

1 **1.14.1.2 Annotated Draft Labeling Text**

2 **1.14.1.2.1 Annotated Redlined Draft Package Insert**

3 **Herceptin®**
4 **Trastuzumab**

5 **WARNINGS:**

6 **Cardiomyopathy**

7 Herceptin administration can result in left ventricular dysfunction and
8 congestive heart failure (CHF). Left ventricular function should be
9 evaluated in all patients prior to and during treatment with Herceptin.

10 The incidence and severity of left ventricular cardiac dysfunction/CHF
11 was highest in patients who received Herceptin concurrently with
12 anthracycline-containing chemotherapy regimens. Discontinue Herceptin
13 treatment in patients receiving adjuvant therapy for breast cancer and
14 strongly consider discontinuation of Herceptin in patients with metastatic
15 breast cancer who develop a clinically significant decrease in left
16 ventricular function. (See **WARNINGS: Cardiomyopathy**, See
17 **DOSAGE AND ADMINISTRATION: Dose Modifications**)

18 **Infusion Reactions**

19 **Pulmonary Toxicity**

20 Herceptin administration can result in serious infusion reactions and
21 pulmonary toxicity. Rarely, these have been fatal. In most cases,
22 symptoms occurred during or within 24 hours of administration of
23 Herceptin. Herceptin infusion should be interrupted for patients
24 experiencing dyspnea or clinically significant hypotension. Patients
25 should be monitored until signs and symptoms completely resolve.
26 Discontinuation of Herceptin should be strongly considered for infusion
27 reactions manifesting as anaphylaxis, angioedema, pneumonitis, or acute
28 respiratory distress syndrome. (See **WARNINGS**.)

29 **DESCRIPTION**

30 Herceptin (Trastuzumab) is a recombinant DNA-derived humanized
31 monoclonal antibody that selectively binds with high affinity in a
32 cell-based assay ($K_d=5$ nM) to the extracellular domain of the human
33 epidermal growth factor receptor 2 protein, HER2 (1, 2). The antibody is
34 an IgG₁ kappa that contains human framework regions with the
35 complementarity-determining regions of a murine antibody (4D5) that
36 binds to HER2.

37 The humanized antibody against HER2 is produced by a mammalian cell
38 (Chinese Hamster Ovary [CHO]) suspension culture in a nutrient medium
39 containing the antibiotic gentamicin. Gentamicin is not detectable in the
40 final product.

41 Herceptin is a sterile, white to pale yellow, preservative-free lyophilized
42 powder for intravenous (IV) administration. The nominal content of each
43 Herceptin vial is 440 mg Trastuzumab, 400 mg α,α -trehalose dihydrate,
44 9.9 mg L-histidine HCl, 6.4 mg L-histidine, and 1.8 mg polysorbate 20,
45 USP. **Reconstitution with 20 mL of the supplied Bacteriostatic Water**
46 **for Injection (BWFI), USP**, containing 1.1% benzyl alcohol as a
47 preservative, yields a multi-dose solution containing 21 mg/mL
48 Trastuzumab, at a pH of approximately 6.

49 **CLINICAL PHARMACOLOGY**

50 **General**

51 The HER2 (or c-erbB2) proto-oncogene encodes a transmembrane
52 receptor protein of 185 kDa, which is structurally related to the epidermal
53 growth factor receptor (1). HER2 protein overexpression is observed in
54 25%–30% of primary breast cancers. HER2 protein overexpression can
55 be determined using immunohistochemistry (IHC). The presence of
56 HER2 overexpression may also be inferred when HER2 gene
57 amplification is identified using fluorescence *in situ* hybridization (FISH)
58 on fixed tumor blocks. (2) (see **CLINICAL STUDIES: HER2**
59 **Detection and PRECAUTIONS: HER2 Testing**).

60 Trastuzumab has been shown, in both *in vitro* assays and in animals,
61 to inhibit the proliferation of human tumor cells that overexpress HER2
62 (3).

63 Trastuzumab is a mediator of antibody-dependent cellular cytotoxicity
64 (ADCC) (4). *In vitro*, Herceptin-mediated ADCC has been shown to be
65 preferentially exerted on HER2 overexpressing cancer cells compared
66 with cancer cells that do not overexpress HER2.

67 **Pharmacokinetics**

68 The pharmacokinetics of Trastuzumab were studied in breast cancer
69 patients with metastatic disease. Short duration intravenous infusions of
70 10 to 500 mg once weekly demonstrated dose-dependent
71 pharmacokinetics. Mean half-life increased and clearance decreased with
72 increasing dose level. The half-life averaged 1.7 and 12 days at the 10 and
73 500 mg dose levels, respectively. Trastuzumab's volume of distribution
74 was approximately that of serum volume (44 mL/kg). At the highest
75 weekly dose studied (500 mg), mean peak serum concentrations were
76 377 µg/mL.

77 In studies using a loading dose of 4 mg/kg followed by a weekly
78 maintenance dose of 2 mg/kg, a mean half-life of 5.8 days
79 (range= 1 to 32 days) was observed. Between Weeks 16 and 32,
80 Trastuzumab serum concentrations reached a steady state with mean
81 trough and peak concentrations of approximately 79 µg/mL and
82 123 µg/mL, respectively.

83 Detectable concentrations of the circulating extracellular domain of the
84 HER2 receptor (shed antigen) are found in the sera of some patients with
85 HER2 overexpressing tumors. Determination of shed antigen in baseline
86 serum samples revealed that 64% (286/447) of patients had detectable
87 shed antigen, which ranged as high as 1880 ng/mL (median= 11 ng/mL).
88 Patients with higher baseline shed antigen levels were more likely to have
89 lower serum trough concentrations.

90 Data suggest that the disposition of Trastuzumab is not altered based on
91 age or serum creatinine (up to 2.0 mg/dL). No formal interaction studies
92 have been performed.

93 Mean serum trough concentrations of Trastuzumab, when administered in
94 combination with paclitaxel, were consistently elevated approximately
95 1.5-fold as compared with serum concentrations of Trastuzumab used in
96 combination with anthracycline plus cyclophosphamide. In primate
97 studies, administration of Trastuzumab with paclitaxel resulted in a
98 reduction in Trastuzumab clearance. Serum levels of Trastuzumab in
99 combination with cisplatin, doxorubicin, or epirubicin plus
100 cyclophosphamide did not suggest any interactions; no formal drug
101 interaction studies were performed.

102 **CLINICAL STUDIES**

103 **Adjuvant Breast Cancer**

104 The safety and efficacy of Herceptin in combination with chemotherapy
105 for the adjuvant treatment of HER2 overexpressing breast cancer were
106 studied in two randomized, open-label, clinical trials with a total of
107 3752 patients who were randomized in the studies prior to a pre-specified
108 interim analysis. The data from both arms in Study 1 and two of the
109 three study arms in Study 2 were pooled for efficacy analyses. Breast
110 tumor specimens were required to show HER2 overexpression (3+ by
111 IHC) or gene amplification (by FISH). Patients with a history of active
112 cardiac disease based on symptoms, abnormal electrocardiographic,
113 radiologic, or left ventricular ejection fraction findings or uncontrolled
114 hypertension (diastolic > 100 mmHg or systolic > 200 mmHg) were not
115 eligible. HER2 testing was verified by a central laboratory prior to
116 randomization (Study 2) or was required to be performed at a reference
117 laboratory (Study 1).

118 Patients were randomized (1:1) to receive doxorubicin and
119 cyclophosphamide followed by paclitaxel (AC→paclitaxel) alone or
120 paclitaxel plus Herceptin (AC→paclitaxel + Herceptin). In both trials,

121 patients received four 21-day cycles of doxorubicin 60 mg/m² and
122 cyclophosphamide 600 mg/m². Paclitaxel was administered either weekly
123 (80 mg/m²) or every 3 weeks (175 mg/m²) for a total of 12 weeks in
124 Study 1; paclitaxel was administered only by the weekly schedule in
125 Study 2. Herceptin was administered at 4 mg/kg on the day of initiation of
126 paclitaxel and then at a dose of 2 mg/kg weekly for a total of 52 weeks.
127 Herceptin treatment was permanently discontinued in patients who
128 developed congestive heart failure, or persistent/recurrent LVEF decline.
129 (See **DOSAGE AND ADMINISTRATION**). Radiation therapy, if
130 administered, was initiated after the completion of chemotherapy. Patients
131 with ER+ and/or PR+ tumors received hormonal therapy. Disease-free
132 survival (DFS), defined as the time from randomization to recurrence,
133 occurrence of contralateral breast cancer, other second primary cancer, or
134 death, was the primary endpoint of the combined efficacy analysis. There
135 were 401 patients without follow up assessment for DFS at the time of
136 interim analysis who were censored at study day 1.

