CENTER FOR DRUG EVALUATION AND RESEARCH

APPLICATION NUMBER: **21-066**

ADMINISTRATIVE DOCUMENTS

12/31/98

PATENT INFORMATION
UNDER *21 U.S.C. 355(b)(1)*

In the opinion of the applicant, CIBA Vision Corporation, and to the best of our knowledge, no unexpired U.S. patents exist which claims the drug product [redacted] for which investigations were conducted for the present NDA application.

Robert Scott Meece

Authorized Signature for CIBA Vision Corporation
Robert Scott Meece
CIBA Vision Patent Counsel

12/21/98
Date

1-0002

12/31/98

NDA 21-066
Original NDA Submission
Ketotifen fumarate ophthalmic solution 0.025%

REQUEST FOR CLAIMED EXCLUSIVITY

Pursuant to Sections 505(c)(3)(D)(ii) and 505(j)(4)(D)(ii) of the Federal Food, Drug and Cosmetic Act and 21 CFR 314.50(j) and 314.208(b)(2), CIBA Vision – A Novartis company hereby request five years of marketing exclusivity for ketotifen fumarate ophthalmic solution. The New Drug Application, NDA 21-066, contains an active moiety in the drug product that has not been previously approved under Section 505(b) of the Act.

LDMandt

12.31.98

Lawrence D. Mandt
Director, US Regulatory and
Medical Affairs

Date

1-0293

EXCLUSIVITY SUMMARY for NDA # 21-066 SUPPL #_____

Trade Name Ketotifen Fumarate Ophthalmic Solution 0.025% Generic Name _____

Applicant Name CIBA Vision HFD- 550

Approval Date, if known _____

PART I IS AN EXCLUSIVITY DETERMINATION NEEDED?

1. An exclusivity determination will be made for all original applications, but only for certain supplements. Complete PARTS II and III of this Exclusivity Summary only if you answer "yes" to one or more of the following question about the submission.

   a) Is it an original NDA?

   YES /XX/ NO /___/

   b) Is it an effectiveness supplement?

   YES /___/ NO /___/

   If yes, what type? (SE1, SE2, etc.) _____

   c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review only of bioavailability or bioequivalence data, answer "no.")

   YES /XX/ NO /___/

   If your answer is "no" because you believe the study is a bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any arguments made by the applicant that the study was not simply a bioavailability study.

   _____

   _____

   If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical data:

   _____

   _____

d) Did the applicant request exclusivity?

YES /XX/ NO /___/

If the answer to (d) is "yes," how many years of exclusivity did the applicant request?

5 Years

IF YOU HAVE ANSWERED "NO" TO ALL OF THE ABOVE QUESTIONS, GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8.

2. Has a product with the same active ingredient(s), dosage form, strength, route of administration, and dosing schedule, previously been approved by FDA for the same use? (Rx-to-OTC switches should be answered NO-please indicate as such.)

YES /___/ NO /XX/

If yes, NDA #_____ Drug Name _____

IF THE ANSWER TO QUESTION 2 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8.

3. Is this drug product or indication a DESI upgrade?

YES /___/ NO /XX/

IF THE ANSWER TO QUESTION 3 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8 (even if a study was required for the upgrade).

PART II FIVE-YEAR EXCLUSIVITY FOR NEW CHEMICAL ENTITIES

(Answer either #1 or #2 as appropriate)

1. Single active ingredient product.

Has FDA previously approved under section 505 of the Act any drug product containing the same active moiety as the drug under consideration? Answer "yes" if the active moiety (including other esterified forms, salts, complexes, chelates or clathrates) has been previously approved, but this particular form of the active moiety, e.g., this particular ester or salt (including salts with hydrogen or coordination bonding) or other non-covalent derivative (such as a complex, chelate, or clathrate) has not been approved. Answer "no" if the compound requires metabolic conversion (other than deesterification of an esterified form of the drug) to produce an already approved active moiety.

MAC

YES /___/ NO /X/

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

NDA# N/A _____ _____

NDA# _____ _____

NDA# _____ _____

2. Combination product.

If the product contains more than one active moiety(as defined in Part II, #1), has FDA previously approved an application under section 505 containing any one of the active moieties in the drug product? If, for example, the combination contains one never-before-approved active moiety and one previously approved active moiety, answer "yes." (An active moiety that is marketed under an OTC monograph, but that was never approved under an NDA, is considered not previously approved.)

YES /___/ NO /XX /

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

NDA# _____ _____

NDA# _____ _____

NDA# _____ _____

IF THE ANSWER TO QUESTION 1 OR 2 UNDER PART II IS "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8. IF "YES" GO TO PART III.

