (0/0)	0.0 (0/0)	0.0 (0/0)
12 to 21 years	23.8 (15/63)	56.3 (36/64)	23.1 (15/65)	59.1 (39/66)
22 to 30 years	14.6 (25/171)	52.6 (90/171)	14.5 (25/172)	56.4 (97/172)
31 to 40 years	11.1 (7/63)	46.0 (29/63)	12.5 (8/64)	47.6 (30/63)
41 to 50 years	18.2 (4/22)	39.1 (9/23)	10.0 (2/20)	47.6 (10/21)
51 to 60 years	7.7 (1/13)	46.2 (6/13)	7.1 (1/14)	57.1 (8/14)
> 60 years	0.0 (0/3)	100 (4/4)	0.0 (0/3)	100 (4/4)
Combined				
All ages	13.6 (72/528)	47.8 (255/533)	13.7 (73/531)	51.0 (273/535)
< 2 years	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)
2 to 11 years	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)	0.0 (0/1)
12 to 21 years	21.0 (17/81)	52.4 (43/82)	20.5 (17/83)	54.8 (46/84)
22 to 30 years	14.4 (38/264)	46.0 (122/265)	14.7 (39/266)	49.1 (131/267)
31 to 40 years	11.7 (12/103)	47.6 (49/103)	12.6 (13/103)	50.0 (51/102)
41 to 50 years	9.5 (4/42)	45.5 (20/44)	5.0 (2/40)	50.0 (21/42)
51 to 60 years	3.7 (1/27)	51.9 (14/27)	7.1 (2/28)	57.1 (16/28)
> 60 years	0.0 (0/9)	70.0 (7/10)	0.0 (0/9)	80.0 (8/10)

¹No subjects had positive Aptima HSV 1 & 2 assay results for both HSV-1 and HSV-2.

Positive and Negative Predicted Value: The estimated positive and negative predictive values (PPV and NPV) of the Aptima HSV 1 & 2 assay for detection of HSV-1 and HSV-2 across different hypothetical prevalence rates are shown for each specimen type in Table 13. These calculations are based on the overall estimated sensitivity and specificity for each specimen type as determined in the clinical performance study.

Table 13: Prevalence vs Hypothetical PPV¹ and NPV² for Detection of HSV-1 and HSV-2 by Specimen Type

Specimen Type	Prevalence (%)	HSV-1		HSV-2	
		PPV (%)	NPV (%)	PPV (%)	NPV (%)
VTM	1	81.0	99.9	27.9	100
	2	89.6	99.9	43.9	99.9
	5	95.7	99.7	66.9	99.8
	10	97.9	99.3	81.0	99.6
	20	99.1	98.4	90.6	99.2
	30	99.5	97.3	94.3	98.6
	40	99.6	95.8	96.2	97.9
	50	99.8	93.8	97.5	96.9
STM	1	68.6	99.9	12.1	100
	2	81.5	99.9	21.8	100
	5	91.9	99.7	41.9	99.9
	10	96.0	99.4	60.3	99.8
	20	98.2	98.7	77.4	99.6
	30	98.9	97.8	85.4	99.3
	40	99.3	96.6	90.1	98.9
	50	99.5	94.9	93.2	98.4

STM = Aptima Multitest swab specimen, VTM = VTM sample

¹PPV was calculated using:

$(\text{Sensitivity} \times \text{Prevalence}) / (\text{Sensitivity} \times \text{Prevalence} + [1 - \text{Specificity}] \times [1 - \text{Prevalence}])$.

²NPV was calculated using:

$(\text{Specificity} \times [1 - \text{Prevalence}]) / ([1 - \text{Sensitivity}] \times \text{Prevalence} + \text{Specificity} \times [1 - \text{Prevalence}])$.

TTime Distribution for Aptima HSV 1 & 2 Assay Positive Controls

The distribution of the TTime values for the Aptima HSV 1 & 2 assay positive control from all valid Aptima HSV 1 & 2 assay runs performed during the clinical performance study is presented in Table 14.