137 A total of 3752 patients were included in the efficacy analyses. Of these
138 patients, the median age was 49 years (range, 22–80 years; 6% > 65 years),
139 84% were white, 7% black, 4% Hispanic, and 4% Asian/Pacific Islander.
140 Disease characteristics included 90% infiltrating ductal histology, 38% T1,
141 91% nodal involvement, 27% intermediate and 66% high grade pathology,
142 and 53% ER+ and/or PR+ tumors. At the time of randomization 53% of
143 the population were to receive paclitaxel on a weekly regimen, and the
144 remainder were to receive a q3 week schedule of paclitaxel.

145 Efficacy results for DFS are presented in Table 1 and Figure 1.
146 Exploratory analyses for the risk of recurrence, second primary
147 malignancy, or death within patient subgroups were generally consistent
148 with the overall treatment effects. There were insufficient numbers of
149 patients within each of the following subgroups to determine if the
150 treatment effect was different from that of the overall patient population:
151 patients with node negative disease, patients with low tumor grade, and

152 patients within specific ethnic/racial subgroups (Black, Hispanic and
 153 Asian/Pacific Islander patients).

Table 1
 Efficacy Results from Adjuvant Breast Cancer Clinical Studies

	AC→Paclitaxel	AC→Paclitaxel + Herceptin	Hazard Ratio ^a (95% CI)	p-value ^b
	n = 1880	n = 1872		
	No. with Event	No. with Event		
Disease-free survival	261	133	0.48 (0.39–0.59)	< 0.0001
Overall survival	92	62	0.67	NS ^c

CI=confidence interval.

^a Hazard ratio estimated by Cox regression stratified by clinical trial, intended paclitaxel schedule, number of positive nodes, and hormone receptor status.

^b log-rank test stratified by clinical trial, intended paclitaxel schedule, number of positive nodes, and hormone receptor status.

^c Nonsignificant at an interim analysis.

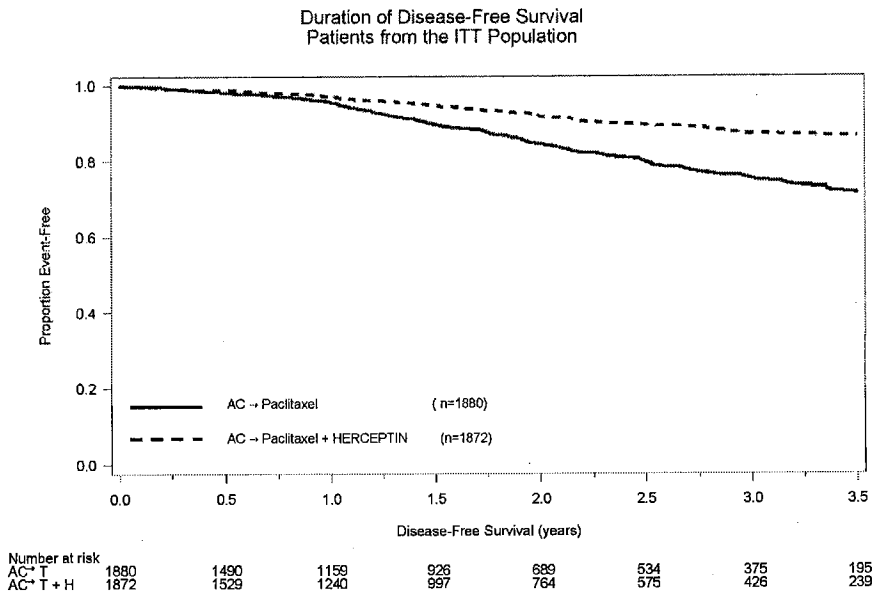
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Figure 1
 Duration of Disease-Free Survival in
 Patients from the Adjuvant Breast Cancer Clinical Studies



158

159

160 Exploratory analyses of DFS as a function of HER2 overexpression or
 161 gene amplification were conducted for patients in study 2, where central
 162 laboratory testing data were available. The results are shown in Table 2.
 163 The number of events were small with the exception of the IHC 3+/FISH+
 164 subgroup, which constituted 81% of those with data. Definitive
 165 conclusions cannot be drawn regarding efficacy within other subgroups
 166 due to the small number of events.

Table 2
 Treatment Outcomes in Study 2 as a Function
 of HER2 Overexpression or Amplification

HER2 Assay Result*	Number of Patients	Hazard Ratio for DFS** (95% CI)
IHC 3+		
FISH (+)	1170	0.42 (0.27, 0.64)
FISH (-)	51	0.71 (0.04, 11.79)
FISH Unknown	51	0.69 (0.09, 5.14)
IHC 0, 1+, or 2+		
FISH (+)	174	1.01 (0.18, 5.65)

* IHC by Herceptest, FISH by PathVysion as performed at a central laboratory.

** The hazard ratio represents the risk of recurrence, second primary malignancy, or death in the Herceptin plus chemotherapy arm versus the chemotherapy arm. Hazard ratio was estimated by Cox regression stratified by number of positive nodes and hormone receptor status.

167

168 **Metastatic Breast Cancer**

169 The safety and efficacy of Herceptin in the treatment of women with
 170 metastatic breast cancer were studied in a randomized, controlled clinical
 171 trial in combination with chemotherapy (Study 3, n=469 patients) and an
 172 open-label single agent clinical trial (Study 4, n=222 patients). Both trials
 173 studied patients with metastatic breast cancer whose tumors overexpressed
 174 the HER2 protein. Patients were eligible if they had 2 or 3 levels of
 175 overexpression (based on a 0 to 3 scale) by immunohistochemical
 176 assessment of tumor tissue performed by a central testing lab.

177 First Line Treatment of Metastatic Breast Cancer

178 Study 3 was a multicenter, randomized, open-label clinical trial conducted
179 in 469 women with metastatic breast cancer who had not been previously
180 treated with chemotherapy for metastatic disease (5). Tumor specimens
181 were tested by IHC (Clinical Trial Assay, CTA) and scored as 0, 1+, 2+,
182 or 3+, with 3+ indicating the strongest positivity. Only patients with 2+ or
183 3+ positive tumors were eligible (about 33% of those screened). Patients
184 were randomized to receive chemotherapy alone or in combination with
185 Herceptin given intravenously as a 4 mg/kg loading dose followed by
186 weekly doses of Herceptin at 2 mg/kg. For those who had received prior
187 anthracycline therapy in the adjuvant setting, chemotherapy consisted of
188 paclitaxel (175 mg/m² over 3 hours every 21 days for at least six cycles);
189 for all other patients, chemotherapy consisted of anthracycline plus
190 cyclophosphamide (AC: doxorubicin 60 mg/m² or epirubicin 75 mg/m²
191 plus 600 mg/m² cyclophosphamide every 21 days for six cycles).
192 Sixty-five percent of patients randomized to receive chemotherapy alone
193 in this study received Herceptin at the time of disease progression as part
194 of a separate extension study.

195 Based upon the determination by an independent response evaluation
196 committee the patients randomized to Herceptin and chemotherapy
197 experienced a significantly longer median time to disease progression, a
198 higher overall response rate (ORR), and a longer median duration of
199 response, as compared with patients randomized to chemotherapy alone.
200 Patients randomized to Herceptin and chemotherapy also had a longer
201 median survival (see Table 3). These treatment effects were observed
202 both in patients who received Herceptin plus paclitaxel and in those who
203 received Herceptin plus AC; however the magnitude of the effects was
204 greater in the paclitaxel subgroup (see **CLINICAL STUDIES: HER2**
205 **Detection**).