PART III THREE-YEAR EXCLUSIVITY FOR NDA'S AND SUPPLEMENTS

To qualify for three years of exclusivity, an application or supplement must contain "reports of new clinical investigations (other than bioavailability studies) essential to the approval of the application and conducted or sponsored by the applicant." This section should be completed only if the answer to PART II, Question 1 or 2 was "yes."

1. Does the application contain reports of clinical investigations? (The Agency interprets "clinical investigations" to mean investigations conducted on humans other than bioavailability studies.) If the application contains clinical investigations only by virtue of a right of reference to clinical investigations in another application, answer "yes," then skip to question 3(a). If the answer to 3(a) is "yes" for any investigation referred to in another application, do not complete remainder of summary for that investigation.

YES /___/ NO /___/

IF "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8.

2. A clinical investigation is "essential to the approval" if the Agency could not have approved the application or supplement without relying on that investigation. Thus, the investigation is not essential to the approval if 1) no clinical investigation is necessary to support the supplement or application in light of previously approved applications (i.e., information other than clinical trials, such as bioavailability data, would be sufficient to provide a basis for approval as an ANDA or 505(b)(2) application because of what is already known about a previously approved product), or 2) there are published reports of studies (other than those conducted or sponsored by the applicant) or other publicly available data that independently would have been sufficient to support approval of the application, without reference to the clinical investigation submitted in the application.

(a) In light of previously approved applications, is a clinical investigation (either conducted by the applicant or available from some other source, including the published literature) necessary to support approval of the application or supplement?

YES /___/ NO /___/

If "no," state the basis for your conclusion that a clinical trial is not necessary for approval AND GO DIRECTLY TO SIGNATURE BLOCK ON PAGE 8:

_____

_____

YES /___/ NO /___/

(b) Did the applicant submit a list of published studies relevant to the safety and effectiveness of this drug product and a statement that the publicly available data would not independently support approval of the application?

YES /___/ NO /___/

(1) If the answer to 2(b) is "yes," do you personally know of any reason to disagree with the applicant's conclusion? If not applicable, answer NO.

YES /___/ NO /___/

If yes, explain: _____

_____

(2) If the answer to 2(b) is "no," are you aware of published studies not conducted or sponsored by the applicant or other publicly available data that could independently demonstrate the safety and effectiveness of this drug product?

YES /___/ NO /___/

If yes, explain: _____

_____

(c) If the answers to (b)(1) and (b)(2) were both "no," identify the clinical investigations submitted in the application that are essential to the approval:

_____

_____

Studies comparing two products with the same ingredient(s) are considered to be bioavailability studies for the purpose of this section.

3. In addition to being essential, investigations must be "new" to support exclusivity. The agency interprets "new clinical investigation" to mean an investigation that 1) has not been relied on by the agency to demonstrate the effectiveness of a previously approved drug for any indication and 2) does not duplicate the results of another investigation that was relied on by the agency to demonstrate the effectiveness of a previously approved drug product, i.e., does not redemonstrate something the agency considers to have been demonstrated in an already approved application.

a) For each investigation identified as "essential to the approval," has the investigation been relied on by the agency to demonstrate the effectiveness of a previously approved drug product? (If the investigation was relied on only to support the safety of a previously approved drug, answer "no.")

Investigation #1 YES /___/ NO /___/

Investigation #2 YES /___/ NO /___/

If you have answered "yes" for one or more investigations, identify each such investigation and the NDA in which each was relied upon:

_____ _____

_____ _____

b) For each investigation identified as "essential to the approval", does the investigation duplicate the results of another investigation that was relied on by the agency to support the effectiveness of a previously approved drug product?

Investigation #1 YES /___/ NO /___/

Investigation #2 YES /___/ NO /___/

If you have answered "yes" for one or more investigation, identify the NDA in which a similar investigation was relied on:

_____ _____

_____ _____

c) If the answers to 3(a) and 3(b) are no, identify each "new" investigation in the application or supplement that is essential to the approval (i.e., the investigations listed in #2(c), less any that are not "new"):

_____ _____

_____ _____

4. To be eligible for exclusivity, a new investigation that is essential to approval must also have been conducted or sponsored by the applicant. An investigation was "conducted or sponsored by" the applicant if, before or during the conduct of the investigation, 1) the applicant was the sponsor of the IND named in the form FDA 1571 filed with the Agency, or 2) the applicant (or its predecessor in interest) provided substantial support for the study. Ordinarily, substantial support will mean providing 50 percent or more of the cost of the study.

a) For each investigation identified in response to question 3(c): if the investigation was carried out under an IND, was the applicant identified on the FDA 1571 as the sponsor?

Investigation #1	
IND # _____ YES /___/	NO /___/ Explain: _____
	_____
Investigation #2	
IND # _____ YES /___/	NO /___/ Explain: _____
	_____

(b) For each investigation not carried out under an IND or for which the applicant was not identified as the sponsor, did the applicant certify that it or the applicant's predecessor in interest provided substantial support for the study?

