





























## 5.10 Complications of Allogeneic HSCT after OPDIVO

Complications, including fatal events, occurred in patients who received allogeneic HSCT after OPDIVO. Outcomes were evaluated in 17 patients from Trials 8 and 9 who underwent allogeneic HSCT after discontinuing OPDIVO (15 with reduced-intensity conditioning, two with myeloablative conditioning). The median age at HSCT was 33 (range: 18 to 56), and a median of 9 doses of OPDIVO had been administered (range: 4 to 16). Six of 17 patients (35%) died from complications of allogeneic HSCT after OPDIVO. Five deaths occurred in the setting of severe or refractory GVHD. Grade 3 or higher acute GVHD was reported in 5/17 patients (29%). Hyperacute GVHD, defined as GVHD occurring within 14 days after stem cell infusion, was reported in 2 patients (20%). A steroid-requiring febrile syndrome, without an identified infectious cause, was reported in six patients (35%) within the first 6 weeks post-transplantation, with five patients responding to steroids. Two cases of encephalitis were reported: one case of Grade 3 lymphocytic encephalitis without an identified infectious cause, which occurred and resolved on steroids, and one case of Grade 3 suspected viral encephalitis which was resolved with antiviral treatment. Hepatic veno-occlusive disease (VOD) occurred in one patient, who received reduced-intensity conditioned allogeneic HSCT and died of GVHD and multi-organ failure.

Other cases of hepatic VOD after reduced-intensity conditioned allogeneic HSCT have also been reported in patients with lymphoma who received a PD-1 receptor blocking antibody before transplantation. Cases of fatal hyperacute GVHD have also been reported.

These complications may occur despite intervening therapy between PD-1 blockade and allogeneic HSCT.

Follow patients closely for early evidence of transplant-related complications such as hyperacute GVHD, severe (Grade 3 to 4) acute GVHD, steroid-requiring febrile syndrome, hepatic VOD, and other immune-mediated adverse reactions, and intervene promptly.

## 5.11 Embryo-Fetal Toxicity

Based on its mechanism of action and data from animal studies, OPDIVO can cause fetal harm when administered to a pregnant woman. In animal reproduction studies, administration of nivolumab to cynomolgus monkeys from the onset of organogenesis through delivery resulted in increased abortion and premature infant death. Advise pregnant women of the potential risk to a fetus. Advise females of reproductive potential to use effective contraception during treatment with an OPDIVO-containing regimen and for at least 5 months after the last dose of OPDIVO [*see Use in Specific Populations (8.1, 8.3)*].

## 6 ADVERSE REACTIONS

The following adverse reactions are discussed in greater detail in other sections of the labeling.

- Immune-Mediated Pneumonitis [*see Warnings and Precautions (5.1)*]
- Immune-Mediated Colitis [*see Warnings and Precautions (5.2)*]
- Immune-Mediated Hepatitis [*see Warnings and Precautions (5.3)*]

- Immune-Mediated Endocrinopathies [see Warnings and Precautions (5.4)]
- Immune-Mediated Nephritis and Renal Dysfunction [see Warnings and Precautions (5.5)]
- Immune-Mediated Skin Adverse Reactions [see Warnings and Precautions (5.6)]
- Immune-Mediated Encephalitis [see Warnings and Precautions (5.7)]
- Other Immune-Mediated Adverse Reactions [see Warnings and Precautions (5.8)]
- Infusion Reactions [see Warnings and Precautions (5.9)]
- Complications of Allogeneic HSCT after OPDIVO [see Warnings and Precautions (5.10)]

## 6.1 Clinical Trials Experience

Because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in practice.

The data in the Warnings and Precautions section reflect exposure to OPDIVO, as a single agent, for clinically significant adverse reactions in 1994 patients enrolled in Trials 1 through 8 or a single-arm trial in NSCLC (n=117) administering OPDIVO as a single agent [see Warnings and Precautions (5.1, 5.8)]. In addition, clinically significant adverse reactions of OPDIVO administered with ipilimumab were evaluated in 407 patients with melanoma enrolled in Trial 6 (n=313) or a Phase 2 randomized study (n=94), administering OPDIVO with ipilimumab, supplemented by immune-mediated adverse reaction reports in ongoing clinical trials [see Warnings and Precautions (5.1, 5.8)].

The data described below reflect exposure to OPDIVO as a single agent in Trials 1, 4, and 6, and to OPDIVO with ipilimumab in Trial 6, which are randomized, active-controlled trials conducted in patients with unresectable or metastatic melanoma. Also described below are single-agent OPDIVO data from Trials 2 and 3, which are randomized trials in patients with metastatic NSCLC, Trial 5, which is a randomized trial in patients with advanced RCC, Trials 7 and 8, which are open-label, multiple-cohort trials in patients with cHL, and Trial 9, a randomized trial in patients with recurrent or metastatic SCCHN.

### Unresectable or Metastatic Melanoma

#### *Previously Treated Metastatic Melanoma*

The safety of OPDIVO as a single agent was evaluated in Trial 1, a randomized, open-label trial in which 370 patients with unresectable or metastatic melanoma received OPDIVO 3 mg/kg every 2 weeks (n=268) or investigator's choice of chemotherapy (n=102), either dacarbazine 1000 mg/m<sup>2</sup> every 3 weeks or the combination of carboplatin AUC 6 every 3 weeks plus paclitaxel 175 mg/m<sup>2</sup> every 3 weeks [see Clinical Studies (14.1)]. The median duration of exposure was 5.3 months (range: 1 day to 13.8+ months) in OPDIVO-treated patients and was 2 months (range: 1 day to 9.6+ months) in chemotherapy-treated patients. In this ongoing trial,

24% of patients received OPDIVO for greater than 6 months and 3% of patients received OPDIVO for greater than 1 year.

In Trial 1, patients had documented disease progression following treatment with ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor. The trial excluded patients with autoimmune disease, prior ipilimumab-related Grade 4 adverse reactions (except for endocrinopathies) or Grade 3 ipilimumab-related adverse reactions that had not resolved or were inadequately controlled within 12 weeks of the initiating event, patients with a condition requiring chronic systemic treatment with corticosteroids (>10 mg daily prednisone equivalent) or other immunosuppressive medications, a positive test for hepatitis B or C, and a history of HIV.

The trial population characteristics in the OPDIVO group and the chemotherapy group were similar: 66% male, median age 59.5 years, 98% white, baseline Eastern Cooperative Oncology Group (ECOG) performance status 0 (59%) or 1 (41%), 74% with M1c stage disease, 73% with cutaneous melanoma, 11% with mucosal melanoma, 73% received two or more prior therapies for advanced or metastatic disease, and 18% had brain metastasis. There were more patients in the OPDIVO group with elevated LDH at baseline (51% vs. 38%).

OPDIVO was discontinued for adverse reactions in 9% of patients. Twenty-six percent of patients receiving OPDIVO had a drug delay for an adverse reaction. Serious adverse reactions occurred in 41% of patients receiving OPDIVO. Grade 3 and 4 adverse reactions occurred in 42% of patients receiving OPDIVO. The most frequent Grade 3 and 4 adverse reactions reported in 2% to less than 5% of patients receiving OPDIVO were abdominal pain, hyponatremia, increased aspartate aminotransferase, and increased lipase.

Table 2 summarizes the adverse reactions that occurred in at least 10% of OPDIVO-treated patients in Trial 1. The most common adverse reaction (reported in at least 20% of patients) was rash.



**Table 2: Adverse Reactions Occurring in  $\geq 10\%$  of OPDIVO-Treated Patients and at a Higher Incidence than in the Chemotherapy Arm (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trial 1)**

Adverse Reaction	OPDIVO (n=268)		Chemotherapy (n=102)	
	All Grades	Grades 3-4	All Grades	Grades 3-4
	Percentage (%) of Patients			
<b>Skin and Subcutaneous Tissue Disorders</b>				
Rash <sup>a</sup>	21	0.4	7	0
Pruritus	19	0	3.9	0
<b>Respiratory, Thoracic, and Mediastinal Disorders</b>				
Cough	17	0	6	0
<b>Infections</b>				
Upper respiratory tract infection <sup>b</sup>	11	0	2.0	0
<b>General Disorders and Administration Site Conditions</b>				
Peripheral edema	10	0	5	0

Toxicity was graded per NCI CTCAE v4.

<sup>a</sup> Rash is a composite term which includes maculopapular rash, erythematous rash, pruritic rash, follicular rash, macular rash, papular rash, pustular rash, vesicular rash, and acneiform dermatitis.

<sup>b</sup> Upper respiratory tract infection is a composite term which includes rhinitis, pharyngitis, and nasopharyngitis.

Other clinically important adverse reactions in less than 10% of patients treated with OPDIVO in Trial 1 were:

*Cardiac Disorders:* ventricular arrhythmia

*Eye Disorders:* iridocyclitis

*General Disorders and Administration Site Conditions:* infusion-related reactions

*Investigations:* increased amylase, increased lipase

*Nervous System Disorders:* dizziness, peripheral and sensory neuropathy

*Skin and Subcutaneous Tissue Disorders:* exfoliative dermatitis, erythema multiforme, vitiligo, psoriasis

**Table 3: Laboratory Abnormalities Worsening from Baseline Occurring in  $\geq 10\%$  of OPDIVO-Treated Patients and at a Higher Incidence than in the Chemotherapy Arm (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trial 1)**

Laboratory Abnormality	Percentage of Patients with Worsening Laboratory Test from Baseline <sup>a</sup>			
	OPDIVO		Chemotherapy	
	All Grades	Grades 3-4	All Grades	Grades 3-4
Increased AST	28	2.4	12	1.0
Increased alkaline phosphatase	22	2.4	13	1.1
Hyponatremia	25	5	18	1.1
Increased ALT	16	1.6	5	0
Hyperkalemia	15	2.0	6	0

<sup>a</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: OPDIVO group (range: 252 to 256 patients) and chemotherapy group (range: 94 to 96 patients).

### *Previously Untreated Metastatic Melanoma*

#### Trial 4

The safety of OPDIVO was also evaluated in Trial 4, a randomized, double-blind, active-controlled trial in which 411 previously untreated patients with BRAF V600 wild-type unresectable or metastatic melanoma received OPDIVO 3 mg/kg every 2 weeks (n=206) or dacarbazine 1000 mg/m<sup>2</sup> every 3 weeks (n=205) [see *Clinical Studies (14.1)*]. The median duration of exposure was 6.5 months (range: 1 day to 16.6 months) in OPDIVO-treated patients. In this trial, 47% of patients received OPDIVO for greater than 6 months and 12% of patients received OPDIVO for greater than 1 year.

The trial excluded patients with autoimmune disease and patients requiring chronic systemic treatment with corticosteroids (>10 mg daily prednisone equivalent) or other immunosuppressive medications.

The trial population characteristics in the OPDIVO group and dacarbazine group: 59% male, median age 65 years, 99.5% white, 61% with M1c stage disease, 74% with cutaneous melanoma, 11% with mucosal melanoma, 4% with brain metastasis, and 37% with elevated LDH at baseline. There were more patients in the OPDIVO group with ECOG performance status 0 (71% vs. 59%).

Adverse reactions led to permanent discontinuation of OPDIVO in 7% of patients and dose interruption in 26% of patients; no single type of adverse reaction accounted for the majority of OPDIVO discontinuations. Serious adverse reactions occurred in 36% of patients receiving OPDIVO. Grade 3 and 4 adverse reactions occurred in 41% of patients receiving OPDIVO. The most frequent Grade 3 and 4 adverse reactions reported in at least 2% of patients receiving OPDIVO were gamma-glutamyltransferase increase (3.9%) and diarrhea (3.4%).

Table 4 summarizes selected adverse reactions that occurred in at least 10% of OPDIVO-treated patients. The most common adverse reactions (reported in at least 20% of patients and at a higher incidence than in the dacarbazine arm) were fatigue, musculoskeletal pain, rash, and pruritus.

**Table 4: Adverse Reactions Occurring in  $\geq 10\%$  of OPDIVO-Treated Patients and at a Higher Incidence than in the Dacarbazine Arm (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trial 4)**

Adverse Reaction	OPDIVO (n=206)		Dacarbazine (n=205)	
	All Grades	Grades 3-4	All Grades	Grades 3-4
	Percentage (%) of Patients			
<b>General Disorders and Administration Site Conditions</b>				
Fatigue	49	1.9	39	3.4
Edema <sup>a</sup>	12	1.5	4.9	0
<b>Musculoskeletal and Connective Tissue Disorders</b>				
Musculoskeletal pain <sup>b</sup>	32	2.9	25	2.4
<b>Skin and Subcutaneous Tissue Disorders</b>				
Rash <sup>c</sup>	28	1.5	12	0
Pruritus	23	0.5	12	0
Erythema	10	0	2.9	0
Vitiligo	11	0	0.5	0
<b>Infections</b>				
Upper respiratory tract infection <sup>d</sup>	17	0	6	0

Toxicity was graded per NCI CTCAE v4.

<sup>a</sup> Includes periorbital edema, face edema, generalized edema, gravitational edema, localized edema, peripheral edema, pulmonary edema, and lymphedema.

<sup>b</sup> Includes back pain, bone pain, musculoskeletal chest pain, musculoskeletal discomfort, myalgia, neck pain, pain in extremity, pain in jaw, and spinal pain.

<sup>c</sup> Includes maculopapular rash, erythematous rash, pruritic rash, follicular rash, macular rash, papular rash, pustular rash, vesicular rash, dermatitis, allergic dermatitis, exfoliative dermatitis, acneiform dermatitis, drug eruption, and skin reaction.

<sup>d</sup> Includes rhinitis, viral rhinitis, pharyngitis, and nasopharyngitis.