Table 3
Study 3: Efficacy Results in
First-Line Treatment for Metastatic Breast Cancer

	Combined Results		Paclitaxel Subgroup		AC Subgroup	
	Herceptin + All Chemothera py (n=235)	All Chemothera py (n=234)	Herceptin + Paclitaxel (n=92)	Paclitaxe l (n=96)	Herceptin + AC ^a (n=143)	AC (n=138)
Primary Endpoint						
<u>Time to Progression^{b,c}</u>						
Median (months)	7.2	4.5	6.7	2.5	7.6	5.7
95% confidence interval	6.9, 8.2	4.3, 4.9	5.2, 9.9	2.0, 4.3	7.2, 9.1	4.6, 7.1
p-value (log rank)	<0.0001		<0.0001		0.002	
Secondary Endpoints						
<u>Overall Response Rate^b</u>						
Rate (percent)	45	29	38	15	50	38
95% confidence interval	39, 51	23, 35	28, 48	8, 22	42, 58	30, 46
p-value (χ^2 -test)	<0.001		<0.001		0.10	
<u>Duration of Response^{b,c}</u>						
Median (months)	8.3	5.8	8.3	4.3	8.4	6.4
25%, 75% quartile	5.5, 14.8	3.9, 8.5	5.1, 11.0	3.7, 7.4	5.8, 14.8	4.5, 8.5
<u>Survival Time^c</u>						
Median Survival (months)	25.1	20.3	22.1	18.4	26.8	21.4
95% confidence interval	22.2, 29.5	16.8, 24.2	16.9, 28.6	12.7, 24.4	23.3, 32.9	18.3, 26.6
p-value (log rank)	0.05		0.17		0.16	

^a AC= Anthracycline (doxorubicin or epirubicin) and cyclophosphamide.

^b Assessed by an independent Response Evaluation Committee.

^c Kaplan-Meier Estimate.

206

207 Data from Study 3 suggest that the beneficial treatment effects were
 208 largely limited to patients with the highest level of HER2 protein
 209 overexpression (3+) (see Table 4).

Table 4
Treatment Effects in Study 3 as a Function
of HER2 Overexpression or Amplification

HER2 Assay Result	Number of Patients (N)	Relative Risk** for Time to Disease Progression (95% CI)	Relative Risk** for Mortality (95% CI)
CTA 2+ or 3+	469	0.49 (0.40, 0.61)	0.80 (0.64, 1.00)
FISH (+)*	325	0.44 (0.34, 0.57)	0.70 (0.53, 0.91)
FISH (-)*	126	0.62 (0.42, 0.94)	1.06 (0.70, 1.63)
CTA 2+	120	0.76 (0.50, 1.15)	1.26 (0.82, 1.94)
FISH (+)	32	0.54 (0.21, 1.35)	1.31 (0.53, 3.27)
FISH (-)	83	0.77 (0.48, 1.25)	1.11 (0.68, 1.82)
CTA 3+	349	0.42 (0.33, 0.54)	0.70 (0.51, 0.90)
FISH (+)	293	0.42 (0.32, 0.55)	0.67 (0.51, 0.89)
FISH (-)	43	0.43 (0.20, 0.94)	0.88 (0.39, 1.98)

* FISH testing results were available for 451 of the 469 patients enrolled on study.

**The relative risk represents the risk of progression or death in the Herceptin plus chemotherapy arm versus the chemotherapy arm.

210

211 **Second or Third Line Treatment of Metastatic Breast Cancer**

212 Herceptin was studied as a single agent in a multicenter, open-label,
213 single-arm clinical trial (Study 4) in patients with HER2 overexpressing
214 metastatic breast cancer who had relapsed following one or two prior
215 chemotherapy regimens for metastatic disease. Of 222 patients enrolled,
216 66% had received prior adjuvant chemotherapy, 68% had received
217 two prior chemotherapy regimens for metastatic disease, and 25% had
218 received prior myeloablative treatment with hematopoietic rescue.
219 Patients were treated with a loading dose of 4 mg/kg IV followed by
220 weekly doses of Herceptin at 2 mg/kg IV.

221 The ORR (complete response+partial response), as determined by an
222 independent Response Evaluation Committee, was 14%, with a 2%
223 complete response rate and a 12% partial response rate. Complete
224 responses were observed only in patients with disease limited to skin and
225 lymph nodes (see **CLINICAL STUDIES: HER2 Detection**).

226 The overall response rate in patients whose tumors tested as CTA 3+ was
227 18% while in those that tested as CTA 2+, it was 6%.

228 **HER2 Detection**

229 (See **PRECAUTIONS: HER2 Testing**)

230 Detection of HER2 protein overexpression, either directly through IHC or
231 indirectly through gene amplification, is necessary for selection of patients
232 appropriate for Herceptin therapy (see **INDICATIONS AND USAGE**).

233 Assessment for HER2 expression or gene amplification should be
234 performed by laboratories with demonstrated proficiency in the specific
235 technology being utilized. Several FDA-approved commercial assays are
236 available to aid in the selection of patients for Herceptin therapy (see
237 **HER2 Protein Overexpression Detection Methods** and **HER2 Gene**
238 **Amplification Detection Methods**). These include HercepTest[®] and
239 [Ventana's approved assay] (IHC assays) and PathVysion[®] and [Dako's
240 approved assay] (FISH assays). Users should refer to the package inserts
241 of specific assay kits for information on the validation and performance of
242 each assay.

243 Limitations in assay precision (particularly for the IHC method) and in the
244 direct linkage between assay result and overexpression of the Herceptin
245 target (for the FISH method) make it inadvisable to rely on a single
246 method to rule out potential Herceptin benefit. A negative FISH result
247 does not rule out HER2 overexpression and potential benefit from
248 Herceptin (see Tables 2 and 4).

249 **HER2 Protein Overexpression Detection Methods**

250 HER2 protein overexpression can be established by measuring HER2
251 protein using an IHC method. HercepTest[®], one test approved for this
252 use, was assessed for concordance with the CTA, using tumor specimens
253 collected and stored independently from those obtained in Herceptin
254 clinical studies in women with metastatic breast cancer. Data are provided
255 in the package insert for HercepTest[®].

256 Due to limitations in assay precision, assessment for HER2 protein
257 overexpression should be performed by laboratories with demonstrated
258 proficiency and in accordance with the package insert for the assay kit.
259 In adjuvant breast cancer (Study 2), tumor testing for protein
260 overexpression by IHC, when performed, was conducted with
261 HercepTest[®]. There were 1153 women in Study 2 for whom HER2
262 protein overexpression was determined at a local laboratory and for whom
263 central laboratory testing was also performed. Analyses of breast tumor
264 specimens identified as IHC 3+ at a local laboratory yielded concordant
265 results in 979 (85%) samples and discordant results in 174 (15%) samples
266 when retested at a central laboratory. (See **PRECAUTIONS: HER2**
267 **Testing**)

268 Treatment outcomes for metastatic breast cancer (Study 3), as a function
269 of IHC and FISH testing are provided in Table 4. Treatment outcomes for
270 adjuvant breast cancer (Studies 1 and 2), as a function of IHC and FISH
271 testing are provided in Table 2.

272 HER2 Gene Amplification Detection Methods

273 The presence of HER2 protein overexpression and gene amplification are
274 highly correlated, therefore the use of FISH to detect gene amplification
275 may be employed for selection of patients appropriate for Herceptin
276 therapy. PathVysion[®], one test approved for this use was evaluated in an
277 exploratory, retrospective assessment of available CTA 2+ or 3+ tumor
278 specimens collected as part of patient screening for clinical studies in
279 metastatic breast cancer (Studies 3 and 4). Data are provided in the
280 package insert for PathVysion[®].

281 Assessment for HER2 gene amplification should be performed by
282 laboratories with demonstrated proficiency and in accordance with the
283 package insert for the assay kit. In adjuvant breast cancer (Study 2),
284 tumor testing for gene amplification by FISH, when performed, was
285 conducted with PathVysion[®]. There were 414 women in Study 2 for
286 whom HER2 gene amplification was determined at a local laboratory and

287 for whom central laboratory testing was also performed. Analyses of
288 breast tumor specimens identified as gene amplified at a local laboratory
289 yielded concordant results in 391 (94.4%) samples for FISH amplification
290 and discordant results in 23 (5.6%) samples, i.e., non-amplified when
291 re-tested at a central laboratory. (See **PRECAUTIONS: HER2 Testing**)

292 Treatment outcomes for metastatic breast cancer (Study 3), as a function
293 of IHC and FISH testing are provided in Table 4. Treatment outcomes for
294 adjuvant breast cancer (Studies 1 and 2), as a function of IHC and FISH
295 testing are provided in Table 2.

