CENTER FOR DRUG EVALUATION AND RESEARCH

APPLICATION NUMBER:

125514Orig1s024

OTHER REVIEW(S)

PMR/PMC DEVELOPMENT TEMPLATE

For 506B Reportable[1] PMRs and PMCs only

This form describes and provides the rationale for postmarketing requirements/commitments (PMRs/PMCs) subject to reporting requirements under section 506B of the FDCA.

Complete this form using the *instructions* (see Appendix A) and by referring to ***MAPP 6010.9***, "Procedures and Responsibilities for Developing Postmarketing Commitments and Requirements."

Note: Do ***not*** use this template for CMC PMCs. Instead, use the CMC PMC Development Template.[1]

SECTION A: Administrative Information

NDA/BLA/Supplement #	**BLA 125514/S-24**
PMR/PMC Set (####-#)	3258-1
Product Name:	Keytruda (pembrolizumab)
Applicant Name:	Merck Sharp & Dohme Corp.
ODE/Division:	OHOP/DOP2

SECTION B: PMR/PMC Information

1. PMR/PMC Description

Conduct and submit the results of one or more randomized trials to verify and describe the clinical benefit of pembrolizumab over standard therapy based on a clinically meaningful improvement in overall survival in patients with PD-L1 positive, microsatellite stable/mismatch repair (MMR) proficient metastatic gastric or gastro-esophageal junction adenocarcinoma.

2. PMR/PMC Schedule Milestones[2, 3]

Study/Trial Completion:	01/2019
Final Report Submission:	07/2019

[1] 506B "reportable" includes all studies/trials an applicant has agreed upon or is required to conduct related to clinical safety, clinical efficacy, clinical pharmacology, or nonclinical toxicology (21 CFR 314.81(b)(2)(vii) and 21 CFR 601.70(a)). All PMRs are considered 506 "reportable." A separate development template is used for 506 B non-reportable (e.g., chemistry, manufacturing, and controls (CMC)) PMCs, which is located in the CST.

[2] *Final protocol*, *study/trial completion*, and *final report* submissions are required milestones. *Draft protocol submissions* and *interim* milestones are optional. EXCEPTION: PMRs/PMCs for medical countermeasures may have only draft/final protocol submission dates and no other milestones, since the study/trial will only be initiated in the event of an emergency. Interim milestones may include interim report milestones for studies/trials that may be of long duration. May include interim subject accrual milestone (e.g., for accelerated approval PMRs). Other milestones should be justified in Section D, question 3.

[3] Dates should be numerical (e.g., 05/2016). PREA PMR date format may be MM/DD/YYYY if a day is specified.

SECTION C: PMR/PMC Rationale

1. Describe the particular review issue and the goal of the study[4] or clinical trial[5] in the text box below.

Pembrolizumab is approved based on the results of a single-arm trial (KEYNOTE-059) which was designed to evaluate the objective response rate as the primary efficacy endpoint. A favorable benefit:risk assessment was made in the setting of a life-threatening disease with an unmet need. The PMR is requested in accordance with 21 CFR 601.41 Subpart E (accelerated approval), to confirm the clinical benefit of treatment with pembrolizumab.

2. Explain why this issue can be evaluated post-approval and does not need to be addressed prior to approval. (Select one explanation below.)

- ☐ Subpart I or H (animal efficacy rule) PMR: Approved under Subpart I or H (animal efficacy rule) authorities; postmarketing study/trial required to verify and describe clinical benefit ***[Skip to Q.5]***
- ☒ Subpart H or E (accelerated approval) PMR: Approved under Subpart H or E (accelerated approval) authorities; postmarketing study/trial required to verify and describe clinical benefit ***[Skip to Q.5]***
- ☐ PREA PMR: Meets PREA postmarketing pediatric study *requirements* ***[Skip to Q.5]***
- ☐ FDAAA PMR (safety): Benefit/risk profile of the drug appears favorable; however, there are uncertainties about aspects of the drug's safety profile. Because the investigation will evaluate a serious risk, it meets FDAAA requirements for a postmarketing safety study or trial ***[Go to Q.3]***
- ☐ PMC (506B reportable): Benefit/risk profile of the drug appears favorable; however, there are uncertainties about aspects of the drug's efficacy profile or other issues. The purpose of the investigation does not meet requirements under Subpart I/H , H/E, PREA, or FDAAA to be a PMR, and therefore the investigation is a PMC. ***[Go to Q.3]***

3. For FDAAA PMRs and 506B PMCs only

The study or trial can be conducted post-approval because: *[Select all that apply]*

- ☐ Longer-term data needed to further characterize the safety/efficacy of the drug
- ☐ Based on the purpose and/or design, it is only feasible to conduct the study/trial post-approval
- ☐ Prior clinical experience (e.g., with other drugs in the class) indicates adequate safety or efficacy data to support approval, but some uncertainties about safety or efficacy remain and should be further characterized
- ☐ Only a small subpopulation is affected (e.g., patients with severe renal impairment) and effects of the drug in the subpopulation can be further evaluated after approval
- ☐ Study/trial is to further explore a theoretical concern that does not impact the approval determination
- ☐ Other reason (describe in text box below)

[If you selected "other reason," expand on the reason(s) why it is appropriate to conduct the study/trial postapproval and why the issue does not need to be addressed *prior to* approval.]

[4] A "study" is an investigation that is not a clinical trial, such as an observational (epidemiologic) study, animal study, or laboratory experiment.

[5] A "clinical trial" is any prospective investigation in which the applicant or investigator determines the method of assigning the drug product(s) or other interventions to one or more human subjects. Note that under PREA, clinical trials involving pediatric patients are specifically referred to as "studies."

4. **For FDAAA PMRs only** ***[for PMCs skip to Q.5]. Complete this entire section***

a. The purpose of the study/clinical trial is to: ***[Select one, then go to Q.4.b]***

- ☐ Assess a known serious risk related to the use of the drug
- ☐ Assess a signal of serious risk related to the use of the drug
- ☐ Identify an unexpected serious risk when available data indicate the potential for a serious risk

Complete Q4.b if the necessary data can only be obtained through a particular type of nonclinical study or clinical pharmacology trial. Otherwise complete Q4.c and Q4.d.

b. FAERS[6] and Sentinel's postmarket ARIA[7] system are not sufficient for the purposes described in Q1. and Q4.a because the safety issue involves:

[Select all that apply then to skip to Q.5. If none apply, answer both Q4.c and Q4.d]

- ☐ A serious risk of genotoxicity, carcinogenicity, or reproductive toxicity, and these signals are initially best assessed through in vitro or animal studies.
- ☐ A potential drug interaction resulting in lower/higher drug exposure and resultant serious drug risks, and accurate assessment of an interaction is feasible only through in vitro mechanistic studies or clinical pharmacokinetic and pharmacodynamics trials.
- ☐ The potential for lower/higher drug exposure and resultant serious drug risks in patients with hepatic or renal impairment, or other metabolic abnormalities, and accurate assessment is feasible only through in vitro mechanistic studies or clinical pharmacokinetic and pharmacodynamics trials.
- ☐ An immunologic concern for which accurate assessment requires in vitro development or validation of specific assays.

[6] FDA Adverse Event Reporting System (FAERS)

[7] Active Risk Identification and Analysis (ARIA)

Complete Q4.c when FAERS cannot provide the necessary data and Q4.b does not apply

c. FAERS data cannot be used to fully characterize the serious risk of interest because:

[Select all that apply then go to Q.4.d]

- ☐ Assessment of the serious risk necessitates calculation of the rate of occurrence (e.g., incidence or odds ratio) of the adverse event(s), and FAERS data cannot be used for such a calculation.
- ☐ The serious risk of concern has a delayed time to onset, or delayed time to detection after exposure (e.g., cancer), and FAERS data are more useful for detecting events that are closely linked in time to initiation of drug therapy.
- ☐ The serious risk of concern occurs commonly in the population (e.g., myocardial infarction) and FAERS data are more useful in detecting rare serious adverse events for which the background rates are low.
- ☐ Other

[If you selected "other," expand on the reason(s) why FAERS is not sufficient.]

Complete Q4.d when the ARIA system cannot provide the necessary data and Q4.b does not apply.

d. The currently available data within the ARIA system cannot be used to fully characterize the serious risk of interest because: ***[Select all that apply then go to Q.4.e]***

- ☐ Cannot identify exposure to the drug(s) of interest in the database.
- ☐ Serious risk (adverse event) of concern cannot be identified in the database.
- ☐ The population(s) of interest cannot be identified in the database.
- ☐ Long-term follow-up information required to assess the serious risk are not available in the database.
- ☐ Important confounders or covariates are not available or well represented in the database.
- ☐ The database does not contain an adequate number of exposed patients to provide sufficient statistical power to analyze the association between the drug and the serious risk of concern.
- ☐ The purpose of the evaluation is to rule out a modest relative risk, and observational studies, such as an ARIA analysis, are not well suited for such use.
- ☐ Other

[If you selected "other," expand on the reason(s) why ARIA is not sufficient.]

e. **If FAERS and the ARIA system are not sufficient for the purpose in Q1. and Q4.a, is a study sufficient?**
[Select either "Yes" or "No" and provide the appropriate responses.]