Other clinically important adverse reactions in less than 10% of patients treated with OPDIVO in Trial 4 were:

*Nervous System Disorders:* peripheral neuropathy

**Table 5: Laboratory Abnormalities Worsening from Baseline Occurring in ≥10% of OPDIVO-Treated Patients and at a Higher Incidence than in the Dacarbazine Arm (Between Arm Difference of ≥5% [All Grades] or ≥2% [Grades 3-4]) (Trial 4)**

Laboratory Abnormality	Percentage of Patients with Worsening Laboratory Test from Baseline <sup>a</sup>			
	OPDIVO		Dacarbazine	
	All Grades	Grades 3-4	All Grades	Grades 3-4
Increased ALT	25	3.0	19	0.5
Increased AST	24	3.6	19	0.5
Increased alkaline phosphatase	21	2.6	14	1.6
Increased bilirubin	13	3.1	6	0

<sup>a</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: OPDIVO group (range: 194 to 197 patients) and dacarbazine group (range: 186 to 193 patients).

### Trial 6

The safety of OPDIVO, administered with ipilimumab or as a single agent, was evaluated in Trial 6 [see *Clinical Studies (14.1)*], a randomized (1:1:1), a double-blind trial in which 937 patients with previously untreated, unresectable or metastatic melanoma received:

- OPDIVO 1 mg/kg with ipilimumab 3 mg/kg every 3 weeks for 4 doses followed by OPDIVO 3 mg/kg as a single agent every 2 weeks (OPDIVO plus ipilimumab arm; n=313),
- OPDIVO 3 mg/kg every 2 weeks (OPDIVO arm; n=313), or
- Ipilimumab 3 mg/kg every 3 weeks for up to 4 doses (ipilimumab arm; n=311).

The median duration of exposure to OPDIVO was 2.8 months (range: 1 day to 18.8 months) for the OPDIVO plus ipilimumab arm and 6.6 months (range: 1 day to 17.3 months) for the OPDIVO arm. In the OPDIVO plus ipilimumab arm, 39% were exposed to OPDIVO for ≥6 months and 24% exposed for >1 year. In the OPDIVO arm, 53% were exposed for ≥6 months and 32% for >1 year.

Trial 6 excluded patients with autoimmune disease, a medical condition requiring systemic treatment with corticosteroids (more than 10 mg daily prednisone equivalent) or other immunosuppressive medication within 14 days of the start of study therapy, a positive test result for hepatitis B or C, or a history of HIV.

The trial population characteristics were: 65% male, median age 61 years, 97% White, baseline ECOG performance status 0 (73%) or 1 (27%), 93% with AJCC Stage IV disease, 58% with M1c stage disease; 36% with elevated LDH at baseline, 4% with a history of brain metastasis, and 22% had received adjuvant therapy.

In Trial 6, serious adverse reactions (73% and 37%), adverse reactions leading to permanent discontinuation (43% and 14%) or to dosing delays (55% and 28%), and Grade 3 or 4 adverse

reactions (72% and 44%) all occurred more frequently in the OPDIVO plus ipilimumab arm relative to the OPDIVO arm.

The most frequent ( $\geq 10\%$ ) serious adverse reactions in the OPDIVO plus ipilimumab arm and the OPDIVO arm, respectively, were diarrhea (13% and 2.6%), colitis (10% and 1.6%), and pyrexia (10% and 0.6%). The most frequent adverse reactions leading to discontinuation of both drugs in the OPDIVO plus ipilimumab arm and of OPDIVO in the OPDIVO arm, respectively, were diarrhea (8% and 1.9%), colitis (8% and 0.6%), increased ALT (4.8% and 1.3%), increased AST (4.5% and 0.6%), and pneumonitis (1.9% and 0.3%). The most common ( $\geq 20\%$ ) adverse reactions in the OPDIVO plus ipilimumab arm were fatigue, rash, diarrhea, nausea, pyrexia, vomiting, and dyspnea. The most common ( $\geq 20\%$ ) adverse reactions in the OPDIVO arm were fatigue, rash, diarrhea, and nausea. Table 6 summarizes the incidence of adverse reactions occurring in at least 10% of patients in either OPDIVO-containing arm in Trial 6.

**Table 6: Adverse Reactions Occurring in  $\geq 10\%$  of Patients on the OPDIVO plus Ipilimumab Arm or the OPDIVO Arm and at a Higher Incidence than in the Ipilimumab Arm (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trial 6)**

Adverse Reaction	Percentage (%) of Patients					
	OPDIVO plus Ipilimumab (n=313)		OPDIVO (n=313)		Ipilimumab (n=311)	
	All Grades	Grades 3-4	All Grades	Grades 3-4	All Grades	Grades 3-4
<b>General Disorders and Administration Site Conditions</b>						
Fatigue <sup>a</sup>	59	6	53	1.9	50	3.9
Pyrexia	37	1.6	14	0	17	0.6
<b>Skin and Subcutaneous Tissue Disorders</b>						
Rash <sup>b</sup>	53	5	40	1.6	42	3.9
<b>Gastrointestinal Disorders</b>						
Diarrhea	52	11	31	3.8	46	8
Nausea	40	3.5	28	0.6	29	1.9
Vomiting	28	3.5	17	1.0	16	1.6
<b>Respiratory, Thoracic and Mediastinal Disorders</b>						
Dyspnea	20	2.2	12	1.3	13	0.6

Toxicity was graded per NCI CTCAE v4.

<sup>a</sup> Fatigue is a composite term which includes asthenia and fatigue.

<sup>b</sup> Rash is a composite term which includes pustular rash, dermatitis, acneiform dermatitis, allergic dermatitis, atopic dermatitis, bullous dermatitis, exfoliative dermatitis, psoriasiform dermatitis, drug eruption, erythema, exfoliative rash, erythematous rash, generalized rash, macular rash, maculopapular rash, morbilliform rash, papular rash, papulosquamous rash, pruritic rash, and seborrheic dermatitis.

Other clinically important adverse reactions in less than 10% of patients treated with either OPDIVO with ipilimumab or single-agent OPDIVO in Trial 6 were:

*Gastrointestinal Disorders:* stomatitis, intestinal perforation

*Skin and Subcutaneous Tissue Disorders:* vitiligo

*Musculoskeletal and Connective Tissue Disorders:* myopathy, Sjogren’s syndrome, spondyloarthritis

*Nervous System Disorders:* neuritis, peroneal nerve palsy

**Table 7: Laboratory Abnormalities Worsening from Baseline Occurring in  $\geq 20\%$  of Patients Treated with OPDIVO with Ipilimumab or Single-Agent OPDIVO and at a Higher Incidence than in the Ipilimumab Arm (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trial 6)**

Laboratory Abnormality	Percentage (%) of Patients <sup>a</sup>					
	OPDIVO plus Ipilimumab		OPDIVO		Ipilimumab	
	Any Grade	Grade 3-4	Any Grade	Grade 3-4	Any Grade	Grade 3-4
<b>Chemistry</b>						
Increased ALT	53	15	23	3.0	28	2.7
Increased AST	47	13	27	3.7	27	1.7
Hyponatremia	42	9	20	3.3	25	7
Increased lipase	41	20	29	9	23	7
Increased alkaline phosphatase	40	6	24	2.0	22	2.0
Hypocalcemia	29	1.1	13	0.7	21	0.7
Increased amylase	25	9.1	15	1.9	14	1.6
Increased creatinine	23	2.7	16	0.3	16	1.3
<b>Hematology</b>						
Anemia	50	2.7	39	2.6	40	6
Lymphopenia	35	4.8	39	4.3	27	3.4

<sup>a</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: OPDIVO plus ipilimumab (range: 241 to 297); OPDIVO (range: 260 to 306); ipilimumab (range: 253 to 304).

### Metastatic Non-Small Cell Lung Cancer

The safety of OPDIVO in metastatic NSCLC was evaluated in Trial 2, a randomized open-label, multicenter trial in patients with metastatic squamous NSCLC and progression on or after one prior platinum doublet-based chemotherapy regimen and Trial 3, a randomized, open-label, multicenter trial in patients with metastatic non-squamous NSCLC and progression on or after one prior platinum doublet-based chemotherapy regimen [see *Clinical Studies (14.2)*]. Patients received 3 mg/kg of OPDIVO administered intravenously over 60 minutes every 2 weeks or docetaxel administered intravenously at 75 mg/m<sup>2</sup> every 3 weeks. The median duration of therapy in OPDIVO-treated patients in Trial 2 was 3.3 months (range: 1 day to 21.7+ months)

and in Trial 3 was 2.6 months (range: 0 to 24.0+ months). In Trial 2, 36% of patients received OPDIVO for at least 6 months and 18% of patients received OPDIVO for at least 1 year and in Trial 3, 30% of patients received OPDIVO for greater than 6 months and 20% of patients received OPDIVO for greater than 1 year.

Trial 2 and Trial 3 excluded patients with active autoimmune disease, medical conditions requiring systemic immunosuppression, or with symptomatic interstitial lung disease.

Across both trials, the median age of OPDIVO-treated patients was 61 years (range: 37 to 85); 38% were ≥65 years of age, 61% were male, and 91% were white. Ten percent of patients had brain metastases and ECOG performance status was 0 (26%) or 1 (74%).

OPDIVO was discontinued in 11% of patients, and was delayed in 28% of patients for an adverse reaction. Serious adverse reactions occurred in 46% of patients receiving OPDIVO. The most frequent serious adverse reactions reported in at least 2% of patients receiving OPDIVO were pneumonia, pulmonary embolism, dyspnea, pyrexia, pleural effusion, pneumonitis, and respiratory failure. In Trial 3, in the OPDIVO arm, seven deaths were due to infection including one case of *Pneumocystis jirovecii* pneumonia, four were due to pulmonary embolism, and one death was due to limbic encephalitis. Across both trials, the most common adverse reactions (reported in at least 20% of patients) were fatigue, musculoskeletal pain, cough, dyspnea, and decreased appetite.

Table 8 summarizes selected adverse reactions occurring more frequently in at least 10% of OPDIVO-treated patients.

**Table 8: Adverse Reactions Occurring in ≥10% of OPDIVO-Treated Patients and at a Higher Incidence than Docetaxel (Between Arm Difference of ≥5% [All Grades] or ≥2% [Grades 3-4]) (Trials 2 and 3)**

Adverse Reaction	OPDIVO (n=418)		Docetaxel (n=397)	
	All Grades	Grades 3-4	All Grades	Grades 3-4
	Percentage (%) of Patients			
<b>Respiratory, Thoracic, and Mediastinal Disorders</b>				
Cough	31	0.7	24	0
<b>Metabolism and Nutrition Disorders</b>				
Decreased appetite	28	1.4	23	1.5
<b>Skin and Subcutaneous Tissue Disorders</b>				
Pruritus	10	0.2	2.0	0

Toxicity was graded per NCI CTCAE v4.

Other clinically important adverse reactions observed in patients treated with OPDIVO and which occurred at a similar incidence in docetaxel-treated patients and not listed elsewhere in section 6 include: fatigue/asthenia (48% Grade 1-4, 5% Grade 3-4), musculoskeletal pain (33%), pleural effusion (4.5%), pulmonary embolism (3.3%).

**Table 9: Laboratory Abnormalities Worsening from Baseline Occurring in  $\geq 10\%$  of OPDIVO-Treated Patients for all NCI CTCAE Grades and at a Higher Incidence than Docetaxel (Between Arm Difference of  $\geq 5\%$  [All Grades] or  $\geq 2\%$  [Grades 3-4]) (Trials 2 and 3)**

Laboratory Abnormality	Percentage of Patients with Worsening Laboratory Test from Baseline <sup>a</sup>			
	OPDIVO		Docetaxel	
	All Grades	Grades 3-4	All Grades	Grades 3-4
<b>Chemistry</b>				
Hyponatremia	35	7	34	4.9
Increased AST	27	1.9	13	0.8
Increased alkaline phosphatase	26	0.7	18	0.8
Increased ALT	22	1.7	17	0.5
Increased creatinine	18	0	12	0.5
Increased TSH <sup>b</sup>	14	N/A	6	N/A

<sup>a</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: OPDIVO group (range: 405 to 417 patients) and docetaxel group (range: 372 to 390 patients); TSH: OPDIVO group n=314 and docetaxel group n=297.

<sup>b</sup> Not graded per NCI CTCAE v4.

### Renal Cell Carcinoma

The safety of OPDIVO was evaluated in Trial 5, a randomized open-label trial in which 803 patients with advanced RCC who had experienced disease progression during or after at least one anti-angiogenic treatment regimens received OPDIVO 3 mg/kg every 2 weeks (n=406) or everolimus 10 mg daily (n=397) [see *Clinical Studies (14.3)*]. The median duration of treatment was 5.5 months (range: 1 day to 29.6+ months) in OPDIVO-treated patients and 3.7 months (range: 6 days to 25.7+ months) in everolimus-treated patients.

Study therapy was discontinued for adverse reactions in 16% of OPDIVO patients and 19% of everolimus patients. Forty-four percent (44%) of patients receiving OPDIVO had a drug delay for an adverse reaction. Serious adverse reactions occurred in 47% of patients receiving OPDIVO. The most frequent serious adverse reactions reported in at least 2% of patients were acute kidney injury, pleural effusion, pneumonia, diarrhea, and hypercalcemia.

Rate of death on treatment or within 30 days of the last dose of study drug was 4.7% on the OPDIVO arm versus 8.6% on the everolimus arm.

The most common adverse reactions (reported in at least 20% of patients) were asthenic conditions, cough, nausea, rash, dyspnea, diarrhea, constipation, decreased appetite, back pain, and arthralgia. Table 10 summarizes adverse reactions that occurred in greater than 15% of OPDIVO-treated patients.