296 There are limitations in the direct linkage between gene amplification and
297 overexpression of the Herceptin target which make it inadvisable to rely
298 on a single method to rule out potential benefit from Herceptin. There is
299 insufficient information to conclude whether patients without 3+ protein
300 overexpression by IHC but with gene amplification by FISH may benefit
301 from Herceptin therapy in the adjuvant breast cancer setting. There is
302 insufficient information to determine whether FISH testing can distinguish
303 a subpopulation of CTA 2+ patients with metastatic breast cancer who
304 would benefit from Herceptin therapy.

305 **INDICATIONS AND USAGE**

306 Herceptin (Trastuzumab), as part of a treatment regimen containing
307 doxorubicin, cyclophosphamide, and paclitaxel, is indicated for the
308 adjuvant treatment of patients with HER2-overexpressing, node-positive
309 breast cancer. (See **CLINICAL STUDIES** and **DOSAGE AND**
310 **ADMINISTRATION**)

311 Herceptin as a single agent is indicated for the treatment of patients with
312 metastatic breast cancer whose tumors overexpress the HER2 protein and
313 who have received one or more chemotherapy regimens for their
314 metastatic disease.

315 Herceptin in combination with paclitaxel is indicated for treatment of
316 patients with metastatic breast cancer whose tumors overexpress the

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317 HER2 protein and who have not received chemotherapy for their
318 metastatic disease. (See **PRECAUTIONS: HER2 Testing** and
319 **CLINICAL STUDIES: HER2 Detection**).

320 **CONTRAINDICATIONS**

321 None.

322 **WARNINGS**

323 **Cardiomyopathy**

324 Herceptin can cause left ventricular cardiac dysfunction. Cardiac
325 dysfunction in patients receiving Herceptin therapy can be serious with
326 disabling cardiac failure, death, and mural thrombosis leading to stroke
327 (see **BOXED WARNINGS: Cardiomyopathy**).

328 Among women receiving adjuvant therapy for breast cancer in Study 1,
329 16% (136/844) of patients discontinued Herceptin therapy due to clinical
330 evidence of myocardial dysfunction or significant decline in LVEF (see
331 **DOSAGE AND ADMINISTRATION: Dose Modifications**). There was
332 one death due to cardiomyopathy among patients receiving Herceptin.
333 If Herceptin therapy is discontinued for left ventricular cardiac
334 dysfunction, patients should be closely monitored for evidence of clinical
335 deterioration and further decline in left ventricular function.

336 Among 32 patients receiving adjuvant chemotherapy (Studies 1 and 2)
337 with clinical cardiac events as determined by ACREC, one patient died of
338 cardiomyopathy and all other patients were receiving cardiac medication
339 at last follow-up. Approximately half of the surviving patients had
340 recovery to a normal LVEF (defined as $\geq 50\%$) on continuing medical
341 management at the time of last follow-up. The safety of continuation or
342 resumption of Herceptin in patients with Herceptin-induced left
343 ventricular cardiac dysfunction has not been studied.

344 In the adjuvant setting, among patients who completed AC chemotherapy
345 and received at least one dose of paclitaxel, 2% [32/1677] of patients in
346 the Herceptin arm and 0.4% [7/1600] of patients in the control arm

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347 experienced clinically symptomatic, laboratory-confirmed
348 cardiomyopathy as determined by an external review committee
349 (ACREC).

350 Among patients with metastatic breast cancer, the incidence of CHF was
351 11% versus 1% in patients receiving paclitaxel with or without Herceptin
352 and 28% versus 7% in patients receiving AC chemotherapy with or
353 without Herceptin, respectively. The incidence of CHF in patients with
354 metastatic breast cancer receiving Herceptin monotherapy was 7%.

355 An exploratory analysis for risk factors for symptomatic cardiomyopathy
356 was conducted in patients receiving adjuvant treatment for breast cancer.
357 The analysis is limited by the number and type of variables collected and
358 how they were defined. Declining LVEF to below the lower limit of
359 normal after completion of AC chemotherapy or during Herceptin
360 treatment, a reported history of prior or concurrent use of
361 anti-hypertensive medications, and increasing age were associated with an
362 increased risk of Herceptin-induced symptomatic cardiomyopathy.
363 Similar limited analyses in patients receiving chemotherapy for metastatic
364 breast cancer identified prior cardiotoxic therapy (e.g., anthracycline or
365 radiation therapy to the chest) and increasing age as potentially associated
366 with an increased risk of Herceptin-induced CHF.

367 Candidates for treatment with Herceptin should undergo a thorough
368 baseline cardiac assessment, including history, physical examination, and
369 an assessment of LVEF by echocardiogram or MUGA scan. Patients
370 receiving Herceptin should undergo frequent monitoring for deteriorating
371 left ventricular function. The following recommended schedule is
372 consistent with that used in Studies 1 and 2: at baseline prior to AC
373 chemotherapy, immediately prior to initiation of Herceptin, 3 months after
374 initiation of Herceptin with paclitaxel, 3 months after initiation of
375 Herceptin monotherapy, and 3 months after completion of Herceptin
376 monotherapy. More frequent monitoring should be employed in patients

377 with preexisting cardiac dysfunction. Monitoring will not identify all
378 patients who will develop cardiac dysfunction.

379 **Infusion Reactions**

380 In clinical trials, infusion reactions consisted of a symptom complex
381 characterized by fever and chills, and on occasion included nausea,
382 vomiting, pain (in some cases at tumor sites), headache, dizziness,
383 dyspnea, hypotension, rash, and asthenia. These reactions were usually
384 mild to moderate in severity (see **ADVERSE REACTIONS: Infusion**
385 **Reactions**).

386 However, in postmarketing reports, serious and fatal infusion reactions
387 were reported infrequently. Severe reactions which include
388 bronchospasm, hypoxia, and severe hypotension, were usually reported
389 during or immediately following the initial infusion. However, the onset
390 and clinical course were variable including progressive worsening, initial
391 improvement followed by clinical deterioration, or delayed post-infusion
392 events with rapid clinical deterioration. For fatal events, death occurred
393 within hours to days following a serious infusion reaction.

394 **Herceptin infusion should be interrupted in all patients experiencing**
395 **dyspnea or clinically significant hypotension** and medical therapy
396 administered, which may include epinephrine, corticosteroids,
397 diphenhydramine, bronchodilators, and oxygen. Patients should be
398 evaluated and carefully monitored until complete resolution of signs and
399 symptoms. Permanent discontinuation should be strongly considered in
400 all patients with severe infusion reactions.

401 There are no data regarding the most appropriate method of identification
402 of patients who may safely be retreated with Herceptin after experiencing
403 a severe infusion reaction. Herceptin has been readministered to some
404 patients who fully recovered from the previous severe reaction. Prior to
405 readministration of Herceptin, the majority of these patients were
406 prophylactically treated with pre-medications including antihistamines

407 and/or corticosteroids. While some of these patients tolerated retreatment,
408 others had severe reactions again despite the use of prophylactic
409 pre-medications.

410 **Exacerbation of Chemotherapy-Induced Neutropenia**

411 In randomized, controlled clinical trials in women with metastatic breast
412 cancer designed to assess the impact of the addition of Herceptin on
413 chemotherapy, the per-patient incidences of moderate to severe
414 neutropenia and of febrile neutropenia were higher in patients receiving
415 Herceptin in combination with myelosuppressive chemotherapy as
416 compared to those who received chemotherapy alone. Deaths due to
417 sepsis in patients with severe neutropenia have been reported in patients
418 receiving Herceptin and myelosuppressive chemotherapy, although in
419 controlled clinical trials, the incidence of septic death was not significantly
420 increased. (See **ADVERSE REACTIONS: Neutropenia and**
421 **Infection**).

422 **Pulmonary Toxicity**

423 Herceptin use can result in serious and fatal pulmonary toxicity.
424 Pulmonary toxicity includes dyspnea, pneumonitis, pulmonary infiltrates,
425 pleural effusions, non-cardiogenic pulmonary edema, pulmonary
426 insufficiency and hypoxia, acute respiratory distress syndrome, and
427 pulmonary fibrosis. Such events can occur as sequelae of infusion
428 reactions (see **WARNINGS: Infusion Reactions**). Patients with
429 symptomatic intrinsic lung disease or with extensive tumor involvement of
430 the lungs, resulting in dyspnea at rest, appear to have more severe toxicity.