☐ **Yes**, a study is sufficient *[Explain your answer in the textbox and then go to Q.5]*

[Explain why a study is sufficient]

☐ **No**, a study is not sufficient *[Select all explanations that apply then go to Q.4.f]*

- ☐ Need to minimize bias and/or confounding via randomization
- ☐ Need for placebo control
- ☐ Need to capture detailed information about covariates or confounders that are either not routinely collected during the usual course of medical practice, or are not collected at the frequency needed for assessment of the safety issue (e.g. hourly blood glucose measures, etc.).
- ☐ Need pre-specified and prospective active data collection of the outcome/endpoint of interest
- ☐ Other

[If you selected "other," expand on the reason(s) why a study is not sufficient.]

f. ☐ **Because a study is not sufficient, a clinical trial is required.** *[Go to Q.5]*

5. **<u>For all PMRs and PMCs</u>: What type of study or clinical trial is needed to achieve the goal described in Q1 *<u>or</u>* Q4.a above?**
[Select ONE OPTION only under <u>either</u> "Type of Study" <u>or</u> "Type of clinical Trial"]

TYPE OF STUDY
☐ Drug interaction or bioavailability studies (nonclinical only)
☐ Epidemiologic (observational) study related to safe drug use
☐ Epidemiologic (observational) study not related to safe drug use (e.g., natural history of disease, background rates of adverse events)
☐ Immunogenicity study (nonclinical)
☐ Meta-analysis or pooled analysis of previous observational studies
☐ Nonclinical (animal) study (e.g., genotoxicity, carcinogenicity, reproductive toxicology)
☐ Nonclinical (in vitro) study (laboratory/microbiology resistance, receptor affinity)
☐ Pharmacogenetic or pharmacogenomic study
☐ Pharmacokinetic (PK) and/or pharmacodynamics (PD) study (nonclinical only)
☐ Quality CMC study (e.g., manufacturing, studies on impurities)
☐ Quality stability study
☐ Registry-based observational study

TYPE OF STUDY
☐ Other (describe) _____

TYPE OF CLINICAL TRIAL
☐ Combined PK/PD, safety and/or efficacy trial (*PREA* PMRs only*)
☐ Dose-response clinical trial
☐ Dosing trial (e.g., alternative dosing schedule)
☐ Drug interaction or bioavailability clinical trial (clinical only)
☐ Immunogenicity trial (clinical)
☐ Meta-analysis or pooled analysis of previous clinical trials
☐ Pharmacogenetic or pharmacogenomic clinical trial
☐ Pharmacokinetic (PK) and/or pharmacodynamic (PD) clinical trial
☒ Primary efficacy clinical trial (i.e, with a primary efficacy endpoint; to further define efficacy; may include secondary safety endpoints)
☐ Primary safety clinical trial (e.g., to evaluate the long-term safety of a drug; to evaluate drug toxicity in a subpopulation; may include secondary efficacy endpoints) – *excludes SOT*
☐ Safety outcomes trial (SOT)**
☐ Thorough Q-T clinical trial
☐ Other (describe) _____

* Note that under PREA, clinical trials involving pediatric patients are specifically referred to as "studies." However, for the purposes of this template, PREA investigations are categorized according to the established definitions of "studies" and "trials" (see Footnotes 3 and 4).

** A safety outcomes trial (SOT) is defined as a large, prospective, randomized, controlled trial that is specifically designed and adequately powered to test a safety hypothesis using a clinical outcome, generally irreversible morbidity or mortality, as the primary trial endpoint. A cardiovascular outcomes trial (CVOT) is an example of an SOT.

SECTION D: PMR/PMC Additional Information

1. This PMR/PMC applies to other drugs or applications (e.g. drugs in a therapeutic class; different formulations of the same drug).

☐ Yes

☒ No

2. **This study or clinical trial focuses on the following special population(s) or circumstance(s):** ***[Select all that apply]***

- ☐ *For non-PREA pediatric studies/trials only*: Pediatric population
- ☐ Geriatric population
- ☐ Lactating/nursing mothers
- ☐ Medical Countermeasures (e.g. anthrax exposure, bioterrorism)
- ☒ Orphan or rare disease population
- ☐ Pregnant women
- ☐ Racial/ethnic population
- ☐ Not applicable

3. **(Complete if applicable) Additional comments about the PMR/PMC** (e.g., points or concerns not previously described; explanation for inclusion of milestones other than the 3 "core" milestones or draft protocol submission)

On September 15, 2017, Merck submitted an amendment to the supplemental BLA stating that either KEYNOTE-061 or (b) (4) could fulfill the PMR. Both studies were ongoing at the time of the BLA submission. The milestones above reflect the study completion of (b) (4) KEYNOTE-061.

SECTION E: PMR/PMC Development Coordinator Statements[8] Error! Reference source not found.

1. **The PMR/PMC is clear, feasible, and appropriate[9] because:** ***[Select all that apply]***

- ☒ The study/clinical trial meets criteria for a PMR or a PMC.
- ☒ The objectives of the study/clinical trial are clear from the description of the PMR/PMC.
- ☒ The applicant has adequately justified the choice of milestone dates.
- ☒ The applicant has had sufficient time to review the PMR/PMC, ask questions, determine feasibility, and contribute to the development process.

2. ☒ (***If the PMR/PMC is a randomized controlled clinical trial***) **The following ethical considerations were made with regard to:**

- There is a significant question about the public health risks of the drug.
- There is not enough existing information to assess the public health risks of the drug.
- Information about the public health risks cannot be gained through a different kind of investigation.
- The trial will be appropriately designed to answer question about a drug's efficacy or safety.

[8] This section is completed by the PMR/PMC Development Coordinator, who is usually the OND division's Deputy Director for Safety (DDS). See DEFINITIONS section of CDER MAPP 6010.9, *Procedures and Responsibilities for Developing Postmarketing Requirements and Commitments.*

[9] See POLICY section of CDER MAPP 6010.9.

- The trial will emphasize minimizing the risk minimization for participants as the protocol is developed.

3. ☒ This PMR/PMC has been reviewed for clarity and consistency, and is necessary to further refine the safety, efficacy, or optimal use of a drug, or to ensure consistency and reliability of drug quality.

Insert electronic signature (usually the Deputy Director for Safety)

PMR/PMC DEVELOPMENT TEMPLATE

For 506B Reportable[1] PMRs and PMCs only

This form describes and provides the rationale for postmarketing requirements/commitments (PMRs/PMCs) subject to reporting requirements under section 506B of the FDCA.

Complete this form using the *instructions* (see Appendix A) and by referring to ***MAPP 6010.9***, "Procedures and Responsibilities for Developing Postmarketing Commitments and Requirements."

Note: Do ***not*** use this template for CMC PMCs. Instead, use the CMC PMC Development Template.[1]

SECTION A: Administrative Information

NDA/BLA/Supplement #	**BLA 125514/S-24**
PMR/PMC Set (####-#)	3258-2
Product Name:	Keytruda (pembrolizumab)
Applicant Name:	Merck Sharp & Dohme Corp.
ODE/Division:	OHOP/DOP2

SECTION B: PMR/PMC Information

1. PMR/PMC Description

Submit the final report, including datasets, from patients with PD-L1 positive microsatellite stable or microsatellite instability (MSI)-unknown recurrent locally advanced or metastatic gastric or gastro-esophageal junction adenocarcinoma enrolled in Cohort 1 of Study KEYNOTE 059. In order to further characterize the duration of response in patients who achieve a complete or partial response to pembrolizumab, responding patients will be followed for at least 12 months from the onset of response and duration of response will be assessed by independent central review.

2. PMR/PMC Schedule Milestones[2, 3]

Study/Trial Completion:	09/2018
Final Report Submission:	03/2019

[1] 506B "reportable" includes all studies/trials an applicant has agreed upon or is required to conduct related to clinical safety, clinical efficacy, clinical pharmacology, or nonclinical toxicology (21 CFR 314.81(b)(2)(vii) and 21 CFR 601.70(a)). All PMRs are considered 506 "reportable." A separate development template is used for 506 B non-reportable (e.g., chemistry, manufacturing, and controls (CMC)) PMCs, which is located in the CST.

[2] *Final protocol*, *study/trial completion*, and *final report* submissions are required milestones. *Draft protocol submissions* and *interim* milestones are optional. EXCEPTION: PMRs/PMCs for medical countermeasures may have only draft/final protocol submission dates and no other milestones, since the study/trial will only be initiated in the event of an emergency. Interim milestones may include interim report milestones for studies/trials that may be of long duration. May include interim subject accrual milestone (e.g., for accelerated approval PMRs). Other milestones should be justified in Section D, question 3.

[3] Dates should be numerical (e.g., 05/2016). PREA PMR date format may be MM/DD/YYYY if a day is specified.

SECTION C: PMR/PMC Rationale

1. Describe the particular review issue and the goal of the study[4] or clinical trial[5] in the text box below.

KEYNOTE-059 was ongoing at the time of the supplemental BLA submission and the safety and efficacy data supporting the application was based on a data cut-off date of January 17, 2017 for safety and efficacy, with updated duration of response data based on a study cut-off date of April 26, 2017. The final study report for KEYNOTE-059, as well as clinical datasets should be submitted to FDA as a post-marketing commitment (PMC), ensuring a minimum follow-up of 12 months from the onset of response; duration of response should be assessed by central independent review.

2. Explain why this issue can be evaluated post-approval and does not need to be addressed prior to approval. (Select one explanation below.)

- ☐ Subpart I or H (animal efficacy rule) PMR: Approved under Subpart I or H (animal efficacy rule) authorities; postmarketing study/trial required to verify and describe clinical benefit ***[Skip to Q.5]***
- ☐ Subpart H or E (accelerated approval) PMR: Approved under Subpart H or E (accelerated approval) authorities; postmarketing study/trial required to verify and describe clinical benefit ***[Skip to Q.5]***
- ☐ PREA PMR: Meets PREA postmarketing pediatric study *requirements* ***[Skip to Q.5]***
- ☐ FDAAA PMR (safety): Benefit/risk profile of the drug appears favorable; however, there are uncertainties about aspects of the drug's safety profile. Because the investigation will evaluate a serious risk, it meets FDAAA requirements for a postmarketing safety study or trial ***[Go to Q.3]***
- ☒ PMC (506B reportable): Benefit/risk profile of the drug appears favorable; however, there are uncertainties about aspects of the drug's efficacy profile or other issues. The purpose of the investigation does not meet requirements under Subpart I/H , H/E, PREA, or FDAAA to be a PMR, and therefore the investigation is a PMC. ***[Go to Q.3]***

3. For FDAAA PMRs and 506B PMCs only

The study or trial can be conducted post-approval because: *[Select all that apply]*

- ☒ Longer-term data needed to further characterize the safety/efficacy of the drug
- ☐ Based on the purpose and/or design, it is only feasible to conduct the study/trial post-approval
- ☐ Prior clinical experience (e.g., with other drugs in the class) indicates adequate safety or efficacy data to support approval, but some uncertainties about safety or efficacy remain and should be further characterized
- ☐ Only a small subpopulation is affected (e.g., patients with severe renal impairment) and effects of the drug in the subpopulation can be further evaluated after approval
- ☐ Study/trial is to further explore a theoretical concern that does not impact the approval determination
- ☐ Other reason (describe in text box below)

[If you selected "other reason," expand on the reason(s) why it is appropriate to conduct the study/trial postapproval and why the issue does not need to be addressed *prior to* approval.]

[4] A "study" is an investigation that is not a clinical trial, such as an observational (epidemiologic) study, animal study, or laboratory experiment.

[5] A "clinical trial" is any prospective investigation in which the applicant or investigator determines the method of assigning the drug product(s) or other interventions to one or more human subjects. Note that under PREA, clinical trials involving pediatric patients are specifically referred to as "studies."