**Table 10: Grade 1-4 Adverse Reactions in >15% of Patients Receiving OPDIVO (Trial 5)**

Adverse Reaction	OPDIVO (n=406)		Everolimus (n=397)	
	Percentage (%) of Patients			
	Grades 1-4	Grades 3-4	Grades 1-4	Grades 3-4
<b>General Disorders and Administration Site Conditions</b>				
Asthenic conditions <sup>a</sup>	56	6	57	7
Pyrexia	17	0.7	20	0.8
<b>Respiratory, Thoracic and Mediastinal Disorders</b>				
Cough/productive cough	34	0	38	0.5
Dyspnea/exertional dyspnea	27	3.0	31	2.0
Upper respiratory infection <sup>b</sup>	18	0	11	0
<b>Gastrointestinal Disorders</b>				
Nausea	28	0.5	29	1
Diarrhea <sup>c</sup>	25	2.2	32	1.8
Constipation	23	0.5	18	0.5
Vomiting	16	0.5	16	0.5
<b>Skin and Subcutaneous Tissue Disorders</b>				
Rash <sup>d</sup>	28	1.5	36	1.0
Pruritus/generalized pruritus	19	0	14	0
<b>Metabolism and Nutrition Disorders</b>				
Decreased appetite	23	1.2	30	1.5
<b>Musculoskeletal and Connective Tissue Disorders</b>				
Arthralgia	20	1.0	14	0.5
Back pain	21	3.4	16	2.8

Toxicity was graded per NCI CTCAE v4.

<sup>a</sup> Asthenic conditions covering PTs asthenia, decreased activity, fatigue, and malaise.

<sup>b</sup> Includes nasopharyngitis, pharyngitis, rhinitis, and viral URI.

<sup>c</sup> Includes colitis, enterocolitis, and gastroenteritis.

<sup>d</sup> Includes dermatitis, acneiform dermatitis, erythematous rash, generalized rash, macular rash, maculopapular rash, papular rash, pruritic rash, erythema multiforme, and erythema.

Other clinically important adverse reactions in Trial 5 were:

*General Disorders and Administration Site Conditions:* peripheral edema/edema

*Gastrointestinal Disorders:* abdominal pain/discomfort

*Musculoskeletal and Connective Tissue Disorders:* extremity pain, musculoskeletal pain

*Nervous System Disorders:* headache/migraine, peripheral neuropathy

*Investigations:* weight decreased

*Skin Disorders:* Palmar-plantar erythrodysesthesia

The most common laboratory abnormalities which have worsened compared to baseline in  $\geq 30\%$  of patients include increased creatinine, lymphopenia, anemia, increased AST, increased alkaline phosphatase, hyponatremia, elevated triglycerides, and hyperkalemia. Table 11 summarizes the laboratory abnormalities that occurred in greater than 15% of OPDIVO-treated patients.

**Table 11: Grade 1-4 Laboratory Values Worsening from Baseline Occurring in >15% of Patients on OPDIVO (Trial 5)**

Laboratory Abnormality	Percentage of Patients with Worsening Laboratory Test from Baseline <sup>a</sup>			
	OPDIVO		Everolimus	
	Grades 1-4	Grades 3-4	Grades 1-4	Grades 3-4
<b>Hematology</b>				
Lymphopenia	42	6	53	11
Anemia	39	8	69	16
<b>Chemistry</b>				
Increased creatinine	42	2.0	45	1.6
Increased AST	33	2.8	39	1.6
Increased alkaline phosphatase	32	2.3	32	0.8
Hyponatremia	32	7	26	6
Hyperkalemia	30	4.0	20	2.1
Hypocalcemia	23	0.9	26	1.3
Increased ALT	22	3.2	31	0.8
Hypercalcemia	19	3.2	6	0.3
<b>Lipids</b>				
Increased triglycerides	32	1.5	67	11
Increased cholesterol	21	0.3	55	1.4

<sup>a</sup> Each test incidence is based on the number of patients who had both baseline and at least one on-study laboratory measurement available: OPDIVO group (range: 259 to 401 patients) and everolimus group (range: 257 to 376 patients).

In addition, among patients with TSH less than ULN at baseline, a greater proportion of patients experienced a treatment-emergent elevation of TSH greater than ULN in the OPDIVO group compared to the everolimus group (26% and 14%, respectively).

## Classical Hodgkin Lymphoma

The safety of OPDIVO 3 mg/kg every 2 weeks was evaluated in 263 adult patients with cHL (240 patients in Trial 7 and 23 patients in Trial 8). Treatment could continue until disease progression, maximal clinical benefit, or unacceptable toxicity.

The median age was 34 years (range 18 to 72), 98% of patients had received autologous HSCT, none had received allogeneic HSCT, and 74% had received brentuximab vedotin. The median number of prior systemic regimens was 4 (range: 1 to 15). Patients received a median of 10 doses (cycles) of OPDIVO (range: 1 to 48), with a median duration of therapy of 4.8 months (range: 0.3 to 24 months).

OPDIVO was discontinued due to adverse reactions in 4.2% of patients. Twenty-three percent (23%) of patients had a dose delay for an adverse reaction. Serious adverse reactions occurred in 21% of patients. The most frequent serious adverse reactions reported in at least 1% of patients were infusion-related reaction, pneumonia, pleural effusion, pyrexia, rash, and pneumonitis. Ten patients died from causes other than disease progression, including 6 who died from complications of allogeneic HSCT.

The most common adverse reactions (reported in at least 20%) among all patients (safety population), were fatigue, upper respiratory tract infection, pyrexia, diarrhea, and cough.

Among the subset of patients in the efficacy population, the most common adverse reactions also included rash, musculoskeletal pain, pruritus, nausea, arthralgia, and peripheral neuropathy. Serious adverse reactions occurred in 27% of these patients.

Table 12 summarizes both the adverse reactions that occurred in at least 10% of patients in the safety population (n=263) and the efficacy population (n=95). There is a greater incidence of adverse reactions in the subset of patients evaluated for efficacy; these patients received a median of 17 doses of OPDIVO and a median of 5 prior systemic regimens [see *Clinical Studies (14.4)*].

**Table 12: Non-Hematologic Adverse Reactions Occurring in ≥10% of Patients with cHL (Trials 7 and 8)**

Adverse Reaction <sup>a</sup>	OPDIVO cHL Safety Population(n=263)		OPDIVO cHL Efficacy Population (n=95)	
	Percentage (%) of Patients			
	All Grades	Grades 3-4	All Grades	Grades 3-4
<b>General Disorders and Administration Site Conditions</b>				
Fatigue <sup>b</sup>	32	1.1	43	1.1
Pyrexia	24	0.8	35	1.1
<b>Gastrointestinal Disorders</b>				
Diarrhea	23	0.8	30	1.1
Nausea	17	0	23	0
Vomiting	15	0.8	16	1.1
Abdominal pain <sup>c</sup>	11	0.8	13	2.1
Constipation	9	0.4	14	0
<b>Infections</b>				
Upper respiratory tract infection <sup>d</sup>	28	0.4	48	1.1
Pneumonia / bronchopneumonia <sup>e</sup>	9	3.0	19	5.3
<b>Respiratory, Thoracic and Mediastinal Disorders</b>				
Cough/productive cough	22	0	35	0
Dyspnea/exertional dyspnea	10	0.8	16	2.1
<b>Skin and Subcutaneous Tissue Disorders</b>				
Rash <sup>f</sup>	19	1.5	31	3.2
Pruritus	17	0	25	0
<b>Musculoskeletal and Connective Tissue Disorders</b>				
Musculoskeletal pain <sup>g</sup>	19	1.1	27	1.1
Arthralgia	11	0	21	0
<b>Endocrine Disorders</b>				
Hypothyroidism/thyroiditis	12	0	17	0
Hyperglycemia/Blood Glucose Increased	9	0.4	14	1.1
<b>Nervous System Disorders</b>				
Headache	12	0.4	12	1.1
Neuropathy peripheral <sup>h</sup>	11	0.4	21	0
<b>Injury, Poisoning and Procedural Complications</b>				
Infusion-related reaction	12	0.4	18	0

Toxicity was graded per NCI CTCAE v4.

<sup>a</sup> Includes events occurring up to 30 days after last nivolumab dose, regardless of causality. After an immune-mediated adverse reaction, reactions following nivolumab rechallenge were included if they occurred up to 30 days after completing the initial nivolumab course.

<sup>b</sup> Includes asthenia.

<sup>c</sup> Includes abdominal discomfort and upper abdominal pain.

<sup>d</sup> Includes nasopharyngitis, pharyngitis, rhinitis, and sinusitis.

<sup>e</sup> Includes pneumonia bacterial, pneumonia mycoplasmal, pneumocystis jirovecii pneumonia.





The median duration of exposure to nivolumab was 1.9 months (range 1 day to 16.1+ months) in OPDIVO-treated patients. In this trial, 18% of patients received OPDIVO for greater than 6 months and 2.5% of patients received OPDIVO for greater than 1 year.

Trial 9 excluded patients with active autoimmune disease, medical conditions requiring systemic immunosuppression, or recurrent or metastatic carcinoma of the nasopharynx, squamous cell carcinoma of unknown primary histology, salivary gland or non-squamous histologies (e.g., mucosal melanoma).

The median age of all randomized patients was 60 years (range: 28 to 83); 28% of patients in the OPDIVO group were  $\geq 65$  years of age and 37% in the comparator group were  $\geq 65$  years of age, 83% were male and 83% were White, 12% were Asian, and 4% were Black. Baseline ECOG performance status was 0 (20%) or 1 (78%), 45% of patients received only one prior line of systemic therapy, the remaining 55% of patients had two or more prior lines of therapy, and 90% had prior radiation therapy.

OPDIVO was discontinued in 14% of patients and was delayed in 24% of patients for an adverse reaction. Serious adverse reactions occurred in 49% of patients receiving OPDIVO. The most frequent serious adverse reactions reported in at least 2% of patients receiving OPDIVO were pneumonia, dyspnea, respiratory failure, respiratory tract infection, and sepsis. Adverse reactions and laboratory abnormalities occurring in patients with SCCHN were generally similar to those occurring in patients with melanoma and NSCLC. The most common adverse reactions occurring in  $\geq 10\%$  of OPDIVO-treated patients and at a higher incidence than investigator's choice were cough and dyspnea.

The most common laboratory abnormalities occurring in  $\geq 10\%$  of OPDIVO-treated patients and at a higher incidence than investigator's choice were increased alkaline phosphatase, increased amylase, hypercalcemia, hyperkalemia, and increased TSH.

## **6.2 Immunogenicity**

As with all therapeutic proteins, there is a potential for immunogenicity.

Of 1586 patients who were treated with OPDIVO as a single agent 3 mg/kg every 2 weeks and evaluable for the presence of anti-nivolumab antibodies, 157 patients (9.9%) tested positive for treatment-emergent anti-nivolumab antibodies by an electrochemiluminescent (ECL) assay and nine patients (0.6%) had neutralizing antibodies against nivolumab. There was no evidence of altered pharmacokinetic profile or increased incidence of infusion reactions with anti-nivolumab antibody development.

Of 394 patients who were treated with OPDIVO with ipilimumab and evaluable for the presence of anti-nivolumab antibodies, 149 patients (37.8%) tested positive for treatment-emergent anti-nivolumab antibodies by an ECL assay and 18 patients (4.6%) had neutralizing antibodies against nivolumab. Of the 391 patients evaluable for the presence of anti-ipilimumab antibodies, 33 patients (8.4%) tested positive for treatment-emergent anti-ipilimumab antibodies by an ECL assay and one patient (0.3%) had neutralizing antibodies against ipilimumab. There was no







## 11 DESCRIPTION

Nivolumab is a human monoclonal antibody that blocks the interaction between PD-1 and its ligands, PD-L1 and PD-L2. Nivolumab is an IgG4 kappa immunoglobulin that has a calculated molecular mass of 146 kDa.

OPDIVO is a sterile, preservative-free, non-pyrogenic, clear to opalescent, colorless to pale-yellow liquid that may contain light (few) particles. OPDIVO injection for intravenous infusion is supplied in single-dose vials. Each mL of OPDIVO solution contains nivolumab 10 mg, mannitol (30 mg), pentetic acid (0.008 mg), polysorbate 80 (0.2 mg), sodium chloride (2.92 mg), sodium citrate dihydrate (5.88 mg), and Water for Injection, USP. May contain hydrochloric acid and/or sodium hydroxide to adjust pH to 6.

## 12 CLINICAL PHARMACOLOGY

### 12.1 Mechanism of Action

Binding of the PD-1 ligands, PD-L1 and PD-L2, to the PD-1 receptor found on T cells, inhibits T-cell proliferation and cytokine production. Upregulation of PD-1 ligands occurs in some tumors and signaling through this pathway can contribute to inhibition of active T-cell immune surveillance of tumors. Nivolumab is a human immunoglobulin G4 (IgG4) monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, releasing PD-1 pathway-mediated inhibition of the immune response, including the anti-tumor immune response. In syngeneic mouse tumor models, blocking PD-1 activity resulted in decreased tumor growth.

Combined nivolumab (anti-PD-1) and ipilimumab (anti-CTLA-4) mediated inhibition results in enhanced T-cell function that is greater than the effects of either antibody alone, and results in improved anti-tumor responses in metastatic melanoma. In murine syngeneic tumor models, dual blockade of PD-1 and CTLA-4 resulted in increased anti-tumor activity.

### 12.2 Pharmacodynamics

Based on dose/exposure efficacy and safety relationships, there are no clinically significant differences in safety and efficacy between a nivolumab dose of 240 mg or 3mg/kg every 2 weeks in patients with melanoma, NSCLC, and RCC.

### 12.3 Pharmacokinetics

Nivolumab pharmacokinetics (PK) was assessed using a population PK approach for both single-agent OPDIVO and OPDIVO with ipilimumab.