Table 14: Distribution of TTimes for Aptima HSV 1 & 2 Assay Positive Controls

Statistics	TTime	
	HSV-1	HSV-2
N	107	107
Mean	20.03	22.01
Median	19.8	21.7
SD	1.198	1.612
CV (%)	6.0	7.3
Minimum	18.1	19.5
Maximum	22.9	26.2

CV = coefficient of variation, SD = standard deviation

N. Instrument Name:

Panther system

O. System Descriptions:

1. Modes of Operation:

Does the applicant's device contain the ability to transmit data to a computer, webserver, or mobile device?

Yes ___X___ or No _____

Does the applicant's device transmit data to a computer, webserver, or mobile device using wireless transmission?

Yes _____ or No ___X___

2. Software:

FDA has reviewed applicant's Hazard Analysis and software development processes for this line of product types:

Yes ___X___ or No _____

The sponsor states there have been no changes to the Panther system software since 2014 (version 5.2.0).

The assay software, version 2.25, originally used for the Aptima HSV 1 & 2 assay clinical studies was modified to a newer version (2.29) after the clinical reproducibility testing was completed. The modified assay software version 2.29 uses the same parameters as are planned to be used in the version for commercial use. Data generated

from the Aptima HSV 1 & 2 assay clinical testing sites were reprocessed using the new assay software version 2.29. Hologic reprocessed the data using a validated tool, which processes results in the same manner as the Panther system to demonstrate comparability between software versions.

3. Specimen Identification:

Patient ID/Sample ID is labeled with a unique barcode, which is tracked by the software to prevent re-use and track positive sample identification.

4. Specimen Sampling and Handling:

Not Applicable

5. Calibration:

Real Time Fluorometers (RTF) undergo a single calibration during manufacturing. No additional calibration is performed by the end user.

6. Quality Control:

The internal control (IC) consists of a random sequence of RNA unrelated to HSV and is introduced to each reaction during sample preparation. The IC is amplified in the same reaction as the HSV-1 and HSV-2 mRNA targets. It is used to determine the validity of each individual reaction and as an indicator of capture, amplification and/or detection inhibition, and detection step errors, as well as to control for operator and instrument errors.

Two controls are provided by Hologic to the user in the Aptima HSV Controls Kit: one HSV dual positive control and one negative control. The positive control is composed of HSV-1 and HSV-2 in-vitro transcript (IVT) at known concentrations. These controls must generate results within predefined specifications to be valid. The negative control is composed of a buffered solution and must also provide a valid negative result for the run to be valid. Additionally, both controls must generate IC results within a predefined specification.

A. Run Validity Criteria

The software automatically determines run validity. The software will invalidate a run if either or both controls (negative and positive) have invalid results.

A run may be invalidated by an operator if technical, operator, or instrument difficulties are observed and documented while performing the assay.

An invalid run must be repeated.

B. Control Validity

Table 15 defines the TTime validity criteria for the Negative and Positive Controls.

Table 15. TTime Validity Criteria

	IC TTime	HSV-1 TTime	HSV-2 TTime
Negative Control	≥ 7.0 and ≤ 40.0	-	-
Positive Control	≥ 7.0 and ≤ 53.0	≥ 3.0 and ≤ 35.0	≥ 3.0 and ≤ 35.0

Note: External quality control samples (not provided) should be tested in conformance with local, state, and/or federal regulation or accreditation requirements and each laboratory's standard Quality Control procedures.

Note: For assistance with out-of-range controls, Hologic Technical Support should be contacted.

Note: When TTime cannot be calculated, a dash (-) will be displayed.

P. Other Supportive Instrument Performance Characteristics Data Not Covered In The “Performance Characteristics” Section above:

The Panther system has been reviewed previously in K111409, K122062, P100042/S0001, P100042/S002, P120007/S0001 and P120007/S002

The software documentation was reviewed and found to be acceptable. The firm provided documentation to support that the device was designed, developed, and maintained under appropriate software lifecycle processes.

Q. Proposed Labeling:

The labeling is sufficient and it satisfies the requirements of 21 CFR Part 809.10.

R. Conclusion:

The submitted information in this premarket notification is complete and supports a substantial equivalence decision.