4. **For FDAAA PMRs only** ***[for PMCs skip to Q.5]. Complete this entire section***

a. The purpose of the study/clinical trial is to: ***[Select one, then go to Q.4.b]***

- ☐ Assess a known serious risk related to the use of the drug
- ☐ Assess a signal of serious risk related to the use of the drug
- ☐ Identify an unexpected serious risk when available data indicate the potential for a serious risk

Complete Q4.b if the necessary data can only be obtained through a particular type of nonclinical study or clinical pharmacology trial. Otherwise complete Q4.c and Q4.d.

b. FAERS[6] and Sentinel's postmarket ARIA[7] system are not sufficient for the purposes described in Q1. and Q4.a because the safety issue involves:

[Select all that apply then to skip to Q.5. If none apply, answer both Q4.c and Q4.d]

- ☐ A serious risk of genotoxicity, carcinogenicity, or reproductive toxicity, and these signals are initially best assessed through in vitro or animal studies.
- ☐ A potential drug interaction resulting in lower/higher drug exposure and resultant serious drug risks, and accurate assessment of an interaction is feasible only through in vitro mechanistic studies or clinical pharmacokinetic and pharmacodynamics trials.
- ☐ The potential for lower/higher drug exposure and resultant serious drug risks in patients with hepatic or renal impairment, or other metabolic abnormalities, and accurate assessment is feasible only through in vitro mechanistic studies or clinical pharmacokinetic and pharmacodynamics trials.
- ☐ An immunologic concern for which accurate assessment requires in vitro development or validation of specific assays.

[6] FDA Adverse Event Reporting System (FAERS)

[7] Active Risk Identification and Analysis (ARIA)

Complete Q4.c when FAERS cannot provide the necessary data and Q4.b does not apply

c. FAERS data cannot be used to fully characterize the serious risk of interest because:

[Select all that apply then go to Q.4.d]

- ☐ Assessment of the serious risk necessitates calculation of the rate of occurrence (e.g., incidence or odds ratio) of the adverse event(s), and FAERS data cannot be used for such a calculation.
- ☐ The serious risk of concern has a delayed time to onset, or delayed time to detection after exposure (e.g., cancer), and FAERS data are more useful for detecting events that are closely linked in time to initiation of drug therapy.
- ☐ The serious risk of concern occurs commonly in the population (e.g., myocardial infarction) and FAERS data are more useful in detecting rare serious adverse events for which the background rates are low.
- ☐ Other

[If you selected "other," expand on the reason(s) why FAERS is not sufficient.]

Complete Q4.d when the ARIA system cannot provide the necessary data and Q4.b does not apply.

d. The currently available data within the ARIA system cannot be used to fully characterize the serious risk of interest because: ***[Select all that apply then go to Q.4.e]***

- ☐ Cannot identify exposure to the drug(s) of interest in the database.
- ☐ Serious risk (adverse event) of concern cannot be identified in the database.
- ☐ The population(s) of interest cannot be identified in the database.
- ☐ Long-term follow-up information required to assess the serious risk are not available in the database.
- ☐ Important confounders or covariates are not available or well represented in the database.
- ☐ The database does not contain an adequate number of exposed patients to provide sufficient statistical power to analyze the association between the drug and the serious risk of concern.
- ☐ The purpose of the evaluation is to rule out a modest relative risk, and observational studies, such as an ARIA analysis, are not well suited for such use.
- ☐ Other

[If you selected "other," expand on the reason(s) why ARIA is not sufficient.]

e. **If FAERS and the ARIA system are not sufficient for the purpose in Q1. and Q4.a, is a study sufficient?**
[Select either "Yes" or "No" and provide the appropriate responses.]

☐ **Yes**, a study is sufficient *[Explain your answer in the textbox and then go to Q.5]*

[Explain why a study is sufficient]

☐ **No**, a study is not sufficient *[Select all explanations that apply then go to Q.4.f]*

- ☐ Need to minimize bias and/or confounding via randomization
- ☐ Need for placebo control
- ☐ Need to capture detailed information about covariates or confounders that are either not routinely collected during the ususal course of medical practice, or are not collected at the frequency needed for assessment of the safety issue (e.g. hourly blood glucose measures, etc.).
- ☐ Need pre-specified and prospective active data collection of the outcome/endpoint of interest
- ☐ Other

[If you selected "other," expand on the reason(s) why a study is not sufficient.]

f. ☐ **Because a study is not sufficient, a clinical trial is required.** *[Go to Q.5]*

5. **<u>For all PMRs and PMCs</u>: What type of study or clinical trial is needed to achieve the goal described in Q1 *<u>or</u>* Q4.a above?**
[Select ONE OPTION only under <u>either</u> "Type of Study" <u>or</u> "Type of clinical Trial"]

TYPE OF STUDY
☐ Drug interaction or bioavailability studies (nonclinical only)
☐ Epidemiologic (observational) study related to safe drug use
☐ Epidemiologic (observational) study not related to safe drug use (e.g., natural history of disease, background rates of adverse events)
☐ Immunogenicity study (nonclinical)
☐ Meta-analysis or pooled analysis of previous observational studies
☐ Nonclinical (animal) study (e.g., genotoxicity, carcinogenicity, reproductive toxicology)
☐ Nonclinical (in vitro) study (laboratory/microbiology resistance, receptor affinity)
☐ Pharmacogenetic or pharmacogenomic study
☐ Pharmacokinetic (PK) and/or pharmacodynamics (PD) study (nonclinical only)
☐ Quality CMC study (e.g., manufacturing, studies on impurities)
☐ Quality stability study
☐ Registry-based observational study

TYPE OF STUDY
☐ Other (describe) _____

TYPE OF CLINICAL TRIAL
☐ Combined PK/PD, safety and/or efficacy trial (*PREA* PMRs only*)
☐ Dose-response clinical trial
☐ Dosing trial (e.g., alternative dosing schedule)
☐ Drug interaction or bioavailability clinical trial (clinical only)
☐ Immunogenicity trial (clinical)
☐ Meta-analysis or pooled analysis of previous clinical trials
☐ Pharmacogenetic or pharmacogenomic clinical trial
☐ Pharmacokinetic (PK) and/or pharmacodynamic (PD) clinical trial
☒ Primary efficacy clinical trial (i.e, with a primary efficacy endpoint; to further define efficacy; may include secondary safety endpoints)
☐ Primary safety clinical trial (e.g., to evaluate the long-term safety of a drug; to evaluate drug toxicity in a subpopulation; may include secondary efficacy endpoints) – *excludes SOT*
☐ Safety outcomes trial (SOT)**
☐ Thorough Q-T clinical trial
☐ Other (describe) _____

* Note that under PREA, clinical trials involving pediatric patients are specifically referred to as "studies." However, for the purposes of this template, PREA investigations are categorized according to the established definitions of "studies" and "trials" (see Footnotes 3 and 4).

** A safety outcomes trial (SOT) is defined as a large, prospective, randomized, controlled trial that is specifically designed and adequately powered to test a safety hypothesis using a clinical outcome, generally irreversible morbidity or mortality, as the primary trial endpoint. A cardiovascular outcomes trial (CVOT) is an example of an SOT.

SECTION D: PMR/PMC Additional Information

1. This PMR/PMC applies to other drugs or applications (e.g. drugs in a therapeutic class; different formulations of the same drug).

☐ Yes

☒ No

2. **This study or clinical trial focuses on the following special population(s) or circumstance(s):** ***[Select all that apply]***

- ☐ *For non-PREA pediatric studies/trials only*: Pediatric population
- ☐ Geriatric population
- ☐ Lactating/nursing mothers
- ☐ Medical Countermeasures (e.g. anthrax exposure, bioterrorism)
- ☒ Orphan or rare disease population
- ☐ Pregnant women
- ☐ Racial/ethnic population
- ☐ Not applicable

3. **(Complete if applicable) Additional comments about the PMR/PMC** (e.g., points or concerns not previously described; explanation for inclusion of milestones other than the 3 "core" milestones or draft protocol submission)

SECTION E: PMR/PMC Development Coordinator Statements[8] Error! Reference source not found.

1. **The PMR/PMC is clear, feasible, and appropriate[9] because:** ***[Select all that apply]***

- ☒ The study/clinical trial meets criteria for a PMR or a PMC.
- ☒ The objectives of the study/clinical trial are clear from the description of the PMR/PMC.
- ☒ The applicant has adequately justified the choice of milestone dates.
- ☒ The applicant has had sufficient time to review the PMR/PMC, ask questions, determine feasibility, and contribute to the development process.

2. ☒ (***If the PMR/PMC is a randomized controlled clinical trial***) **The following ethical considerations were made with regard to:**

- There is a significant question about the public health risks of the drug.
- There is not enough existing information to assess the public health risks of the drug.
- Information about the public health risks cannot be gained through a different kind of investigation.
- The trial will be appropriately designed to answer question about a drug's efficacy or safety.

[8] This section is completed by the PMR/PMC Development Coordinator, who is usually the OND division's Deputy Director for Safety (DDS). See DEFINITIONS section of CDER MAPP 6010.9, *Procedures and Responsibilities for Developing Postmarketing Requirements and Commitments.*

[9] See POLICY section of CDER MAPP 6010.9.

- The trial will emphasize minimizing the risk minimization for participants as the protocol is developed.

3. ☒ This PMR/PMC has been reviewed for clarity and consistency, and is necessary to further refine the safety, efficacy, or optimal use of a drug, or to ensure consistency and reliability of drug quality.

Insert electronic signature (usually the Deputy Director for Safety)

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/s/

SHARON K SICKAFUSE
09/27/2017

JEFFERY L SUMMERS
09/27/2017

Department of Health and Human Services
Public Health Service
Food and Drug Administration
Center for Drug Evaluation and Research
Office of Medical Policy

PATIENT LABELING REVIEW

Date:	September 5, 2017
To:	Patricia Keegan, MD Director **Division of Oncology Products 2 (DOP2)**
Through:	LaShawn Griffiths, MSHS-PH, BSN, RN Associate Director for Patient Labeling **Division of Medical Policy Programs (DMPP)** Sharon R. Mills, BSN, RN, CCRP Acting Team Leader, Patient Labeling **Division of Medical Policy Programs (DMPP)**
From:	Ruth Lidoshore, PharmD Patient Labeling Reviewer **Division of Medical Policy Programs (DMPP)** Nicholas Senior, PharmD, JD Regulatory Review Officer **Office of Prescription Drug Promotion (OPDP)**
Subject:	Review of Patient Labeling: Medication Guide (MG)
Drug Name (established name), Dosage Form and Route	KEYTRUDA (pembrolizumab) for injection, for intravenous use KEYTRUDA (pembrolizumab) injection, for intravenous use
Application Type/Number:	BLA 125514
Supplement Number:	S-024
Applicant:	Merck Sharp & Dohme Corp.