*OPDIVO as a single agent:* The PK of single-agent nivolumab was studied in patients over a dose range of 0.1 to 20 mg/kg administered as a single dose or as multiple doses of OPDIVO every 2 or 3 weeks. Nivolumab clearance decreases over time, with a mean maximal reduction (% coefficient of variation [CV%]) from baseline values of approximately 24.5% (47.6%) resulting in a geometric mean steady state clearance (CL<sub>ss</sub>) (CV%) of 8.2 mL/h (53.9%); the decrease in CL<sub>ss</sub> is not considered clinically relevant. The geometric mean volume of distribution at steady state (V<sub>ss</sub>) (CV%) is 6.8 L (27.3%), and geometric mean elimination half-

life ( $t_{1/2}$ ) is 25 days (77.5%). Steady-state concentrations of nivolumab were reached by approximately 12 weeks when administered at 3 mg/kg every 2 weeks, and systemic accumulation was approximately 3.7-fold. The exposure to nivolumab increased dose proportionally over the dose range of 0.1 to 10 mg/kg administered every 2 weeks.

*OPDIVO with ipilimumab:* The geometric mean (CV%) CL,  $V_{ss}$ , and terminal half-life of nivolumab were 10.0 mL/h (50.3%), 7.92 L (30.1%), and 24.8 days (94.3%), respectively. When administered in combination, the CL of nivolumab was increased by 24%, whereas there was no effect on the clearance of ipilimumab.

When administered in combination, the clearance of nivolumab increased by 42% in the presence of anti-nivolumab antibodies. There was no effect of anti-ipilimumab antibodies on the clearance of ipilimumab.

*Specific Populations:* The population PK analysis suggested that the following factors had no clinically important effect on the clearance of nivolumab: age (29 to 87 years), weight (35 to 160 kg), gender, race, baseline LDH, PD-L1 expression, solid tumor type, tumor size, renal impairment, and mild hepatic impairment.

*Renal Impairment:* The effect of renal impairment on the clearance of nivolumab was evaluated by a population PK analysis in patients with mild (eGFR 60 to 89 mL/min/1.73 m<sup>2</sup>; n=313), moderate (eGFR 30 to 59 mL/min/1.73 m<sup>2</sup>; n=140), or severe (eGFR 15 to 29 mL/min/1.73 m<sup>2</sup>; n=3) renal impairment. No clinically important differences in the clearance of nivolumab were found between patients with renal impairment and patients with normal renal function [see Use in Specific Populations (8.6)].

*Hepatic Impairment:* The effect of hepatic impairment on the clearance of nivolumab was evaluated by population PK analyses in patients with mild hepatic impairment (total bilirubin [TB] less than or equal to the upper limit of normal [ULN] and AST greater than ULN or TB less than 1 to 1.5 times ULN and any AST; n=92). No clinically important differences in the clearance of nivolumab were found between patients with mild hepatic impairment and patients with normal hepatic function. Nivolumab has not been studied in patients with moderate (TB greater than 1.5 to 3 times ULN and any AST) or severe hepatic impairment (TB greater than 3 times ULN and any AST) [see Use in Specific Populations (8.7)].

## **13 NONCLINICAL TOXICOLOGY**

### **13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility**

No studies have been performed to assess the potential of nivolumab for carcinogenicity or genotoxicity. Fertility studies have not been performed with nivolumab. In 1-month and 3-month repeat-dose toxicology studies in monkeys, there were no notable effects in the male and female reproductive organs; however, most animals in these studies were not sexually mature.

### **13.2 Animal Toxicology and/or Pharmacology**

In animal models, inhibition of PD-1 signaling increased the severity of some infections and enhanced inflammatory responses. *M. tuberculosis*-infected PD-1 knockout mice exhibit

markedly decreased survival compared with wild-type controls, which correlated with increased bacterial proliferation and inflammatory responses in these animals. PD-1 knockout mice have also shown decreased survival following infection with lymphocytic choriomeningitis virus.

## **14 CLINICAL STUDIES**

### **14.1 Unresectable or Metastatic Melanoma**

#### **Previously Treated Metastatic Melanoma**

Trial 1 was a multicenter, open-label trial that randomized (2:1) patients with unresectable or metastatic melanoma to receive either OPDIVO administered intravenously at 3 mg/kg every 2 weeks or investigator's choice of chemotherapy, either single-agent dacarbazine 1000 mg/m<sup>2</sup> every 3 weeks or the combination of carboplatin AUC 6 every 3 weeks plus paclitaxel 175 mg/m<sup>2</sup> every 3 weeks. Patients were required to have progression of disease on or following ipilimumab treatment and, if BRAF V600 mutation positive, a BRAF inhibitor. The trial excluded patients with autoimmune disease, medical conditions requiring systemic immunosuppression, ocular melanoma, active brain metastasis, or a history of Grade 4 ipilimumab-related adverse reactions (except for endocrinopathies) or Grade 3 ipilimumab-related adverse reactions that had not resolved or were inadequately controlled within 12 weeks of the initiating event. Tumor assessments were conducted 9 weeks after randomization then every 6 weeks for the first year, and every 12 weeks thereafter.

Efficacy was evaluated in a single-arm, non-comparative, planned interim analysis of the first 120 patients who received OPDIVO in Trial 1 and in whom the minimum duration of follow-up was 6 months. The major efficacy outcome measures in this population were confirmed objective response rate (ORR) as measured by blinded independent central review using Response Evaluation Criteria in Solid Tumors (RECIST 1.1) and duration of response.

Among the 120 patients treated with OPDIVO, the median age was 58 years (range: 25 to 88), 65% of patients were male, 98% were white, and the ECOG performance score was 0 (58%) or 1 (42%). Disease characteristics were M1c disease (76%), BRAF V600 mutation positive (22%), elevated LDH (56%), history of brain metastases (18%), and two or more prior systemic therapies for metastatic disease (68%).

The ORR was 32% (95% confidence interval [CI]: 23, 41), consisting of 4 complete responses and 34 partial responses in OPDIVO-treated patients. Of 38 patients with responses, 33 patients (87%) had ongoing responses with durations ranging from 2.6+ to 10+ months, which included 13 patients with ongoing responses of 6 months or longer.

There were objective responses in patients with and without BRAF V600 mutation-positive melanoma.

#### **Previously Untreated Metastatic Melanoma**

##### *Trial 4*

Trial 4 was a multicenter, double-blind, randomized (1:1) trial conducted in patients with BRAF V600 wild-type unresectable or metastatic melanoma. Patients were randomized to receive either

OPDIVO 3 mg/kg by intravenous infusion every 2 weeks or dacarbazine 1000 mg/m<sup>2</sup> by intravenous infusion every 3 weeks until disease progression or unacceptable toxicity. Randomization was stratified by PD-L1 status (greater than or equal to 5% of tumor cell membrane staining by immunohistochemistry vs. less than 5% or indeterminate result) and M stage (M0/M1a/M1b versus M1c). Key eligibility criteria included histologically confirmed, unresectable or metastatic, cutaneous, mucosal, or acral melanoma; no prior therapy for metastatic disease; completion of prior adjuvant or neoadjuvant therapy at least 6 weeks prior to randomization; ECOG performance status 0 or 1; absence of autoimmune disease; and absence of active brain or leptomeningeal metastases. The trial excluded patients with ocular melanoma. Tumor assessments were conducted 9 weeks after randomization then every 6 weeks for the first year and then every 12 weeks thereafter.

The major efficacy outcome measure was overall survival (OS). Additional outcome measures included investigator-assessed progression-free survival (PFS) and objective response rate (ORR) per RECIST v1.1.

A total of 418 patients were randomized to OPDIVO (n=210) or dacarbazine (n=208). The median age was 65 years (range: 18 to 87), 59% were men, and 99.5% were white. Disease characteristics were M1c stage disease (61%), cutaneous melanoma (74%), mucosal melanoma (11%), elevated LDH level (37%), PD-L1 greater than or equal to 5% tumor cell membrane expression (35%), and history of brain metastasis (4%). More patients in the OPDIVO arm had an ECOG performance status of 0 (71% vs. 58%).

Trial 4 demonstrated a statistically significant improvement in OS for the OPDIVO arm compared with the dacarbazine arm in an interim analysis based on 47% of the total planned events for OS. Table 14 and Figure 1 summarize the efficacy results.

**Table 14: Efficacy Results - Trial 4**

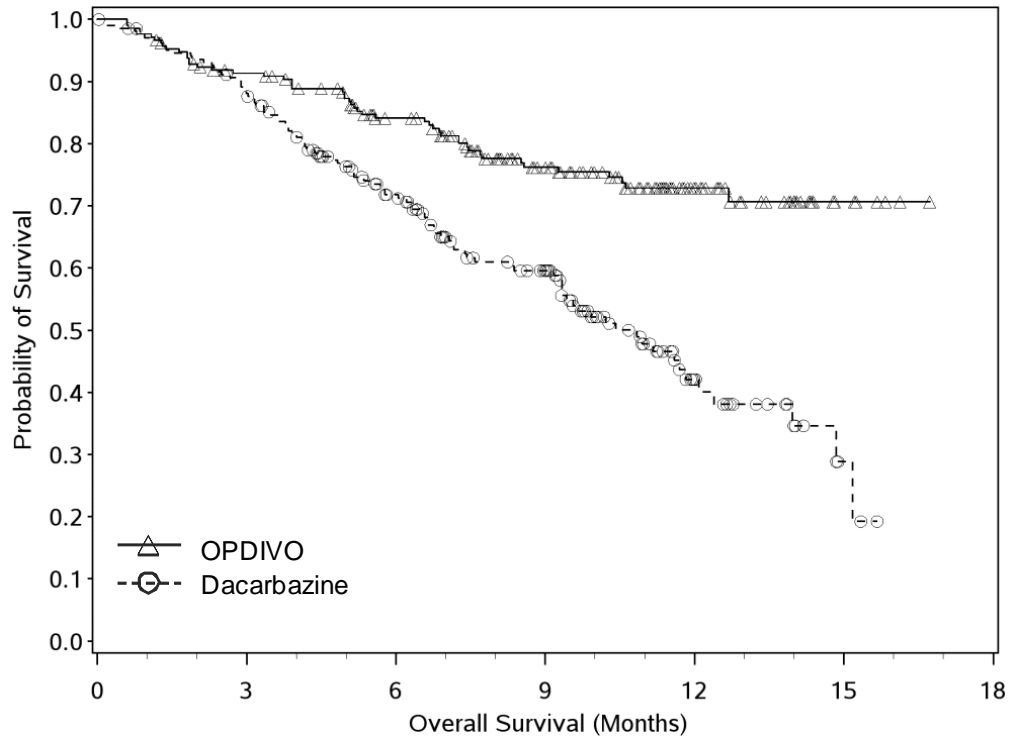
	<b>OPDIVO (n=210)</b>	<b>Dacarbazine (n=208)</b>
<b>Overall Survival</b>		
Deaths (%)	50 (24)	96 (46)
Median, months (95% CI)	Not Reached	10.8 (9.3, 12.1)
Hazard ratio (95% CI) <sup>a</sup>	0.42 (0.30, 0.60)	
p-value <sup>b,c</sup>	<0.0001	
<b>Progression-Free Survival</b>		
Disease progression or death (%)	108 (51)	163 (78)
Median, months (95% CI)	5.1 (3.5, 10.8)	2.2 (2.1, 2.4)
Hazard ratio (95% CI) <sup>a</sup>	0.43 (0.34, 0.56)	
p-value <sup>b,c</sup>	<0.0001	
<b>Objective Response Rate</b>	34%	9%
(95% CI)	(28, 41)	(5, 13)
Complete response rate	4%	1%
Partial response rate	30%	8%

<sup>a</sup> Based on a stratified proportional hazards model.

<sup>b</sup> Based on stratified log-rank test.

<sup>c</sup> p-value is compared with the allocated alpha of 0.0021 for this interim analysis.

**Figure 1: Kaplan-Meier Curves of Overall Survival - Trial 4**



Number at Risk	
OPDIVO	
210	185 150 105 45 8 0
Dacarbazine	
208	177 123 82 22 3 0

At the time of analysis, 88% (63/72) of OPDIVO-treated patients had ongoing responses, which included 43 patients with ongoing response of 6 months or longer.

### *Trial 6*

Trial 6 was a multicenter, double-blind trial that randomized (1:1:1) patients with previously untreated, unresectable or metastatic melanoma to one of the following arms: OPDIVO plus ipilimumab, OPDIVO, or ipilimumab. Patients were required to have completed adjuvant or neoadjuvant treatment at least 6 weeks prior to randomization and have no prior treatment with anti-CTLA-4 antibody and no evidence of active brain metastasis, ocular melanoma, autoimmune disease, or medical conditions requiring systemic immunosuppression.

Patients were randomized to receive:

- OPDIVO 1 mg/kg with ipilimumab 3 mg/kg every 3 weeks for 4 doses, followed by OPDIVO 3 mg/kg as a single agent every 2 weeks (OPDIVO plus ipilimumab arm),
- OPDIVO 3 mg/kg every 2 weeks (OPDIVO arm), or

- Ipilimumab 3 mg/kg every 3 weeks for 4 doses followed by placebo every 2 weeks (ipilimumab arm).

Randomization was stratified by PD-L1 expression ( $\geq 5\%$  vs.  $< 5\%$  tumor cell membrane expression) as determined by a clinical trial assay, BRAF V600 mutation status, and M stage per the American Joint Committee on Cancer (AJCC) staging system (M0, M1a, M1b vs. M1c). Tumor assessments were conducted 12 weeks after randomization then every 6 weeks for the first year, and every 12 weeks thereafter.

The major efficacy outcome measures were investigator-assessed PFS per RECIST v1.1 and OS. Additional efficacy outcome measures were confirmed ORR and duration of response.