431 **PRECAUTIONS**

432 **HER2 Testing**

433 Detection of HER2 protein overexpression is necessary for selection of
434 patients appropriate for Herceptin therapy because these are the only
435 patients studied and for whom benefit has been shown (see
436 **INDICATIONS AND USAGE**). Patients enrolled in metastatic breast
437 cancer clinical studies were required to have immunohistochemical

438 evidence of HER2 protein overexpression. In trials of adjuvant therapy,
439 patients were required to have evidence of HER2 protein overexpression
440 and/or HER2 gene amplification. Assessment for HER2 overexpression
441 and of HER2 gene amplification should be performed by laboratories with
442 demonstrated proficiency in the specific technology being utilized.
443 Improper assay performance, including use of suboptimally fixed tissue,
444 failure to utilize specified reagents, deviation from specific assay
445 instructions, and failure to include appropriate controls for assay
446 validation, can lead to unreliable results. Refer to the HercepTest[®], the
447 PathVysion[®], or any other FDA-approved test kit package inserts for full
448 instructions on assay performance (see **CLINICAL STUDIES: HER2**
449 **Detection: HER2 Protein Overexpression Detection Methods and**
450 **HER2 Gene Amplification Detection Methods**).

451 **Drug Interactions**

452 There have been no formal drug interaction studies performed with
453 Herceptin in humans. Administration of paclitaxel in combination with
454 Herceptin resulted in a two-fold decrease in Herceptin clearance in a
455 non-human primate study and in a 1.5-fold increase in Herceptin serum
456 levels in clinical studies (see **CLINICAL PHARMACOLOGY:**
457 **Pharmacokinetics**).

458 **Carcinogenesis, Mutagenesis, Impairment of Fertility**

459 Carcinogenesis

460 Herceptin has not been tested for its carcinogenic potential.

461 Mutagenesis

462 No evidence of mutagenic activity was observed in Ames tests using
463 six different test strains of bacteria, with and without metabolic activation,
464 at concentrations of up to 5000 µg/mL Trastuzumab. Human peripheral
465 blood lymphocytes treated *in vitro* at concentrations of up to 5000 µg/plate
466 Trastuzumab, with and without metabolic activation, revealed no evidence
467 of mutagenic potential. In an *in vivo* mutagenic assay (the micronucleus
468 assay), no evidence of chromosomal damage to mouse bone marrow cells

469 was observed following bolus intravenous doses of up to 118 mg/kg
470 Trastuzumab.

471 Impairment of Fertility

472 A fertility study has been conducted in female cynomolgus monkeys at
473 doses up to 25 times the weekly human maintenance dose of 2 mg/kg
474 Herceptin and has revealed no evidence of impaired fertility.

475 **Pregnancy Category B**

476 There are no adequate and well-controlled studies in pregnant women.
477 Because animal reproduction studies are not always predictive of human
478 response, Herceptin should be used during pregnancy only if the potential
479 benefit to the mother justifies the potential risk to the fetus.

480 In the postmarketing setting, oligohydramnios has been reported in women
481 who received Herceptin during pregnancy, either in combination with
482 chemotherapy or as a single agent. Given the limited number of reported
483 cases, the high background rate of occurrence of oligohydramnios, the
484 lack of clear temporal relationships between drug use and clinical
485 findings, and the lack of supportive findings in animal studies, an
486 association between Herceptin and oligohydramnios has not been
487 established.

488 Reproduction studies have been conducted in cynomolgus monkeys at
489 doses up to 25 times the weekly human maintenance dose of 2 mg/kg
490 Herceptin and have revealed no evidence of impaired fertility or harm to
491 the fetus. However, HER2 protein expression is high in many embryonic
492 tissues including cardiac and neural tissues; in mutant mice lacking HER2,
493 embryos died in early gestation (6). Placental transfer of Herceptin during
494 the early (Days 20–50 of gestation) and late (Days 120–150 of gestation)
495 fetal development period was observed in monkeys.

496 **Nursing Mothers**

497 A study conducted in lactating cynomolgus monkeys at doses 25 times the
498 weekly human maintenance dose of 2 mg/kg Herceptin demonstrated that

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499 Trastuzumab is secreted in the milk. The presence of Trastuzumab in the
500 serum of infant monkeys was not associated with any adverse effects on
501 their growth or development from birth to 3 months of age. It is not
502 known whether Herceptin is secreted in human milk. Because human IgG
503 is secreted in human milk, and the potential for absorption and harm to the
504 infant is unknown, women should be advised to discontinue nursing
505 during Herceptin therapy and for 6 months after the last dose of Herceptin.

506 **Pediatric Use**

507 The safety and effectiveness of Herceptin in pediatric patients have not
508 been established.

509 **Geriatric Use**

510 Herceptin has been administered to 257 patients who were 65 years of age
511 or over (124 in the adjuvant treatment and 133 in metastatic breast cancer
512 treatment settings). The risk of cardiac dysfunction was increased in
513 geriatric patients as compared to younger patients in both those receiving
514 treatment for metastatic disease or adjuvant therapy. Aside from cardiac
515 dysfunction, limitations in data collection and differences in study design
516 of the 2 studies of Herceptin in adjuvant treatment of breast cancer
517 preclude a determination of whether the toxicity profile of Herceptin in
518 older patients is different from younger patients. The reported clinical
519 experience is not adequate to determine whether the efficacy
520 improvements (ORR, TTP, OS, DFS) of Herceptin treatment in older
521 patients is different from that observed in patients <65 years of age for
522 metastatic disease and adjuvant treatment.

523 **ADVERSE REACTIONS**

524 Because clinical trials are conducted under widely varying conditions,
525 adverse reaction rates observed in the clinical trials of a drug cannot be
526 directly compared with rates in the clinical trials of another drug and may
527 not reflect the rates observed in practice. The adverse reaction
528 information from clinical trials does, however, provide a basis for

529 identifying the adverse events that appear to be related to drug use and for
530 approximating rates.

531 The most serious toxicities of Herceptin are:

- 532 • Cardiomyopathy
- 533 • Pulmonary toxicity (respiratory failure, pneumonitis, pulmonary
534 infiltrates)
- 535 • Infusion reactions
- 536 • Febrile neutropenia/exacerbation of chemotherapy-induced
537 neutropenia

538 Please refer to the **BOXED WARNINGS** and/or **WARNINGS** sections
539 for detailed descriptions of these serious adverse reactions.

540 The most common adverse reactions in patients receiving Herceptin are
541 fever, nausea, vomiting, infusion reactions, diarrhea, infections, increased
542 cough, headache, fatigue, dyspnea, rash, neutropenia, anemia, and
543 myalgia. Adverse reactions requiring interruption or discontinuation of
544 Herceptin treatment include severe infusion reactions, CHF, and
545 significant decline in left ventricular cardiac function. (See **DOSAGE**
546 **AND ADMINISTRATION: Dose Modifications**)

547 Where specific percentages are noted, these data are based on clinical
548 studies of Herceptin alone or in combination with chemotherapy in women
549 with metastatic breast cancer or in combination with and following
550 chemotherapy in women receiving adjuvant treatment for breast cancer.

551 Additional adverse reactions have been identified during post-marketing
552 use of Herceptin in the metastatic breast cancer population. Because these
553 reactions are reported voluntarily from a population of uncertain size, it is
554 not always possible to reliably estimate their frequency or establish a
555 causal relationship to Herceptin exposure. Decisions to include these
556 reactions in labeling are typically based on one or more of the following

557 factors: (1) seriousness of the reaction, (2) frequency of reporting, or
558 (3) strength of causal connection to Herceptin.

559 **Cardiomyopathy**

560 See **BOXED WARNINGS: Cardiomyopathy** and **WARNINGS:**
561 **Cardiomyopathy**.

562 Herceptin can cause left ventricular myocardial dysfunction, characterized
563 by signs and symptoms of congestive heart failure and a decline in LVEF.
564 Cardiac dysfunction due to Herceptin therapy can be serious with
565 disabling cardiac failure, death, and mural thrombosis leading to stroke
566 (see **BOXED WARNINGS: Cardiomyopathy**). Herceptin can also
567 cause asymptomatic decline in LVEF.