1 INTRODUCTION

On March 22, 2017, Merck Sharp & Dohme Corp. submitted for the Agency's review a Prior Approval Supplement (PAS) - Efficacy to their approved Biologics License Application (BLA) 125514/S-024 for KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection. With this supplement, the Applicant proposes to add a new indication for KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection, for the treatment of patients with recurrent locally advanced or metastatic gastric or gastro-esophageal junction adenocarcinoma [redacted] (b) (4)

This collaborative review is written by the Division of Medical Policy Programs (DMPP) and the Office of Prescription Drug Promotion (OPDP) in response to a request by the Division of Oncology Products 2 (DOP2) on May 10, 2017, for DMPP and OPDP to review the Applicant's proposed Medication Guide (MG) for KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection.

2 MATERIAL REVIEWED

- Draft KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection MG received on March 22, 2017, and amended on June 21, 2017 and August 8, 2017, and received by DMPP and OPDP on August 21, 2017.
- Draft KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection Prescribing Information (PI) received on March 22, 2017, revised by the Review Division throughout the review cycle, and received by DMPP and OPDP on August 29, 2017.
- Approved KEYTRUDA (pembrolizumab) for injection and KEYTRUDA (pembrolizumab) injection labeling dated July 27, 2017.

3 REVIEW METHODS

To enhance patient comprehension, materials should be written at a 6th to 8th grade reading level, and have a reading ease score of at least 60%. A reading ease score of 60% corresponds to an 8th grade reading level.

Additionally, in 2008 the American Society of Consultant Pharmacists Foundation (ASCP) in collaboration with the American Foundation for the Blind (AFB) published *Guidelines for Prescription Labeling and Consumer Medication Information for People with Vision Loss*. The ASCP and AFB recommended using fonts such as Verdana, Arial or APHont to make medical information more accessible for patients with vision loss.

In our collaborative review of the MG we:

- simplified wording and clarified concepts where possible

- ensured that the MG is consistent with the Prescribing Information (PI)
- removed unnecessary or redundant information
- ensured that the MG is free of promotional language or suggested revisions to ensure that it is free of promotional language
- ensured that the MG meets the Regulations as specified in 21 CFR 208.20
- ensured that the MG meets the criteria as specified in FDA's Guidance for Useful Written Consumer Medication Information (published July 2006)

4 CONCLUSIONS

The MG is acceptable with our recommended changes.

5 RECOMMENDATIONS

- Please send these comments to the Applicant and copy DMPP and OPDP on the correspondence.
- Our collaborative review of the MG is appended to this memorandum. Consult DMPP and OPDP regarding any additional revisions made to the PI to determine if corresponding revisions need to be made to the MG.

Please let us know if you have any questions.

8 Page(s) of Draft Labeling have been Withheld in Full as b4 (CCI/TS) immediately following this page

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/s/

RUTH I LIDOSHORE
09/05/2017

NICHOLAS J SENIOR
09/05/2017

SHARON R MILLS
09/05/2017

MEMORANDUM

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Public Health Service
Food and Drug Administration
Center for Drug Evaluation and Research
Office of Prescription Drug Promotion

****PRE-DECISIONAL AGENCY MEMO****

Date: September 1, 2017

To: Sharon Sickafuse
Regulatory Project Manager
Division of Oncology Products 1
Office of Hematology and Oncology Products

From: Nick Senior, PharmD, JD
Regulatory Review Officer
Office of Prescription Drug Promotion (OPDP)

Subject: OPDP Comments on the proposed product labeling for BLA 125514 KEYTRUDA (pembrolizumab) for injection, for intravenous use; injection, for intravenous use
Supplement 24

OPDP has reviewed the proposed product labeling (PI) for KEYTRUDA (pembrolizumab) for injection, for intravenous use; injection; for intravenous use (Keytruda) as requested in the consult dated May 10, 2017. The following comment, using the proposed substantially complete, marked-up version of the PI and Med Guide emailed to OPDP by Anuja Patel on August 22, 2017, is provided below.

We have no comments at this time.

If you have any questions, please feel free to contact me (contact information: 240-402-4256; Nicholas.Senior@fda.hhs.gov)

Thank you! OPDP appreciates the opportunity to provide comments on these materials.

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/s/

NICHOLAS J SENIOR
09/01/2017

REGULATORY PROJECT MANAGER
PHYSICIAN LABELING RULE (PLR) FORMAT REVIEW
OF THE PRESCRIBING INFORMATION

Complete for all new NDAs, BLAs, Efficacy Supplements, and PLR Conversion Labeling Supplements

Application: BLA 125514/S-24

Application Type: Efficacy Supplement

Drug Name(s)/Dosage Form(s):
KEYTRUDA (pembrolizumab) for injection, for intravenous use
KEYTRUDA (pembrolizumab) injection, for intravenous use

Applicant: Merck Sharp & Dohme Corp.

Receipt Date: March 22, 2017

Goal Date: September 22, 2017

1. Regulatory History and Applicant's Main Proposals

This supplement adds a new indication for the treatment of patients with recurrent locally advanced or metastatic gastric or gastro-esophageal junction adenocarcinoma (b) (4)

2. Review of the Prescribing Information

This review is based on the applicant's submitted Word format of the prescribing information (PI). The applicant's proposed PI was reviewed in accordance with the labeling format requirements listed in the "Selected Requirements of Prescribing Information (SRPI)" checklist (see Section 4 of this review).

3. Conclusions/Recommendations

No SRPI format deficiencies were identified in the review of this PI.

In addition, the following labeling issue was identified:

Multiple references to other sections of the PI should have the word (b) (4) deleted and replaced with a comma. For example, under subsection 5.1, the sentence "Withhold KEYTRUDA for moderate (Grade 2) pneumonitis, and permanently discontinue KEYTRUDA for severe (Grade 3), life-threatening (Grade 4), or recurrent moderate (Grade 2) pneumonitis *[see Dosage and Administration (2.98) ~~and~~, Adverse Reactions (6.1)]*.

This issue will be conveyed to the applicant during labeling negotiations.

4. Selected Requirements of Prescribing Information

The Selected Requirement of Prescribing Information (SRPI) is a 41-item, drop-down checklist of important format elements of the prescribing information (PI) based on labeling regulations (21 CFR 201.56 and 201.57) and guidances.

Highlights

See Appendix for a sample tool illustrating Highlights format.

HIGHLIGHTS GENERAL FORMAT

YES 1. Highlights (HL) must be in a minimum of 8-point font and should be in two-column format, with ½ inch margins on all sides and between columns.

Comment: *No Comments*

YES 2. The length of HL must be one-half page or less unless a waiver has been granted in a previous submission. The HL Boxed Warning does not count against the one-half page requirement. Instructions to complete this item: If the length of the HL is one-half page or less, select "YES" in the drop-down menu because this item meets the requirement. However, if HL is longer than one-half page, select "NO" unless a waiver has been granted.

Comment: *No Comments*

YES 3. A horizontal line must separate:

- HL from the Table of Contents (TOC), **and**
- TOC from the Full Prescribing Information (FPI).

Comment: *No Comments*

YES 4. All headings in HL (from Recent Major Changes to Use in Specific Populations) must be **bolded** and presented in the center of a horizontal line. (Each horizontal line should extend over the entire width of the column.) The HL headings (from Recent Major Changes to Use in Specific Populations) should be in UPPER CASE letters. See Appendix for HL format.

Comment: *No Comments*

YES 5. White space should be present before each major heading in HL. There must be no white space between the HL Heading and HL Limitation Statement. There must be no white space between the product title and Initial U.S. Approval. See Appendix for HL format.

Comment: *No Comments*

YES 6. Each summarized statement or topic in HL must reference the section(s) or subsection(s) of the Full Prescribing Information (FPI) that contain more detailed information. The preferred format is the numerical identifier in parenthesis [e.g., (1.1)] at the end of each summarized statement or topic.

Comment: *No Comments*

YES 7. Headings in HL must be presented in the following order:

Heading	Required/Optional
• **Highlights Heading**	Required

Selected Requirements of Prescribing Information

• **Highlights Limitation Statement**	Required
• **Product Title**	Required
• **Initial U.S. Approval**	Required
• **Boxed Warning**	Required if a BOXED WARNING is in the FPI
• **Recent Major Changes**	Required for only certain changes to PI*
• **Indications and Usage**	Required
• **Dosage and Administration**	Required
• **Dosage Forms and Strengths**	Required
• **Contraindications**	Required (if no contraindications must state "None.")
• **Warnings and Precautions**	Not required by regulation, but should be present
• **Adverse Reactions**	Required
• **Drug Interactions**	Optional
• **Use in Specific Populations**	Optional
• **Patient Counseling Information Statement**	Required
• **Revision Date**	Required

* RMC only applies to five labeling sections in the FPI: BOXED WARNING, INDICATIONS AND USAGE, DOSAGE AND ADMINISTRATION, CONTRAINDICATIONS, and WARNINGS AND PRECAUTIONS.

Comment: *No Comments*

HIGHLIGHTS DETAILS

Highlights Heading

YES 8. At the beginning of HL, the following heading, **"HIGHLIGHTS OF PRESCRIBING INFORMATION"** must be **bolded** and should appear in all UPPER CASE letters.

Comment: *No Comments*

Highlights Limitation Statement

YES 9. The **bolded** HL Limitation Statement must include the following verbatim statement: "**These highlights do not include all the information needed to use (insert NAME OF DRUG PRODUCT) safely and effectively. See full prescribing information for (insert NAME OF DRUG PRODUCT).**" The name of drug product should appear in UPPER CASE letters.

Comment: *No Comments*

Product Title in Highlights

YES 10. Product title must be **bolded**.

Comment: *No Comments*

Initial U.S. Approval in Highlights

YES 11. Initial U.S. Approval must be **bolded**, and include the verbatim statement "**Initial U.S. Approval:**" followed by the **4-digit year**.

Comment: *No Comments*

Boxed Warning (BW) in Highlights

N/A 12. All text in the BW must be **bolded**.

Comment: *No Comments*

N/A 13. The BW must have a title in UPPER CASE, following the word "**WARNING**" and other words to identify the subject of the warning. Even if there is more than one warning, the term

"**WARNING**" and not "**WARNINGS**" should be used. For example: "**WARNING: SERIOUS INFECTIONS and ACUTE HEPATIC FAILURE**". If there is more than one warning in the BW title, the word "and" in lower case can separate the warnings. The BW title should be centered.