A total of 945 patients were randomized, 314 patients to the OPDIVO plus ipilimumab arm, 316 to the OPDIVO arm, and 315 to the ipilimumab arm. The trial population characteristics were: median age 61 years (range: 18 to 90); 65% male; 97% White; ECOG performance score 0 (73%) or 1 (27%). Disease characteristics were: AJCC Stage IV disease (93%); M1c disease (58%); elevated LDH (36%); history of brain metastases (4%); BRAF V600 mutation-positive melanoma (32%); PD-L1  $\geq 5\%$  tumor cell membrane expression as determined by the clinical trials assay (46%); and prior adjuvant therapy (22%).

Trial 6 demonstrated statistically significant improvements in PFS for patients randomized to either OPDIVO-containing arm as compared with the ipilimumab arm. Efficacy results are presented in Table 15 and Figure 2.

**Table 15: Efficacy Results in Trial 6**

	<b>OPDIVO plus Ipilimumab (n=314)</b>	<b>OPDIVO (n=316)</b>	<b>Ipilimumab (n=315)</b>
<b>Progression-free Survival</b>			
Disease progression or death	151	174	234
Median in months (95% CI)	11.5 (8.9, 16.7)	6.9 (4.3, 9.5)	2.9 (2.8, 3.4)
Hazard ratio <sup>a</sup> (vs. ipilimumab)	0.42	0.57	
(95% CI)	(0.34, 0.51)	(0.47, 0.69)	
p-value <sup>b,c</sup>	<0.0001	<0.0001	
<b>Confirmed Objective Response Rate</b>	50%	40%	14%
(95% CI)	(44, 55)	(34, 46)	(10, 18)
p-value <sup>d</sup>	<0.0001	<0.0001	
Complete response	8.9%	8.5%	1.9%
Partial response	41%	31%	12%
<b>Duration of Response</b>			
Proportion $\geq 6$ months in duration	76%	74%	63%
Range (months)	1.2+ to 15.8+	1.3+ to 14.6+	1.0+ to 13.8+

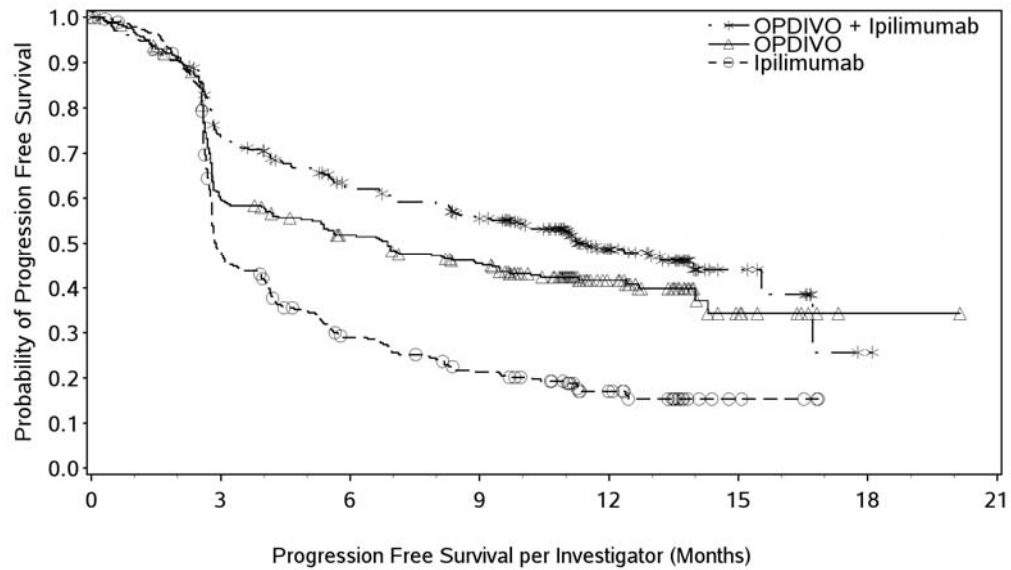
<sup>a</sup> Based on a stratified proportional hazards model.

<sup>b</sup> Based on stratified log-rank test.

<sup>c</sup> p-value is compared with .005 of the allocated alpha for final PFS treatment comparisons.

<sup>d</sup> Based on the stratified Cochran-Mantel-Haenszel test.

**Figure 2: Progression-free Survival: Unresectable or Metastatic Melanoma - Trial 6**

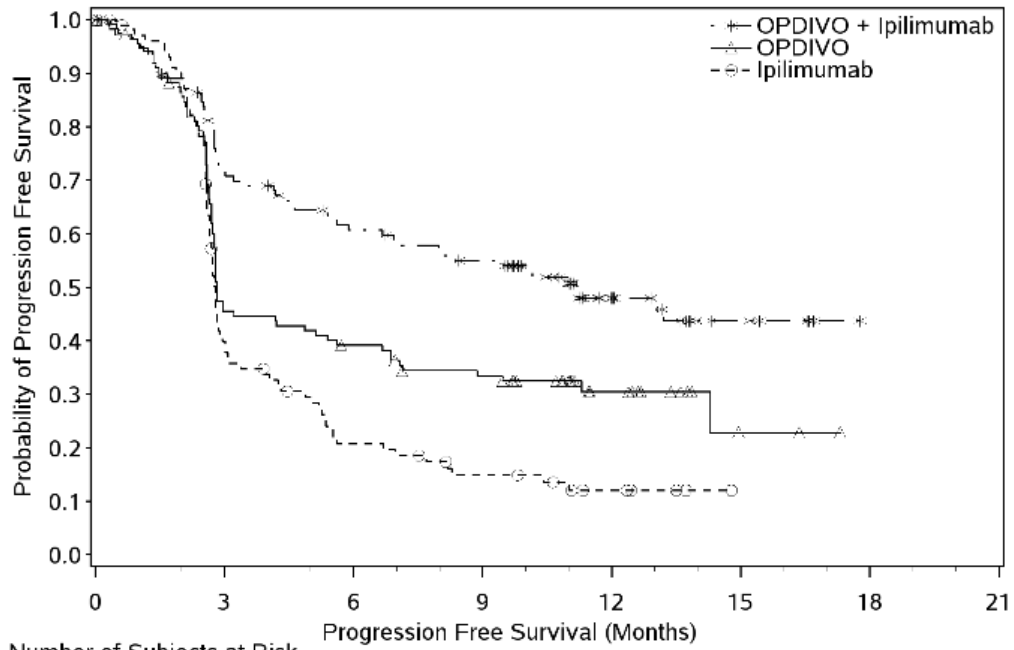


Number of Subjects at Risk								
	0	3	6	9	12	15	18	
OPDIVO + Ipilimumab	314	219	173	151	65	11	1	0
OPDIVO	316	177	147	124	50	9	1	0
Ipilimumab	315	137	77	54	24	4	0	0

Figures 3 and 4 present exploratory efficacy subgroup analyses of PFS based on defined PD-L1 expression levels determined in archival tumor specimens using the PD-L1 IHC 28-8 pharmDx assay. Tumor samples were available for retrospective assessment for 97% of the study population; PD-L1 expression status was ascertained for 89% of the study population while in 6% of patients, melanin precluded evaluation of PD-L1 expression status. PD-L1 expression status was unknown for 5% of the study population due to consent withdrawal or missing samples.

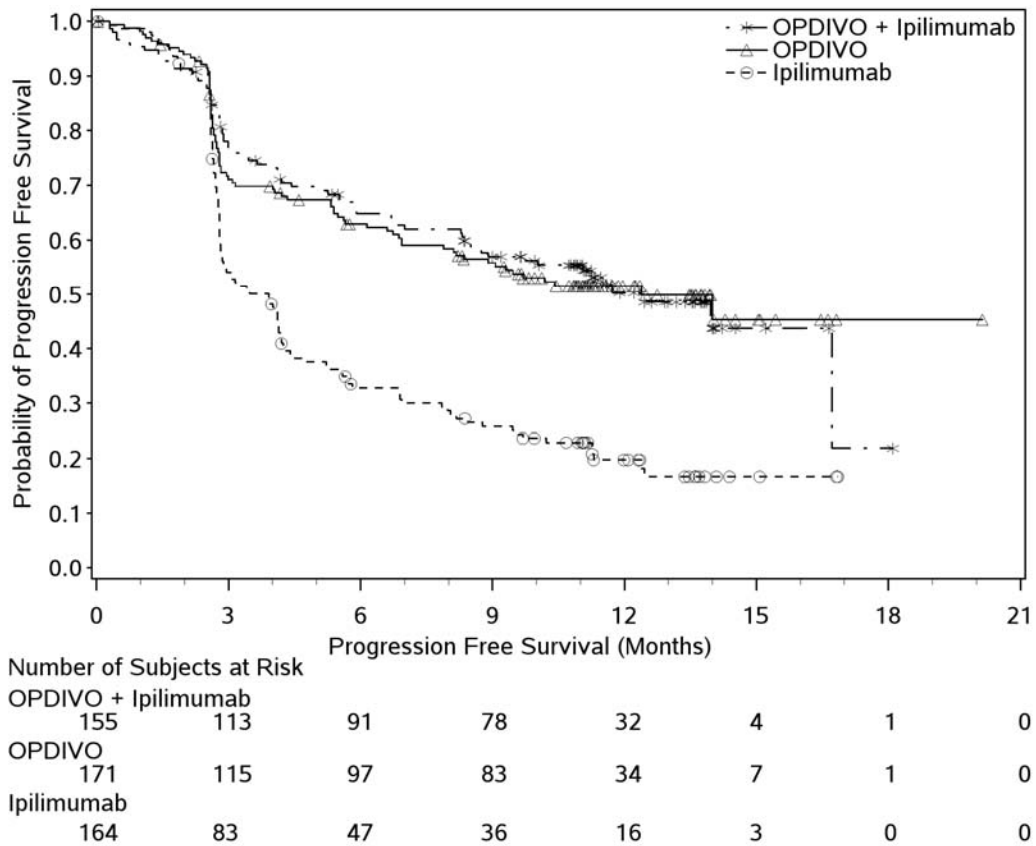


**Figure 3: Progression-free Survival by PD-L1 Expression (<1%) - Trial 6**



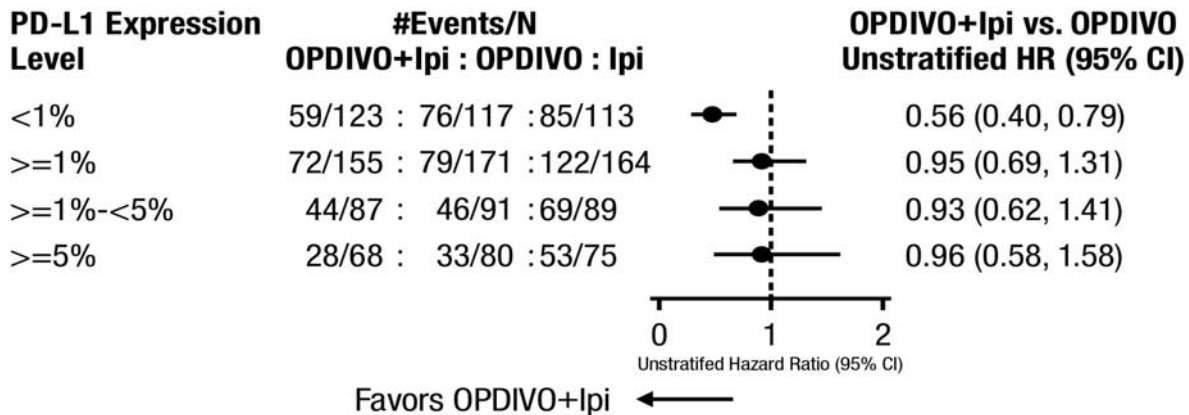
Number of Subjects at Risk		Progression Free Survival (Months)						
		0	3	6	9	12	15	18
OPDIVO + Ipilimumab	123	82	65	57	26	6	0	0
OPDIVO	117	50	42	34	13	2	0	0
Ipilimumab	113	39	19	12	5	0	0	0

**Figure 4: Progression-free Survival by PD-L1 Expression ( $\geq 1\%$ ) - Trial 6**



The data presented in the figure below summarize the results of exploratory analyses comparing the two OPDIVO-containing arms in subgroups defined by PD-L1 tumor expression.

**Figure 5: Forest Plot: PFS Based on PD-L1 Expression Comparing OPDIVO-Containing Arms - Trial 6**



## 14.2 Metastatic Non-Small Cell Lung Cancer (NSCLC)

### Second-line Treatment of Metastatic Squamous NSCLC

Trial 2 was a randomized (1:1), open-label study enrolling 272 patients with metastatic squamous NSCLC who had experienced disease progression during or after one prior platinum doublet-based chemotherapy regimen. Patients received OPDIVO (n=135) administered intravenously at 3 mg/kg every 2 weeks or docetaxel (n=137) administered intravenously at 75 mg/m<sup>2</sup> every 3 weeks. Randomization was stratified by prior paclitaxel vs other prior treatment and region (US/Canada vs. Europe vs. Rest of World). This study included patients regardless of their PD-L1 status. The trial excluded patients with autoimmune disease, medical conditions requiring systemic immunosuppression, symptomatic interstitial lung disease, or untreated brain metastasis. Patients with treated brain metastases were eligible if neurologically returned to baseline at least 2 weeks prior to enrollment, and either off corticosteroids, or on a stable or decreasing dose of <10 mg daily prednisone equivalents. The first tumor assessments were conducted 9 weeks after randomization and continued every 6 weeks thereafter. The major efficacy outcome measure was OS. Additional efficacy outcome measures were investigator-assessed ORR and PFS.

In Trial 2, the median age was 63 years (range: 39 to 85) with 44% ≥65 years of age and 11% ≥75 years of age. The majority of patients were white (93%) and male (76%); the majority of patients were enrolled in Europe (57%) with the remainder in US/Canada (32%) and the rest of the world (11%). Baseline ECOG performance status was 0 (24%) or 1 (76%) and 92% were former/current smokers. Baseline disease characteristics of the population as reported by investigators were Stage IIIb (19%), Stage IV (80%), and brain metastases (6%). All patients received prior therapy with a platinum-doublet regimen and 99% of patients had tumors of squamous-cell histology.