568 Serial measurement of cardiac function (LVEF) was obtained only in
569 clinical trials in the adjuvant treatment of breast cancer. There were 6% of
570 patients who were unable to receive Herceptin following completion of
571 AC chemotherapy due to cardiac dysfunction (LVEF <50% or ≥ 15 point
572 decline in LVEF from baseline to end of AC). Following initiation of
573 Herceptin therapy, the incidence of new-onset dose-limiting myocardial
574 dysfunction was higher among patients receiving Herceptin and paclitaxel
575 as compared to those receiving paclitaxel alone (see Table 5).

Table 5
 Per Patient Incidence* of New Onset Myocardial Dysfunction
 (LVEF Decline Below 50%) by Time Period
 Following the Initiation of Paclitaxel +/- Herceptin

Timepoint following initiation of chemotherapy	AC→T	AC→TH
Paclitaxel +/- Herceptin Treatment (Month 3–6)	5.0 % (66/1330)	11.6 % (171/1469)
During Herceptin Monotherapy / Observation (Month 6–9)	4.1 % (46/1125)	8.8 % (96/1090)

* Incidence is proportion of patients with LVEF < 50% during the time period in patients with a normal LVEF at the start of that time period.

576 Among patients receiving adjuvant therapy for breast cancer (Studies 1
 577 and 2), investigator-identified cases of cardiac adverse events underwent a
 578 secondary review by subcommittees each of which used different criteria
 579 for classification of a cardiac event. The per-patient incidence of clinical
 580 cardiac adverse events, as determined either by a central study committee
 581 or by an external safety committee (ACREC) that was blinded to treatment
 582 assignment, was increased among those receiving Herceptin. The results
 583 are presented in Table 6.

Table 6
 Incidence of Clinical Cardiac Events in Adjuvant Breast Cancer

	Study 1		Study 2	
	AC→T (n = 876)	AC→T+H (n = 920)	AC→T (n = 724)	AC→T+H (n = 757)
ACREC	6 0.68%	19 2.07%	1 0.14%	13 1.72%
Study-specific subcommittee	10 1.14%	31 3.37%	0 0.00%	20 2.64%

584
 585 Approximately half of the clinical cardiac events among patients in the
 586 Herceptin arm were identified by the end of paclitaxel therapy (month 6)
 587 and approximately 90% were identified by one year following completion
 588 of paclitaxel (month 15).

589 The incidence of treatment emergent congestive heart failure among
 590 patients in the metastatic breast cancer trials was classified for severity
 591 using the New York Heart Association classification system (I–IV, where
 592 IV is the most severe level of cardiac failure) (see Table 7).

Table 7
 Incidence and Severity of
 Cardiac Dysfunction in Metastatic Breast Cancer

	Herceptin ^a Alone n = 213	Herceptin + Paclitaxel ^b n = 91	Paclitaxel ^b n = 95	Herceptin + Anthracycline + Cyclophosphamide ^b n = 143	Anthracycline + Cyclophosphamide ^b n = 135
Any Cardiac Dysfunction	7%	11%	1%	28%	7%
Class III–IV	5%	4%	1%	19%	3%

^a Open-label, single-agent Phase II study (94% received prior anthracyclines).

^b Randomized Phase III study comparing chemotherapy plus Herceptin to chemotherapy alone, where chemotherapy is either anthracycline/cyclophosphamide or paclitaxel.

593

594 In the metastatic breast cancer trials the probability of cardiac dysfunction
 595 was highest in patients who received Herceptin concurrently with
 596 anthracyclines.

597 **Infusion Reactions**

598 During the first infusion with Herceptin, a symptom complex most
 599 commonly consisting of chills and/or fever was observed in approximately
 600 40% of patients in clinical trials. The symptoms were usually mild to
 601 moderate in severity and were treated with acetaminophen,
 602 diphenhydramine, and meperidine (with or without reduction in the rate of
 603 Herceptin infusion); permanent discontinuation of Herceptin for infusional
 604 toxicity was required in <1% of patients. Other signs and/or symptoms
 605 may include nausea, vomiting, pain (in some cases at tumor sites), rigors,
 606 headache, dizziness, dyspnea, hypotension, elevated blood pressure, rash,
 607 and asthenia. Infusional toxicity occurred in 21% and 35% of patients,
 608 and was severe in 1.4% and 9% of patients, on second or subsequent
 609 Herceptin infusions administered as monotherapy or in combination with

610 chemotherapy, respectively. (See **BOXED WARNINGS: Infusion**
611 **Reactions** and **WARNINGS: Infusion Reactions**).

612 **Anemia**

613 In randomized controlled clinical trials, the overall incidence of anemia
614 (30% vs. 21% [Study 3]), of selected NCI CTC Grade 2–5 anemia (12.5%
615 vs. 6.6% [Study 1]), and of anemia requiring transfusions (0.1% vs.
616 0 patients [Study 2]) were increased in patients receiving Herceptin and
617 chemotherapy compared with those receiving chemotherapy alone.

618 **Neutropenia**

619 In randomized controlled clinical trials in the adjuvant setting, the
620 incidence of selected NCI CTC Grade 4–5 neutropenia (2% vs. 0.7%
621 [Study 2]) and of selected Grade 2–5 neutropenia (7.1% vs. 4.5 %
622 [Study 1]) were increased in patients receiving Herceptin and
623 chemotherapy compared with those receiving chemotherapy alone. In a
624 randomized, controlled trial in patients with metastatic breast cancer, the
625 incidences of NCI-CTC Grade 3/4 neutropenia (32% vs. 22%) and of
626 febrile neutropenia (23% vs. 17%) were also increased in patients
627 randomized to Herceptin in combination with myelosuppressive
628 chemotherapy as compared to chemotherapy alone (see **ADVERSE**
629 **REACTIONS: Infection**).

630 Following the administration of Herceptin as a single agent (Study 4), the
631 incidences of NCI-CTC Grade 3 leukopenia, thrombocytopenia, and
632 anemia were all <1%. No Grade 4 hematologic toxicities were observed.

633 **Infection**

634 The overall incidences of infection (46% vs. 30% [Study 3]), of selected
635 NCI-CTC Grade 2–5 infection/febrile neutropenia (22% vs. 14%
636 [Study 1]) and of selected Grade 3–5 infection/febrile neutropenia (3.3%
637 vs. 1.4%) [Study 2]), were higher in patients receiving Herceptin and
638 chemotherapy compared with those receiving chemotherapy alone.

639 The most common site of infections in the adjuvant setting involved the
640 upper respiratory tract, skin, and urinary tract.

641 In a randomized, controlled trial in treatment of metastatic breast cancer,
642 the reported incidence of febrile neutropenia was higher (23% vs. 17%) in
643 patients receiving Herceptin in combination with myelosuppressive
644 chemotherapy as compared to chemotherapy alone (see **WARNINGS:**
645 **Exacerbation of Chemotherapy-Induced Neutropenia**).

646 **Pulmonary Toxicity**

647 Among women receiving adjuvant therapy for breast cancer, the incidence
648 of selected NCI-CTC Grade 2–5 pulmonary toxicity (14% vs. 5%
649 [Study 1]) and of selected NCI-CTC Grade 3–5 pulmonary toxicity and
650 spontaneously reported Grade 2 dyspnea (3.4 % vs. 1% [Study 2]) was
651 higher in patients receiving Herceptin and chemotherapy compared with
652 chemotherapy alone. The most common pulmonary toxicity was dyspnea
653 (NCI-CTC Grade 2–5; 12% vs. 4% [Study 1]; NCI-CTC Grade 2–5: 2.5%
654 vs. 0.1% [Study 2]). Pneumonitis/pulmonary infiltrates occurred in 0.7%
655 of patients receiving Herceptin compared with 0.3% of those receiving
656 chemotherapy alone. Fatal respiratory failure occurred in 3 patients
657 receiving Herceptin, one as a component of multi-organ system failure, as
658 compared to 1 patient receiving chemotherapy alone.

659 Among women receiving Herceptin for treatment of metastatic breast
660 cancer, the incidence of pulmonary toxicity was also increased.
661 Pulmonary adverse events have been reported in the post-marketing
662 experience as part of the symptom complex of infusion reactions (see
663 **BOXED WARNINGS: Infusion Reactions; Pulmonary Toxicity** and
664 **WARNINGS: Infusion Reactions**). Pulmonary events include
665 bronchospasm, hypoxia, dyspnea, pulmonary infiltrates, pleural effusions,
666 non-cardiogenic pulmonary edema, and acute respiratory distress
667 syndrome. For a detailed description, see **WARNINGS**.