Comment: *No Comments*

N/A 14. The BW must always have the verbatim statement "***See full prescribing information for complete boxed warning***." This statement must be placed immediately beneath the BW title, and should be centered and appear in *italics*.

Comment: *No Comments*

N/A 15. The BW must be limited in length to 20 lines. (This includes white space but does not include the BW title and the statement "***See full prescribing information for complete boxed warning.***")

Comment: *No Comments*

Recent Major Changes (RMC) in Highlights

YES 16. RMC pertains to only five sections of the FPI: BOXED WARNING, INDICATIONS AND USAGE, DOSAGE AND ADMINISTRATION, CONTRAINDICATIONS, and WARNINGS AND PRECAUTIONS. Labeling sections for RMC must be listed in the same order in HL as they appear in the FPI.

Comment: *No Comments*

YES 17. The RMC must include the section heading(s) and, if appropriate, subsection heading(s) affected by the recent major change, together with each section's identifying number and date (month/year format) on which the change was incorporated in the PI (supplement approval date). For example, "Warnings and Precautions, Acute Liver Failure (5.1) --- 8/2015."

Comment: *No Comments*

YES 18. A changed section must be listed under the RMC heading for at least one year after the date of the labeling change and must be removed at the first printing subsequent to the one year period. (No listing should be one year older than the revision date.)

Comment: *No Comments*

Dosage Forms and Strengths in Highlights

YES 19. For a product that has more than one dosage form (e.g., capsules, tablets, injection), bulleted headings should be used.

Comment: *No Comments*

Contraindications in Highlights

YES 20. All contraindications listed in the FPI must also be listed in HL. If there is more than one contraindication, each contraindication should be bulleted. If no contraindications are known, must include the word "None."

Comment: *No Comments*

Adverse Reactions in Highlights

Selected Requirements of Prescribing Information

YES 21. For drug products other than vaccines, the verbatim **bolded** statement must be present: "**To report SUSPECTED ADVERSE REACTIONS, contact (insert name of manufacturer) at (insert manufacturer's U.S. phone number which should be a toll-free number) or FDA at 1-800-FDA-1088 or www.fda.gov/medwatch.**"

Comment: *No Comments*

Patient Counseling Information Statement in Highlights

YES 22. The Patient Counseling Information statement must include one of the following three **bolded** verbatim statements that is most applicable:

If a product **does not** have FDA-approved patient labeling:

- **See 17 for PATIENT COUNSELING INFORMATION**

If a product **has (or will have)** FDA-approved patient labeling:

- **See 17 for PATIENT COUNSELING INFORMATION and FDA-approved patient labeling**
- **See 17 for PATIENT COUNSELING INFORMATION and Medication Guide**
- ***Comment:*** Statement "See 17 for PATIENT COUNSELING INFORMATION and Medication Guide: is included

Revision Date in Highlights

YES 23. The revision date must be at the end of HL, and should be **bolded** and right justified (e.g., "**Revised: 8/2015** ").

Comment: *No Comments*

Contents: Table of Contents (TOC)

See Appendix for a sample tool illustrating Table of Contents format.

YES 24. The TOC should be in a two-column format.

Comment: *No Comments*

YES 25. The following heading must appear at the beginning of the TOC: **"FULL PRESCRIBING INFORMATION: CONTENTS."** This heading should be in all UPPER CASE letters and **bolded**.

Comment: *No Comments*

N/A 26. The same title for the BW that appears in HL and the FPI must also appear at the beginning of the TOC in UPPER CASE letters and **bolded**.

Comment: *No Comments*

YES 27. In the TOC, all section headings must be **bolded** and should be in UPPER CASE.

Comment: *No Comments*

YES 28. In the TOC, all subsection headings must be indented and not bolded. The headings should be in title case [first letter of all words are capitalized except first letter of prepositions (for, of, to) and articles (a, an, the), or conjunctions (or, and)].

Comment: *No Comments*

YES 29. The section and subsection headings in the TOC must match the section and subsection headings in the FPI.

Comment: *No Comments*

YES 30. If a section or subsection required by regulation [21 CFR 201.56(d)(1)] is omitted from the FPI, the numbering in the TOC must not change. The heading "**FULL PRESCRIBING INFORMATION: CONTENTS***" must be followed by an asterisk and the following statement must appear at the end of the TOC: "*Sections or subsections omitted from the full prescribing information are not listed."

Comment: *No Comments*

Full Prescribing Information (FPI)

FULL PRESCRIBING INFORMATION: GENERAL FORMAT

YES 31. The **bolded** section and subsection headings in the FPI must be named and numbered in accordance with 21 CFR 201.56(d)(1) as noted below. (Section and subsection headings should be in UPPER CASE and title case, respectively.) If a section/subsection required by regulation is omitted, the numbering must not change. Additional subsection headings (i.e., those not named by regulation) must also be **bolded** and numbered.

BOXED WARNING
1 INDICATIONS AND USAGE
2 DOSAGE AND ADMINISTRATION
3 DOSAGE FORMS AND STRENGTHS
4 CONTRAINDICATIONS
5 WARNINGS AND PRECAUTIONS
6 ADVERSE REACTIONS
7 DRUG INTERACTIONS
8 USE IN SPECIFIC POPULATIONS
8.1 Pregnancy
8.2 Lactation (if not required to be in Pregnancy and Lactation Labeling Rule (PLLR) format, use "**Labor and Delivery**")
8.3 Females and Males of Reproductive Potential (if not required to be in PLLR format, use "**Nursing Mothers**")
8.4 Pediatric Use
8.5 Geriatric Use
9 DRUG ABUSE AND DEPENDENCE
9.1 Controlled Substance
9.2 Abuse
9.3 Dependence
10 OVERDOSAGE
11 DESCRIPTION
12 CLINICAL PHARMACOLOGY
12.1 Mechanism of Action
12.2 Pharmacodynamics
12.3 Pharmacokinetics
12.4 Microbiology (by guidance)
12.5 Pharmacogenomics (by guidance)
13 NONCLINICAL TOXICOLOGY
13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility
13.2 Animal Toxicology and/or Pharmacology
14 CLINICAL STUDIES
15 REFERENCES
16 HOW SUPPLIED/STORAGE AND HANDLING
17 PATIENT COUNSELING INFORMATION

Comment: *No Comments*

YES 32. The preferred presentation for cross-references in the FPI is the section (not subsection) heading followed by the numerical identifier. The entire cross-reference should be in *italics* and enclosed within brackets. For example, *"[see Warnings and Precautions (5.2)]*."

Comment: *No Comments*

YES 33. For each RMC listed in HL, the corresponding new or modified text in the FPI must be marked with a vertical line on the left edge.

Comment: *No Comments*

FULL PRESCRIBING INFORMATION DETAILS

FPI Heading

YES 34. The following heading "**FULL PRESCRIBING INFORMATION"** must be **bolded,** must appear at the beginning of the FPI, and should be in UPPER CASE.

Comment: *No Comments*

BOXED WARNING Section in the FPI

N/A 35. All text in the BW should be **bolded**.

Comment: *No Comments*

N/A 36. The BW must have a title in UPPER CASE, following the word "**WARNING**" and other words to identify the subject of the warning. (Even if there is more than one warning, the term, "**WARNING**" and not "**WARNINGS**" should be used.) For example: "**WARNING: SERIOUS INFECTIONS and ACUTE HEPATIC FAILURE**". If there is more than one warning in the BW title, the word "and" in lower case can separate the warnings.

Comment: *No Comments*

CONTRAINDICATIONS Section in the FPI

YES 37. If no Contraindications are known, this section must state "None."

Comment: *No Comments*

ADVERSE REACTIONS Section in the FPI

YES 38. When clinical trials adverse reactions data are included (typically in the "Clinical Trials Experience" subsection), the following verbatim statement (or appropriate modification) should precede the presentation of adverse reactions from clinical trials:

> "Because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in practice."

Comment: *Verbatim statement included*

N/A 39. When postmarketing adverse reaction data are included (typically in the "Postmarketing Experience" subsection), the following verbatim statement (or appropriate modification) should precede the presentation of adverse reactions:

"The following adverse reactions have been identified during post-approval use of (insert drug name). Because these reactions are reported voluntarily from a population of uncertain size, it is not always possible to reliably estimate their frequency or establish a causal relationship to drug exposure."

Comment: *No Comments*

Selected Requirements of Prescribing Information

PATIENT COUNSELING INFORMATION Section in the FPI

YES 40. Must reference any FDA-approved patient labeling in Section 17 (PATIENT COUNSELING INFORMATION). The reference statement should appear at the beginning of Section 17 and include the type(s) of FDA-approved patient labeling (e.g., Patient Information, Instructions for Use, or Medication Guide). Recommended language for the reference statement should include one of the following five verbatim statements that is most applicable:

- Advise the patient to read the FDA-approved patient labeling (Patient Information).
- Advise the patient to read the FDA-approved patient labeling (Instructions for Use).
- Advise the patient to read the FDA-approved patient labeling (Patient Information and Instructions for Use).
- Advise the patient to read the FDA-approved patient labeling (Medication Guide).
- Advise the patient to read the FDA-approved patient labeling (Medication Guide and Instructions for Use).

Comment: Advise the patient to read the FDA-approved patient labeling (Medication Guide) statement included.

YES 41. FDA-approved patient labeling (e.g., Patient Information, Instructions for Use, or Medication Guide) must not be included as a subsection under Section 17 (PATIENT COUNSELING INFORMATION). All FDA-approved patient labeling must appear at the end of the PI upon approval.

Comment: *No comments*

Appendix: Highlights and Table of Contents Format

HIGHLIGHTS OF PRESCRIBING INFORMATION
These highlights do not include all the information needed to use PROPRIETARY NAME safely and effectively. See full prescribing information for PROPRIETARY NAME.

PROPRIETARY NAME (non-proprietary name) dosage form, route of administration, controlled substance symbol
Initial U.S. Approval: YYYY

WARNING: TITLE OF WARNING
See full prescribing information for complete boxed warning.