The trial demonstrated a statistically significant improvement in OS for patients randomized to OPDIVO as compared with docetaxel at the prespecified interim analysis when 199 events were observed (86% of the planned number of events for final analysis) (Table 16 and Figure 6).

**Table 16: Efficacy Results in Trial 2**

	<b>OPDIVO (n=135)</b>	<b>Docetaxel (n=137)</b>
<b>Overall Survival</b>		
Deaths (%)	86 (64%)	113 (82%)
Median (months) (95% CI)	9.2 (7.3, 13.3)	6.0 (5.1, 7.3)
Hazard ratio (95% CI) <sup>a</sup>	0.59 (0.44, 0.79)	
p-value <sup>b,c</sup>	0.0002	
<b>Objective Response Rate</b>		
(95% CI)	27 (20%) (14, 28)	12 (9%) (5, 15)
p-value <sup>d</sup>	0.0083	
Complete response	1 (0.7%)	0
Median duration of response, months (95% CI)	NR (9.8, NR)	8.4 (3.6, 10.8)
<b>Progression-free Survival</b>		
Disease progression or death (%)	105 (78%)	122 (89%)
Median (months)	3.5	2.8
Hazard ratio (95% CI) <sup>a</sup>	0.62 (0.47, 0.81)	
p-value <sup>b</sup>	0.0004	

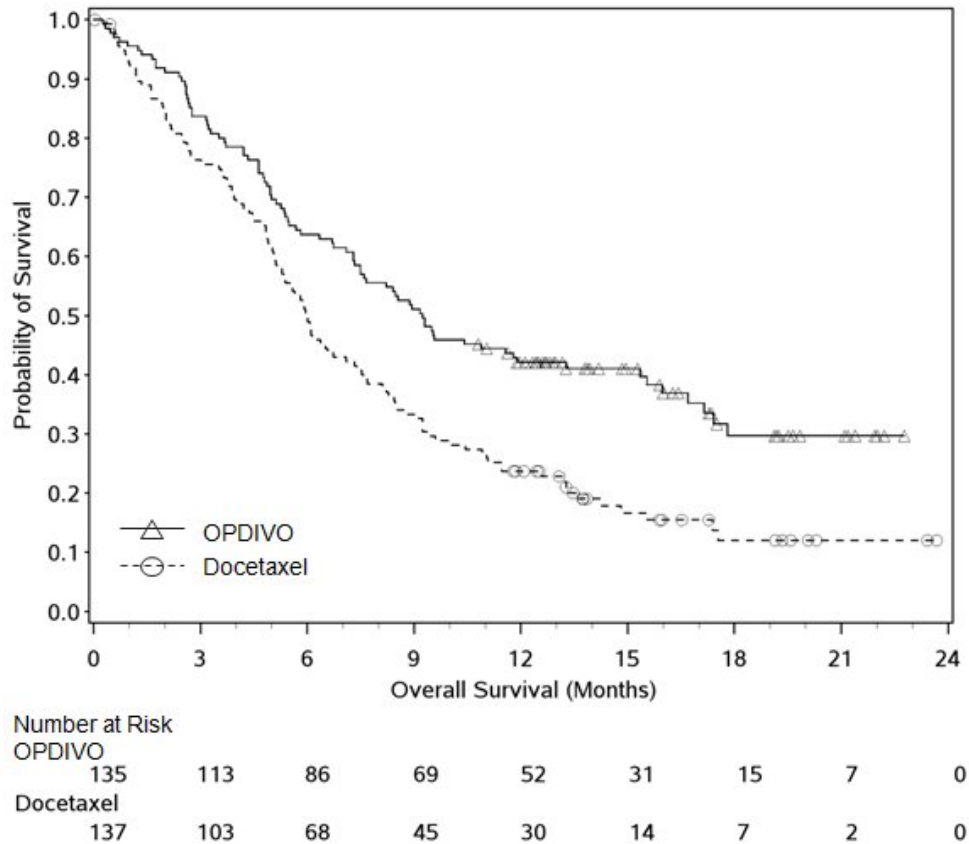
<sup>a</sup> Based on a stratified proportional hazards model.

<sup>b</sup> Based on stratified log-rank test.

<sup>c</sup> p-value is compared with .0315 of the allocated alpha for this interim analysis.

<sup>d</sup> Based on the stratified Cochran-Mantel-Haenszel test.

**Figure 6: Overall Survival - Trial 2**



Archival tumor specimens were retrospectively evaluated for PD-L1 expression. Across the study population, 17% (47/272) of patients had non-quantifiable results. Among the 225 patients with quantifiable results, 47% (106/225) had PD-L1 negative squamous NSCLC, defined as <1% of tumor cells expressing PD-L1, and 53% (119/225) had PD-L1 positive squamous NSCLC, defined as  $\geq 1\%$  of tumor cells expressing PD-L1. In pre-specified exploratory subgroup analyses, the hazard ratios for survival were 0.58 (95% CI: 0.37, 0.92) in the PD-L1 negative subgroup and 0.69 (95% CI: 0.45, 1.05) in the PD-L1 positive NSCLC subgroup.

### **Second-line Treatment of Metastatic Non-Squamous NSCLC**

Trial 3 was a randomized (1:1), open-label study of 582 patients with metastatic non-squamous NSCLC who had experienced disease progression during or after one prior platinum doublet-based chemotherapy regimen. Appropriate prior targeted therapy in patients with known sensitizing EGFR mutation or ALK translocation was allowed. Patients received OPDIVO (n=292) administered intravenously at 3 mg/kg every 2 weeks or docetaxel (n=290) administered intravenously at 75 mg/m<sup>2</sup> every 3 weeks. Randomization was stratified by prior maintenance therapy (yes vs. no) and number of prior therapies (1 vs. 2). The trial excluded patients with autoimmune disease, medical conditions requiring systemic immunosuppression, symptomatic interstitial lung disease, or untreated brain metastasis. Patients with treated brain metastases were eligible if neurologically stable. The first tumor assessments were conducted 9 weeks after

randomization and continued every 6 weeks thereafter. The major efficacy outcome measure was OS. Additional efficacy outcome measures were investigator-assessed ORR and PFS. In addition, prespecified analyses were conducted in subgroups defined by PD-L1 expression.

In Trial 3, the median age was 62 years (range: 21 to 85) with 42% of patients  $\geq 65$  years and 7% of patients  $\geq 75$  years. The majority of patients were white (92%) and male (55%); the majority of patients were enrolled in Europe (46%) followed by the US/Canada (37%) and the rest of the world (17%). Baseline ECOG performance status was 0 (31%) or 1 (69%), 79% were former/current smokers, 3.6% had NSCLC with ALK rearrangement, 14% had NSCLC with EGFR mutation, and 12% had previously treated brain metastases. Prior therapy included platinum-doublet regimen (100%) and 40% received maintenance therapy as part of the first-line regimen. Histologic subtypes included adenocarcinoma (93%), large cell (2.4%), and bronchoalveolar (0.9%).

Trial 3 demonstrated a statistically significant improvement in OS for patients randomized to OPDIVO as compared with docetaxel at the prespecified interim analysis when 413 events were observed (93% of the planned number of events for final analysis) (Table 17 and Figure 7).

**Table 17: Efficacy Results in Trial 3**

	<b>OPDIVO (n=292)</b>	<b>Docetaxel (n=290)</b>
<b>Overall Survival</b>		
Deaths (%)	190 (65%)	223 (77%)
Median (months) (95% CI)	12.2 (9.7, 15.0)	9.4 (8.0, 10.7)
Hazard ratio (95% CI) <sup>a</sup>	0.73 (0.60, 0.89)	
p-value <sup>b,c</sup>	0.0015	
<b>Objective Response Rate</b>	56 (19%)	36 (12%)
(95% CI)	(15, 24)	(9, 17)
p-value <sup>d</sup>	0.02	
Complete response	4 (1.4%)	1 (0.3%)
Median duration of response (months) (95% CI)	17 (8.4, NR)	6 (4.4, 7.0)
<b>Progression-free Survival</b>		
Disease progression or death (%)	234 (80%)	245 (84%)
Median (months)	2.3	4.2
Hazard ratio (95% CI) <sup>a</sup>	0.92 (0.77, 1.11)	
p-value <sup>b</sup>	0.39	

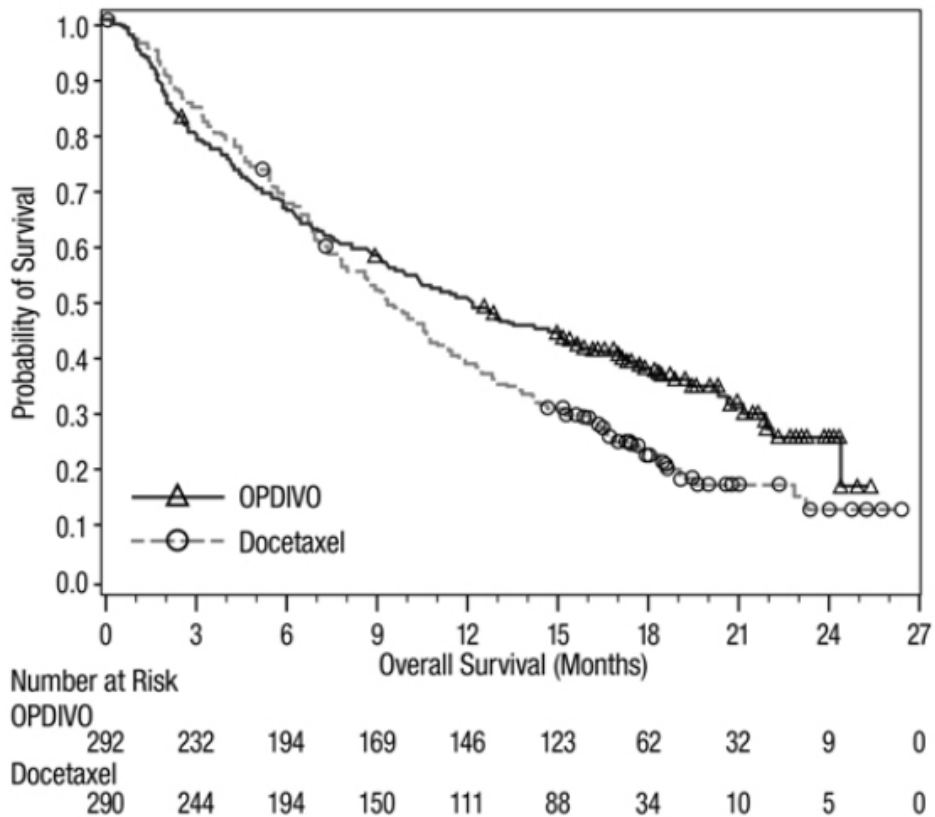
<sup>a</sup> Based on a stratified proportional hazards model.

<sup>b</sup> Based on stratified log-rank test.

<sup>c</sup> p-value is compared with .0408 of the allocated alpha for this interim analysis.

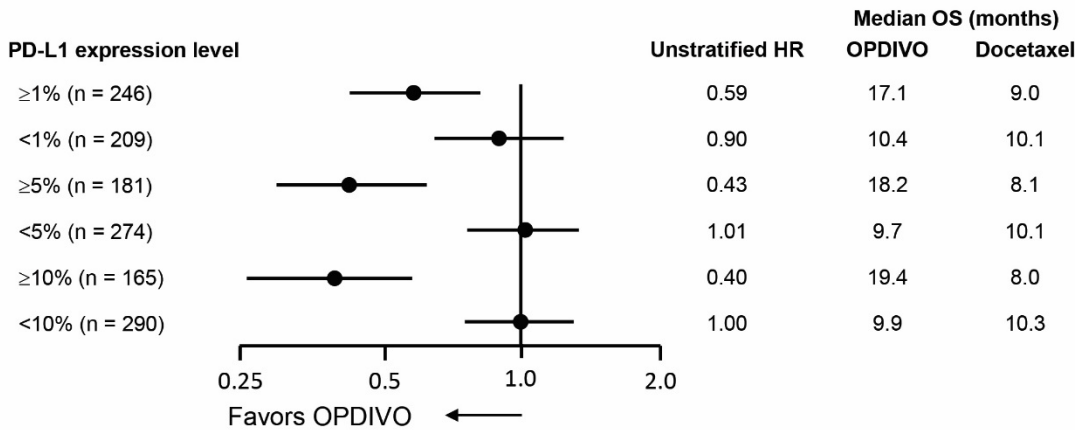
<sup>d</sup> Based on the stratified Cochran-Mantel-Haenszel test.