Investigation #1	
YES /___/ Explain _____	NO /___/ Explain _____
_____	_____
_____	_____
Investigation #2	
YES /___/ Explain _____	NO /___/ Explain _____
_____	_____
_____	_____

(c) Notwithstanding an answer of "yes" to (a) or (b), are there other reasons to believe that the applicant should not be credited with having "conducted or sponsored" the study? (Purchased studies may not be used as the basis for exclusivity. However, if all rights to the drug are purchased (not just studies on the drug), the applicant may be considered to have sponsored or conducted the studies sponsored or conducted by its predecessor in interest.)

YES /___/ NO /___/

If yes, explain: _____

_____

5/26/99

/S/ /S/ 5/26/99

Signature Date

Title: Medical Officer

/S/

Project Manager/CSO

/S/ 5/26/99

Signature of Division Director Date

cc: Original NDA Division File HFD-93 Mary Ann Holovac

PEDIATRIC PAGE

(Complete for all original application and all efficacy supplements)

NDA/BLA Number:	21066	**Trade Name:**	KETOTIFEN FUMARATE OPHTHALMIC SOL 0.025%
Supplement Number:		**Generic Name:**	KETOTIFEN FUMARATE OPHTHALMIC SOL 0.025%
Supplement Type:		**Dosage Form:**	Solution/Drops; Ophthalmic
Regulatory Action:	AE	**Proposed Indication:**	Temporary prevention of ocular itching from allergic conjunctivitis

ARE THERE PEDIATRIC STUDIES IN THIS SUBMISSION?

YES, Pediatric data exists for at least one proposed indication which supports pediatric approval

What are the INTENDED Pediatric Age Groups for this submission?

____NeoNates (0-30 Days) ____Children (25 Months-12 years)
____Infants (1-24 Months) ____Adolescents (13-16 Years)
_X_ Other Age Groups (listed): 3 - 16 years

Label Adequacy	Adequate for SOME pediatric age groups
Formulation Status	-
Studies Needed	No further STUDIES are needed
Study Status	-

Are there any Pediatric Phase 4 Commitments in the Action Letter for the Original Submission? NO

COMMENTS:
The label is adequate for all relavent ages in which the disease occurs. Disease does not occur in children less than 3 years of age.

This Page was completed based on information from a PROJECT MANAGER/CONSUMER SAFETY OFFICER, RAPHAEL RODRIGUEZ

/S/

Signature

May 26, 1999

Date

/S/ 5/26/99

/S/ 5/26/99

DEBARMENT CERTIFICATION STATEMENT

As required under section 360(k)(1) of the Federal Food, Drug and Cosmetic Act, CIBA Vision – A Novartis Company hereby certifies that it did not and will not use in any capacity the services of any person debarred under Section 306(a) or (b) of the Act in connection with the New Drug Application for ketotifen fumarate ophthalmic solution, NDA 21-066.

LDmandt

12.31.98

Lawrence D. Mandt
Director, US Regulatory and
Medical Affairs

Date

1-0292

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
FOOD AND DRUG ADMINISTRATION

APPLICATION TO MARKET A NEW DRUG FOR HUMAN USE OR AN ANTIBIOTIC DRUG FOR HUMAN USE

(Title 21, Code of Federal Regulations, 314)

Form Approved: OMB No. 0910-0001. Expiration Date: April 30, 1994. See OMB Statement on Page 3.

FOR FDA USE ONLY	
DATE RECEIVED	DATE FILED
DIVISION ASSIGNED	NDA/ANDA NO. ASS.

NOTE: No application may be filed unless a completed application form has been received *(21 CFR Part 314)*

NAME OF APPLICANT	DATE OF SUBMISSION
CIBA Vision - A Novartis Company	12-31-98
ADDRESS *(Number, Street, City, State and Zip Code)* 11460 Johns Creek Parkway Duluth, GA 30097	TELEPHONE NO. *(Include Area Code)* (770) 418-4343
	NEW DRUG OR ANTIBIOTIC APPLICATION NUMBER (If previously issued) NDA 21-066

DRUG PRODUCT

ESTABLISHED NAME *(e.g., USP/USAN)*	PROPRIETARY NAME *(If any)*
Ketotifen fumarate	

CODE NAME *(If any)*	CHEMICAL NAME
	4-(1-Methyl-4-piperidylidene)-4H-benzo [4,5]cyclohepta[1,2-b]thiphen-10(9H)-one hydrogen fumarate