668 **Thrombosis/Embolism**

669 In three randomized, controlled clinical trials, the incidence of thrombotic
670 adverse events was higher in patients receiving Herceptin and
671 chemotherapy compared to chemotherapy alone in two studies (3.0 vs.
672 1.3% [Study 1] and 2.1% vs. 0% [Study 3]).

673 **Diarrhea**

674 Of patients treated with Herceptin as a single agent, 25% experienced
675 diarrhea. An increased incidence of diarrhea, primarily mild to moderate
676 in severity, was observed in patients receiving Herceptin in combination
677 with chemotherapy for treatment of metastatic breast cancer. Among
678 women receiving adjuvant therapy for breast cancer, the incidence of
679 treatment-related NCI-CTC Grade 2 and all Grade 3–5 diarrhea (6.2% vs.
680 4.8% [Study 1]) and of treatment-related NCI-CTC Grade 3–5 diarrhea
681 (1.6% vs. 0% [Study 2]) were higher in patients receiving Herceptin and
682 chemotherapy compared with chemotherapy alone.

683 **Glomerulopathy**

684 In the postmarketing setting, rare cases of nephrotic syndrome with
685 pathologic evidence of glomerulopathy have been reported. The time to
686 onset ranged from 4 months to approximately 18 months from initiation of
687 Herceptin therapy. Pathologic findings included membranous
688 glomerulonephritis, focal glomerulosclerosis, and fibrillary
689 glomerulonephritis. Complications included volume overload and
690 congestive heart failure.

691 **Immunogenicity**

692 Among 903 women with metastatic breast cancer, human anti-human
693 antibody (HAHA) to Trastuzumab was detected in one patient using an
694 enzyme-linked immunoabsorbant assay (ELISA). This patient did not
695 experience an allergic reaction. Samples for assessment of HAHA were
696 not collected in studies of adjuvant breast cancer.

697 The data reflect the percentage of patients whose test results were
698 considered positive for antibodies to Herceptin in ELISA assay, and are

699 highly dependent on the sensitivity and specificity of the assay.
700 Additionally, the observed incidence of antibody positivity in an assay
701 may be influenced by several factors including sample handling, timing of
702 sample collection, concomitant medications, and underlying disease. For
703 these reasons, comparison of the incidence of antibodies to Herceptin with
704 the incidence of antibodies to other products may be misleading.

705 **Adjuvant Breast Cancer**

706 Safety data for Herceptin in the adjuvant breast cancer setting are based on
707 two randomized, controlled clinical trials [Study 1 and Study 2] in which
708 1635 women received at least one dose of Herceptin in combination with
709 paclitaxel adjuvant therapy for breast cancer and 1571 women in the
710 control arms who received at least one dose of paclitaxel chemotherapy
711 and for whom any follow-up safety data were recorded.

712 Because the initial treatment was similar in both study arms (4 cycles of
713 AC chemotherapy), comparisons of adverse events are limited to the
714 post-AC period. Data collection was limited in both studies.

715 The data in Table 8 were obtained from 1772 patients enrolled in Study 1.
716 Among these patients, the median age was 49 years (range 22 to 78 years);
717 83% of patients were White, 8% were Black, 4% were Hispanic, and 4%
718 were Asian/Pacific Islander. The data in Study 2 were obtained from
719 1434 patients enrolled, of which 732 received Herceptin. The median age
720 was 49 years (range 24 to 80 years); 86% of patients were White, 6% were
721 Black, 3% were Hispanic, and 4% were Asian/Pacific Islander. Herceptin
722 was administered at a loading dose of 4 mg/kg followed by 2 mg/kg
723 weekly, for a maximum of 52 weeks.

Table 8

Study 1: Selected Non-Cardiac Adverse Events with Higher Incidence ($\geq 2\%$) in the Herceptin + Chemotherapy Arm*

NCI-CTC (v.2.0) Toxicity Term	AC→Paclitaxel + Herceptin (n=903)		AC→Paclitaxel (n=869)	
	Grade 2–5	Gr. 3–5	Grade 2–5	Gr. 3–5
Arthralgia	31%	6%	28%	6%
Fatigue	28%	2%	22%	3%
Infection	22%	6%	14%	4%
Hot Flashes	17%	0%	15%	0.2%
Anemia	13%	1%	7%	1%
Dyspnea	12%	2%	4%	1%
Rash/ desquamation	11%	1%	7%	1%
Neutropenia	7%	4%	5%	3%
Headache	6%	1%	4%	1%
Insomnia	3.7%	0.4%	1.5%	0%

* Only Grade 3–5 adverse events, treatment-related Grade 2 events, and Grade 2–5 dyspnea were collected during and for up to 3 months following protocol-specified treatment.

724

725 In Study 2, data collection was limited to the following investigator-
 726 attributed treatment-related adverse reactions NCI-CTC Grade 4 and 5
 727 hematologic toxicities, Grade 3–5 non-hematologic toxicities, selected
 728 Grade 2–5 toxicities associated with taxanes (myalgia, arthralgias, nail
 729 changes, motor neuropathy, sensory neuropathy) and Grade 1–5 cardiac
 730 toxicities occurring during chemotherapy and/or Herceptin treatment.
 731 The following non-cardiac adverse reactions of Grade 2–5 toxicities
 732 occurred at an incidence of at least 2% greater among patients randomized
 733 to Herceptin plus chemotherapy as compared to chemotherapy alone:
 734 arthralgia (11% vs. 8.4%), myalgia (10% vs. 8%), nail changes (9% vs.
 735 7%), and dyspnea (2.5% vs. 0.1%). The majority of these events were
 736 grade 2 in severity.

737 **Metastatic Breast Cancer**

738 Where specific percentages are noted these data are based on clinical
739 studies of Herceptin alone or in combination with chemotherapy for the
740 treatment of metastatic breast cancer. Data in Table 9 are based on the
741 experience for Herceptin in a randomized controlled trial in which
742 464 patients were treated with chemotherapy alone (n=230), Herceptin in
743 combination with chemotherapy (n=234), and four open-label studies of
744 Herceptin as a single agent which enrolled 352 patients. Data regarding
745 serious adverse events are based on experience in 958 patients (including
746 some with other cancer diagnoses) enrolled in clinical trials of Herceptin
747 conducted prior to marketing.

748 Among the 464 patients treated in Study 3, the median age was 52 years
749 (range: 25–77 years). Eighty-nine percent were White, 5% Black, 1%
750 Asian and 5% other racial/ethnic groups. All patients received 4 mg/kg
751 initial dose of Herceptin following by 2 mg/kg weekly. The percentages
752 of patients who received Herceptin treatment for ≥ 6 months and
753 ≥ 12 months were 58% and 9%, respectively.

754 Among the 352 patients treated in single agent studies (213 patients from
755 Study 4), the median age was 50 years (range 28–86 years), 100% had
756 breast cancer, 86% were White, 3% were Black, 3% were Asian, and 8%
757 in other racial/ethnic groups. Most of patients received 4 mg/kg initial
758 dose of Herceptin following by 2 mg/kg weekly. The percentages of
759 patients who received Herceptin treatment for ≥ 6 months and
760 ≥ 12 months were 31% and 16%, respectively.