**Figure 7: Overall Survival - Trial 3**

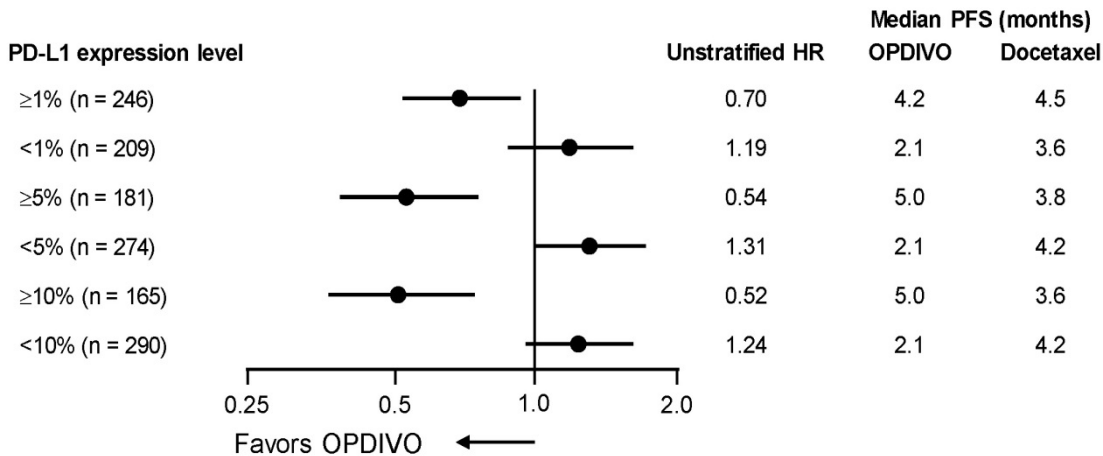


Archival tumor specimens were evaluated for PD-L1 expression following completion of the trial. Across the study population, 22% (127/582) of patients had non-quantifiable results. Of the remaining 455 patients, the proportion of patients in retrospectively determined subgroups based on PD-L1 testing using the PD-L1 IHC 28-8 pharmDx assay were: 46% (209/455) PD-L1 negative, defined as <1% of tumor cells expressing PD-L1 and 54% (246/455) had PD-L1 expression, defined as  $\geq 1\%$  of tumor cells expressing PD-L1. Among the 246 patients with tumors expressing PD-L1, 26% (65/246) had  $\geq 1\%$ , but <5% tumor cells with positive staining, 7% (16/246) had  $\geq 5\%$  but <10% tumor cells with positive staining, and 67% (165/246) had greater than or equal to 10% tumor cells with positive staining. Figure 8 summarizes the results of prespecified analyses of survival in subgroups determined by percentage of tumor cells expressing PD-L1. Figure 9 summarizes the results of prespecified analyses of progression-free survival in subgroups determined by percentage of tumor cells expressing PD-L1.

**Figure 8: Forest Plot: OS Based on PD-L1 Expression - Trial 3**



**Figure 9: Forest Plot: PFS Based on PD-L1 Expression - Trial 3**



### 14.3 Renal Cell Carcinoma

Trial 5 was a randomized (1:1), open-label study in patients with advanced RCC who had experienced disease progression during or after one or two prior anti-angiogenic therapy regimens. Patients had to have a Karnofsky Performance Score (KPS) ≥70% and patients were included regardless of their PD-L1 status. Trial 5 excluded patients with any history of or concurrent brain metastases, prior treatment with an mTOR inhibitor, active autoimmune disease, or medical conditions requiring systemic immunosuppression. Patients were stratified by region, Memorial Sloan Kettering Cancer Center (MSKCC) Risk Group and the number of prior anti-angiogenic therapies.



Patients were randomized to OPDIVO (n=410) administered intravenously at 3 mg/kg every 2 weeks or everolimus (n=411) administered orally 10 mg daily. The median age was 62 years (range: 18 to 88) with 40% ≥65 years of age and 9% ≥75 years of age. The majority of patients were male (75%) and white (88%) and 34% and 66% of patients had a baseline KPS of 70% to 80% and 90% to 100%, respectively. The majority of patients (77%) were treated with one prior anti-angiogenic therapy. Patient distribution by MSKCC risk groups was 34% favorable, 47% intermediate, and 19% poor.

The first tumor assessments were conducted 8 weeks after randomization and continued every 8 weeks thereafter for the first year and then every 12 weeks until progression or treatment discontinuation, whichever occurred later.

The major efficacy outcome measure was overall survival (OS). The trial demonstrated a statistically significant improvement in OS for patients randomized to OPDIVO as compared with everolimus at the prespecified interim analysis when 398 events were observed (70% of the planned number of events for final analysis) (Table 18 and Figure 10). OS benefit was observed regardless of PD-L1 expression level.

Other endpoints include confirmed objective response rates, which are also presented in Table 18.

**Table 18: Efficacy Results - Trial 5**

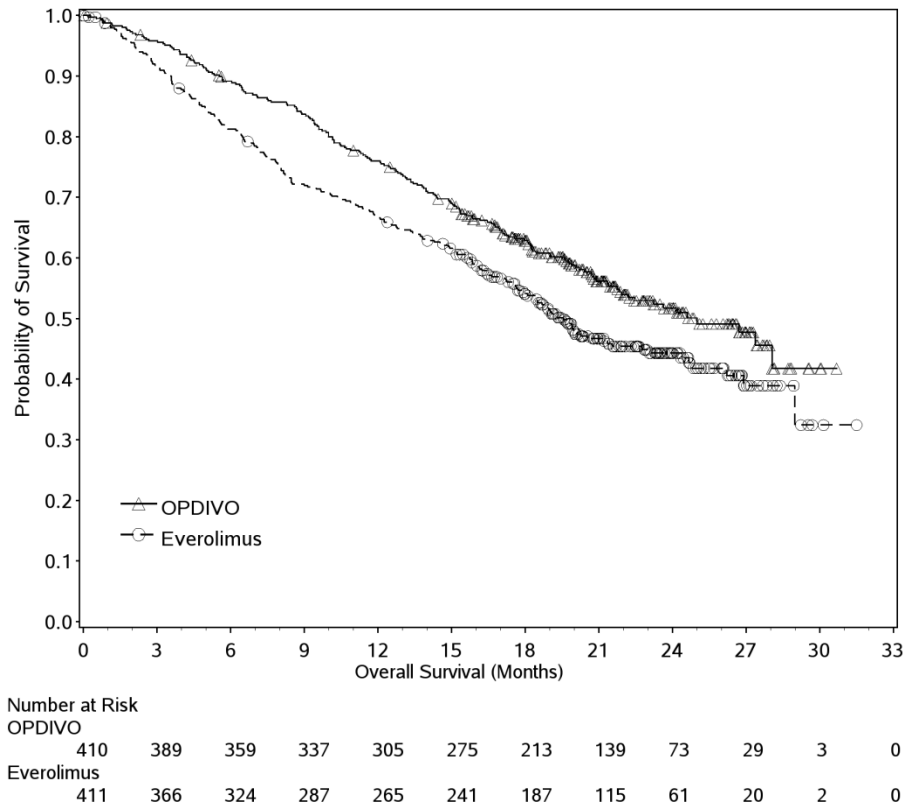
	<b>OPDIVO (n=410)</b>	<b>Everolimus (n=411)</b>
<b>Overall Survival</b>		
Deaths (%)	183 (45)	215 (52)
Median survival in months (95% CI)	25.0 (21.7, NE)	19.6 (17.6, 23.1)
Hazard ratio (95% CI) <sup>a</sup>	0.73 (0.60, 0.89)	
p-value <sup>b,c</sup>	0.0018	
<b>Confirmed Objective Response Rate (95% CI)</b>	21.5% (17.6, 25.8)	3.9% (2.2, 6.2)
Median duration of response in months (95% CI)	23.0 (12.0, NE)	13.7 (8.3, 21.9)
Median time to onset of confirmed response in months (min, max)	3.0 (1.4, 13.0)	3.7 (1.5, 11.2)

<sup>a</sup> Based on a stratified proportional hazards model.

<sup>b</sup> Based on a stratified log-rank test.

<sup>c</sup> p-value is compared with .0148 of the allocated alpha for this interim analysis.

**Figure 10: Overall Survival - Trial 5**



#### 14.4 Classical Hodgkin Lymphoma

Two studies evaluated the efficacy of OPDIVO as a single agent in patients with cHL after failure of autologous HSCT and post-transplantation brentuximab vedotin.

Trial 7 was a single-arm, open-label, multicenter, multicohort study in cHL. Trial 8 was an open-label, multicenter, dose escalation study that included cHL. Both studies included patients regardless of their tumor PD-L1 status and excluded patients with ECOG performance status of 2 or greater, autoimmune disease, symptomatic interstitial lung disease, hepatic transaminases more than 3 times ULN, creatinine clearance less than 40 mL/min, prior allogeneic HSCT, or chest irradiation within 24 weeks. In addition, both studies required an adjusted diffusion capacity of the lungs for carbon monoxide (DLCO) of over 60% in patients with prior pulmonary toxicity.

Patients received 3 mg/kg of OPDIVO administered intravenously over 60 minutes every 2 weeks until disease progression, maximal clinical benefit, or unacceptable toxicity. A cycle consisted of one dose. Dose reduction was not permitted.

Efficacy was evaluated by objective response rate (ORR) as determined by an independent radiographic review committee (IRRC). Additional outcome measures included duration of response. Efficacy was evaluated in 95 patients in Trials 7 and 8 combined who had received brentuximab vedotin after failure of autologous HSCT. The median age was 37 years (range: 18

to 72). The majority were male (64%) and white (87%). Patients had received a median of 5 prior systemic regimens (range: 3 to 15).

Results are shown in Table 19. Patients received a median of 17 doses of OPDIVO (range 3 to 48), with a median duration of therapy of 8.3 months (range 1.9 to 24 months).

**Table 19: Efficacy in cHL after Autologous HSCT and Brentuximab Vedotin**

	<b>Trial 7 and Trial 8 (n=95)</b>
<b>Objective Response Rate, n (%)<sup>a</sup></b> (95% CI)	62 (65%) (55, 75)
Complete Remission Rate (95% CI)	7 (7%) (3, 15)
Partial Remission Rate (95% CI)	55 (58%) (47, 68)
<b>Median Duration of Response (months)</b> (95% CI) Range	8.7 (6.8, NE) 0.0+, 23.1+
<b>Median Time to Response (months)</b> Range	2.1 0.7, 5.7

<sup>a</sup> Per 2007 revised International Working Group criteria.

#### **14.5 Recurrent or Metastatic Squamous Cell Carcinoma of the Head and Neck (SCCHN)**

Trial 9 was a randomized (2:1), active-controlled, open-label study enrolling patients with metastatic or recurrent SCCHN who had experienced disease progression during or within 6 months of receiving platinum-based therapy administered in either the adjuvant, neo-adjuvant, primary (unresectable locally advanced) or metastatic setting. The trial excluded patients with autoimmune disease, medical conditions requiring immunosuppression, recurrent or metastatic carcinoma of the nasopharynx, squamous cell carcinoma of unknown primary histology, salivary gland or non-squamous histologies (e.g., mucosal melanoma), or untreated brain metastasis. Patients with treated brain metastases were eligible if neurologically stable. Patients were randomized to receive OPDIVO administered intravenously (IV) at 3 mg/kg every 2 weeks or investigator's choice of:

- cetuximab 400 mg/m<sup>2</sup> loading dose IV followed by 250 mg/m<sup>2</sup> weekly,
- methotrexate 40 to 60 mg/m<sup>2</sup> IV weekly, or
- docetaxel 30 to 40 mg/m<sup>2</sup> IV weekly.

Randomization was stratified by prior cetuximab treatment (yes/no). The first tumor assessments were conducted 9 weeks after randomization and continued every 6 weeks thereafter. The major efficacy outcome measure was OS. Additional efficacy outcome measures were PFS and ORR.

In Trial 9, total of 361 patients were randomized; 240 patients to OPDIVO and 121 patients to investigator's choice (45% received docetaxel, 43% received methotrexate, and 12% received cetuximab). The median age was 60 years (range: 28 to 83) with 31% ≥65 years of age, 83% were White, 12% Asian, and 4% were Black, and 83% male. Baseline ECOG performance status

was 0 (20%) or 1 (78%), 76% were former/current smokers, 90% had Stage IV disease, 45% of patients received only one prior line of systemic therapy, the remaining 55% received two or more prior lines of systemic therapy, and 25% had HPVp16-positive tumors, 24% had HPV p16-negative tumors, and 51% had unknown status.

The trial demonstrated a statistically significant improvement in OS for patients randomized to OPDIVO as compared with investigator's choice at a pre-specified interim analysis (78% of the planned number of events for final analysis). The survival results are displayed in Table 22 and Figure 11. There were no statistically significant differences between the two arms for PFS (HR=0.89; 95% CI: 0.70, 1.13) or ORR (13.3% [95% CI: 9.3, 18.3] vs 5.8% [95% CI: 2.4, 11.6] for nivolumab and investigator's choice, respectively).

**Table 22: Overall Survival in Trial 9**

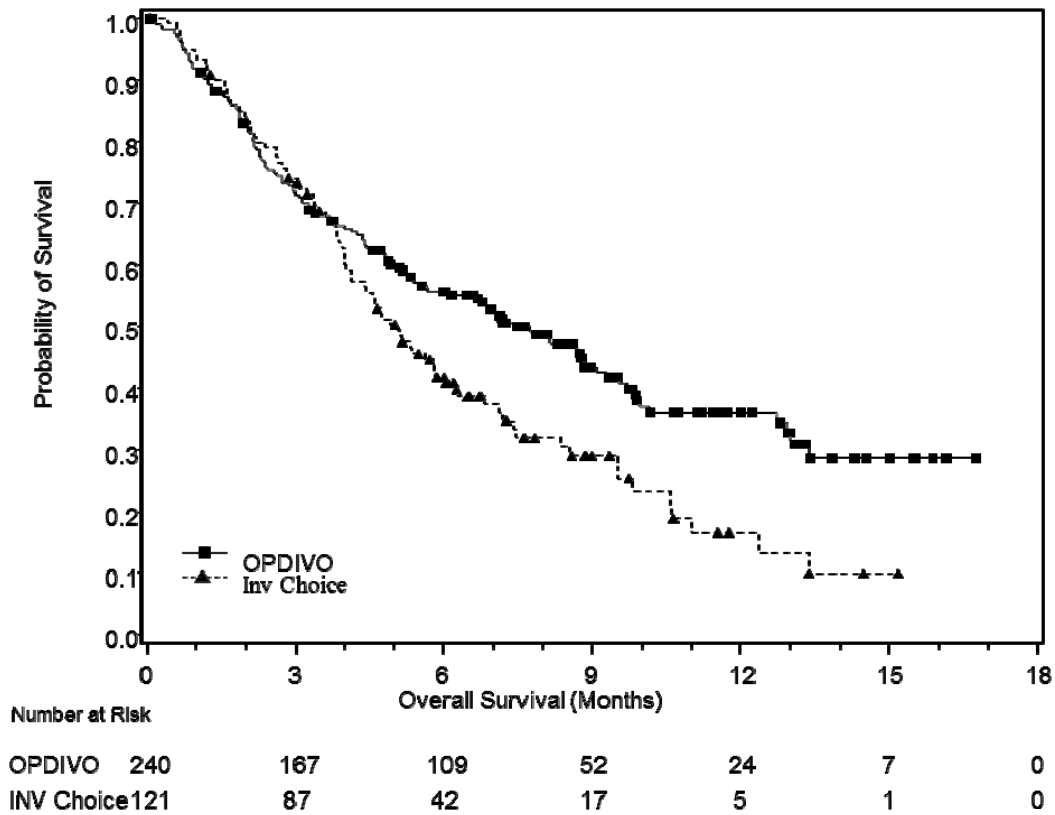
	<b>OPDIVO (n=240)</b>	<b>Investigator's Choice (n=121)</b>
<b>Overall Survival</b>		
Deaths (%)	133 (55%)	85 (70%)
Median (months) (95% CI)	7.5 (5.5, 9.1)	5.1 (4.0, 6.0)
Hazard ratio (95% CI) <sup>a</sup>	0.70 (0.53, 0.92)	
p-value <sup>b,c</sup>	0.0101	

<sup>a</sup> Based on stratified proportional hazards model.