DOSAGE FORM	ROUTE OF ADMINISTRATION	STRENGTH(S)
Topical	Ophthalmic	0.025% base

PROPOSED INDICATIONS FOR USE

For the prevention of itching of the eye due to allergic conjunctivitis

LIST NUMBERS OF ALL INVESTIGATIONAL NEW DRUG APPLICATIONS *(21 CFR Part 312)*, NEW DRUG OR ANTIBIOTIC APPLICATIONS *(21 CFR Part 314)*, AND DRUG MASTER FILES *(21CFR 314.420)* REFERRED TO IN THIS APPLICATION:

INFORMATION ON APPLICATION

TYPE OF APPLICATION *(Check one)*

☒ THIS SUBMISSION IS A FULL APPLICATION *(21 CFR 314.50)* ☐ THIS SUBMISSION IS AN ABBREVIATED APPLICATION (ANDA) (21 CFR 314.55)

IF AN ANDA, IDENTIFY THE APPROVED DRUG PRODUCT THAT IS THE BASIS FOR THE SUBMISSION

NAME OF DRUG	HOLDER OF APPROVED APPLICATION

TYPE SUBMISSION *(Check one)*

☐ PRESUBMISSION ☐ AN AMENDMENT TO A PENDING APPLICATION ☐ SUPPLEMENTAL APPLICATION
☐ ORIGINAL APPLICATION ☐ RESUBMISSION Submission of DESK COPIES to R. Rodriguez

SPECIFIC REGULATION(S) TO SUPPORT CHANGE OF APPLICATION *(e.g., Part 314.70(b)(2)(iv))* _____

PROPOSED MARKETING STATUS *(Check one)*

☒ APPLICATION FOR A PRESCRIPTION DRUG PRODUCT *(Rx)* ☐ APPLICATION FOR AN OVER-THE-COUNTER PRODUCT *(OTC)*

CONTENTS OF APPLICATION	
This application contains the following items: *(Check all that apply)*	
X	1. Index
X	2. Summary (21 CFR 314.50 (c)) 18 DESK COPIES
	3. Chemistry, manufacturing, and control section (21 CFR 314.50 (d) (1))
	4. a. Samples (21 CFR 314.50 (e) (1)) (Submit only upon FDA's request)
	b. Methods Validation Package (21 CFR 314.50 (e) (2) (i))
	c. Labeling (21 CFR 314.50 (e) (2) (ii))
	i. draft labeling *(4 copies)*
	ii. final printed labeling (12 copies)
	5. Nonclinical pharmacology and toxicology section (21 CFR 314.50 (d) (2))
	6. Human pharmacokinetics and bioavailability section (21 CFR 314.50 (d) (3))
	7. Microbiology section (21 CFR 314.50 (d) (4))
	8. Clinical data section (21 CFR 314.50 (d) (5))
	9. Safety update report (21 CFR 314.50 (d) (5) (vi) (b))
	10. Statistical section (21 CFR 314.50 (d) (6))
	11. Case report tabulations (21 CFR 314.50 (f) (1))
	12. Case reports forms (21 CFR 314.50 (f) (1))
	13. Patent information on any patent which claims the drug (21 U.S.C. 355 (b) or (c))
	14. A patent certification with respect to any patent which claims the drug (21 U.S.C. 355 (b) (2) or (j) (2) (A))
	15. OTHER *(Specify)*

I agree to update this application with new safety information about the drug that may reasonably affect the statement of contraindications, warnings, precautions, or adverse reactions in the draft labeling. I agree to submit these safety update reports as follows: (1) 4 months after the initial submission, (2) following receipt of an approvable letter and (3) at other times as requested by FDA. If this application is approved, I agree to comply with all laws and regulations that apply to approved applications, including the following:

1. Good manufacturing practice regulations in 21 CFR 210 and 211.
2. Labeling regulations in 21 CFR 201.
3. In the case of a prescription drug product, prescription drug advertising regulations in 21 CFR 202.
4. Regulations on making changes in application in 21 CFR 314.70, 314.71, and 314.72.
5. Regulations on reports in 21 CFR 314.80 and 314.81.
6. Local, state and Federal environmental impact laws.

If this application applies to a drug product that FDA has proposed for scheduling under the controlled substances Act I agree not to market the product until the Drug Enforcement Administration makes a final scheduling decision.

NAME OF RESPONSIBLE OFFICIAL OR AGENT	SIGNATURE OF RESPONSIBLE OFFICIAL OR AGENT	DATE
Lawrence D. Mandt, Director US Regulatory and Medical Affairs		12-31-98

ADDRESS *(Street, City, State, Zip Code)*	TELEPHONE NO. *(Include Area Code)*
11460 Johns Creek Parkway Duluth, GA 30097	(770) 418 4343

(WARNING: A willfully false statement is a criminal offense. U.S.C. Title 18, Sec. 1001.)