- **Text (4)**
- **Text (5.x)**

----------RECENT MAJOR CHANGES----------
Section Title, Subsection Title (x.x) M/201Y
Section Title, Subsection Title (x.x) M/201Y

----------INDICATIONS AND USAGE----------
PROPRIETARY NAME is a (insert FDA established pharmacologic class text phrase) indicated for … (1)

Limitations of Use: Text (1)

----------DOSAGE AND ADMINISTRATION----------
- Text (2.x)
- Text (2.x)

----------DOSAGE FORMS AND STRENGTHS----------
Dosage form(s): strength(s) (3)

----------CONTRAINDICATIONS----------
- Text (4)
- Text (4)

----------WARNINGS AND PRECAUTIONS----------
- Text (5.x)
- Text (5.x)

----------ADVERSE REACTIONS----------
Most common adverse reactions (incidence > x%) are text (6.x)

To report SUSPECTED ADVERSE REACTIONS, contact name of manufacturer at toll-free phone # or FDA at 1-800-FDA-1088 or www.fda.gov/medwatch.

----------DRUG INTERACTIONS----------
- Text (7.x)
- Text (7.x)

----------USE IN SPECIFIC POPULATIONS----------
- Text (8.x)
- Text (8.x)

See 17 for PATIENT COUNSELING INFORMATION and FDA-approved patient labeling OR and Medication Guide.

Revised: M/201Y

FULL PRESCRIBING INFORMATION: CONTENTS*

* Sections or subsections omitted from the full prescribing information are not listed.

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/s/

SHARON K SICKAFUSE
08/03/2017

MONICA L HUGHES
08/07/2017

RPM FILING REVIEW

(Including Memo of Filing Meeting)

To be completed for all new NDAs, BLAs, and Efficacy Supplements [except SE8 (labeling change with clinical data) and SE9 (manufacturing change with clinical data)]

Application Information		
NDA # BLA# 125514	NDA Supplement #: S- BLA Supplement #: S- 24	Efficacy Supplement Category: ☒ New Indication (SE1) ☐ New Dosing Regimen (SE2) ☐ New Route Of Administration (SE3) ☐ Comparative Efficacy Claim (SE4) ☐ New Patient Population (SE5) ☐ Rx To OTC Switch (SE6) ☐ Accelerated Approval Confirmatory Study (SE7) ☐ Labeling Change With Clinical Data (SE8) ☐ Manufacturing Change With Clinical Data (SE9) ☐ Animal Rule Confirmatory Study (SE10)
Proprietary Name: Keytruda Established/Proper Name: pembrolizumab Dosage Form: 50 mg lyophilized powder; 100 mg/4mL solution Strengths: Route(s) of Administration: IV		
Applicant: Merck Sharp & Dohme Corp. Agent for Applicant (if applicable):		
Date of Application: March 22, 2017 Date of Receipt: March 22, 2017 Date clock started after Unacceptable for Filing (UN):		
PDUFA/BsUFA Goal Date: 9-22-2017	Action Goal Date (if different):	
Filing Date: 5-19-2017	Date of Filing Meeting: 5-9-2017	
Chemical Classification (original NDAs only) : ☐ Type 1- New Molecular Entity (NME); NME and New Combination ☐ Type 2- New Active Ingredient; New Active Ingredient and New Dosage Form; New Active Ingredient and New Combination ☐ Type 3- New Dosage Form; New Dosage Form and New Combination ☐ Type 4- New Combination ☐ Type 5- New Formulation or New Manufacturer ☐ Type 7- Drug Already Marketed without Approved NDA ☐ Type 8- Partial Rx to OTC Switch ☐ Type 9-New Indication or Claim (will not be marketed as a separate NDA after approval) ☐ Type 10-New Indication or Claim (will be marketed as a separate NDA after approval)		
Proposed indication: Treatment of patients with recurrent locally advanced or metastatic gastric or gastro-esophageal junction adenocarcinoma [redacted] (b) (4)		
Type of Original NDA: AND (if applicable) Type of NDA Supplement: ***If 505(b)(2)NDA/NDA Supplement: Draft the "505(b)(2) Assessment" review found at:*** ***http://inside.fda.gov:9003/CDER/OfficeofNewDrugs/ImmediateOffice/UCM027499.***	☐ 505(b)(1) ☐ 505(b)(2) ☐ 505(b)(1) ☐ 505(b)(2)	

Type of BLA ***If 351(k), notify the OND Therapeutic Biologics and Biosimilars Team***	☒ 351(a) ☐ 351(k)
Review Classification: ***The application will be a priority review if:*** • ***A complete response to a pediatric Written Request (WR) was included (a partial response to a WR that is sufficient to change the labeling should also be a priority review – check with DPMH)*** • ***The product is a Qualified Infectious Disease Product (QIDP)*** • ***A Tropical Disease Priority Review Voucher was submitted*** • ***A Pediatric Rare Disease Priority Review Voucher was submitted***	☐ Standard ☒ Priority ☐ Pediatric WR ☐ QIDP ☐ Tropical Disease Priority Review Voucher ☐ Pediatric Rare Disease Priority Review Voucher
Resubmission after withdrawal? ☐	Resubmission after refuse to file? ☐
Part 3 Combination Product? ☒ ***If yes, contact the Office of Combination Products (OCP) and copy them on all Inter-Center consults***	☐ Convenience kit/Co-package ☐ Pre-filled drug delivery device/system (syringe, patch, etc.) ☐ Pre-filled biologic delivery device/system (syringe, patch, etc.) ☐ Device coated/impregnated/combined with drug ☐ Device coated/impregnated/combined with biologic ☐ Separate products requiring cross-labeling ☐ Drug/Biologic ☒ Possible combination based on cross-labeling of separate products ☐ Other (drug/device/biological product)
☐ Fast Track Designation ☐ Breakthrough Therapy Designation ***(set the submission property in DARRTS and notify the CDER Breakthrough Therapy Program Manager)*** ☐ Rolling Review ☒ Orphan Designation ☐ Rx-to-OTC switch, Full ☐ Rx-to-OTC switch, Partial ☐ Direct-to-OTC Other:	☐ PMC response ☐ PMR response: ☐ FDAAA [505(o)] ☐ PREA deferred pediatric studies (FDCA Section 505B) ☐ Accelerated approval confirmatory studies (21 CFR 314.510/21 CFR 601.41) ☐ Animal rule postmarketing studies to verify clinical benefit and safety (21 CFR 314.610/21 CFR 601.42)
Collaborative Review Division (*if OTC product*):	
List referenced IND Number(s): 123482	

Goal Dates/Product Names/Classification Properties	**YES**	**NO**	**NA**	**Comment**
PDUFA/BsUFA and Action Goal dates correct in the electronic archive? ***If no, ask the document room staff to correct them immediately. These are the dates used for calculating inspection dates.***	☒	☐		
Are the established/proper and applicant names correct in electronic archive? ***If no, ask the document room staff to make the corrections. Also, ask the document room staff to add the established/proper name to the supporting IND(s) if not already entered into electronic archive.***	☒	☐		

Is the review priority (S or P) and all appropriate classifications/properties entered into tracking system (e.g., chemical classification, combination product classification, orphan drug)? ***Check the New Application and New Supplement Notification Checklists for a list of all classifications/properties at:*** ***http://inside.fda.gov:9003/CDER/OfficeofBusinessProcessSupport/ucm163969.htm*** ***If no, ask the document room staff to make the appropriate entries.***	☒	☐	☐	
Application Integrity Policy	**YES**	**NO**	**NA**	**Comment**
Is the application affected by the Application Integrity Policy (AIP)? ***Check the AIP list at:*** ***http://www.fda.gov/ICECI/EnforcementActions/ApplicationIntegrityPolicy/default.htm***	☐	☒		
If yes, explain in comment column.				
If affected by AIP, has OC been notified of the submission? **If yes,** date notified:	☐	☐		
User Fees	**YES**	**NO**	**NA**	**Comment**
Is Form 3397 (User Fee Cover Sheet)/Form 3792 (Biosimilar User Fee Cover Sheet) included with authorized signature?	☒	☐		

User Fee Status ***If a user fee is required and it has not been paid (and it is not exempted or waived), the application is unacceptable for filing following a 5-day grace period from receipt. Review stops. Contact the User Fee Staff. If appropriate, send UN letter.***	Payment for this application *(check daily email from UserFeeAR@fda.hhs.gov):* ☐ Paid ☒ Exempt (orphan, government) ☐ Waived (e.g., small business, public health) ☐ Not required
If the firm is in arrears for other fees (regardless of whether a user fee has been paid for this application), the application is unacceptable for filing (5-day grace period does not apply). Review stops. Contact the User Fee Staff. If appropriate, send UN letter.	Payment of other user fees: ☒ Not in arrears ☐ In arrears
User Fee Bundling Policy ***Refer to the guidance for industry, Submitting Separate Marketing Applications and Clinical Data for Purposes of Assessing User Fees at:*** ***http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM079320.pdf***	Has the user fee bundling policy been appropriately applied? ***If no, or you are not sure, consult the User Fee Staff.*** ☒ Yes ☐ No

505(b)(2) **(NDAs/NDA Efficacy Supplements only)**	**YES**	**NO**	**NA**	**Comment**
Is the application a 505(b)(2) NDA? *(Check the 356h form, cover letter, and annotated labeling).* **If yes**, answer the bulleted questions below:	☐	☐		
• Is the application for a duplicate of a listed drug and eligible for approval under section 505(j) as an ANDA?	☐	☐		

- Is the application for a duplicate of a listed drug whose only difference is that the extent to which the active ingredient(s) is absorbed or otherwise made available to the site of action is less than that of the reference listed drug (RLD)? [**see 21 CFR 314.54(b)(1)].**

- Is the application for a duplicate of a listed drug whose only difference is that the rate at which the proposed product's active ingredient(s) is absorbed or made available to the site of action is unintentionally less than that of the listed drug [**see 21 CFR 314.54(b)(2)]?**

If you answered yes to any of the above bulleted questions, the application may be refused for filing under 21 CFR 314.101(d)(9). Contact the 505(b)(2) review staff in the Immediate Office of New Drugs for advice.

- Is there unexpired exclusivity on another listed drug product containing the same active moiety (e.g., 5-year, 3-year, orphan, or pediatric exclusivity)?

Check the Electronic Orange Book at:
http://www.accessdata.fda.gov/scripts/cder/ob/default.cfm

If yes, please list below:

Application No.	Drug Name	Exclusivity Code	Exclusivity Expiration

If there is unexpired, 5-year exclusivity remaining on another listed drug product containing the same active moiety, a 505(b)(2) application cannot be submitted until the period of exclusivity expires (unless the applicant provides paragraph IV patent certification; then an application can be submitted four years after the date of approval.) Pediatric exclusivity and GAIN exclusivity will extend both of the timeframes in this provision by 6 months and five years, respectively. 21 CFR 314.108(b)(2). Unexpired orphan or 3-year exclusivity may block the approval but not the submission of a 505(b)(2) application.