Table 9

Per-Patient Incidence of Adverse Events Occurring in $\geq 5\%$ of Patients
in Uncontrolled Studies or at Increased Incidence in the Herceptin Arm
(Study 3)
(Percent of Patients)

	Single Agent n=352	Herceptin + Paclitaxel n=91	Paclitaxel Alone n=95	Herceptin + AC n=143	AC Alone n=135
<u>Body as a Whole</u>					
Pain	47	61	62	57	42
Asthenia	42	62	57	54	55
Fever	36	49	23	56	34
Chills	32	41	4	35	11
Headache	26	36	28	44	31
Abdominal pain	22	34	22	23	18
Back pain	22	34	30	27	15
Infection	20	47	27	47	31
Flu syndrome	10	12	5	12	6
Accidental injury	6	13	3	9	4
Allergic reaction	3	8	2	4	2
<u>Cardiovascular</u>					
Tachycardia	5	12	4	10	5
Congestive heart failure	7	11	1	28	7
<u>Digestive</u>					
Nausea	33	51	9	76	77
Diarrhea	25	45	29	45	26
Vomiting	23	37	28	53	49
Nausea and vomiting	8	14	11	18	9
Anorexia	14	24	16	31	26
<u>Heme & Lymphatic</u>					
Anemia	4	14	9	36	26
Leukopenia	3	24	17	52	34
<u>Metabolic</u>					
Peripheral edema	10	22	20	20	17
Edema	8	10	8	11	5
<u>Musculoskeletal</u>					
Bone pain	7	24	18	7	7
Arthralgia	6	37	21	8	9

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Table 9 (cont'd)

Per-Patient Incidence of Adverse Events Occurring in $\geq 5\%$ of Patients in
 Uncontrolled Studies or at Increased Incidence in the Herceptin Arm
 (Study 3)
 (Percent of Patients)

	Single Agent n=352	Herceptin + Paclitaxel n=91	Paclitaxel Alone n=95	Herceptin + AC n=143	AC Alone n=135
<u>Nervous</u>					
Insomnia	14	25	13	29	15
Dizziness	13	22	24	24	18
Paresthesia	9	48	39	17	11
Depression	6	12	13	20	12
Peripheral neuritis	2	23	16	2	2
Neuropathy	1	13	5	4	4
<u>Respiratory</u>					
Cough increased	26	41	22	43	29
Dyspnea	22	27	26	42	25
Rhinitis	14	22	5	22	16
Pharyngitis	12	22	14	30	18
Sinusitis	9	21	7	13	6
<u>Skin</u>					
Rash	18	38	18	27	17
Herpes simplex	2	12	3	7	9
Acne	2	11	3	3	<1
<u>Urogenital</u>					
Urinary tract infection	5	18	14	13	7

761

762 OVERDOSAGE

763 There is no experience with overdosage in human clinical trials. Single
 764 doses higher than 500 mg have not been tested.

765 DOSAGE AND ADMINISTRATION

766 See **BOXED WARNING**

767 **Recommended Dose**

768 Trastuzumab is administered as an intravenous infusion once every 7 days.
769 The recommended dose of Trastuzumab for the first infusion is 4 mg/kg
770 administered as a 90-minute intravenous infusion. **Do not administer as**
771 **an IV push or bolus.** The recommended subsequent weekly dose of
772 2 mg/kg can be administered as a 30-minute intravenous infusion if the
773 first infusion was well tolerated (see **Dose Modifications: Infusion**
774 **Reactions**).

775 **Metastatic Breast Cancer**

776 Trastuzumab is administered until tumor progression.

777 **Adjuvant Treatment of Breast Cancer**

778 **Do not** administer concurrently with doxorubicin and cyclophosphamide.
779 Following completion of doxorubicin and cyclophosphamide,
780 Trastuzumab is administered weekly for 52 weeks. During the first
781 12 weeks, Herceptin is administered concurrently with paclitaxel.

782 **Dose Modifications**

783 Infusion Reactions (See **BOXED WARNINGS: Infusion**
784 **Reactions** and **WARNINGS: Infusion Reactions**) During
785 Adjuvant Treatment or Treatment of Metastatic Disease

- 786 • Decrease the rate of infusion for mild or moderate infusion
787 reactions
- 788 • Interrupt the infusion in patients with dyspnea or clinically
789 significant hypotension
- 790 • Strongly consider permanent discontinuation of Trastuzumab for
791 severe and life-threatening infusion reactions.

792 **Cardiomyopathy** (See **BOXED WARNINGS: Cardiomyopathy**
793 **and WARNINGS: Cardiomyopathy**) in Patients Receiving
794 Adjuvant Therapy

795 Left ventricular ejection fraction (LVEF) should be assessed prior to
796 initiation of Trastuzumab and frequently during treatment.

- 797 • Withhold Trastuzumab dosing for at least 4 weeks and repeat
798 LVEF assessment every 4 weeks for either of the following
- 799 ○ ≥16% absolute decrease in LVEF from pre-treatment values
- 800 ○ LVEF below institutional limits of normal and ≥10%
801 absolute decrease in LVEF from pretreatment values.
- 802 • Trastuzumab may be resumed if, within 4–8 weeks, the LVEF
803 returns to normal limits and the absolute decrease from baseline is
804 ≤15%.
- 805 • Permanently discontinue Trastuzumab for a persistent (>8 weeks)
806 LVEF decline or for suspension of Trastuzumab dosing on more
807 than 3 occasions for cardiomyopathy.

808 **Preparation for Administration**

809 **Reconstitution**

810 Each vial of Herceptin should be reconstituted with 20 mL of BWFI, USP,
811 1.1% benzyl alcohol preserved, as supplied, to yield a multi-dose solution
812 containing 21 mg/mL Trastuzumab. The reconstituted preparation results
813 in a colorless to pale yellow transparent solution. Parenteral drug products
814 should be inspected visually for particulates and discoloration prior to
815 administration. Reconstituted Herceptin must be discarded after 28 days.

816 Use of diluents other than BWFI should be avoided unless
817 contraindicated. For patients with known hypersensitivity to benzyl
818 alcohol, Herceptin must be reconstituted with Sterile Water for Injection;
819 discard any unused portion.

820 Shaking the reconstituted Herceptin or causing excessive foaming during
821 the addition of diluent may result in problems with dissolution and the
822 amount of Herceptin that can be withdrawn from the vial.

823 Use appropriate aseptic technique when performing the following
824 reconstitution steps:

- 825 a. Using a sterile syringe, slowly inject the 20 mL of diluent into the vial
826 containing the lyophilized cake of Trastuzumab. The stream of
827 diluent should be directed into the lyophilized cake.

- 828 b. Swirl the vial gently to aid reconstitution. Trastuzumab may be
829 sensitive to shear-induced stress, e.g., agitation or rapid expulsion
830 from a syringe. **DO NOT SHAKE.**
- 831 c. Slight foaming of the product upon reconstitution is not unusual.
832 Allow the vial to stand undisturbed for approximately 5 minutes.
833 The solution should be essentially free of visible particulates, clear to
834 slightly opalescent and colorless to pale yellow.

835 Dilution

836 Determine the number of mg of Trastuzumab needed, based on an initial
837 dose of 4 mg Trastuzumab/kg body weight or subsequent dose of
838 2 mg Trastuzumab/kg body weight. Calculate the volume of the
839 21 mg/mL reconstituted Trastuzumab solution needed, withdraw this
840 amount from the vial and add it to an infusion bag containing 250 mL of
841 0.9% Sodium Chloride Injection, USP. **DEXTROSE (5%) SOLUTION**
842 **SHOULD NOT BE USED.** Gently invert the bag to mix the solution.
843 No incompatibilities between Herceptin and polyvinylchloride or
844 polyethylene bags have been observed.

845 **Herceptin should not be mixed or diluted with other drugs. Herceptin**
846 **infusions should not be administered through an IV line containing**
847 **dextrose solutions.**

848 Stability and Storage

849 Vials of Herceptin are stable at 2–8°C (36–46°F) prior to reconstitution.
850 Do not use beyond the expiration date stamped on the vial.

851 A vial of Herceptin reconstituted with BWFI, as supplied, is stable for
852 28 days after reconstitution when stored refrigerated at 2–8°C (36–46°F).
853 Discard any remaining multi-dose reconstituted solution after 28 days.
854 A vial of Herceptin reconstituted with unpreserved SWFI (not supplied)
855 should be used immediately and any unused portion discarded. **DO NOT**
856 **FREEZE** Herceptin following reconstitution or dilution.

857 The solution of Herceptin for infusion diluted in polyvinylchloride or
858 polyethylene bags containing 0.9% Sodium Chloride Injection, USP,

859 should be stored at 2–8°C (36–46°F) for no more than 24 hours prior to
860 use.

861 **HOW SUPPLIED**

862 Herceptin (Trastuzumab) is supplied as a lyophilized, sterile powder
863 nominally containing 440 mg Trastuzumab per vial under vacuum.

864 Each carton contains one vial of 440 mg Herceptin[®](Trastuzumab) and
865 one vial containing 20 mL of Bacteriostatic Water for Injection, USP,
866 1.1% benzyl alcohol. NDC 50242-134-68.

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Herceptin®

[Trastuzumab]

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