<sup>b</sup> Based on stratified log-rank test.

<sup>c</sup> p-value is compared with 0.0227 of the allocated alpha for this interim analysis.

**Figure 11: Overall Survival - Trial 9**



Archival tumor specimens were retrospectively evaluated for PD-L1 expression using the PD-L1 IHC 28-8 pharmDx assay. Across the study population, 28% (101/361) of patients had non-quantifiable results. Among the 260 patients with quantifiable results, 43% (111/260) had PD-L1 negative SCCHN, defined as <1% of tumor cells expressing PD-L1, and 57% (149/260) had PD-L1 positive SCCHN, defined as  $\geq 1\%$  of tumor cells expressing PD-L1. In pre-specified exploratory subgroup analyses, the hazard ratio for survival was 0.89 (95% CI: 0.54, 1.45) with median survivals of 5.7 and 5.8 months for the nivolumab and chemotherapy arms, respectively, in the PD-L1 negative subgroup. The HR for survival was 0.55 (95% CI: 0.36, 0.83) with median survivals of 8.7 and 4.6 months for the nivolumab and chemotherapy arms, respectively, in the PD-L1 positive SCCHN subgroup.

## 16 HOW SUPPLIED/STORAGE AND HANDLING

OPDIVO® (nivolumab) is available as follows:

Carton Contents	NDC
40 mg/4 mL single-dose vial	0003-3772-11
100 mg/10 mL single-dose vial	0003-3774-12

Store OPDIVO under refrigeration at 2°C to 8°C (36°F to 46°F). Protect OPDIVO from light by storing in the original package until time of use. Do not freeze or shake.

## 17 PATIENT COUNSELING INFORMATION

Advise the patient to read the FDA-approved patient labeling (Medication Guide).

Inform patients of the risk of immune-mediated adverse reactions that may require corticosteroid treatment and withholding or discontinuation of OPDIVO, including:

- Pneumonitis: Advise patients to contact their healthcare provider immediately for any new or worsening cough, chest pain, or shortness of breath [see *Warnings and Precautions (5.1)*].
- Colitis: Advise patients to contact their healthcare provider immediately for diarrhea or severe abdominal pain [see *Warnings and Precautions (5.2)*].
- Hepatitis: Advise patients to contact their healthcare provider immediately for jaundice, severe nausea or vomiting, pain on the right side of abdomen, lethargy, or easy bruising or bleeding [see *Warnings and Precautions (5.3)*].
- Endocrinopathies: Advise patients to contact their healthcare provider immediately for signs or symptoms of hypophysitis, adrenal insufficiency, hypothyroidism, hyperthyroidism, and diabetes mellitus [see *Warnings and Precautions (5.4)*].
- Nephritis and Renal Dysfunction: Advise patients to contact their healthcare provider immediately for signs or symptoms of nephritis including decreased urine output, blood in urine, swelling in ankles, loss of appetite, and any other symptoms of renal dysfunction [see *Warnings and Precautions (5.5)*].
- Skin Adverse Reactions: Advise patients to contact their healthcare provider immediately for rash [see *Warnings and Precautions (5.6)*].
- Encephalitis: Advise patients to contact their healthcare provider immediately for neurological signs or symptoms of encephalitis [see *Warnings and Precautions (5.7)*].
- Infusion Reactions: Advise patients of the potential risk of infusion reaction [see *Warnings and Precautions (5.9)*].
- Complications of allogeneic HSCT after OPDIVO: Advise patients of potential risk of post-transplant complications [see *Warnings and Precautions (5.10)*].
- Females of Reproductive Potential: Advise females of reproductive potential of the potential risk to a fetus and to inform their healthcare provider of a known or suspected pregnancy [see *Warnings and Precautions (5.11)*, *Use in Specific Populations (8.1)*]. Advise females of reproductive potential to use effective contraception during treatment

with OPDIVO and for at least 5 months following the last dose of OPDIVO [*see Use in Specific Populations (8.3)*].

- Lactation: Advise women not to breastfeed while taking OPDIVO [*see Use in Specific Populations (8.2)*].

Manufactured by:

Bristol-Myers Squibb Company

Princeton, NJ 08543 USA

U.S. License No. 1713

**MEDICATION GUIDE**  
**OPDIVO® (op-DEE-voh)**  
**(nivolumab)**  
**Injection**

Read this Medication Guide before you start receiving OPDIVO and before each infusion. There may be new information. If your healthcare provider prescribes OPDIVO in combination with ipilimumab (YERVOY®), also read the Medication Guide that comes with ipilimumab. This Medication Guide does not take the place of talking with your healthcare provider about your medical condition or your treatment.

**What is the most important information I should know about OPDIVO?**

OPDIVO is a medicine that may treat your melanoma, lung cancer, kidney cancer, blood cancer, or head and neck cancer by working with your immune system. OPDIVO can cause your immune system to attack normal organs and tissues in many areas of your body and can affect the way they work. These problems can sometimes become serious or life-threatening and can lead to death. These problems may happen anytime during treatment or even after your treatment has ended. Some of these problems may happen more often when OPDIVO is used in combination with ipilimumab.

**Call or see your healthcare provider right away if you develop any symptoms of the following problems or these symptoms get worse:**

**Lung problems (pneumonitis).** Symptoms of pneumonitis may include:

- new or worsening cough
- chest pain
- shortness of breath

**Intestinal problems (colitis) that can lead to tears or holes in your intestine.** Signs and symptoms of colitis may include:

- diarrhea (loose stools) or more bowel movements than usual
- blood in your stools or dark, tarry, sticky stools
- severe stomach-area (abdomen) pain or tenderness

**Liver problems (hepatitis).** Signs and symptoms of hepatitis may include:

- yellowing of your skin or the whites of your eyes
- dark urine (tea colored)
- severe nausea or vomiting
- bleeding or bruising more easily than normal
- pain on the right side of your stomach area (abdomen)
- feeling less hungry than usual
- drowsiness

**Hormone gland problems (especially the thyroid, pituitary, adrenal glands, and pancreas).** Signs and symptoms that your hormone glands are not working properly may include:

- headaches that will not go away or unusual headaches
- hair loss
- extreme tiredness
- feeling cold
- weight gain or weight loss
- constipation
- dizziness or fainting
- voice gets deeper
- changes in mood or behavior, such as decreased sex drive, irritability, or forgetfulness
- excessive thirst or lots of urine

**Kidney problems, including nephritis and kidney failure.** Signs of kidney problems may include:

- decrease in the amount of urine
- swelling in your ankles
- blood in your urine
- loss of appetite

**Skin Problems.** Signs of these problems may include:

- rash
- skin blistering
- itching
- ulcers in mouth or other mucous membranes

**Inflammation of the brain (encephalitis).** Signs and symptoms of encephalitis may include:

- headache
- sleepiness
- fever
- seeing or hearing things that are not really there (hallucinations)
- tiredness or weakness
- seizures
- confusion
- stiff neck
- memory problems

**Problems in other organs.** Signs of these problems may include:

- changes in eyesight
- severe muscle weakness
- severe or persistent muscle or joint pains

**Getting medical treatment right away may keep these problems from becoming more serious.**

Your healthcare provider will check you for these problems during treatment with OPDIVO. Your healthcare provider may



treat you with corticosteroid or hormone replacement medicines. Your healthcare provider may also need to delay or completely stop treatment with OPDIVO, if you have severe side effects.

### What is OPDIVO?

OPDIVO is a prescription medicine used to treat:

- **a type of skin cancer called melanoma that has spread or cannot be removed by surgery (advanced melanoma).** You may receive OPDIVO alone or in combination with ipilimumab.

- **a type of advanced stage lung cancer (called non-small cell lung cancer)**

**OPDIVO may be used when your lung cancer:**

- has spread or grown, **and**
- you have tried chemotherapy that contains platinum, and it did not work or is no longer working.

If your tumor has an abnormal EGFR or ALK gene, you should have also tried an FDA-approved therapy for tumors with these abnormal genes, **and** it did not work or is no longer working.

- **kidney cancer (renal cell carcinoma)**

- OPDIVO may be used when your cancer has spread or grown after treatment with other cancer medications.

- **a type of blood cancer that affects white blood cells known as lymphocytes (called classical Hodgkin lymphoma)**

**OPDIVO may be used if:**

- your cancer has come back or spread after a type of stem cell transplant that uses your own stem cells (autologous), **and**
- you used the drug brentuximab vedotin (Adcetris<sup>®</sup>) after your stem cell transplant.

- **head and neck cancer**

**OPDIVO may be used when your head and neck cancer:**

- has come back or spread, **and**
- you have tried chemotherapy that contains platinum and it did not work or is no longer working.

It is not known if OPDIVO is safe and effective in children less than 18 years of age.

### What should I tell my healthcare provider before receiving OPDIVO?

**Before you receive OPDIVO, tell your healthcare provider if you:**

- have immune system problems such as Crohn's disease, ulcerative colitis, or lupus
- have had an organ transplant
- have lung or breathing problems
- have liver problems
- have any other medical conditions
- are pregnant or plan to become pregnant. OPDIVO can harm your unborn baby.
  - Females who are able to become pregnant should use an effective method of birth control during and for at least 5 months after the last dose of OPDIVO. Talk to your healthcare provider about birth control methods that you can use during this time.
  - Tell your healthcare provider right away if you become pregnant during treatment with OPDIVO.
- are breastfeeding or plan to breastfeed. It is not known if OPDIVO passes into your breast milk. Do not breastfeed during treatment with OPDIVO.

**Tell your healthcare provider about all the medicines you take, including prescription and over-the-counter medicines, vitamins, and herbal supplements.**

Know the medicines you take. Keep a list of them to show your healthcare providers and pharmacist when you get a new medicine.

### How will I receive OPDIVO?

- Your healthcare provider will give you OPDIVO into your vein through an intravenous (IV) line over 60 minutes.
- OPDIVO is usually given every 2 weeks.
- When used in combination with ipilimumab, OPDIVO is usually given every 3 weeks, for a total of 4 doses. Ipilimumab will be given on the same day. After that, OPDIVO will be given alone every 2 weeks.
- Your healthcare provider will decide how many treatments you need.
- Your healthcare provider will do blood tests to check you for side effects.
- If you miss any appointments, call your healthcare provider as soon as possible to reschedule your appointment.

### What are the possible side effects of OPDIVO?

**OPDIVO can cause serious side effects, including:**

- **See “What is the most important information I should know about OPDIVO?”**
- **Severe infusion reactions.** Tell your doctor or nurse right away if you get these symptoms during an infusion of OPDIVO:
  - chills or shaking
  - itching or rash
  - flushing
  - difficulty breathing
  - dizziness
  - fever
  - feeling like passing out
- **Complications of stem cell transplant that uses donor stem cells (allogeneic) after treatment with OPDIVO.** These complications can be severe and can lead to death. Your healthcare provider will monitor you for signs of complications if you have an allogeneic stem cell transplant.

**The most common side effects of OPDIVO when used alone include:**

- feeling tired
- pain in muscles, bones, and joints
- diarrhea
- cough
- constipation
- back pain
- fever
- rash
- itchy skin
- nausea
- shortness of breath
- decreased appetite
- upper respiratory tract infection
- weakness

**The most common side effects of OPDIVO when used in combination with ipilimumab include:**

- feeling tired
- diarrhea
- fever
- shortness of breath
- rash
- nausea
- vomiting

These are not all the possible side effects of OPDIVO. For more information, ask your healthcare provider or pharmacist. Call your doctor for medical advice about side effects. You may report side effects to FDA at 1-800-FDA-1088.

**General information about the safe and effective use of OPDIVO.**

Medicines are sometimes prescribed for purposes other than those listed in a Medication Guide. If you would like more information about OPDIVO, talk with your healthcare provider. You can ask your healthcare provider for information about OPDIVO that is written for health professionals.

**What are the ingredients in OPDIVO?**

**Active ingredient:** nivolumab

**Inactive ingredients:** mannitol, pentetic acid, polysorbate 80, sodium chloride, sodium citrate dihydrate, and Water for Injection. May contain hydrochloric acid and/or sodium hydroxide.

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For more information, call 1-855-673-4861 or go to [www.OPDIVO.com](http://www.OPDIVO.com).

This Medication Guide has been approved by the U.S. Food and Drug Administration.

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