- If FDA has approved one or more pharmaceutically equivalent (PE) products in one or more NDAs before the submission date of the original 505(b)(2) application, did the applicant identify one such product as a listed drug (or an additional listed drug) relied upon and provide an appropriate patent certification or statement **[see 21 CFR 314.50(i)(1)(i)(C) and 314.54]**?

Check the Electronic Orange Book at:
http://www.accessdata.fda.gov/scripts/cder/ob/default.cfm

If no, include template language in the 74-day letter.

Failure to identify a PE is an approvability issue but not a filing issue [see 21 CFR 314.125(b)(19)]

Note: Pharmaceutical equivalents *are drug products in identical dosage forms and route(s) of administration that:* ***(1)*** *contain identical amounts of the identical active drug ingredient, i.e., the same salt or ester of the same therapeutic moiety, or, in the case of modified release dosage forms that require a reservoir or overage or such forms as prefilled syringes where residual volume may vary, that deliver identical amounts of the active drug ingredient over the identical dosing period;* ***(2)*** *do not necessarily contain the same inactive ingredients;* ***and*** ***(3)*** *meet the identical compendial or other applicable standard of identity, strength, quality, and purity, including potency and, where applicable, content uniformity, disintegration times, and/or dissolution rates.*

Exclusivity	YES	NO	NA	Comment
Does another product (same active moiety) have orphan exclusivity for the same indication? ***Check the Orphan Drug Designations and Approvals list at:*** ***http://www.accessdata.fda.gov/scripts/opdlisting/oopd/index.cfm***	☐	☒		
If another product has orphan exclusivity, is the product considered to be the same product according to the orphan drug definition of sameness **[see 21 CFR 316.3(b)(14)]**? ***If yes, consult the Director, Division of Regulatory Policy II, Office of Regulatory Policy***	☐	☐	☐	
NDAs/NDA efficacy supplements only: Has the applicant requested 5-year or 3-year Waxman-Hatch exclusivity? **If yes**, # years requested: ***Note:*** *An applicant can receive exclusivity without requesting it; therefore, requesting exclusivity is not required.*	☐	☐	☐	
NDAs only: Is the proposed product a single enantiomer of a racemic drug previously approved for a different therapeutic use?	☐	☐	☐	
If yes, did the applicant: (a) elect to have the single enantiomer (contained as an active ingredient) not be considered the same active ingredient as that contained in an already approved racemic drug, and/or (b): request exclusivity pursuant to section 505(u) of the Act (per FDAAA Section 1113)? ***If yes, contact the Orange Book Staff (CDER-Orange Book Staff).***	☐	☐	☐	
BLAs only: Has the applicant requested 12-year exclusivity under section 351(k)(7) of the PHS Act? ***If yes, notify Marlene Schultz-DePalo, CDER Purple Book Manager*** ***Note****: Exclusivity requests may be made for an original BLA submitted under Section 351(a) of the PHS Act (i.e., a biological reference product). A request may be located in Module 1.3.5.3 and/or other sections of the BLA and may be included in a supplement (or other correspondence) if exclusivity has not been previously requested in the original 351(a) BLA. An applicant can receive exclusivity without requesting it; therefore, requesting exclusivity is not required.*	☐	☐	☒	

Format and Content				
Do not check mixed submission if the only electronic component is the content of labeling (COL).	☐ All paper (except for COL) ☒ All electronic ☐ Mixed (paper/electronic) ☒ CTD ☐ Non-CTD ☐ Mixed (CTD/non-CTD)			
If mixed (paper/electronic) submission, which parts of the application are submitted in electronic format?				
Overall Format/Content	**YES**	**NO**	**NA**	**Comment**
If electronic submission, does it follow the eCTD guidance?[1] **If not,** explain (e.g., waiver granted).	☒	☐	☐	
Index: Does the submission contain an accurate comprehensive index?	☒	☐		
Is the submission complete as required under 21 CFR 314.50 (*NDAs/NDA efficacy supplements*) or under 21 CFR 601.2 (*BLAs/BLA efficacy supplements*) including: ☐ legible ☐ English (or translated into English) ☐ pagination ☐ navigable hyperlinks (electronic submissions only) **If no**, explain.	☒	☐		
BLAs only: Companion application received if a shared or divided manufacturing arrangement? **If yes**, BLA #	☐	☐	☒	
Forms and Certifications				
***Electronic** forms and certifications with electronic signatures (scanned, digital, or electronic – similar to DARRTS, e.g., /s/) are acceptable. Otherwise, **paper** forms and certifications with hand-written signatures must be included.* ***Forms** include: user fee cover sheet (3397/3792), application form (356h), patent information (3542a), financial disclosure (3454/3455), and clinical trials (3674); **Certifications** include: debarment certification, patent certification(s), field copy certification, and pediatric certification.*				
Application Form	**YES**	**NO**	**NA**	**Comment**
Is form FDA 356h included with authorized signature per 21 CFR 314.50(a)? ***If foreign applicant, a U.S. agent must sign the form [see 21 CFR 314.50(a)(5)].***	☒	☐		
Are all establishments and their registration numbers listed on the form/attached to the form?	☒	☐	☐	

[1] http://www fda.gov/ucm/groups/fdagov-public/@fdagov-drugs-gen/documents/document/ucm333969.pdf

Patent Information (NDAs/NDA efficacy supplements only)	**YES**	**NO**	**NA**	**Comment**
Is patent information submitted on form FDA 3542a per 21 CFR 314.53(c)?	☐	☐	☐	
Financial Disclosure	**YES**	**NO**	**NA**	**Comment**
Are financial disclosure forms FDA 3454 and/or 3455 included with authorized signature per 21 CFR 54.4(a)(1) and (3)? ***Forms must be signed by the APPLICANT, not an Agent [see 21 CFR 54.2(g)].*** ***Note:*** *Financial disclosure is required for bioequivalence studies that are the basis for approval.*	☒	☐		
Clinical Trials Database	**YES**	**NO**	**NA**	**Comment**
Is form FDA 3674 included with authorized signature? ***If yes, ensure that the application is also coded with the supporting document category, "Form 3674."*** ***If no, ensure that language requesting submission of the form is included in the acknowledgement letter sent to the applicant***	☒	☐		
Debarment Certification	**YES**	**NO**	**NA**	**Comment**
Is a correctly worded Debarment Certification included with authorized signature? ***Certification is not required for supplements if submitted in the original application; If foreign applicant, both the applicant and the U.S. Agent must sign the certification [per Guidance for Industry: Submitting Debarment Certifications].*** ***Note:*** *Debarment Certification should use wording in FD&C Act Section 306(k)(1) i.e.,"[Name of applicant] hereby certifies that it did not and will not use in any capacity the services of any person debarred under section 306 of the Federal Food, Drug, and Cosmetic Act in connection with this application." Applicant may not use wording such as, "To the best of my knowledge…"*	☒	☐	☐	
Field Copy Certification (NDAs/NDA efficacy supplements only)	**YES**	**NO**	**NA**	**Comment**
For paper submissions only: Is a Field Copy Certification (that it is a true copy of the CMC technical section) included? ***Field Copy Certification is not needed if there is no CMC technical section or if this is an electronic submission (the Field Office has access to the EDR)*** ***If maroon field copy jackets from foreign applicants are received, return them to CDR for delivery to the appropriate field office.***	☐	☐	☐	

Controlled Substance/Product with Abuse Potential	YES	NO	NA	Comment
For NMEs: Is an Abuse Liability Assessment, including a proposal for scheduling, submitted per 21 CFR 314.50(d)(5)(vii)? ***If yes,*** *date consult sent to the Controlled Substance Staff:* For non-NMEs: *Date of consult sent to Controlled Substance Staff* :	☐	☐	☐	
Pediatrics	**YES**	**NO**	**NA**	**Comment**
PREA Does the application trigger PREA? ***If yes, notify PeRC@fda.hhs.gov to schedule required PeRC meeting[2]*** ***Note****: NDAs/BLAs/efficacy supplements for new active ingredients (including new fixed combinations), new indications, new dosage forms, new dosing regimens, or new routes of administration trigger PREA. All waiver & deferral requests, pediatric plans, and pediatric assessment studies must be reviewed by PeRC prior to approval of the application/supplement.*	☐	☒		Keytruda has Orphan Drug designation for gastric cancer as of 6-16-2015.
If the application triggers PREA, is there an agreed Initial Pediatric Study Plan (iPSP)? ***If no, may be an RTF issue - contact DPMH for advice.***	☐	☐	☒	
If required by the agreed iPSP, are the pediatric studies outlined in the agreed iPSP completed and included in the application? ***If no, may be an RTF issue - contact DPMH for advice.***	☐	☐	☒	
BPCA: Is this submission a complete response to a pediatric Written Request? ***If yes, notify Pediatric Exclusivity Board RPM (pediatric exclusivity determination is required[3]***	☐	☐		

[2] http://inside.fda.gov:9003/CDER/OfficeofNewDrugs/OfficeofNonprescriptionProducts/PediatricandMaternalHealthStaff/ucm027829.htm

[3] http://inside.fda.gov:9003/CDER/OfficeofNewDrugs/OfficeofNonprescriptionProducts/PediatricandMaternalHealthStaff/ucm027837.htm

Proprietary Name	YES	NO	NA	Comment
Is a proposed proprietary name submitted? ***If yes, ensure that the application is also coded with the supporting document category, "Proprietary Name/Request for Review."***	☐	☐	☒	
REMS	**YES**	**NO**	**NA**	**Comment**
Is a REMS submitted? ***If yes, send consult to OSE/DRISK and notify OC/ OSI/DSC/PMSB via the CDER OSI RMP mailbox***	☐	☐	☒	
Prescription Labeling	☐ **Not applicable**			
Check all types of labeling submitted.	☒ Package Insert (Prescribing Information)(PI) ☐ Patient Package Insert (PPI) ☐ Instructions for Use (IFU) ☒ Medication Guide (MedGuide) ☐ Carton labeling ☐ Immediate container labels ☐ Diluent labeling ☐ Other (specify)			
	YES	**NO**	**NA**	**Comment**
Is Electronic Content of Labeling (COL) submitted in SPL format? ***If no, request applicant to submit SPL before the filing date.***	☒	☐		
Is the PI submitted in Physician Labeling Rule (PLR) format?[4]	☒	☐		
If PI not submitted in PLR format, was a waiver or deferral requested before the application was received or in the submission? **If requested before application was submitted**, what is the status of the request? ***If no waiver or deferral, request applicant to submit labeling in PLR format before the filing date.***	☐	☐	☒	
For applications submitted on or after June 30, 2015: Is the PI submitted in Pregnancy and Lactation Labeling Rule (PLLR) format? Has a review of the available pregnancy, lactation, and females and males of reproductive potential data (if applicable) been included?	☐ ☐	☐ ☐	☒ ☒	
For applications submitted on or after June 30, 2015: If PI not submitted in PLLR format, was a waiver or deferral requested before the application was received or in the submission? **If requested before application was submitted**, what is the status of the request? ***If no waiver or deferral, request applicant to submit labeling in PLLR format before the filing date.***	☐	☐	☒	

[4] http://inside fda.gov:9003/CDER/OfficeofNewDrugs/ImmediateOffice/LabelingDevelopmentTeam/ucm025576 htm

Has all labeling [(PI, patient labeling (PPI, MedGuide, IFU), carton and immediate container labeling)] been consulted to OPDP?	☒	☐	☐	
Has PI and patient labeling (PPI, MedGuide, IFU) been consulted to OSE/DRISK? (*send WORD version if available*)	☒	☐	☐	
Has all labeling [PI, patient labeling (PPI, MedGuide, IFU) carton and immediate container labeling, PI, PPI been consulted/sent to OSE/DMEPA and appropriate CMC review office in OPQ (OBP or ONDP)?	☐	☐	☒	
OTC Labeling	☒ **Not Applicable**			
Check all types of labeling submitted.	☐ Outer carton label ☐ Immediate container label ☐ Blister card ☐ Blister backing label ☐ Consumer Information Leaflet (CIL) ☐ Physician sample ☐ Consumer sample ☐ Other (specify)			
	YES	**NO**	**NA**	**Comment**
Is electronic content of labeling (COL) submitted? ***If no, request in 74-day letter.***	☐	☐		
Are annotated specifications submitted for all stock keeping units (SKUs)? ***If no, request in 74-day letter.***	☐	☐	☐	
If representative labeling is submitted, are all represented SKUs defined? ***If no, request in 74-day letter.***	☐	☐	☐	
All labeling/packaging sent to OSE/DMEPA?	☐	☐	☐	
Other Consults	**YES**	**NO**	**NA**	**Comment**
Are additional consults needed? (e.g., IFU to CDRH; QT study report to QT Interdisciplinary Review Team) ***If yes, specify consult(s) and date(s) sent:***	☐	☐	☒	
Meeting Minutes/SPAs	**YES**	**NO**	**NA**	**Comment**
End-of Phase 2 meeting(s)? **Date(s):**	☐	☒		
Pre-NDA/Pre-BLA/Pre-Supplement meeting(s)? **Date(s):** 1-31-2017	☒	☐		
Any Special Protocol Assessments (SPAs)? **Date(s):**	☐			

ATTACHMENT

MEMO OF FILING MEETING

DATE: May 9, 2017

BACKGROUND: Add a new indication for the treatment of patients with recurrent locally advanced or metastatic gastric or gastro-esophageal junction adenocarcinoma [redacted] (b) (4) .

Dako/Agilent submitted a sPMA to CDRH on April 18, 2017 for the PD-L1 IHC 22C3 pharmDx assay.

REVIEW TEAM:

Discipline/Organization	Names		Present at filing meeting? (Y or N)
Regulatory Project Management	RPM:	Sharon Sickafuse	Y
	CPMS/TL:	Monica Hughes	N
Cross-Discipline Team Leader (CDTL)	Martha Donoghue		Y
Division Director/Deputy	Patricia Keegan		Y
Clinical	Reviewer:	Lola Fashoyin-Aje	Y
	TL:	Martha Donoghue	Y
Clinical Pharmacology	Reviewer:	Brian Furmanski	Y
	TL:	Hong Zhao	N
• Genomics	Reviewer:		
• Pharmacometrics	Reviewer:	Xiaofeng Wang	Y
Biostatistics	Reviewer:	Jade Chen	Y
	TL:	Kun He	Y

Product Quality (CMC) Review Team:	ATL:		
	RBPM:		
• Drug Substance	Reviewer:		
• Drug Product	Reviewer:		
• Process	Reviewer:		
• Microbiology	Reviewer:		
• Facility	Reviewer:		
• Biopharmaceutics	Reviewer:		
• Immunogenicity	Reviewer:	Mark Paciga	Y
• Labeling (BLAs only)	Reviewer:		
• Other (e.g., Branch Chiefs, EA Reviewer)	Sarah Kennett		Y
OMP/OMPI/DMPP (MedGuide, PPI, IFU)	Reviewer:		
	TL:		
OMP/OPDP (PI, PPI, MedGuide, IFU, carton and immediate container labeling)	Reviewer:		
	TL:		

Other reviewers/disciplines			
• CDRH	Reviewer:	Janaki Veeraraghavan Prakash Jha	Y Y
	TL:		
Other attendees	Kelie Reece		Y
	Nataliya Fesenko		Y
	Gina Mehta		Y

FILING MEETING DISCUSSION:

GENERAL • 505(b)(2) filing issues: o Is the application for a duplicate of a listed drug and eligible for approval under section 505(j) as an ANDA? o Did the applicant provide a scientific "bridge" demonstrating the relationship between the proposed product and the referenced product(s)/published literature? Describe the scientific bridge (e.g., information to demonstrate sufficient similarity between the proposed product and the listed drug(s) such as BA/BE studies or to justify reliance on information described in published literature):	☒ Not Applicable ☐ YES ☐ NO ☐ YES ☐ NO
• Per reviewers, are all parts in English or English translation? **If no**, explain:	☒ YES ☐ NO
• Electronic Submission comments **List comments**:	☐ Not Applicable ☒ No comments

CLINICAL **Comments**:	☐ Not Applicable ☒ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
• Clinical study site(s) inspections(s) needed? **If no,** explain: Whether an inspection is needed is still under discussion.	☐ YES ☐ NO
• Advisory Committee Meeting needed? **Comments**: ***If no, for an NME NDA or original BLA, include the reason. For example:*** ○ ***this drug/biologic is not the first in its class*** ○ ***the clinical study design was acceptable*** ○ ***the application did not raise significant safety or efficacy issues*** ○ ***the application did not raise significant public health questions on the role of the drug/biologic in the diagnosis, cure, mitigation, treatment or prevention of a disease***	☒ YES Date if known: 9-19-2019 ☐ NO ☐ To be determined Reason:
• If the application is affected by the AIP, has the division made a recommendation regarding whether or not an exception to the AIP should be granted to permit review based on medical necessity or public health significance? **Comments**:	☒ Not Applicable ☐ YES ☐ NO
CONTROLLED SUBSTANCE STAFF • Abuse Liability/Potential **Comments**:	☒ Not Applicable ☐ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
CLINICAL MICROBIOLOGY **Comments**:	☒ Not Applicable ☐ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter

CLINICAL PHARMACOLOGY **Comments**: Please provide the location or submit the immunogenicity study reports for studies KN012 and 059. Please provide a respond by COB June 2, 2017.	☐ Not Applicable ☒ FILE ☐ REFUSE TO FILE ☒ Review issues for 74-day letter Review issue will be conveyed in filing letter to be issued by 5-19-2017.
• Clinical pharmacology study site(s) inspections(s) needed?	☐ YES ☒ NO
BIOSTATISTICS **Comments**:	☐ Not Applicable ☒ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
NONCLINICAL (PHARMACOLOGY/TOXICOLOGY) **Comments**:	☒ Not Applicable ☐ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
PRODUCT QUALITY (CMC) **Comments**:	☐ Not Applicable ☒ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
New Molecular Entity (NDAs only) • Is the product an NME?	 ☐ YES ☒ NO
Environmental Assessment • Categorical exclusion for environmental assessment EA) requested? **If no**, was a complete EA submitted? **Comments**:	 ☒ YES ☐ NO ☐ YES ☐ NO

Facility Inspection • Establishment(s) ready for inspection? **Comments**:	☒ Not Applicable ☐ YES ☐ NO
Facility/Microbiology Review (BLAs only) **Comments**:	☒ Not Applicable ☐ FILE ☐ REFUSE TO FILE ☐ Review issues for 74-day letter
CMC Labeling Review (BLAs only) **Comments**:	☐ Review issues for 74-day letter
APPLICATIONS IN THE PROGRAM (PDUFA V) (NME NDAs/Original BLAs) • Were there agreements made at the application's pre-submission meeting (and documented in the minutes) regarding certain late submission components that could be submitted within 30 days after receipt of the original application? • If so, were the late submission components all submitted within 30 days?	☒ N/A ☐ YES ☐ NO ☐ YES ☐ NO
• What late submission components, if any, arrived after 30 days?	
• Was the application otherwise complete upon submission, including those applications where there were no agreements regarding late submission components?	☐ YES ☐ NO
• Is a comprehensive and readily located list of all clinical sites included or referenced in the application?	☐ YES ☐ NO

• Is a comprehensive and readily located list of all manufacturing facilities included or referenced in the application?	☐ YES ☐ NO

REGULATORY PROJECT MANAGEMENT	
Signatory Authority: Patricia Keegan **21st Century Review Milestones (see attached)** (listing review milestones in this document is optional): **Comments**:	
REGULATORY CONCLUSIONS/DEFICIENCIES	
☐	The application is unsuitable for filing. Explain why:
☒	The application, on its face, appears to be suitable for filing. Review Issues: ☐ No review issues have been identified for the 74-day letter. ☒ Review issues have been identified & will be conveyed in the Filing letter to be issued by May 19, 2017. Review Classification: ☐ Standard Review ☒ Priority Review
ACTION ITEMS	
☐	Ensure that any updates to the review priority (S or P) and classifications/properties are entered into the electronic archive (e.g., chemical classification, combination product classification, orphan drug).
☐	If RTF, notify everyone who already received a consult request, OSE PM, and RBPM
☐	If filed, and the application is under AIP, prepare a letter either granting (for signature by Center Director) or denying (for signature by ODE Director) an exception for review.
☒	If priority review, notify applicant in writing by day 60 (see CST for choices)
☐	Send review issues/no review issues by day 74
☐	Conduct a PLR format labeling review and include labeling issues in the 74-day letter
☐	Update the PDUFA V DARRTS page (for applications in the Program)
☐	Other

Annual review of template by OND ADRAs completed: April 2016

This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.

/s/

SHARON K SICKAFUSE
05/19/2017